

governmentattic.org

"Rummaging in the government's attic"

Description of document:	Department of Commerce (DOC) Security Manual (title page and table of contents) 2012
Requested date:	15-November-2022
Release date:	23-November-2022
Posted date:	13-February-2023
Source of document:	FOIA Request Office of Privacy and Open Government 14th and Constitution Avenue NW Mail Stop 61013 Washington, DC 20230 Email: <u>eFOIA</u> FOIAonline

The governmentattic.org web site ("the site") is a First Amendment free speech web site and is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



UNITED STATES DEPARTMENT OF COMMERCE Chief Financial Officer and Assistant Secretary for Administration Washington, D.C. 20230

November 23, 2022

RE: DOC-OS-2023-000344

This letter responds to your November 15, 2022, request made pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, regarding "A copy of the title page, cover page, and table of contents for the Security Manual." The U.S. Department of Commerce's Office of Privacy and Open Government (the "Office") received your request on November 15, 2022, and assigned it the above referenced tracking request number.

This Office conducted a search and identified the enclosed pages as responsive to your request. Further, this Office determined that these pages may be released without excision. This action closes your request in this Office.

If you are not satisfied with this Office's determination in response to your request, you may mail an administrative appeal to: Assistant General Counsel for Employment, Litigation, and Information, U.S. Department of Commerce, Office of the General Counsel, Room 5896, 1401 Constitution Avenue NW, Washington, D.C. 20230. For a written appeal, both the letter and the appeal envelope should be clearly marked "Freedom of Information Act Appeal." Appeals may also be emailed to FOIAappeals@doc.gov or submitted electronically through the FOIAonline website (if you have a FOIAonline account) at <u>https://foiaonline.gov/</u>. Any appeal must be received within 90 calendar days from the date of this response.

For further assistance, you may contact me as this Office's FOIA Public Liaison by email at eFOIA@doc.gov. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road,

College Park, MD 20740-6001; email at ogis@nara.gov; telephone at 1-202-741-5770; toll free at 1-877-684-6448; or fax at 1-202-741-5769.

Sincerely,

Digitally signed by NICHOLAS CORMIER Date: 2022.11.23 10:34:51 -05'00'

Nicholas J. Cormier Deputy Program Director Office of Privacy and Open Government

Enclosure(s): As stated

U.S. DEPARTMENT OF COMMERCE

Manual of Security Policies and Procedures



OFFICE OF SECURITY OFFICE OF ADMINISTRATION OFFICE OF THE SECRETARY

Washington, D.C. December 2012



Manual of Security Policies and Procedures Table of Contents

SECTION I. SECURITY ADMINISTRATION Chapter 1. Security Authorities and Application

- **1.1.** Purpose
- **1.2.** Authority
- **1.3.** Delegation of Authority
- **1.4.** Status and Applicability of the Security Manual
- **1.5.** Issuance of the Security Manual
- **1.6.** Interpretation
- **1.7.** Supplementary Requirements and Guidance
- **1.8.** Requests for Exception to Policy or Procedure
- **1.9.** Effect on Other Orders

Chapter 2. Security Responsibilities

- **2.1.** Security Planning
- **2.2.** Roles and Responsibilities
- **2.3.** Administrative and Judicial Action
- **2.4.** After-Hours Security Checks and Self-Inspections

Chapter 3. Security Awareness and Education

- **3.1.** Security Awareness and Education
- **3.2.** Security Briefings
- **3.3.** Security Checklist

Chapter 4. Operations Security

- **4.1.** Definition
- **4.2.** OPSEC Threat Assessment
- **4.3.** OPSEC Review Process
- **4.4.** Your Personal Responsibility

Chapter 5. Security Inspections and Assistance

- 5.1. Purpose
- **5.2.** Inspection Procedures and Frequency of Compliance Reviews
- **5.3.** Coverage of Compliance Inspections
- 5.4. Self Inspection

Chapter 6. Incident Reporting

- **6.1.** Incident Reporting System
- **6.2.** Reporting Procedures
- 6.3. Initial Inquiries to Support Reporting Procedures

Chapter 7. Occupant Emergency Plans and Procedures

7.1. Emergency Planning



- 7.2. Responsibilities
- 7.3. Plan Development
- 7.4. Components of the Plan
- 7.5. Review of Occupant Emergency Plans
- 7.6. Occupant Emergency Organization
- **7.7.** Evacuation Procedures
- 7.8. Shelter-In-Place Procedures
- 7.9. Lockdown Procedures
- 7.10. Drills
- 7.11. Actual Emergency Events or False Alarms
- 7.12. Emergency Response Assistance
- 7.13. Bomb Threats
- **7.14.** Hazardous Materials
- **7.15.** Natural Disasters
- 7.16. Demonstrations and/or Civil Disturbances
- 7.17. Workplace Violence
- 7.18. Hostage Situations
- **7.19.** Reporting Suspicious Activities
- 7.20. Emergency Communication System
- 7.21. Occupant Emergency Plan Assessment Review Checklist
- 7.22. Occupant Emergency Plan (Abbreviated) GSA FORM 3415
- 7.23. Emergency Procedures for Persons with Special Needs
- **7.24.** Bomb Threat Checklist

