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Description of document: List of Smithsonian Institution (SI) Inspector General (OIG) Investigations Closed in CY2021 and CY2022

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OFFICE OF THE INSPECTOR GENERAL



May 5, 2023

Via Email

This is our response to your request in which you asked for “a copy of the list of Investigations Closed by the Office of the Inspector General during Calendar Years 2021 and 2022.”

The Smithsonian Institution and its OIG are not subject to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, or the Privacy Act, 5 U.S.C. § 552a. *Dong v. Smithsonian Institution*, 125 F.3d 877 (D.C. Cir. 1997), *cert. denied*, 524 U.S. 922 (1998); *Requests for Smithsonian Institution Information*, Smithsonian Directive 807 (SD 807) (Feb. 4, 2009). Nevertheless, we provide information to the public in keeping with the Institution’s mandate to increase and diffuse knowledge. See 20 U.S.C. § 41 *et seq.* The OIG answers requests for documents consistent with SD 807, and it adheres to the principles of FOIA, the Privacy Act, and relevant caselaw. In addition, the OIG must comply with the requirements of the Inspector General Act of 1978, 5 U.S.C. §§ 401-424, which places restrictions on what information can be released. Finally, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c). This response is limited to those records that are subject to the requirements of the laws, policies, and principles listed above. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist

Accordingly, we are providing the attached 3 pages of responsive information.

You have a right to appeal a partial or full denial of your request. An appeal must be in writing, addressed to the Smithsonian Institution Office of the Inspector General, and made within 60 days from the date of this response letter. The request must explain your reason(s) for the appeal. The Smithsonian Inspector General will decide your appeal in writing specifying the reason(s) for the granting or denying of the appeal.

Sincerely,

A handwritten signature in black ink, appearing to read "Epin H. Christensen".

Epin H. Christensen
Counsel to the Inspector General

Smithsonian Institution
Office of the Inspector General
Closed Cases in Calendar Years 2021 and 2022

Closed Date	Case Number	Violation Category
1/5/2021	I20OIG00041	Abuse/misuse SI Personnel, Contract/Procurement Fraud
1/5/2021	C21OIG00025	Other
1/5/2021	C21OIG00027	Public Concern-Other
1/7/2021	C21OIG00029	Public Concern-Other
1/26/2021	P20OIG00140	Other Fraud
1/26/2021	C21OIG00031	Misuse of Funds, Public Concern-Other
1/26/2021	C21OIG00032	Public Concern-Other
1/26/2021	C21OIG00033	Public Concern-Other
1/27/2021	I19OIG00159	Time and Attendance Fraud
2/10/2021	C21OIG00026	Abuse/misuse SI Personnel, Gross Mismanagement, Personnel Issue, Public Concern-Safety/Health
2/11/2021	P21OIG00023	Contract/Procurement Fraud, Public Concern-Safety/Health, Violation of Standards of Conduct
2/11/2021	C21OIG00006	Other
2/11/2021	C21OIG00024	Other Fraud, Public Concern-Other
2/11/2021	C21OIG00034	Public Concern-Other, Violation of Standards of Conduct
2/16/2021	P20OIG00088	Abuse of Authority
2/24/2021	C21OIG00013	Not Applicable
2/25/2021	I21OIG00007	Abuse of Authority, Personnel Issue, Public Concern-Other, Violation of Standards of Conduct
2/25/2021	C21OIG00028	Other Fraud
3/4/2021	C21OIG00038	Not Applicable
3/8/2021	C21OIG00036	Public Concern-Other
3/22/2021	C21OIG00040	Abuse of Authority, Abuse/misuse SI Personnel, Abuse/misuse SI Property (e.g., computer, telephone, vehicle), Contract/Procurement Fraud
3/23/2021	I19OIG00259	Time and Attendance Fraud, Abuse/misuse SI Property (e.g., computer, telephone, vehicle)
3/23/2021	C21OIG00039	Other
4/30/2021	P21OIG00035	Violation of Standards of Conduct
4/30/2021	C21OIG00037	Abuse of Authority
5/13/2021	C21OIG00041	Abuse/misuse SI Personnel, Contract/Procurement Fraud, Personnel Issue, Public Concern-Safety/Health
5/20/2021	C21OIG00047	Not Applicable
6/8/2021	C21OIG00048	Contract/Procurement Fraud
6/9/2021	C21OIG00046	Other Fraud, Personnel Issue, Violation of Standards of Conduct
6/23/2021	C21OIG00042	Personnel Issue
7/26/2021	C21OIG00050	Contract/Procurement Fraud
8/2/2021	P21OIG00030	Abuse of Authority
8/2/2021	C21OIG00049	Gross Waste of Funds, Public Concern-Safety/Health
8/2/2021	C21OIG00057	Public Concern-Other
8/23/2021	C21OIG00052	Financial Fraud, Public Concern-Other
8/25/2021	P19OIG00273	Contract/Procurement Fraud, Public Concern-Other
9/29/2021	C21OIG00051	Abuse/misuse SI Property (e.g., computer, telephone, vehicle), Violation of Standards of Conduct
9/29/2021	C21OIG00055	Violation of Standards of Conduct
9/29/2021	C21OIG00063	Public Concern-Other
9/29/2021	C21OIG00068	Not Applicable
9/30/2021	I21OIG00004	Abuse of Authority, Contract/Procurement Fraud
9/30/2021	I21OIG00053	Public Concern
9/30/2021	C21OIG00066	Other
10/12/2021	C21OIG00065	Public Concern
10/26/2021	C22OIG00006	Public Concern

