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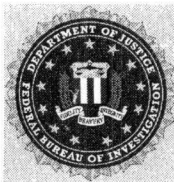
Description of document: Meeting minutes of the Integrity Committee, Council of the Inspectors General for Integrity and Efficiency (CIGIE), 2008-2011

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Source of document: Federal Bureau of Investigation
Attn: FOI/PA Request
Record/Information Dissemination Section
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Federal Bureau of Investigation
Washington, D.C. 20535

December 14, 2012

Subject: 1188358-000

FOIPA No. INTEGRITY COMMITTEE MEETING
MINUTES (2008-2011)

The enclosed documents were reviewed under the Freedom of Information/Privacy Acts (FOIPA), Title 5, United States Code, Section 552/552a. Deletions have been made to protect information which is exempt from disclosure, with the appropriate exemptions noted on the page next to the excision. In addition, a deleted page information sheet was inserted in the file to indicate where pages were withheld entirely. The exemptions used to withhold information are marked below and explained on the enclosed Explanation of Exemptions:

Section 552		Section 552a
<input type="checkbox"/> (b)(1)	<input type="checkbox"/> (b)(7)(A)	<input type="checkbox"/> (d)(5)
<input type="checkbox"/> (b)(2)	<input type="checkbox"/> (b)(7)(B)	<input type="checkbox"/> (j)(2)
<input type="checkbox"/> (b)(3)	<input checked="" type="checkbox"/> (b)(7)(C)	<input type="checkbox"/> (k)(1)
_____	<input type="checkbox"/> (b)(7)(D)	<input type="checkbox"/> (k)(2)
_____	<input checked="" type="checkbox"/> (b)(7)(E)	<input type="checkbox"/> (k)(3)
_____	<input type="checkbox"/> (b)(7)(F)	<input type="checkbox"/> (k)(4)
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<input checked="" type="checkbox"/> (b)(6)		<input type="checkbox"/> (k)(7)

217 pages were reviewed and 217 pages are being released.

☐ Document(s) were located which originated with, or contained information concerning other Government agency(ies) [OGA]. This information has been:

☐ referred to the OGA for review and direct response to you.

☐ referred to the OGA for consultation. The FBI will correspond with you regarding this information when the consultation is finished.

☐ In accordance with standard FBI practice and pursuant to FOIA exemption (b)(7)(E) [5 U.S.C. § 552 (b)(7)(E)], this response neither confirms nor denies the existence of your subject's name on any watch lists.

For your information, Congress excluded three discrete categories of law enforcement and national security records from the requirements of the FOIA. See 5 U.S.C. § 552(c) (2006 & Supp. IV (2010)). This response is limited to those records that are subject to the requirements of the FOIA. This is a standard notification that is given to all our requesters and should not be taken as an indication that excluded records do, or do not, exist.

☒ You have the right to appeal any denials in this release. Appeals should be directed in writing to the Director, Office of Information Policy (OIP), U.S. Department of Justice, 1425 New York Ave., NW, Suite 11050, Washington, D.C. 20530-0001, or you may submit an appeal through OIP's eFOIA portal at <http://www.justice.gov/oip/efoia-portal.html>. Your appeal must be received by OIP within sixty (60) days from the date of this letter in order to be considered timely. The envelope and the letter should be clearly marked "Freedom of Information Appeal." Please cite the FOIPA Request Number assigned to your request so that it may be easily identified.

☐ The enclosed material is from the main investigative file(s) in which the subject(s) of your request was the focus of the investigation. Our search located additional references, in files relating to other individuals, or matters, which may or may not be about your subject(s). Our experience has shown when ident, references usually contain information similar to the information processed in the main file(s). Because of our significant backlog, we have given priority to processing only the main investigative file(s). If you want the references, you must submit a separate request for them in writing, and they will be reviewed at a later date, as time and resources permit.

☐ See additional information which follows.

Sincerely,



David M. Hardy
Section Chief
Record/Information
Dissemination Section
Records Management Division

Enclosure(s)

2608



Meeting Minutes of January 16, 2008
Notes of Program Analyst [redacted]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
Earl Devaney, IG, Department of Interior (DOI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
[redacted] Special Counsel, Office of Special Counsel (OSC)
Robert Cusick, Director, Office of Government Ethics (OGE)

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Advisors:

[redacted] Chief, Public Integrity Section (PIS), Department of Justice (DOJ)
[redacted] PIS, DOJ

IC Working Group (WG) Members:

Nancy Nelson, Section Chief, Public Corruption/Civil Rights Section (PCU/CRS), FBI
[redacted] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[redacted] SSA, PCU, FBI
[redacted] Program Analyst, PCU, FBI
[redacted] Office of Legal Counsel, OLC, FBI

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I Opening Remarks

The meeting began at approximately 10:00 a.m in the FBI's Criminal Investigative Division Conference Room.

Mr. Kaiser welcomed [redacted] from PIS, DOJ and introduced [redacted] who will be replacing [redacted] as the Integrity Committee Program Manager.

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1- Mr. [redacted]
1 - Mr. [redacted]
MKC:mkc (19)

296HQ1230941-379.

The IC members discussed the request of [redacted] Assistant Inspector General for Communication & Congressional Liaison, Department of Defense, to address the IC regarding IC# 471.

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The IC members agreed that Mr. [redacted] should prepare in writing the arguments and/or evidence relating to IC# 471 for the IC's consideration.

II New Cases

IC #:

561

Complainant:

Subject:

[redacted]

Assistant Inspector in Charge,

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b7c

**Becky Powers, Postal Inspector,
United States Postal Service (USPS)**

Date Opened:

11/7/2007

Allegation

- That the OIG failed to investigate allegations of misconduct by the Assistant Inspector in Charge and the Postal Inspector, USPS.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations did not involve the IG and therefore falls outside of the IC's purview. **The IC will forward complaint to the IG, USPS and close case.**

IC #: 562
Complainant:
Subject: Gordon Heddell, Inspector General (IG),
Department of Labor (DOL)
Date Opened: 11/8/2007

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Allegation

- That the IG and OIG failed to investigate violations of the OSHA Act of 1970 Program administered by the State of Indiana.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations do not involve the IG and therefore falls outside of the IC's purview. **The IC will refer to the IG, DOL, and close the case.**

IC #: 563
Complainant: Anonymous, GAO FraudNET
Complainant Request Confidentiality
Subject: Office of Investigation, OIG
Harold Damelin, Former IG,
[redacted] Acting IG,
[redacted] Assistant IG for Investigation, b6
[redacted] Deputy Assistant IG for Investigation b7C
Department of Treasury
Date Opened: 10/1/07

Allegation

- 1). That the [redacted] is alleged to have reported bogus statistics to Congress as part of the Treasury OIG's Semi-Annual Report. b6 b7C
- 2). Complainant alleges a hostile work environment, prohibited personnel practices and ethics violations by the [redacted] with the knowledge of the former and acting IG.
- 3). Complainant alleges that the former and acting IG abused his authority by failing to correct waste, fraud and abuse within the OIG's office.

PIS Analysis

PIS declined further review.

IC Disposition

In Allegation 1, the IC recommends no action. In Allegation 2, the IC recommends referral to the Office of Special Counsel for the hostile work environment and prohibited personnel practices violations if the complainant releases his request for confidentiality. According to Mr. [redacted] Special Counsel, OSC, they will not open a confidential complaint and/or anonymous complaint. If complainant releases confidentially, then, OSC will contact complainant for specifics of the allegations. In Allegation 3, the IC will request that the IG respond as to the disposition of their own investigation of waste, fraud and abuse by the AIGI and his deputy as well as what corrective action has the former IG and/or acting IG taken regarding the allegation of the abuse of authority as it relates to the Micro Pac Corporation and the Treasury Directive 40-01. b6 b7C

IC #: 564
Complainant: [REDACTED] Counsel to the IG
General Services Administration (GSA)
Subject: Brian Miller, IG,
Robert Samuels, former DIG,
GSA
Date Opened: 12/3/07

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Allegation

- Misconduct by the IG and DIG regarding a violation of the Anti-Deficiency Act in connection with the detail of an employee of the Office of Inspector General, GSA.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations failed to disclose a substantial likelihood of a violation of a law, rule or regulation, or gross mismanagement, gross waste of funds or abuse of authority by the IG. The IC recommends that the IG request the General Counsel of an Office of Inspector General from another agency review the allegations and assist in the investigation and resolution. The IG may also ask the Office of Comptroller General of the United States for assistance in determining whether the employee's detail constitutes an Anti-Deficiency Act violation, when the facts regarding the detail are made clear through the investigation.

