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Description of document:

Office of Science and Technology Policy (OSTP) Meeting minutes for the Interagency Working Group for Detecting and Mitigating the Impact of Earth-Bound Near-Earth Objects (DAMIEN), 2016-2017

Requested date:

Release date:

Posted date:

Source of document:

Office of Science and Technology Policy Attn: FOIA Officer 1650 Pennsylvania Ave, NW Washington, D.C., 20504 Phone: (202) 456-4444 Fax: (202) 395-1224 Email: <u>ostpfoia@ostp.eop.gov</u> (No email attachments)

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EXECUTIVE OFFICE OF THE PRESIDENT OFFICE OF SCIENCE AND TECHNOLOGY POLICY WASHINGTON, D.C. 20502

January 18, 2018

Re: OSTP-FOIA-17-128

This letter responds to a Freedom of Information Act (FOIA) request submitted to the Office of Science and Technology Policy (OSTP) on September 4, 2017.¹ OSTP received this request on September 14, 2017. Specifically, the request sought "a copy of the meeting minutes" for the Interagency Working Group for Detecting and Mitigating the Impact of Earth-bound Near-Earth Objects (DAMIEN), a working group under the National Science and Technology Council (NSTC) Committee for Homeland and National Security (CHNS). The request also sought to implement certain search parameters, which would limit the scope of the request to records created "during the time period calendar year 2015, 2016, and 2017 to date."

Following receipt of this request, OSTP performed a search of its files and located 6 documents totaling 26 pages. After reviewing the documents, OSTP determined that the information contained in the documents should be released in part with redactions taken pursuant to Exemption 5 of the FOIA.² Attached please find a copy of the responsive records. Below is an explanation of the exemptions claimed and their application to the records subject to this request.

Exemption Five

Exemption Five of the FOIA permits the withholding of "inter-agency or intra-agency memorandums or letters which would not be available by law to a party other than an agency in litigation with the agency."³ Accordingly, Exemption Five traditionally incorporates the civil discovery privileges: the deliberative process privilege, the attorney-client privilege, and the attorney work produce privilege, among others.⁴ In this instance, the information being withheld under Exemption Five is protected from disclosure by the deliberative process privilege.

In order to qualify for the deliberative process privilege, the information must meet three requirements. First, it must be internal to the executive branch agencies.⁵ Second, the

¹ 5 U.S.C. § 552.

² 5 U.S.C. § 552(b)(5).

³ *Id.*

⁴ U.S. v. Weber Aircraft Corp., 465 U.S. 792, 800 (1984).

⁵ See 5 U.S.C. § 552(b)(5).

information must be pre-decisional, meaning it was prepared prior to an agency decision,⁶ Third, it must constitute a direct part of the deliberative process by offering recommendations or expressing opinions on legal or policy matters.⁷ Furthermore, while factual information is not usually considered deliberative, when the "facts themselves reflect the agency's deliberative process" it may also qualify for withholding.⁸ Such a situation exists in cases where agency personnel "exercis[e] their judgment" in compiling factual summaries and use discretion to differentiate between significant and non-significant facts.⁹ In this case, the information being withheld includes meeting minutes and summaries of meetings held by an interagency working group and contains suggestions, opinions, and recommendations on proposed policy initiatives and strategies. These records meet the requirements of Exemption Five in that, first, the information was internal to executive branch agencies and their contractors. Second, that the records refer to policy options and strategies for their implementation demonstrate that they were pre-decisional. Finally, because the meeting minutes and summaries were replete with agency personnel's opinions and recommendations on issues facing the working group the records are also deliberative. Release of this information would chill internal debate and inhibit the free-flow of ideas among federal employees, thereby violating the interests protected by Exemption Five.¹⁰

Accordingly, this completes the processing of the request and OSTP now considers the request closed. Pursuant to the FOIA and OSTP regulations, a requester may submit a written appeal contesting any adverse determination.¹¹ Any appeal related to the processing of this request must either be sent: 1) via e-mail to OSTPFOIA@ostp.eop.gov; or 2) by mail to Chief FOIA Officer, Office of Science and Technology Policy, Eisenhower Executive Office Building, 1650 Pennsylvania Ave., NW., Washington, DC 20504.¹² In the appeal letter, please specify OSTP Control No. 17-128, "the records requested, and the basis for the appeal."¹³Any appeal must be sent to the above listed addresses no later than ninety (90) calendar days of the date of this letter.¹⁴