Chapter 8. Foreign Travel

- **8.1.** Travel Security
- **8.2.** Conduct and Reporting Requirements
- **8.3.** Briefing and Debriefing

SECTION II PERSONNEL SECURITY

Chapter 9. Personnel Security Policies

- 9.1. Purpose
- **9.2.** Application
- **9.3.** Personnel Security Policies

Chapter 10. Position Designation

- 10.1. Position Risk and Sensitivity Designation
- **10.2.** Designation of Employee Positions
- **10.3.** Designation of Non-Employee Positions (Contractors)
- 10.4. Position Designation Code—Chart Summary

Chapter 11. Investigative Processing

- **11.1.** Security and Suitability Investigations
- **11.2.** Types of Security and Suitability Investigations
- **11.3.** Investigative Requirements for Applicants and Employees
- **11.4.** Investigative Requirements for Non-Federal Employees.



- **11.5.** Submitting Investigation Requests.
- **11.6.** Investigative Results

Chapter 12. Access to Classified National Security Information

- 12.1. Granting Access to Classified National Security Information
- **12.2.** Requesting a Security Clearance
- 12.3. Administrative Downgrade or Termination of Security Clearance
- **12.4.** Suspension and Revocation of Access Eligibility for Cause
- **12.5.** Access to Classified Information for Employees
- **12.6.** Access to Classified Information for Non-Employees
- **12.7.** Personnel Security Access Information

Chapter 13. Security Adjudication Criteria

- **13.1.** Adjudication Determinations
- **13.2.** National Security Determinations
- **13.3.** Criteria for Making National Security Determinations

Chapter 14. Suspension, Downgrade, Revocation, and Denial of Access to Classified National Security Information

- 14.1. Access to Classified National Security Information
- **14.2.** Derogatory Information
- **14.3.** Suspension of Access to NSI
- 14.4. Procedures to Revoke, Downgrade, or Deny Eligibility for Access
- **14.5.** Request for Security and Investigative Files
- **14.6.** Request to Review Proposed Revocation of Access
- **14.7.** Appeal to the Access Review Panel (ARP)
- 14.8. Review by the Secretary of Commerce
- **14.9.** Follow-up and Corrective Action
- 14.10. Safeguarding NSI
- 14.11. Exceptions
- **14.12.** Reemployment of Terminated Employees

Chapter 15. Special Access

- **15.1.** Special Access Programs
- 15.2. Special Access Program Policies
- 15.3. Special Access Program Interagency Agreement
- **15.4.** Sensitive Compartmented Information (SCI)
- 15.5. Conditions for Special Access to SCI
- **15.6.** Request for Special Access to SCI
- 15.7. SCI Security Education
- **15.8.** Travel of Employees with SCI Access
- **15.9.** Accreditation of Sensitive Compartmented Information Facilities
- 15.10. North Atlantic Treaty Organization (NATO) Security Clearance
- **15.11.** Department of Energy (DOE) "Q" and "L" Security Clearances
- 15.12. Cryptographic Clearance
- **15.13.** Certification of Special Access



SECTION III. CLASSIFIED NATIONAL SECURITY INFORMATION Chapter 16. Classified National Security Information Policies

- **16.1.** Purpose
- **16.2.** Application
- **16.3.** Classified National Security Information Policies
- **16.4.** Statutory Requirements
- **16.5.** Procedural Exemptions
- **16.6.** Reporting Requirements

Chapter 17. Security Classification

- **17.1.** Classification Principles
- **17.2.** Original Classification Standards
- **17.3.** Classification Levels
- **17.4.** Classification Authority
- **17.5.** Classification Categories
- **17.6.** Duration of Classification under E.O. 13526
- **17.7.** Tentative Classification
- **17.8.** Limitations on Classifying Information
- 17.9. Classification Challenges
- 17.10. Derivative Classification
- 17.11. Policy on Transfer of Scientific, Technical, and Engineering Information
- 17.12. Development and Use of the Department of Commerce Classification Guide
- 17.13. Sample Department of Commerce Classification Guide

Chapter 18. Declassification and Downgrading

- **18.1.** Declassification
- 18.2. Downgrading
- 18.3. Transferred Information
- **18.4.** Automatic Declassification
- **18.5.** Equity Referrals
- **18.6.** Declassification Guides
- **18.7.** Systematic Declassification Review
- **18.8.** Mandatory Declassification Review
- 18.9. Processing Requests and Reviews