The IC will close the case.

IC #: 565
Complainant: [redacted] Counsel to
[redacted]
U.S. Coast Guard
Subject: Richard Skinner, Inspector General (IG),
[redacted] Office of Inspector General (OIG)
Department of Homeland Security (DHS)
Date Opened: 12/3/2007

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b7C

Allegation

- Acts of intimidation and excessive force by investigators from the Office of the Inspector General, Department of Homeland Security.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations do not involve the IG and/or senior staff acting with the knowledge of the IG and therefore falls outside of the IC's purview. **The IC will refer to the IG for appropriate action and close case.**

IC #: 566
Complainant: Elizabeth Barlow, Deputy Inspector General (DIG)
Department of Commerce (DOC)
Subject: Thomas Hung Phan, Administration & Director of
Information Technology, Management Division, DOC
Date Opened: 1/7/2008

Allegation

- That the ADIG inappropriately released Privacy Act-protected personnel information via e-mail.
- That the ADIG attempted to destroy the electronic documents in contravention of a record retention order imposed by the House Committee on Energy and Commerce.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations do not involve the IG and/or senior staff acting with the knowledge of the IG and therefore falls outside of the IC's purview. **The IC will refer to the IG for appropriate action and close case.**

III Pending IC Complaints

IC #: 556
Complainant: Anonymous
Referred by: National Treasury Employees Union (NTEU),
Subject: Patrick O'Carroll, Inspector General (IG),
Social Security Administration (SSA)
Date Opened: 8/12/07

Allegation

- That the IG displayed gross mismanagement and abuse of authority: 1) in the initiation and prosecution of civil monetary penalty actions against four employees in the Office of Disability Adjudication and Review, and 2) false, misleading, and defamatory public statement made by his spokesperson about these employees.

PIS Analysis

PIS declined further review.

Narrative

At the October 2007 IC meeting, the IC opined that a response to the allegations was appropriate. On November 30, 2007, IG O'Carroll provided a response.

IC Disposition

The IC opined that the actions of OIG senior staff are not a violation of a law, rule or regulation or gross mismanagement, gross waste of funds or abuse of authority. **The IC will close case due to sufficient response by the IG.**

IC #: 557
Complainant:
Special Agent,
Small Business Administration (SBA) b6
Subject: Eric Thorson, Inspector General (IG), b7C
SBA
Date Opened: 9/11/07

Allegation

- That the IG abused his authority by directing the complainant to conduct an improper investigation on SBA Director Fran Bieber.

PIS Analysis

PIS declined further review.

Nrrative

At the October 2007 IC meeting, the IC opined that the complainant should clarify information regarding the alleged relationship between the IG and the contractors. On October 30th, the complainant submitted additional information explaining the alleged bias relationship between the IG and the contractors.

IC Disposition

The IC opined that due to the lack of a substantial likelihood of a violation of a law, rule or regulation, or gross mismanagement, gross waste of funds, or abuse of authority by the IG, the IC will close case.

IC #: 558
Complainant: Anonymous and [REDACTED] Former Office of Inspector General,
Department of State (DOS)
Subject: Howard Krongard, Inspector General (IG),
DOS
Date Opened: 9/14/07

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b7C

Allegation

- That the IG violated the PCIE's Quality Standards for Investigation by interfering with investigations; refusing to investigate; refusing to fund investigations; refusing to report of all relevant aspects of the investigation.
- That the IG refused to investigate allegations against politically connected contractors in Iraq and Afghanistan, obstructing an investigation of a contractor which employees two of the IG's relatives, and refused to issue a ROI involving a senior Department of State official, to avoid embarrassment;
- That the IG compromised an investigation by notifying the subject of the investigation as to the likely avenue of inquiry thus hurting the integrity of the investigation.
- That the IG refused to spend operational funds supplied to the OIG on a reimbursable basis by the Treasury Asset Forfeiture Fund for visa and passport fraud investigations.
- That the IG refused to conduct an investigation into a qui tam contract fraud case brought to the attention of OIG DOS by DOJ.

PIS Analysis

PIS declined further review.

IC Disposition

The IC defers further action on the complaint until its next meeting pending review of other investigative matters by the IC's Working Group and the Department of Justice.

IV

IC Investigations

IC#: 507
Complainant: Anonymous
Subject: Stuart W. Bowen,
Special IG Iraq Reconstruction (SIGIR)
Ginger Cruz, Deputy Inspector General (DIG)
Date Opened: 3/6/06

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Narrative:

Administrative investigation is being conducted by OIG, Social Security Administration. Final interviews were conducted with SIGIR IG Bowen and former DIG Cruz. The completion of the ROI is on hold until a new criminal allegation has been investigated. SSA [] is assisting FBI WFO with the criminal matter.

IC#: 544
Complainant: Congressman McCaul
Referred by: Richard L. Skinner, Inspector General (IG),
Department of Homeland Security (DHS) b6
Subject: James Taylor, Deputy IG, b7C
[REDACTED] Assistant IG of Investigations,
DHS
Date Opened: 4/3/07

Allegations

- That senior staff members lied to members of Congress during a briefing on September 26, 2006.

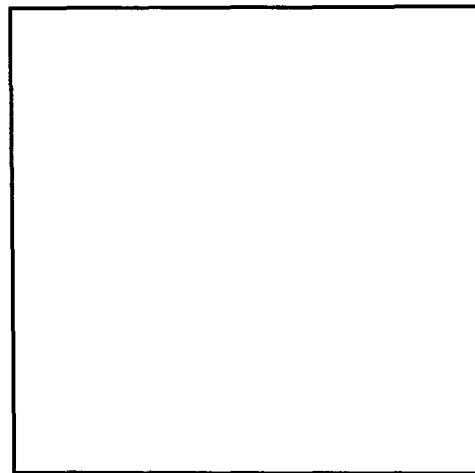
PIS Analysis

PIS declined further review.

Narrative

On April 11, 2007, the IC concurred with IG Skinner's request to assign an uninvolved OIG to investigate the allegations. The OIG, Department of Agriculture is handling the administrative investigation. There are a few more interviews to be completed before completing the ROI. Completed ROI anticipated by next IC meeting in April.

Outside the Scope



V OTHER MATTERS:

Discussion regarding a new procedures being implemented with regards to the IC packages. All packages will be numbered and must be returned at the IC meeting for proper destruction. If IC members do not return IC packages, they must certify that they have destroyed copies or have the package information in a secure location.

The IC members were informed that GAO will be conducting an audit of the Integrity Committee as requested by Senator Grassley and Congressmen Gordon and Miller. GAO will be reviewing the process used by the IC to review and investigate allegations of wrongdoing by the IGs and their staff, if the IC followed appropriate policies/procedures, and if the IC has been effective.

VI Closing Remarks

The meeting concluded at approximately 12:30 p.m. with a reminder that the next IC meeting will be held in April 2008.



Meeting Minutes of June 11, 2008
Notes of Program Analyst [redacted]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
Earl Devaney, IG, Department of Interior (DOI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Robert Cusick, Director, Office of Government Ethics (OGE)

Advisors:

[redacted] Public Integrity Section (PIS), Department of Justice (DOJ)

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IC Working Group (WG) Members:

Nancy Nelson, Section Chief, Public Corruption/Civil Rights Section (PCU/CRS), FBI
[redacted] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[redacted] Program Analyst, PCU, FBI
[redacted] Office of Legal Counsel, OLC, FBI

I Opening Remarks

The meeting began at approximately 10:00 a.m in the FBI's Directorate of Intelligence Conference Room.

Mr. Kaiser welcomed the IC members and discussed the absence of IC member [redacted]

[redacted] The IC members agreed that additional procedures under the IC Policy and Procedures are necessary to address concerns involving any IC members who may be under a criminal investigation.

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1- Mr. [redacted]
1 - Mr. [redacted]
MKC:mkc (19)

June 11, 2008

296 HQ 1230941-399

II New Cases

IC #: 567
Complainant: Confidential Complainant
Subject: Patrick O'Carroll, Inspector General,
Social Security Administration (SSA)
Date Opened: 2/16/2008

Allegation

- 1) That the IG conspired with OIG Senior Staff to conceal a fraudulent Workers' Compensation claim for the Assistant IG.
- 2) That the OIG Senior Staff conspired to conceal a fraudulent Workers' Compensation claim [REDACTED]
- 3) That no disciplinary action resulted from an incident involving a physical altercation of the [REDACTED] b6 b7C
- 4) That OIG Senior Staff failed to hold OIG employees accountable for multiple instances of Government Travel Card misuses.
- 5) That the IG conspired with the OIG management to conceal a criminal record of a [REDACTED] in connection with OIG's use of the Police departments firing range.
- 6) Additional complaint: [REDACTED] misused government resources by requesting that [REDACTED]

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG's response to the first five (5) allegations were sufficient. The most recent allegation, #6, should be forwarded to the IG for appropriate action. The IC will close the complaint.

