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Description of document: List of Export Import Bank of the United States (Ex-Im) Inspector General (OIG) Investigations Closed in 2021 and 2022

Requested date: 30-December-2022

Release date: 01-February-2022

Posted date: 17-July-2023

Source of document: The Freedom of Information and Privacy Office  
Inspector General  
811 Vermont Ave., N.W.  
Washington, D.C. 20571  
Email: [foia@exim.gov](mailto:foia@exim.gov)  
[Ex-Im Bank FOIA Request Form](#)

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Via email

February 1, 2023

**Re: FOIA Request # 202300017F**

This letter serves as the response to your Freedom of Information Act (FOIA) request to the Export-Import Bank of the United States (EXIM Bank), which you filed on December 20, 2022, and which was acknowledged by the EXIM Bank FOIA Liaison on December 27, 2022.

You requested “a copy of the listing of Office of Inspector General investigations (not audits) closed during calendar years 2021 and 2022, to include fields such as the case number, the date the investigation was closed, the title or subject of the investigation, the category or type of investigation, etc. IF no such listing exists or can be produced from the computer database, then I instead request a copy of the title page and/or cover page for each OIG investigation closed during CY 2021 and 2022.”

The records maintained by the Office of Inspector General produced the enclosed listing responsive to your request. A redaction is noted with the corresponding FOIA exemptions:

**FOIA Exemption 6** exempts from disclosure personnel or medical files and similar files the release of which would cause a clearly unwarranted invasion of personal privacy.

**FOIA Exemption 7(C)** protects records or information compiled for law enforcement purposes that could reasonably be expected to constitute an unwarranted invasion of personal privacy. This exemption takes particular note of the strong interests of individuals, whether they are suspects, witnesses, or investigators, in not being unwarrantably associated with alleged criminal activity. That interest extends to persons who are not only the subjects of the investigation, but those who may have their privacy invaded by having their identities and information about them revealed in connection with an investigation. Based upon the traditional recognition of strong privacy interest in law enforcement records, categorical withholding of information that identifies third parties in law enforcement records is ordinarily appropriate.

Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. §552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all requesters and should not be taken as an indication that excluded records do, or do not, exist.

If you are not satisfied with this determination in response to this request, you have the right to seek assistance from the agency FOIA Public Liaison who can be reached at (202) 565-3290. Additionally,



*Office of Inspector General*

you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at [ogis@nara.gov](mailto:ogis@nara.gov); telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

Further, you may administratively appeal by writing to the Export-Import Bank of the United States, Attention: Assistant General Counsel for Administration, 811 Vermont Avenue, N.W., Washington, DC 20571. Any appeal must be received by that office within 90 days from the date of this response to your request. The phrase "FOIA APPEAL" should appear on the letter and on the outside of the envelope containing the appeal. You may also submit an appeal by E-mail at [FOIA@EXIM.GOV](mailto:FOIA@EXIM.GOV). If sending via e-mail, please put "FOIA APPEAL" in the subject line of your e-mail.

If you have any questions about this response, please contact me at (202) 281-7925 or by email at [Joshua.Epstein@exim.gov](mailto:Joshua.Epstein@exim.gov).

Sincerely,

JOSHUA  
EPSTEIN

Digitally signed  
by JOSHUA  
EPSTEIN  
Date: 2023.02.01  
15:49:23 -05'00'

Joshua Epstein  
Senior Counsel

## EXIM OIG Closed Investigations during Calendar Years 2021 and 2022

Number	Title	Date Closed	Category
17-0009-C	Financialbridge Incorporated	1/27/2021	Fraud/Theft > Other > [None]
18-0008-C	Deoca Manufacturing Co.	3/31/2021	Fraud/Theft > Wire Fraud >
21-0008-C	Smith-Sherman, Gabriela	6/24/2021	Ethical Violation > 5 Cfr 2635.705 Use Of Official Time >
19-0011-C	New World Group	6/30/2021	Fraud/Theft > Wire Fraud >
19-0005-C	(b) (6), (b) (7)(C)	9/9/2021	Fraud/Theft > Wire Fraud >
21-0007-C	McArdle, Tom	9/20/2021	Ethical Violation > Other >
17-0006-C	Email Spoofing - Victim (b) (6), (b) (7)(C)	11/23/2021	Computer Crimes > Computer Intrusion - External > [None]
21-0004-C	Prime Potomac	12/8/2021	Fraud/Theft > Other >
21-0002-C	Andes Global Trading LLC, (et al.)	1/20/2022	Fraud/Theft > Wire Fraud >
16-0021-C	Sena Mining, LLC (et al.)	2/10/2022	Fraud/Theft > Other > [None]
21-0005-C	AMAG-France (et al.)	3/29/2022	Fraud/Theft > Wire Fraud >
22-0006-C	Cassona USA Inc. (et al.)	6/16/2022	Fraud/Theft > Wire Fraud >
20-0001-C	Algon Corporation, Inc.	6/30/2022	Fraud/Theft > Wire Fraud >
21-0006-C	CEF Holdings Limited (et al.)	6/30/2022	Fraud/Theft > Wire Fraud >
22-0004-C	Transportation Transactions	9/30/2022	Ethical Violation > 5 Cfr 2635.703 Use Of Nonpublic Information >
22-0007-C	Kinect Solar LLC, (et al.)	11/15/2022	Fraud/Theft > Other >
13-0011-C	JD Equipment (et al.)	12/7/2022	Fraud/Theft > Mail Fraud > [None]