Chapter 19. Marking of Classified National Security Information

- **19.1.** Marking Standards
- **19.2.** Marking of Classification Level
- **19.3.** Original Classification Primary Markings
- **19.4.** Duration of Classification
- **19.5.** Derivative Classification Markings
- **19.6.** Downgrading
- **19.7.** Changes in Classification Markings
- **19.8.** Transmittal Documents
- **19.9.** Other Markings
- 19.10. Telegrams and Cables



- 19.11. Files, Folders, and Binders
- **19.12.** Other Materials

Chapter 20. Access and Dissemination of Classified National Security Information

- **20.1.** Access to Classified National Security Information (NSI)
- **20.2.** Termination of Access
- 20.3. Restrictions
- **20.4.** Certification of Security Clearance
- **20.5.** Access by Historical Researchers and Former Presidential Appointees
- 20.6. Access by Foreign Governments, International Organizations, and Non-U.S. Citizens
- **20.7.** Dissemination of Department NSI
- **20.8.** Dissemination of Other Agency Information
- **20.9.** Dissemination Outside the Executive Branch
- 20.10. Dissemination Outside the Federal Government
- **20.11.** Dissemination of Restricted and Formerly Restricted Data

Chapter 21. Transmission of Classified National Security Information

- **21.1.** Transmittal Outside Departmental Facilities
- **21.2.** Transmittal Within Departmental Facilities
- **21.3.** Receipt of Classified National Security Information
- **21.4.** Methods of Transmission
- **21.5.** Hand-Carrying Classified National Security Information
- **21.6.** Designation of Couriers
- **21.7.** Courier Authorization Card

Chapter 22. Custody and Accountability of Classified National Security Information

- 22.1. Custody of Classified National Security Information
- **22.2.** Custody During Emergencies
- 22.3. Relocating Containers Housing Classified National Security Information
- 22.4. Accountability of Classified National Security Information
- **22.5.** Annual Inventory and Disposal of Classified Holdings
- **22.6.** Working Papers
- 22.7. Destruction of Classified Material
- **22.8.** End-of-Day Security Check
- **22.9.** Copier Security
- **22.10.** Mail Processing Facilities

Chapter 23. Storage of Classified National Security Information

- **23.1.** Protecting Classified National Security Information
- **23.2.** Storage Standards
- 23.3. Storage of Top Secret Information
- **23.4.** Storage of Secret and Confidential Information
- **23.5.** Classified Combinations
- **23.6.** Open-Closed Signs
- **23.7.** Security Container Check Sheet
- **23.8.** Surplus Security Containers



Chapter 24. Security Compromises, Violations, and Sanctions

- **24.1.** Protecting Classified National Security Information
- **24.2.** Applicable Definitions
- 24.3. Compromise of Classified National Security Information
- 24.4. Violations Subject to Administrative Sanctions
- 24.5. Administrative Sanctions for Security Violations
- **24.6.** Criminal Sanctions
- 24.7. Records of Security Violation and Performance Rating
- 24.8. Reporting Security Violations
- **24.9.** Preliminary Security Inquiries
- 24.10. Report of Security Violation
- **24.11.** Security Investigations
- 24.12. Damage Assessment
- 24.13. Security Violations Involving Other Agencies

Chapter 25. Safeguarding North Atlantic Treaty Organization Information

- **25.1.** Purpose
- **25.2.** NATO Classified Information
- **25.3.** Other NATO Information
- **25.4.** Commerce NATO Sub-registry
- **25.5.** Security Clearance Requirements for NATO
- **25.6.** NATO Briefing and Debriefing
- **25.7.** Storage, Transfer, and Destruction of NATO Documents
- 25.8. Additional NATO Security Guidance and NATO Marking

Chapter 26. Foreign Government Information

- **26.1.** Classification
- **26.2.** Duration of Classification
- **26.3.** Declassification
- **26.4.** Marking

Chapter 27. Communications Security

- **27.1.** Communications Security Measures
- **27.2.** COMSEC Requirements
- 27.3. COMSEC Roles and Responsibilities
- **27.4.** COMSEC Inventories
- 27.5. Reporting COMSEC Security Violations

Chapter 28. Classified Information Systems

- **28.1.** IT Security Requirements
- **28.2.** Periodic Review of Systems
- **28.3.** Roles and Responsibilities

SECTION IV. PHYSICAL SECURITY

Chapter 29. Physical Security Program



- **29.1.** Purpose
- **29.2.** Application
- **29.3.** Physical Security Policies
- **29.4.** Physical Security Planning
- **29.5.** Facility Protection
- **29.6.** Planning Facility Protection
- **29.7.** Design Factors
- 29.8. Anti-Terrorism Risk Assessments (ATRA) and Physical Security Inspections