June 11, 2008

IC #: 569
Complainant:
Subject: Patrick O'Carroll, Inspector General (IG),
Social Security Administration (SSA)
Date Opened: 2/4/2008

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b7C

Allegation

- Acts of discrimination, prejudice, harassment and retaliation by the IG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations are outside of the IC's jurisdiction. The complaint would be best handled through the SSA's EEO office. **The IC will refer to the EEO Office, SSA, and close the case.**

IC #: 568
Complainant: Anonymous
Referred by: [redacted] Counsel to the Inspector General, b6
Subject: Phyllis Fong, Inspector General, b7C
[redacted] Assistant IG for Investigations (AIGI),
Office of Inspector General (OIG),
Department of Agriculture
Date Opened: 4/7/2008

Allegation

- 1). That the IG allowed the AIGI to authorize the move of the SAC, [redacted] b6
[redacted] from Headquarters City to New York City, thus creating illegal b7C
and unauthorized advantage over peers and other employees.
- 2). By allowing this move, there has been an increase in hostile work atmosphere,
lowered employee morale and poor leadership of the IG and the AIG for
investigations.
- 3). That an OIG employee is committing time and attendance violations with the
knowledge of her supervisor.
- 4). That an OIG employee, witnessed other employees providing false information
during a deposition and testimony in connection with EEO proceedings.

PIS Analysis

PIS declined further review.

IC Disposition

In Allegation 1 & 2, the allegations do not specifically involve the IG and therefore falls outside of the IC's purview. In Allegation 3, the Office of Compliance and Integrity, OIG, conducted an investigation and determined that the allegations were unfounded. In Allegation 4, EEO proceedings are currently still ongoing. **The IC will refer back to the IG for appropriate action and close case.**

***Mr. McFarland is recused from discussion of this matter.**

IC #: 570
Complainant: [REDACTED]
Subject: Office of Personnel Management (OPM)
Patrick McFarland, IG,
OPM
Date Opened: 3/20/2008

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b7C

Allegation

- Inappropriate behavior by the IG.
- Complaints were ignored regarding inappropriate behavior.

PIS Analysis

PIS declined further review.

IC Disposition

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The IC opined to request the IG provide a time line from the incident date up to and including the date of the suspension of complainant. The IC also requested that the IG provide any documentation in connection with the complaints filed by Mr. [REDACTED] with his supervisor [REDACTED] [REDACTED] by memorandum dated December 26, 2007.

IC #: 571
Complainant:
Subject: John P. Higgins, Jr.,
Inspector General
Department of Education (DOEd)
Date Opened: 3/28/2008

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Allegation

That the IG conducted a capricious and biased audit of "The Reading First Program's Grant Application Process Final Inspection Report."

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that it is the IG's discretion in conducting audits and investigations. **The IC will refer the complaint to the IG and close the case.**

IC #: 572
Complainant: Anonymous
Subject: J. Anthony Ogden,
Inspector General
Government Printing Office
Date Opened: 4/7/2008

Allegation

- That the IG provided false information to Congress on the amount of profits generated from passport production.
- That the IG abused his authority in the inappropriate use of a government vehicle, a driver and on government time to tour the new Capitol Visitor Center.
- Unethical conduct by the IG by attending training courses and conferences in order to receive airline mileage benefits.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to request that the IG respond to the allegations.

IC #: 573
Complainant: Special Inquiries & Intelligence Division, Treasury Inspector General
for Tax Administration (TIGTA)
Subject: J. Russell George, Inspector General (IG),
Department of the Treasury (DOT)
Date Opened: 4/14/2008

Allegation

- That Joseph I. Hungate, Principal Deputy IG, TIGTA, may have interfered or obstructed an investigation involving three employees of the Office of Information Technology, TIGTA.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should ask an uninvolved OIG to review the SIID investigation for completeness and to avoid an appearance of a conflict of interest before taking any action against the Deputy IG. **The IC would like to be notified of the results of this independent review and any outcome.**

IC #: 574
Complainant: Mark Steven Kirk,
Congressman, and
[REDACTED]
Subject: Office of Inspector General
Department of Homeland Security (DHS)
Date Opened: 3/28/2008

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b7C

Allegation

- Wasteful government spending.
- Cover up by the Customs and Border Protection) CBP, Chicago Field Office, Office of Internal Affairs.
- That DHS OIG would be unable to conduct a thorough and impartial investigation of the CBP.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG respond to the allegations.

IC #: 575
Complainant: [REDACTED]
Subject: Office of Inspector General
Small Business Administration (SBA)
Date Opened: 4/28/2008

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b7c

Allegation

- That the Office of Inspector General, SBA, would not investigate complaints of contractors who violated the Business Opportunity Development Reform Act of 1988.


PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the complaint was litigated in court, therefore, case closed.

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IC #: 576
Complainant: 
Subject: Office of Inspectors General (OIG),
Department of Defense (DOD)
National Geospatial-Intelligence Agency (NGA)
Date Opened: 5/8/2008

Allegation

- That the Office of Inspector General DOD failed to investigate complaints of prohibited personnel practices, reprisals, ethics violations and rights of due process.

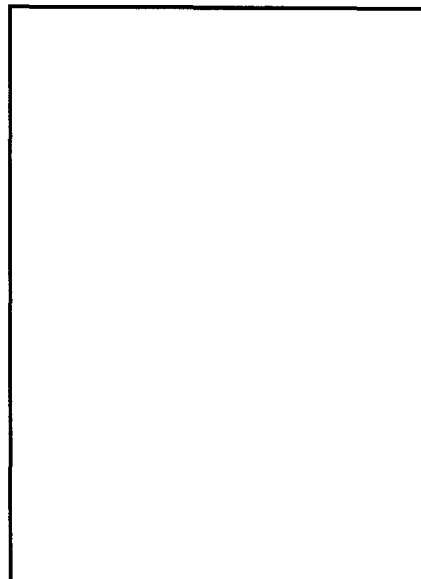
PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegation does not involve the DOD IG or the NGA IG. The IC will recommend to the NGA IG that the IC will take no action in this matter but would suggest that the NGA IG provide a response to the complainant.

Outside the Scope



June 11, 2008

***Mr. Devaney was recused from discussion of this matter**

IC #: 577
Complainant:
Subject: Earl Devaney,
Inspector General (IG),
Department of the Interior (DOI)
Date Opened: 5/16/2008

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b7C

Allegation

- That the IG concealed that a contractor/bidder withheld critical access information regarding construction plans,
- That the IG failed to investigate the misrepresentation involving a solicitation contract.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the allegations lack a substantial likelihood of a violation of a law, rule or regulation, or gross mismanagement, gross waste of funds, or abuse of authority by the IG, but the IC will ask the IG to respond to the complainant and close case.

III Pending IC Complaints

IC#: 563
Complainant: Anonymous referred by GAO FraudNET
Subject: Complainant Requests Confidentiality
Office of Investigation, OIG
Harold Damelin, Former IG, [redacted] Acting IG
[redacted] Assistant IG for Investigations
[redacted] Deputy Assistant IG for Investigations
Date Opened: 10/1/2007

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b7C

Allegations & Recommendations

- 1). That the Office of Investigation, OIG, is alleged to have reported bogus statistics to Congress as part of the Treasury OIG's Semi-Annual Report. **The IC opined no action is necessary.**
- 2). Complainant alleges a hostile work environment, prohibited personnel practices and ethics violations by the AIGI and DAIGI with the knowledge of the former and acting IG.
- 3). Complainant alleges that the former and acting IG abused his authority by failing to correct waste, fraud and abuse within the OIG's office. The IC opined to request the Acting IG to respond to the allegations. On 3/4/2008, Acting IG [redacted] provided his response.

