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"Rummaging in the government's attic"

Description of document: List of National Credit Union Administration (NCUA)

Inspector General (OIG) Investigations Closed 2019-2010

Requested date: 26-December-2020

Release date: 05-January-2021

Posted date: 14-November-2022

Source of document: FOIA Request

National Credit Union Administration

Office of the Inspector General Attn: Office of General Counsel

1775 Duke St.

Alexandria, VA 22314 Email: OIGmail@ncua.gov

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## National Credit Union Administration \_\_\_\_\_

## Office of Inspector General

January 5, 2021

SENT BY EMAIL

SUBJECT: FOIA Request 2021-IGF-00001

This responds to your December 26, 2020, request under the Freedom of Information Act (FOIA), 5 U.S.C. § 552, for a list of the Office of Inspector General's investigations that were closed in calendar year 2020. Please note that we maintain our investigative activity reports on a fiscal year basis, which begins each year on October 1 and ends on September 30 the following year.

I conducted a search and located two pages responsive to your request. I withheld names of investigation subjects whose grade levels were below CU-15 and investigation subjects when our investigation did not sustain the allegations, pursuant to Exemptions (b)(6) and (b)(7)(C) of FOIA, which protect personal privacy interests.

If you are not satisfied with my action on this request, you may file an administrative appeal in writing within 90 days of the date of this letter. If you file an appeal, please note "FOIA APPEAL" in the letter and on the envelope (or in the subject line of email to <a href="mailto:foia@ncua.gov">foia@ncua.gov</a>) and address it to: National Credit Union Administration, Office of General Counsel-FOIA APPEAL, 1775 Duke Street, Alexandria, VA 22314-3428. A copy of your initial request and a copy of this letter should accompany your appeal letter.

For further assistance, you may contact me, the OIG FOIA Public Liaison Sharon Regelman, or the Office of Government Information Services (OGIS). The OIG FOIA Liaison is responsible for assisting in the resolution of FOIA disputes. OGIS, which is part of the National Archives and Records Administration (NARA), offers mediation services to resolve disputes between FOIA requesters and Federal agencies as a non-exclusive alternative to appeals or litigation. You may contact the FOIA Public Liaison at <a href="mailto:oigmail@ncua.gov">oigmail@ncua.gov</a> or 703-518-6350. You may contact OGIS at 8601 Adelphi Road-OGIS, College Park, MD 20740-6001; <a href="mailto:OGIS@nara.gov">OGIS@nara.gov</a>; 202-741-5770; 877-684-6448 (toll free); or 202-741-5769 (fax).

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Seeking assistance from the OIG Public Liaison or OGIS does not affect your right, or extend the deadline, to pursue an appeal.

Sincerely,

MARTA ERCEG Digitally signed by MARTA ERCEG Date: 2021.01.05 13:58:37 -05'00'

Marta Erceg Counsel to the Inspector General Assistant IG for Investigations

Attachment

cc: FOIA Officer

	NAME OF TAXABLE PARTY.	Date Allegation						×			
Case Number	Region	Received	Date Opened	Date ROI issued	Date Case Closed	Subject(s)	Matter(s) under Investigation	Allegation Sustained	Action Taken	Referral	Declination
	1000000	N			100000 A 100000 COLOUR	F. C.	Unauthorized release of 6(e)	0			8011
19-01 (DOJ-O	CO	1/16/2019	2/1/2019	NA	11/8/2019	Bruce Hegyi	information	Yes			
		2/12019	3/12/2019	NA	3/25/2020	/h\//2\-	Waste of funds	No	None		
19-03	CO	4/12/2019	4/12/2019	10/4/2019	10/21/2019	(b)(6) <sup>.</sup>	Whistleblower Retaliation	No	None		
1		12				-			Removed		
19-04	CO	4/22/2019	4/24/2019	7/17/2019	8/26/2019	Yun Luo	Misuse of position & false statement	ts	9/30/19	Fed - 7/1/2019	7/2/2019
						13 <u>1</u> 9	Unauthorized access of				
19-05	Southern	5/9/2019	5/10/2019	8/1/2019	8/1/2019	(b)(6);	server/documents		None		
									Management		
									intends to		
									remove	•	
									(b)(6);		
									resigned after	-	Fed -
									receiving		USAO 3-
				49-1-020-024-02-02					proposal to		13-20 State -
19-06	Eastern	5/22/2019	9/13/2019	5/22/2020	6/22/2020	/h)/6)·	Travel voucher/expense fraud	Yes	remove notice.	Fed -USAO (h3-13-20, State 3-19-20	/⊾ \G 5-4-20
		·				180	100			_	
^							27		Î.		

Case Number	34346 (8)	Date Allegation Received	100000000000000000000000000000000000000	Date ROI issued	Date Case Closed	Subject(s)	Matter(s) under Investigation	Allegation Sustained	Action Taken	Referral	Declination
					l e	Lara Daly-Sims			McKenna retired and		-
2000 04	00	44/47/0040	44/40/0040	0/40/0000			Time and Attendance Fraud, Use of		Daly-Sims	EDVA 44/05/0040	44/05/0040
		11/17/2019 2/27/2020			2/26/2020 6/23/2020	McKenna (b)(6);	- 5		resigned N/A	EDVA - 11/25/2019 N/A	11/25/2019 N/A
							False Duty Station		N/A	N/A	1071
MAR	Eastern	8/19/2020	NA	9/14/2020	NA		False Statements	N/A	N/A	N/A	
						1			1		