Smithsonian Institution
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Closed Date	Case Number	Violation Category
10/26/2021	C22OIG00007	Personnel Issue, Safety/Health Issue, Violation of Standards of Conduct
10/26/2021	C22OIG00008	Other
10/27/2021	C21OIG00056	Abuse of Authority, Abuse/misuse SI Personnel
10/28/2021	C21OIG00060	Contract/Procurement Fraud, Gross Mismanagement
11/5/2021	C21OIG00069	Other
11/9/2021	P21OIG00044	Contract/Procurement Fraud
1/7/2022	C22OIG00018	Not Applicable
1/19/2022	P19OIG00179	Abuse/misuse SI Personnel
1/19/2022	C22OIG00016	Personnel Issue
2/11/2022	C22OIG00015	Other
2/14/2022	C22OIG00023	Public Concern
3/7/2022	C22OIG00022	Personnel Issue
3/7/2022	C22OIG00026	Public Concern
3/7/2022	C22OIG00027	Public Concern
3/16/2022	C22OIG00024	Travel Fraud
3/17/2022	C22OIG00020	Other
3/17/2022	C22OIG00025	Contract/Procurement Fraud
3/21/2022	C22OIG00014	Violation of Standards of Conduct
3/31/2022	I19OIG00261	Contract/Procurement Fraud
3/31/2022	C22OIG00001	Misuse of Funds
4/28/2022	C22OIG00035	Not Applicable
5/5/2022	C22OIG00033	Personnel Issue
5/5/2022	C22OIG00042	Not Applicable
5/5/2022	C22OIG00043	Not Applicable
5/5/2022	C22OIG00049	Not Applicable
5/12/2022	C22OIG00037	Public Concern
5/12/2022	C22OIG00048	Not Applicable
5/16/2022	C22OIG00029	Misuse of Funds
5/16/2022	C22OIG00052	Not Applicable
5/16/2022	C22OIG00053	Other
5/16/2022	C22OIG00054	Other
5/16/2022	C22OIG00055	Not Applicable
5/18/2022	C22OIG00012	Contract/Procurement Fraud, Safety/Health Issue
5/18/2022	C22OIG00030	Personnel Issue
5/18/2022	C22OIG00051	Public Concern
5/19/2022	C22OIG00056	Not Applicable
5/19/2022	C22OIG00059	Not Applicable
5/19/2022	C22OIG00060	Not Applicable
5/19/2022	C22OIG00061	Not Applicable, Other
5/20/2022	C22OIG00062	Not Applicable
5/20/2022	C22OIG00064	Not Applicable
5/20/2022	C22OIG00065	Not Applicable
5/20/2022	C22OIG00066	Not Applicable
6/14/2022	I21OIG00058	Other, Violation of Standards of Conduct
6/14/2022	C22OIG00013	Time and Attendance Fraud
6/14/2022	C22OIG00050	Safety/Health Issue
6/14/2022	C22OIG00057	Other
6/14/2022	C22OIG00063	Not Applicable
6/14/2022	C22OIG00071	Not Applicable
7/8/2022	C22OIG00047	Not Applicable
7/8/2022	C22OIG00070	Public Concern
7/8/2022	C22OIG00073	Not Applicable
7/8/2022	C22OIG00074	Public Concern
7/8/2022	C22OIG00075	Not Applicable