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IC#: 564

Complainant:


Counsel to the Inspector General
General Services Administration

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Referred by:

Self-referral by IG Miller

Subject:

Brian Miller, Inspector General
Robert Samuels, former Deputy Inspector General,
GSA

Allegations and Recommendation

Misconduct by the IG and DIG regarding a violation of the Anti-Deficiency Act in connection with the detail of an employee of the Office of Inspector General, GSA. The IC opined during the January 2008 IC meeting, to recommend that IG Miller request an uninvolved OIG to investigate the allegation. **IC Closed the complaint. On March 14, 2008, IG Miller provided the IC with the results from the investigation by the OIG, National and Community Service. The OIG-NCS investigation revealed no violation in connection of the Anti-Deficiency Act.**

IV

IC Investigations

IC #: 558
Complainant: Anonymous and [redacted] Former Office of Inspector General,
Department of State (DOS)
Subject: Howard Krongard, Inspector General (IG),
DOS
Date Opened: 9/14/07

b6
b7C

Allegation

- That the IG violated the PCIE's Quality Standards for Investigation by interfering with investigations; refusing to investigate; refusing to fund investigations; refusing to report of all relevant aspects of the investigation.
- That the IG refused to investigate allegations against politically connected contractors in Iraq and Afghanistan, obstructing an investigation of a contractor which employees two of the IG's relatives, and refused to issue a ROI involving a senior Department of State official, to avoid embarrassment;
- That the IG compromised an investigation by notifying the subject of the investigation as to the likely avenue of inquiry thus hurting the integrity of the investigation.
- That the IG refused to spend operational funds supplied to the OIG on a reimbursable basis by the Treasury Asset Forfeiture Fund for visa and passport fraud investigations.
- That the IG refused to conduct an investigation into a qui tam contract fraud case brought to the attention of OIG DOS by DOJ.

IC Narrative

The IC opined that the IG should respond the allegations prior to assigned an OIG to conduct an administrative investigation. Shortly after the meeting, the IC was contacted by FBI Charlotte and requested that we hold up our administrative investigation until the AUSA Charlotte made a criminal determination. IG Krongard resigned in December, 2007.

IC Recommendation

The IC opined to close the administrative part of the complaint.

IC#: 507
Complainant: Anonymous
Subject: Stuart W. Bowen,
Special IG Iraq Reconstruction (SIGIR)
Ginger Cruz, Deputy Inspector General (DIG)
Date Opened: 3/6/06

Narrative:

Administrative investigation is being conducted by OIG, Social Security Administration. Final interviews were conducted with SIGIR IG Bowen and former DIG Cruz. The completion of the ROI is on hold until a new criminal allegation has been investigated. SSA [] is assisting FBI WFO with the criminal matter.

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IC Recommendation:

IC opined to close the administrative complaint.

IC#: 544
Complainant: Congressman McCaul
Referred by: Richard L. Skinner, Inspector General (IG),
Department of Homeland Security (DHS)
Subject: James Taylor, Deputy IG,
[redacted] Assistant IG of Investigations,
DHS
Date Opened: 4/3/07

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Allegations:

- That senior staff members lied to members of Congress during a briefing on September 26, 2006.

Narrative:

On April 11, 2007, the IC concurred with IG Skinner's request to assign an uninvolved OIG to investigate the allegations. The OIG, Department of Agriculture is handling the administrative investigation. The ROI was delivered on June 9, 2008 and reviewed.

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IC Recommendations:

The IC agreed with the finding of the OIG-DOA. DHS employees, Taylor [redacted] and [redacted] did not intentionally provide misleading information to members of Congress. Statements made at the briefing were misinterpreted and/or taken out of context by some of the briefing attendees. OIG-DHS did not intentionally withhold or delay the release of the ROI in any manner. **The IC will close the complaint.**

V OTHER MATTERS:

S-2324 - IG Reform Act of 2008

IG Earl Devaney briefed the IC members regarding S-2324, IG Reform Act of 2008. There are four main issues impacting the IC. 1) The new Bill will mandate that an Executive Summary be provided to appropriate Committee Chair's outlining details of any IC complaints, 2). that an Annual Report of IC Statistics be provided to Members of Congress, and 3) There will be a budget set aside for IC administrative investigations and administrative duties. IG Devaney clarified for the Bill supporters the importance of keeping the present IC Working Group in place.

GAO Audit

The IC members were informed that GAO is still on-going since January of 2008. GAO has reviewed over 100 cases from January 1, 2005 - December 31, 2007. GAO has also reviewed IC Administrative Investigation of IC 471 [redacted] and IC 500 [redacted] GAO requested to review all exhibits in connection with IC 500. The exhibits consists of original interview notes or information provided to investigators during the interview. The information is summarized within the summary of the individual allegations of the ROI. At this time, DOJ is reviewing GAO's request to review the exhibits because of the IC's reluctance to release due to identifiable information on individuals who requested confidentiality. Other than those exhibits containing these confidential identifiers, the IC does not have any issues with the release of any other exhibits for GAO review. b6 b7c

[redacted]

General Services Administration (GSA) Administrator [redacted] wrote the IC on January 11, 2008 and requested that the PCIE require IG Miller to undergo refresher training to restore confidence and raise professionalism with the IG. She wrote again on March 5, 2008, requesting that the IC perform oversight and accountability in the GSA IG's office. The IC discussed both concerns of Administrator [redacted] and opined no further action is required.

VI Closing Remarks

The meeting concluded at approximately 12:00 p.m. with a reminder that the next IC meeting will be held in September 2008. The IC information packets were collected and destroyed.



Integrity Committee



Meeting Minutes of October 2, 2008 Notes of Program Analyst [REDACTED]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
Earl Devaney, IG, Department of Interior (DOI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Robert Cusick, Director, Office of Government Ethics (OGE)

Advisors:

[REDACTED] Public Integrity Section (PIS), Department of Justice (DOJ)

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IC Working Group (WG) Members:

Nancy Nelson, Section Chief, Public Corruption/Civil Rights Section (PCU/CRS), FBI
[REDACTED] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[REDACTED] Program Analyst, PCU, FBI
[REDACTED] Office of General Counsel, OGC, FBI

I Opening Remarks

The meeting began at approximately 10:10 a.m in the FBI's Criminal Investigative Conference Room.

1- Mr. [REDACTED]
1 - Mr. [REDACTED]
MKC:mkc (19)

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II New Cases

IC #: 578
Complainant: Anonymous
Subject: David Williams, Inspector General,
Larry Caspall,
Special Agent in Charge (SAC)
U.S. Postal Service (USPS)
Date Opened: 6/11/2008

Allegation

- 1) That the IG provided false statements in his 2004, 2005 and 2006 Semi-Annual Reports to Congress.
- 2) That the IG failed to follow the PCIE Quality Standards of Investigations by transferring an investigation from OIG Internal Affairs to OIG Audit.
- 3) That the IG deviated from the Douglas Factors with regards to disciplinary action of Larry Caspall, SAC.
- 4) That the IG continues to cover up mismanagement, hostile work environment, and prohibited personnel practices by his senior executive management team and other management officials of the OIG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to all the allegations.

IC #: 579
Complainant: Anonymous
Referred by: GAO FraudNET
Subject:
Acting Inspector General (IG)
Cornele Overstreet,
Regional Director of the 28th Region
National Labor Relations Board (NLRB)
Date Opened: 7/22/2008

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Allegation

- Misconduct and retaliation by Acting IG
- Abuse of authority by Phoenix Regional Director Overstreet.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG respond to the allegations.

IC #: 580
Complainant: Anonymous
Referred by: Greg Friedman
Inspector General (IG)
Department of Energy (DOE)
Subject: Office of Inspector General (OIG),
Department of Energy (DOE)
Date Opened: 7/15/2008

Allegation

- 1). That the OIG exhibits prohibited personnel practices, violation of civil and human rights, retaliation, and fraudulent business practices.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint back to the IG for appropriate action and case closure due to lack of specificity of IG involvement.

IC #: 581
Referred by: Gregory Friedman
Inspector General
Department of Energy (DOE)
Complainant: Anonymous
Subject: Office of Inspector General
Department of Energy (DOE)
Date Opened: 8/1/2008

Allegation

- A SES vacancy announcement for the Director of the Energy Division was opened without any justification.
- William Maharay, Deputy IG for Audit Services, reorganizes the Office of Audits to suit his personal purpose, which creates low morale in the Audit Office.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close the case.

IC #: 582
Complainant: [REDACTED] Represented by
Morganroth & Morganroth, PLLC
Subject: Uldric Fiore,
Former General Counsel,
Office of Inspector General
Department of Defense (DOD)
Date Opened: 6/26/2008

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b7C

Allegation

- Conflict of interest by a member of the IG's Senior Staff.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to request that the IG respond to the allegations.