Requesters also have the right to seek dispute resolution services from OSTP's FOIA Public Liaison or the Office of Government Information Services (OGIS). To employ these services, please contact Andrew Mendoza via telephone at (202) 456-4444 or by way of e-mail at OSTPFOIA@ostp.eop.gov. To contact OGIS, please use any of the following means:

Office of Government Information Services National Archives and Records Administration 8601 Adelphia Road-OGIS College Park, MD 20740-6001

⁶ Mapother v. Dep't of Justice, 3 F.3d 1533, 1537 (D.C. Cir. 1993); Schell v. Health and Human Serv. 's, 843 F.2d 933, 941 (6th Cir. 1988).

⁷ Access Reports v. Dep't of Justice, 926 F.2d 1192, 1196 (D.C. Cir. 1991).

⁸ Am. Whitewater Affiliation v. FERC, 1986 U.S. Dist. LEXIS 17067 (D.D.C. Dec. 1, 1986) (citing Skelton v. U.S. Postal Serv., 678 F.2d 35, 38-39 (5th Cir. 1992)); see also Mapother, 3 F.3d at 1538.

⁹ Montrose Chemical Corp. of California v. Train, 491 v. F.2d 63, 67-68 (D.C. Cir. 1974).

¹⁰ Russell v. Dep't of the Air Force, 682 F.2d 1045, 1048 (D.C. Cir. 1982).

¹¹ 5 U.S.C. § 552(a)(6)(A)(i)(III)(aa); 32 C.F.R. § 2402.7(a).

¹² 32 C.F.R. § 2402.7(b).

¹³ Id.

¹⁴ 5 U.S.C. § 552(a)(6)(A)(i)(III)(aa).

E-mail: ogis@nara.gov Telephone: (202) 741-5770 Fax: (202) 741-5769 Toll-free: 1 (877) 684-6448

Finally, for fee purposes this request was classified as "non-commercial."¹⁵ As such, fees are assessed for search time exceeding two hours and duplication costs beyond the first 100 pages of copies or its equivalent.¹⁶ No search or duplication fees, however, may be charged "if the agency has failed to comply with any time limit" associated with responding to a request.¹⁷ Accordingly, no fees are due for the processing of this request.

If you have any questions, please do not hesitate to contact me via telephone or by way of e-mail.

Sincerely,

fil-J. eps

Andrew G. Mendoza Legal Counsel and Policy Advisor

¹⁵ 32 C.F.R. § 2402.8(b)(1)(iii).

¹⁶ Id. at § 2402.8(b)(1)(iii), (3).

¹⁷ 5 U.S.C. § 552(a)(4)(A)(viii)(I).

March 17, 2016 DAMIEN Kick-off Meeting

In room:

- James Applegate (USGS)
- Tom Breslin (FEMA)
- Amber Charlesworth (State)
- Tod Companion (DHS)
- Kevin Conole (NASA)
- Ben Corbin (STPI)
- Alvin Drew (OSTP)
- Mark Evetts
- Gerald Fraser (NIST)
- Victoria Friedensen (NASA)
- Alistair Funge (SATCOM)
- Ralph Gaume
- Robert Hanrahan (NNSA)
- David Hoppler (State)
- Dana Johnson (State)
- Lindley Johnson (NASA)
- Bhavya Lal (STPI)
- Leviticus Lewis (FEMA)
- Celinda Marsh (OMB)
- Mark Mulholland (NOAA)
- Timothy Newman (USGS)
- Alyssa Picard (STPI)
- Vaughn Standley (NNSA)
- David Turner (State)

On phone:

- Barbara Jennings (DOE, Sandia)
- Jay Falker (NASA)
- Paul Miller (NNSA, LLNL)
- Lindsey Millard (DARPA)

2:04pm start Alvin Drew:







2:45pm Bhavya Lal



3:05pm Ben Corbin discusses scenarios









David Applegate: What are the expectations for the working group—would it be in DC, how often?

Alvin Drew: we could do emails, teleconferences...we'll accommodate people who are not in DC.