Smithsonian Institution
Office of the Inspector General
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Closed Date	Case Number	Violation Category
7/8/2022	C22OIG00077	Not Applicable
7/8/2022	C22OIG00078	Not Applicable
7/8/2022	C22OIG00079	Misuse of Funds
7/8/2022	C22OIG00080	Public Concern
7/14/2022	18-015	Migrated Case
8/5/2022	C22OIG00019	Other, Personnel Issue, Violation of Standards of Conduct
8/5/2022	C22OIG00081	Not Applicable
8/5/2022	C22OIG00083	Not Applicable, Other
8/5/2022	C22OIG00084	Not Applicable, Other
8/15/2022	C22OIG00032	Time and Attendance Fraud, Violation of Standards of Conduct
8/15/2022	C22OIG00067	Personnel Issue
8/16/2022	C22OIG00086	Public Concern
8/31/2022	I22OIG00034	Theft-Cash or Resources
9/8/2022	C22OIG00087	Public Concern
9/8/2022	C22OIG00088	Public Concern
9/27/2022	C22OIG00041	Not Applicable
9/27/2022	C22OIG00045	Not Applicable
9/27/2022	C22OIG00046	Not Applicable
9/27/2022	C22OIG00072	Not Applicable
9/27/2022	C22OIG00089	Not Applicable
9/27/2022	C22OIG00090	Not Applicable
9/27/2022	C22OIG00092	Not Applicable
9/27/2022	C22OIG00093	Not Applicable
9/29/2022	I20OIG00152	Abuse of Authority
9/29/2022	I21OIG00064	Violation of Standards of Conduct
9/29/2022	C22OIG00095	Not Applicable
9/29/2022	C22OIG00096	Not Applicable
9/29/2022	C22OIG00097	Not Applicable
9/29/2022	C22OIG00098	Not Applicable
9/29/2022	C22OIG00100	Not Applicable
9/29/2022	C22OIG00101	Not Applicable
9/29/2022	C22OIG00102	Not Applicable
9/29/2022	C22OIG00103	Not Applicable
9/29/2022	C22OIG00105	Public Concern
9/29/2022	C22OIG00107	Not Applicable
9/29/2022	C22OIG00108	Not Applicable
9/29/2022	C22OIG00109	Not Applicable
9/29/2022	C22OIG00110	Not Applicable
9/29/2022	C22OIG00111	Not Applicable
9/29/2022	C22OIG00112	Not Applicable
9/29/2022	C22OIG00113	Not Applicable
9/29/2022	C22OIG00115	Not Applicable
9/29/2022	C22OIG00116	Personnel Issue
10/4/2022	C22OIG00114	Not Applicable
10/19/2022	C23OIG00011	Not Applicable
11/2/2022	C23OIG00001	Not Applicable
11/2/2022	C23OIG00016	Not Applicable
11/2/2022	C23OIG00017	Not Applicable
11/2/2022	C23OIG00020	Not Applicable
11/29/2022	C23OIG00003	Other