IC #: 583
Complainant: [REDACTED]
Assistant Inspector General (AIG)
Federal Communications Commission (FCC)
Subject: Dr. Kent Nilsson,
Inspector General (IG)
Federal Communications Commission (FCC)
Date Opened: 8/21/2008

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Allegation

- That the IG reviewed all documents and removed documents, prior to releasing to the FCC Oversight Committee.
- That the IG is in violation of the Procurement Integrity Act.
- Irregular hiring practices.
- Inappropriate behavior by the IG.
- Failure to follow Federal Government guidelines with regards to US Postal Service Policy.
- IG disregarded new findings and included erroneous information in the 2008 Semi-Annual Report to Congress.
- AIG-Audit approves invoices for audits not performed or unacceptable.
- IG requested a sole source contract for a friend.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to request that the IG respond to the allegations. (Protect complainant's identity)

IC #: 584
Complainant: George J. Opfer
Inspector General (IG)
Department of Veteran's Affairs (VA)
Subject: Jon Wooditch
Deputy Inspector General (DIG)
Department of Veteran's Affairs (VA)
Date Opened: 8/6/2008

Allegation

- Inappropriate behavior by the DIG.

PIS Analysis

PIS declined further review.

IC Disposition

IC member Devaney will contact the VA IG to determine what action is being taken, if short of immediate retirement, then a letter to the PCIE Chair recommending disciplinary action up to and including dismissal.

IC #: 585
Complainant:
Subject: Office of Inspector General
Social Security Administration (SSA)
Date Opened: 9/10/2008

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b7C

Allegation

- That the Office of Inspector General, SSA, failed to investigate irregularities in connection with Social Security benefits.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG for appropriate action with a request to advise the IC of the resolution.

IC #: 586
Complainant: [REDACTED] for
Business Loan Center, LLC
Subject: Eric Thorson,
Inspector General (IG)
Office of Inspector General
Small Business Administration (SBA)
Date Opened: 9/16/2008

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Allegation

- That public disclosure by a government official of an un-redacted copy of the SBA, OIG, Audit Report 7-28 which contained highly confidential and proprietary business and financial information.
- That the IG displayed gross disregard for the confidential and proprietary information contained in the un-redacted Audit Report and the restrictions governing its dissemination.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to the allegations.

II. Pending Complaints:

IC#: 563
Complainant: Anonymous referred by GAO FraudNET
Complainant Requests Confidentiality
Subject: Office of Investigation, OIG
Harold Damelin, Former IG, [redacted] Acting IG
[redacted] Assistant IG for Investigations
[redacted] Deputy Assistant IG for Investigations
Date Opened: 10/1/2007

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Allegations & Recommendation

- 1). That the [redacted] is alleged to have reported bogus statistics to Congress as part of the Treasury OIG's Semi-Annual Report. The IC opined no action is necessary.
- 2). Complainant alleges a hostile work environment, prohibited personnel practices and ethics violations by the [redacted] with the knowledge of the former and acting IG.
- 3). Complainant alleges that the former and acting IG abused his authority by failing to correct waste, fraud and abuse within the OIG's office. The IC opined to request the Acting IG to respond to the allegations. On 3/4/2008, Acting IG [redacted] provided his response.

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Narrative

[redacted]

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Recommendation

The IC opined to that the Acting IG respond to a third request for a final determination on the matter.

Mr. McFarland is recused from discussion of this matter.

IC #: 570
Complainant: [REDACTED]
Subject: Office of Personnel Management (OPM)
Patrick McFarland, IG,
OPM
Date Opened: 3/20/2008

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Allegation

- Inappropriate behavior by the IG.
- Complaints were ignored regarding inappropriate behavior.

PIS Analysis

PIS declined further review.

Narrative

The IC opined to request the IG provide a time line from the incident date up to and including the date of the suspension of complainant. The IC also requested that the IG provide any documentation in connection with the complaints filed by Mr. [REDACTED] with his supervisor [REDACTED] by memorandum dated December 26, 2007. On August 25, 2008, the IG provided the IC with his response to the allegations.

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IC Disposition

The IC opined to accept the explanation of the IG and close the complaint.

IC #: 572
Complainant: Anonymous
Subject: J. Anthony Ogden,
Inspector General
Government Printing Office
Date Opened: 4/7/2008

Allegation

- That the IG provided false information to Congress on the amount of profits generated from passport production.
- That the IG abused his authority in the inappropriate use of a government vehicle, a driver and on government time to tour the new Capitol Visitor Center.
- Unethical conduct by the IG by attending training courses and conferences in order to receive airline mileage benefits.

PIS Analysis

PIS declined further review.

Narrative

The IC opined to request that the IG respond to the allegations. On August 18, 2008, the IG provided the IC with his response to the allegations.

IC Disposition

The IC reviewed and accepted the IG's response to the allegations and will close the complaint.

III.

IC Review

IC #: 560
Complainant: [REDACTED]
Referred by: GAO FraudNET
Subject: Office of Inspector General (OIG),
Department of Homeland Security (DHS)
Date Opened: 10/1/07

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Allegation

- Mismanagement and wasteful spending at the OIG conference held in Nashville in August 2007 and in Oakland, CA during June 2006.
- Hiring and promotion irregularities by the OIG.

IC Disposition

The IC opined that the allegations failed to disclose a substantial likelihood of a violation of a law, rule or regulation, or gross mismanagement, gross waste of funds or abuse of authority. The IC will refer the complaint to the IG and close the case.

Allegation (August 19, 2008)

- That IG Skinner and his senior management officials violated the law when complainant was subject to retaliation for being a witness: providing testimony; and providing internal electronic mail to a complainant (to forward to the assigned EEO investigator) to support her allegations of employment discrimination by named DHS OIG Managers.
- IG Skinner's failure to discipline his executive assistant [REDACTED] when she hired a subordinate OIG employee to decorate her home in 2004/2005.

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IC Disposition

The IC opined to use the original IC number from 2007. Additionally, since complainant alleged two additional matters, the IC opined to request that the IG respond to the two new allegations.

IV OTHER MATTERS:

S-2324 - IG Reform Act of 2008

IG Earl Devaney briefed the IC members regarding S-2324, IG Reform Act of 2008. He reviewed the Highlights of the Bill that would affect the IC. The IC members decided to wait until the new legislation is signed by the President, then we will revise our Policy and Procedures to incorporate additional language.

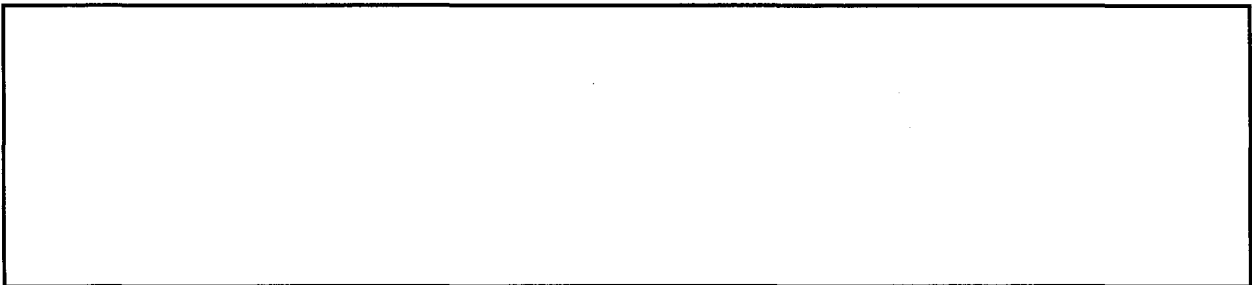
GAO Audit

GAO released a draft report entitled *Inspector General: Actions Needed to Improve Audit Coverage of NASA*. The FBI nor DOJ was notified of this audit, however, GAO utilized information received during their review entitled *Activities of the Integrity Committee* to assist in the writing of this report.

The IC reviewed pages 26 – 30 of the GAO Audit entitled *“Inspectors General: Actions Needed to Improve Audit Coverage of NASA.”* This Audit report includes staff turnover rate, monetary accomplishments and investigative coverage in the OIG-NASA.. These pages include the IC’s Investigation (500), Conclusions and the Recommendations Sections of the Report.

The IC members should note reference made on page 30 under Recommendations, Bullet 3 “follow up on the conclusions in its report and make appropriate recommendations on actions needed to resolve its investigative finding that the NASA IG has an appearance of a lack of independence. As part of the process of a GAO Audit, this report is a DRAFT report and the FBI may respond to the recommendations by a “formal” letter citing their disagreement with the recommendations. This “formal” letter will be included with the final version of the GAO Report.