DAMIEN-IWG Kickoff Meeting

March 17 2016, 2:00pm to 4:00 p.m., EOP

Attendees

Commerce/NOAA	Mark Mulholland
Interior/USGS	David (James) Applegate
Interior/USGS	Timothy R. Newman
DHS	Tod Companion
DHS/FEMA	Thomas M. Breslin
DHS/FEMA	Leviticus (L.A.) Lewis
DHS/NOC	Mark Evetts
DOD	Alistair D. Funge
DOD/DARPA	Lindsay Millard
DOE/LLNL	Paul Miller
DOE/NNSA	Robert Hanrahan
DOE/NNSA	Vaughn Standley
DOE/Sandia	Barbara Jennings
EOP/OSTP	Alvin Drew
EOP/OMB	Celinda A. Marsh
NASA	Kevin C. Conole
NASA	John (Jay) Falker
NASA	Victoria P. Friedensen
NASA	Lindley N Johnson
NIST	Gerald Fraser
NSF	Ralph Gaume
State	Amber Charlesworth
State	David Hoppler
State	Dana Johnson
State	David
STPI	Benjamin Corbin
STPI	Bhavya Lal
STPI	Alyssa Picard

Agenda

- Alvin Drew: Group overview and goals •
- Lindley Johnson: (b) (5) ٠
- (b) (5) Bhavya Lal: Introduction of working group Ben Corbin: (b) (5) Open for discussion ٠
- .
- .

Alvin Drew: Group Purpose and Goals





Bhavya Lal: introduces working group and purpose





Open for discussion



The meeting concludes with a discussion of possible next steps.

Alvin Drew identifies the following action items for all participants:

--In preparation for more regular meetings of working sub-groups, agency representatives should **identify subject matter experts** they want involved, and ensure that those individuals know their agencies concerns. Meetings of these groups should be moving ahead within the quarter. These meetings could include teleconferences or other accommodations in order to include individuals not in DC. Names of the

subject matter experts should be given to Alvin Drew.



Action Items:

Participants should identify subject matter experts they want involved in the smaller working groups moving forward, and provide their names and contact information to Alvin Drew.

May 12, 2016

Lindley Johnson, NASA Kelly Fast

Bhavya Lal Ben Corbin Alyssa Picard

Todd Bridges, Air Force Paul Miller, LLNL, NNSA Yousaf Butt, State/OES SAT Dave Turner, State Alistair Funge, USSTRATCOM Alvin Drew, OSTP LA Lewis, FEMA/DHSV Vaughn Standley, DOE

Alvin Drew: introduction, goes over deadlines (b) (5)











DAMIEN Meeting 6/23/16

- See sign-in list for in person attendees
- Phone attendees:
 - o Bhavya Lal, Ben Corbin, Alyssa Picard, Chris Clavin (STPI)
 - o Ken Hodgkins (State)
 - o Vaughn Stanley (NNSA)
 - o David Applegate

Goal of meeting: (b) (5)







Fred Kennedy, OSTP Mark O'Holland, NOAA David Hoppler, State Alvin Drew, NASA Ben Corbin, STPI Bhavya Lal, STPI Victoria Friedensen, NASA Lindley Johnson, NASA Celinda Marsh, OMB Paul Miller, LLNL Bill Webster, JPL/on assignment to ODNI John S, DOD Jim Al, NSF Kevin H, Kelly Fast NASA Melissa, Presidential Management Fellow ? Alistair Funge, DOD Jim Put, NRO ? ?

On phone: Gerald ? Weisman NOAA LA Lewis FEMA Meredith OSTP Ken Hodgkins Amber Charlesworth



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MEETING SUMMARY: Interagency Working Group for Detecting and Mitigating the Impacts of Earth-bound Near-Earth Objects (DAMIEN)

The third meeting of principals for the Interagency Working Group (IWG) on Detecting and Mitigating the Impacts of Earth-bound Near-Earth Objects (DAMIEN) convened at 2:00 pm on September 13, 2017 at the White House Conference Center, Washington, DC.

The IWG co-chairs Lindley Johnson of NASA, and Aaron Miles of OSTP, welcomed the IWG. Both expressed appreciation for the IWG members who have continued to support DAMIEN and welcomed new members. DAMIEN's National Near-Earth Object Preparedness Strategy (hereafter 'the Strategy') was well received after its publication in December 2016.



PREDECISIONAL: FOR INTERNAL GOVERNMENT REVIEW ONLY

(b) (5)	
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Respectfully Submitted, Victoria P. Friedensen DAMIEN Executive Secretary

PREDECISIONAL: FOR INTERNAL GOVERNMENT REVIEW ONLY