Chapter 30. Facility Protection

- **30.1.** Perimeter Security Measures
- **30.2.** Fencing
- **30.3.** Gates
- **30.4.** Protective Lighting
- **30.5.** Perimeter Intrusion Detection
- **30.6.** Doors
- **30.7.** Windows
- **30.8.** Manholes, Grates, and Storm Drains
- **30.9.** Roof Openings
- 30.10. Shafts, Vents, and Ducts
- **30.11.** Fire Escapes and Building Walls
- **30.12.** Facilities in Remote Locations
- **30.13.** Signage
- **30.14.** Interior Security Controls
- **30.15.** Area Designations
- **30.16.** Challenge Authority
- **30.17.** Property Control
- **30.18.** Intrusion Detection Systems
- **30.19.** Security Vaults
- 30.20. Strongrooms
- **30.21.** Facilities Security Checklist
- **30.22.** Identification for Admittance to Facilities
- **30.23.** Forms of Identification
- **30.24.** Procedures for Issuance and Renewal
- **30.25.** Admittance to Departmental Facilities
- 30.26. Facility Security Level Determinations For Federal Facilities

Chapter 31. Locks and Keys

- **31.1.** Security Requirements
- **31.2.** Types of Devices
- **31.3.** Changing a Combination
- **31.4.** Keys

Chapter 32. Security Force Services

- **32.1.** Determining the Need
- **32.2.** Typical Security Force Duties
- 32.3. Jurisdiction



- **32.4.** Federal Protective Services
- **32.5.** Responsibility by Facility Type
- 32.6. Contracting for Security Services
- 32.7. Security Force Standard Operating Procedures
- **32.8.** Security Force Management

Chapter 33. Security Storage Equipment

- 33.1. Physical Protection and Storage of Materials
- **33.2.** Security Containers
- **33.3.** Destruction Equipment

Chapter 34. Shipboard and Aircraft Security

- **34.1.** Shipboard Security
- 34.2. Shipboard Security Responsibilities
- **34.3.** Shipboard Security Program
- 34.4. Active Vessels
- **34.5.** Inactive Vessels
- 34.6. Aircraft Security
- 34.7. Aircraft Security Responsibilities
- **34.8.** Aircraft Security Programs
- **34.9.** Aircraft Security

SECTION V. OTHER SECURITY ACTIVITIES AND FUNCTIONS Chapter 35. Sensitive and Administratively Controlled Unclassified Information

- **35.1.** Purpose
- **35.2.** Authority
- **35.3.** Application
- **35.4.** Roles and Responsibilities
- **35.5.** "For Official Use Only" Information
- **35.6.** Protection of Other Sensitive Information
- **35.7.** IT Security-Related Material
- **35.8.** Foreign Relations and Foreign Affairs Information
- **35.9.** "Sensitive But Unclassified" Information
- 35.10. "Limited Official Use" Information

Chapter 36. Threats to Departmental Personnel, Assets, and Activities

- **36.1.** Purpose
- 36.2. Authority
- **36.3.** Mission-Critical Threats
- **36.4.** Investigative Functions
- **36.5.** Intelligence Functions
- **36.6.** Collaboration
- **36.7.** Policy Support
- **36.8.** Application
- **36.9.** Personnel Responsibilities



Chapter 37. Industrial Security

- 37.1. Purpose
- **37.2.** Applicability
- **37.3.** Authority
- 37.4. References
- **37.5.** Policy
- **37.6.** Determination of Facility Clearance and Personnel Clearance of Contractors
- 37.7. Responsibilities

Chapter 38. Overseas Security

- **38.1.** Overview
- **38.2.** Primary Responsibilities
- **38.3.** Policies and Procedures
- **38.4.** Mandatory References for Overseas Security
- **38.5.** Armored Vehicle Program

Chapter 39. Phased Facility Security Program Handbook

- **39.1.** Background
- **39.2.** Phased Security Program Development
- **39.3.** ISC FSL for Federal Facilities
- **39.4.** ISC Physical Security Criteria for Federal Facilities
- **39.5.** ISC/DOC Level (I) Building
- **39.6.** ISC/DOC Level (II) Building
- **39.7.** ISC/DOC Level (III) Building
- **39.8.** ISC/DOC Level (IV) Building

Chapter 40. Risk Management Process

- **40.1.** Program Objectives
- **40.2.** Authority
- 40.3. Methodology
- **40.4.** Minimum Security Standards
- **40.5.** Facility Security Levels
- **40.6.** Departmental Assets and Facilities Housed on US Department of Defense Installations
- **40.7.** Departmental Facilities Overseas in Non-Collocated U.S. Department of State Posts
- **40.8.** New Construction and Pre-lease
- 40.9. Unscheduled Facility Security Assessments
- **40.10.** FSA Process
- **40.11.** Training
- **40.12.** Records

SECTION VI. GLOSSARY Acronyms Security Terms and Definitions