The audit entitled Activities of the Integrity Committee is the on-going GAO Audit into IC matters. This audit report will be completed by GAO by the end of October.



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VI Closing Remarks

The meeting concluded at approximately 11:40 p.m. with a reminder that the next IC meeting will be held during December or January.. The IC information packets were collected and destroyed.

2009



Meeting Minutes of January 29, 2009
Notes of Program Analyst [redacted]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Robert Cusick, Director, Office of Government Ethics (OGE)
[redacted] Acting Special Counsel, Office of Special Counsel (OSC)

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Advisors:

[redacted] Public Integrity Section (PIS), Department of Justice (DOJ)

IC Working Group (WG) Members:

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[redacted] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[redacted] Program Analyst, PCU, FBI
[redacted] Office of General Counsel, OGC, FBI

I Opening Remarks

The meeting began at approximately 10:00 a.m in the FBI's Criminal Investigative Conference Room. Mr. Kaiser welcomed our newest IC Member, A Sprightley Ryan, IG Smithsonian Institution and [redacted] of the Public Integrity Section.

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1- Mr. [redacted]
1 - Mr. [redacted]
MKC:mkc (19)

296 H91238941-425

II New Cases

IC #: 587

Complainant:

[redacted] Esq.
(Representing [redacted]
Office of Inspector General,
Treasury Inspector General for Tax Administration (TIGTA)

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Subject:

Rodney Davis, Special Agent in Charge (SAC),
Intelligence Division, TIGTA,
Michael Delgado,
Deputy Inspector General (DIG),
Treasury Inspector General for Tax Administration (TIGTA)

Date Opened: 10/13/2008

Allegation

- That the OIG failed to request an independent investigation into allegations of Privacy Act violations and unauthorized disclosures by employees of the OIG, TIGTA.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to the allegations.

IC #: 588

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Complainant: Dr [REDACTED]
Director, The Brain Imaging & Recovery Laboratory Center,
Texas Veterans Health Care System

Subject: George Opfer,
Inspector General (IG)
Department of Veterans Affairs (VA)

Date Opened: 10/24/2008

Allegation

- That the IG failed to investigate allegations of misconduct, fraud, and abuse by senior management at Central Texas Veteran Health Care System.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case.

IC #: 589
Complainant: Anonymous
Referred by: GAO FraudNET
Subject: Office of Inspector General (OIG),
Department of Labor (DOL)
Date Opened: 11/3/2008

Allegation

• That the OIG, DOL, violated the Government Auditing Standards and tampered with the findings of an investigative audit report.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG close case.

IC #: 590

Complainant:

[Redacted]

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b7C

Referred by: GAO FraudNET

Subject: Office of Inspector General (OIG),
Federal Reserve Board (FRB)

Date Opened: 11/3/2008

Allegation

- That the OIG, FRB, failed to investigate allegations of fraud and abuse in connection with M&T Bank.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case.

Aletha Brown, Inspector General, Equal Opportunity and Employment Commission, and an IC member, was recused from discussion of the following IC complaint.

IC #: 591

Complainant:

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Subject: Office of Inspector General (OIG),
Equal Opportunity and Employment Commission (EEOC)

Date Opened: 11/5/2008

Allegation

- That the OIG, EEOC, failed to investigate claims of abuse and discriminatory practices by the EEOC Los Angeles District Office.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case.

IC #: 592

Complainant:

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Subject: Eric Thorson,
Inspector General (IG),
Department of Treasury (DOTreasury)

Date Opened: 11/5/2008

Allegation

- That the OIG, DOT, failed to investigate allegations of fraud in connection with the Office of Thrift Supervision.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to the allegation.

IC #: 593
Complainant: Anonymous
Referral: GAO FraudNET
Subject: Richard L. Skinner,
Inspector General (IG),
Department of Homeland Security (DHS)
Date Opened: 11/5/2008

Allegation

- Abuse of authority, mismanagement and appearance of impropriety by the DHS IG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case.

IC #: 594

Complainant: Anonymous

Referral:



Acting Inspector General (IG),
Department of Defense (DOD)

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Subject: Charles W. Beardall,
Deputy IG for Investigations (DIGI)
Department of Defense (DOD)

Date Opened: 11/5/2008

Allegation

- Abuse of authority, mismanagement by the DIGI, DOD.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to the allegations.

IC #: 595
Complainant: Anonymous
Subject: Kenneth Donohue,
Inspector General (IG),
Department of Housing & Urban Development (HUD)
Date Opened: 11/5/2008

Allegation

- Discrimination and failure to take appropriate action by the HUD IG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 596

Complainant: [REDACTED] Esq.

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b7C

Subject: Claude Kicklighter,
Former Inspector General (IG),
Department of Defense (DOD)

Date Opened: 11/20/2008

Allegation

- That the IG failed to investigate complaint.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 597
Complainant: Anonymous
Referred by: GAO FraudNET
Subject: Phyllis Fong,
Inspector General (IG),
Department of Agriculture (DOA)
Date Opened: 12/5/2008

Allegation

- Cronyism, discrimination, hostile work environment, mismanagement, nepotism, and other prohibited personnel practices by senior executive management team of the OIG, DOA.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 598

Complainant:

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b7C

Referred by: FBI Tipline

Subject: Sam Shellenburger,
Deputy Inspector General for Management & Budget,
Health and Human Services (DHS)

Date Opened: 12/4/2008

Allegation

- Retaliation and allegations of Prohibited Personnel Practices by the DIG, HHS.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the Office of Special Counsel and close case.

IC #: 599

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b7C

Complainant:

Subject: Calvin L. Scovel,
Inspector General (IG),
Department of Transportation (DOT)

Date Opened: 12/9/2008

Allegation

- Fraud, waste and abuse of authority by the IG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 600

Complainant: Anonymous

Subject: Office of Inspector General (OIG),
Los Angeles District,
Department of Housing & Urban Development (HUD)

Date Opened: 12/15/2008

Allegation

- Abuse of authority by the OIG, HUD (Los Angeles).

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 601

Complainant: Anonymous

Subject: William Roderick,
Inspector General (IG),
Mark Bialik,
General Counsel (GC),
Environmental Protection Agency (EPA)

Date Opened: 12/15/2008

Allegation

- Corruption, favoritism and biased investigations by IG Roderick and GC Mark Bialik, EPA.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to refer the complaint to the IG and close case

IC #: 602 - The ICWG is reviewing additional information received on 1/28/09 inconnection with this complaint. IC#602 will be reviewed at the next IC meeting.

IC#: 603

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Complainant:



Assistant Inspector General for Investigations (AIGI),
Wayne A. McElrath, Deputy Assistant Inspector General for
Investigation (DAIG),
Environmental Protection Agency (EPA)

Referred by: FBI Tipline

Subject: William Roderick,
Inspector General (IG),
Mark Bialik,
General Counsel (GC),
Environmental Protection Agency (EPA)

Date Opened: 1/9/2009

Allegation

- That investigators assigned to the Oversight and Special Review Unit of the EPA are conducting law enforcement functions possibly in violation of the IG Act of 1978.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG respond to the allegation.

Ric Cusick, Director, Office of Government Ethics, and a member of the IC, was recused during discussion of this complaint.

IC#: 604

Complainant:

[REDACTED]
Human Resources Officer,
Office of Government Ethics (OGE)

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Subject: Rick Cusick,
Director,
Office of Government Ethics (OGE)

Date Opened: 1/9/2009

Allegation

- Abuse of authority in the illegal reorganization of the Office of Administration and Information, Management, fraudulent time and attendance reports, as well as, misuse of a government credit card by senior level employees of the OGE.

PIS Analysis

PIS declined further review.


IC Disposition

Outside of the purview of the IC, therefore, the IC will refer complaint to the White House Counsel, Gregory B. Craig.

IC#: 605

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Complainant:


Administrative Officer,
Office of Inspector General (OID),
Department of Health & Human Services (HHS)

Subject: Glenn Ferry,
Special Agent in Charge (SAC),
OIG, Los Angeles Regional Office,
HHS

Date Opened: 1/15/2009

Allegation

- Abuse of authority by the SAC of the Los Angeles Regional Office, OIG, HHS.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG respond to the allegation.

IC#: 606

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b7C

Complainant: [REDACTED] (Anonymous),

Subject: H. David Kotz,
Inspector General (IG),
Noelle Frangipane,
Deputy IG,
Security & Exchange Commission (SEC)

Date Opened: 1/27/2009

Allegation

- Hostile work environment and abuse of authority created by the inappropriate behavior of the IG and DIG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IC should proceed with an administrative investigation.

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NOTE: [REDACTED]

[REDACTED]

Per response of the IC members, an administrative investigation will continue as discussed during the IC meeting.

Pending Complaints

IC #: 560
Complainant: [REDACTED]
Referred by: GAO FraudNET
Subject: Office of Inspector General (OIG),
Department of Homeland Security (DHS)
Date Opened: 10/1/07

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Allegation

- Mismanagement and wasteful spending at the OIG conference held in Nashville in August 2007 and in Oakland, CA during June 2006.
- Hiring and promotion irregularities by the OIG.

IC Disposition

The IC opined that the allegations failed to disclose a substantial likelihood of a violation of a law, rule or regulation, or gross mismanagement, gross waste of funds or abuse of authority. The IC will refer the complaint to the IG and close the case.

Allegation (August 19, 2008)

- That IG Skinner and his senior management officials violated the law when complainant was subject to retaliation for being a witness: providing testimony; and providing internal electronic mail to a complainant (to forward to the assigned EEO investigator) to support her allegations of employment discrimination by named DHS OIG Managers.
- IG Skinner's failure to discipline his executive assistant, [REDACTED] when she hired a subordinate OIG employee to decorate her home in 2004/2005.

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IC Disposition

The IC opined to use the original IC number from 2007. Additionally, since complainant alleged two additional matters, the IC opined to request that the IG respond to the two new allegations. The IG responded to the IC's request on January 23, 2009. The IC opined to accept the IG's response and close case. * The IC members were apprised of the problem with Mr. [REDACTED] multiple complaints and gave the ICWG permission to hold his complaints and review them as a group at a later date. On January 27, 2009, Mr. [REDACTED] wrote Mr. Kaiser a letter requesting that he speak with him by telephone on February 2, 2009, to provide him with an update on the status of the investigations. SSA [REDACTED] will call Mr. [REDACTED] regarding his latest letter and advised him that the IC accepted IG Skinner's response and that the IC will close the complaint.

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IC#: 563
Complainant: Anonymous referred by GAO FraudNET
Complainant Requests Confidentiality
Subject: Office of Investigation, OIG
Harold Damelin, Former IG, [redacted] Acting IG
[redacted] Assistant IG for Investigations
[redacted] Deputy Assistant IG for Investigations
Date Opened: 10/1/2007

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Allegations & Recommendation

- 1). That the [redacted] is alleged to have reported bogus statistics to Congress as part of the Treasury OIG's Semi-Annual Report. **The IC opined no action is necessary.**
- 2). Complainant alleges a hostile work environment, prohibited personnel practices and ethics violations by the [redacted] with the knowledge of the former and acting IG.
- 3). Complainant alleges that the former and acting IG abused his authority by failing to correct waste, fraud and abuse within the OIG's office. The IC opined to request the Acting IG to respond to the allegations. On 3/4/2008, Acting IG [redacted] provided his response.

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Narrative

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Recommendation

The IC opined to that the Acting IG respond to a third request for a final determination on the matter. On 11/3/08, the IC received a response from the IG. One matter was still pending, therefore, the IC opined to pursue a final resolution by the new IG and close case.

IC #: 574
Complainant: Mark Steven Kirk,
Congressman, and
[REDACTED]
Subject: Office of Inspector General
Department of Homeland Security (DHS)
Date Opened: 3/28/2008

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b7C

Allegation

- Wasteful government spending.
- Cover up by the Customs and Border Protection) CBP, Chicago Field Office, Office of Internal Affairs.
- That DHS OIG would be unable to conduct a thorough and impartial investigation of the CBP.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG respond to the allegations. On 10/30/08, IG Skinner provided a response. The IC opined to accept the IG's response and close the complaint.

IC #: 578
Complainant: Anonymous
Subject: David Williams, Inspector General,
Larry Caspall,
Special Agent in Charge (SAC)
U.S. Postal Service.(USPS)
Date Opened: 6/11/2008

Allegation

- 1) That the IG provided false statements in his 2004, 2005 and 2006 Semi-Annual Reports to Congress.
- 2) That the IG failed to follow the PCIE Quality Standards of Investigations by transferring an investigation from OIG Internal Affairs to OIG Audit.
- 3) That the IG deviated from the Douglas Factors with regards to disciplinary action of Larry Caspall, SAC.
- 4) That the IG continues to cover up mismanagement, hostile work environment, and prohibited personnel practices by his senior executive management team and other management officials of the OIG.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to all the allegations. On 11/19/08, the IG provided a response. The IC opined to accept the IG's response and close the complaint.

IC #: 579
Complainant: Anonymous
Referred by: GAO FraudNET
Subject: [REDACTED]
Acting Inspector General (IG)
[REDACTED]
Regional Director of the 28th Region
National Labor Relations Board (NLRB)
Date Opened: 7/22/2008

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b7C

Allegation

- Misconduct and retaliation by Acting IG [REDACTED]
- Abuse of authority by Phoenix Regional Director [REDACTED]

PIS Analysis

PIS declined further review.

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b7C

IC Disposition

The IC opined that the IG respond to the allegations. On 11/7/08, Acting IG [REDACTED] provided a response. The IC opined to accept the Acting IG's response and close the complaint.

IC #: 582
Complainant: [REDACTED] Represented by
Morganroth & Morganroth, PLLC
Subject: Uldric Fiore,
Former General Counsel,
Office of Inspector General
Department of Defense (DOD)
Date Opened: 6/26/2008

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b7C

Allegation

- Conflict of interest by a member of the IG's Senior Staff.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to request that the IG respond to the allegations. On 11/20/08, the Acting IG provided a response. The IC opined to close the complaint and the matter will be returned to the DOD IG for any further action in coordination with the DOD General Counsel.

IC #: 583
Complainant: [REDACTED]
Subject: Assistant Inspector General (AIG)
Federal Communications Commission (FCC)
Dr. Kent Nilsson,
Inspector General (IG)
Federal Communications Commission (FCC)
Date Opened: 8/21/2008

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Allegation

- That the IG reviewed all documents and removed documents, prior to releasing to the FCC Oversight Committee.
- That the IG is in violation of the Procurement Integrity Act.
- Irregular hiring practices.
- Inappropriate behavior by the IG.
- Failure to follow Federal Government guidelines with regards to US Postal Service Policy.
- IG disregarded new findings and included erroneous information in the 2008 Semi-Annual Report to Congress.
- AIG-Audit approves invoices for audits not performed or unacceptable.
- IG requested a sole source contract for a friend.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined to request that the IG respond to the allegations. (Protect complainant's identity) On 11/21/2008, the IG provided a response. The IC opined that the response did not specifically address the complaint's concerns, therefore, a re-dacted copy of the complaint will be provided to the IG so that he may specifically answer the allegations.

IC #: 584
Complainant: George J. Opfer
Inspector General (IG)
Department of Veteran's Affairs (VA)
Subject: Jon Wooditch
Deputy Inspector General (DIG)
Department of Veteran's Affairs (VA)
Date Opened: 8/6/2008

Allegation

- Inappropriate behavior by the DIG.

PIS Analysis

PIS declined further review.

IC Disposition

IC member Devaney will contact the VA IG to determine what action is being taken, if short of immediate retirement, then a letter to the PCIE Chair recommending disciplinary action up to and including dismissal. On 10/9/2008, IC member Devaney advised that the matter had been handled. The DIG would retire as of 11/3/08 and until that time, he would be on leave. The IC opined to close the complaint.

IC #: 585
Complainant: [REDACTED]
Subject: Office of Inspector General
Social Security Administration (SSA)
Date Opened: 9/10/2008

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Allegation

- That the Office of Inspector General, SSA, failed to investigate irregularities in connection with Social Security benefits.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG for appropriate action with a request to advise the IC of the resolution. On 12/16/08, the IG provided a response. The IC accepted the IG's response and will close the complaint.

IC #: 586
Complainant: [REDACTED] for
Subject: Business Loan Center, LLC
Eric Thorson,
Inspector General (IG)
Office of Inspector General
Small Business Administration (SBA)
Date Opened: 9/16/2008

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b7C

Allegation

- That public disclosure by a government official of an un-redacted copy of the SBA, OIG, Audit Report 7-28 which contained highly confidential and proprietary business and financial information.
- That the IG displayed gross disregard for the confidential and proprietary information contained in the un-redacted Audit Report and the restrictions governing its dissemination.

PIS Analysis

PIS declined further review.

IC Disposition

The IC opined that the IG should respond to the allegations. On 12/20/08, the Acting IG provided a response. The IC opined to accept the Acting IG's response and close the complaint.

IV OTHER MATTERS:

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S-2324 - IG Reform Act of 2008

ICWG member [] briefed the IC members that he was preparing a draft incorporating the new provisions of the IG Reform Act of 2008, into the IC's Policy and Procedures. The ICWG will provide a draft of the new provisions to the IC members in a couple of weeks.

GAO Audit

GAO released their final report entitled *Inspector General: Actions Needed to Improve Audit Coverage of NASA*, in December 2008. As part of the formal GAO procedures, the IC must respond to the final GAO report to Member of Congress. The ICWG will submit to Members of Congress, a response to the GAO's recommendation containing similar language drafted in the IC's response to the draft GAO report of September 2008.

The IC is still waiting for the release of the Draft GAO audit entitled *Activities of the Integrity Committee*.

VI Closing Remarks

The meeting concluded at approximately 11:20 p.m. with a reminder that the next IC meeting will be held during the month of April. The IC information packets were collected and destroyed.



Integrity Committee



Meeting Minutes of April 7, 2009 Notes of Program Analyst [REDACTED]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)

Robert Cusick, Director, Office of Government Ethics (OGE)

Advisors:

[REDACTED] Public Integrity Section (PIS), Department of Justice (DOJ)

IC Working Group (WG) Members:

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[REDACTED] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[REDACTED] SSA, PCU, FBI

[REDACTED] Program Analyst, PCU, FBI
[REDACTED] Office of General Counsel, OGC, FBI

I Opening Remarks

The meeting began at approximately 1:00 pm in the FBI's Criminal Investigative Conference Room. Complaints have been reviewed by the Public Integrity Section, Department of Justice, and declined any further criminal interest.

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1- Mr. [REDACTED]
1 - Mr. [REDACTED]
MKC:mkc (19)

091-47-1220941-451

II **New Cases**

IC#: 607

**Self Referral
Complainant:** Karl Schornagel
 Inspector General
 Library of Congress

Subject:

[REDACTED]
Assistant IG for Investigation (AIGI)
Office of Inspector General (OIG)

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[REDACTED] Special Agents, OIG, LOC

Date: 3/18/2009

Allegation

At the direction of the [REDACTED] OIG, investigators inappropriately contacted Senate staffers by an e-mail message, which appeared to represent official OIG position, to amend the Omnibus Appropriations Act. This Appropriations Act contained a provision prohibiting the LOC OIG from purchasing, maintaining or carrying firearms.

Disposition

The IC opined to refer the complaint back to the IG with the request that the IC be provided the results of their internal investigation.

IC #: 608

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Complainant:

Subject: General Counsel (OGC),
Department of Commerce (DOC)

Date Opened: 3/14/2009

Allegation

Complainant alleges prohibited personnel practices by the OGC, DOC.

IC Disposition

The IC will seek no further action due to the fact that the complaint is outside of the IC's purview. **The IC will close the complaint.**

IC #: 609

Complainant: Anonymous

Subject: Fred Weiderhold,
Inspector General (IG)
Amtrak

Date Opened: 3/16/2009

Allegation

That IG Weiderhold abuses his authority in time and attendance policies, as well as, travel expenses.

IC Disposition

This complaint meets the threshold standards for IC review, therefore, the IC will request that the IG respond to the allegations.

IC #: 610

Complainant: [REDACTED] Esq,

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Referred by: GAO FraudNET

Subject: Office of Inspector General (OIG),
US Postal Service (USPS) and
Environmental Protection Agency (EPA)

Date Opened: 3/24/2009

Allegation

That the OIG, USPS and EPA, abused their authority by their unprofessional conduct.

IC Disposition

The IC notes that the complaint is outside of the IC's purview and that the IG's have discretion whether or not to investigate the allegation. The IC will refer to both the USPS and the EPA IG's for whatever action deemed appropriate and close complaint.

IC #: 611

**Self-Referral
Complainant:** Office of Inspector General,
Commodity Futures Trading Commission (CFTC)

Subject: A. Roy Lavik,
Inspector General (IG),
CFTC

Date Opened: 3/30/2009

Allegation

That the IG, CFTC, influenced and pre-selected a winning procurement bid.

IC Disposition

Complaint meets the IC's threshold standard, therefore, the IC will request that the IG respond to the allegation.

IV. Pending Complaints

IC #: 592

Complainant:

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Subject: Eric Thorson,
Inspector General (IG),
Department of Treasury (DOTreasury)

Date Opened: 11/5/2008

Allegation

That the OIG, DOT, failed to investigate allegations of fraud in connection with the Office of Thrift Supervision.

IC Disposition

The IC opined that the IG should respond to the allegation. On 3/18/09, the IG provided the IC with his response. The IC reviewed the IG's response and will request that the IG provide the IC with the results of their investigation upon completion.

IC#: 606

Complainant: [REDACTED] (Anonymous),

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b7C

Subject: H. David Kotz,
Inspector General (IG),
Noelle Frangipane,
Deputy IG,
Security & Exchange Commission (SEC)

Date Opened: 1/27/2009

Allegation

Hostile work environment and abuse of authority created by the inappropriate behavior of the IG and DIG.

IC Disposition

The IC opined that the IC should proceed with an administrative investigation.

The ICWG reviewed the complaint and the IC's recommendation and decided to contact the IC members on 2/9/09, regarding the lack of substantiate evidence warranting the opening of an immediate administrative investigation. The ICWG suggests that the complaint be referred to the Agency Administrator, due to the fact that the IG is not a "presidentially appointed" IG but an agency appointed IG, and that the complaint is anonymous, therefore, the IC would be unable to make any further inquiries into the short allegation of impropriety and hostile work environment.

After discussion by the IC members, an administrative investigation will continue as previously discussed.

The ICWG requested the Department of Homeland Security, Office of Inspector General, to conducted the interviews on behalf of the IC. On 3/4/09, both subjects were interviewed and denied any impropriety. After discussion by the IC members, it was decided to close the complaint.

V. Other Matters

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IC Program Manager [redacted] discussed IC 583 involving complainants continuing correspondence to the IC regarding on-going prohibited personnel practices against him due to whistle-blower retaliation. This complaint is also being worked with the Office of Special Counsel for the whistle-blower retaliation and prohibited personnel practices matter. The IC is working the abuse of authority matter by the IG. The IC noted that the IG's response to the IC lacked substance, so the IC decided to provide the IG with complainant's allegations (protecting his identity) and requested that he respond with specific details. As of the April IC meeting, the IG has yet to respond.

GAO released their final report entitled *Inspector General: Actions Needed to Improve Audit Coverage of NASA*, in December 2008. As part of the formal GAO procedures, the IC must respond to the final GAO report to Member of Congress. The IC members were provided with a copy of a letter from IC Chair Kaiser, responding to GAO's recommendation. The IC is still waiting for the release of the Draft GAO audit entitled *Activities of the Integrity Committee*.

The IC discussed the recommendations by the Project on Government Oversight (POGO) regarding transparency of the IC.

VI Closing Remarks

The meeting concluded at approximately 3:00 p.m. with a reminder that the next IC meeting will be held during the month of June. The IC information packets were collected and destroyed. The IC is awaiting an announcement by Council Chairman Fong, on a replacement for IC Member Earl Devaney.



Integrity Committee

Council of the Inspectors General for Integrity and Efficiency

Meeting Minutes of June 5, 2009

Notes of Program Analyst [REDACTED]

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Meeting Attendees

IC Members:

Kenneth W. Kaiser, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Richard Skinner, Inspector General, Department of Homeland Security (DHS)
Robert Cusick, Director, Office of Government Ethics (OGE)

Advisors:

[REDACTED] Public Integrity Section (PIS), Department of Justice (DOJ)

IC Working Group (WG) Members:

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[REDACTED] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[REDACTED] SSA, PCU, FBI
[REDACTED] Program Analyst, PCU, FBI
[REDACTED] Office of General Counsel, OGC, FBI

I Opening Remarks

The meeting began at approximately 10:00 am in the FBI's Criminal Investigative Conference Room. Complaints have been reviewed by the IC Working Group and Public Integrity Section, Department of Justice, declined any further criminal interest.

The Integrity Committee (IC) reviewed and approved the "Threshold Standards" definition for further action by the IC. The Threshold Standard definition consists of a violation of any law, rule or regulation, or gross mismanagement, gross waste of funds or abuse of authority, or conduct so seriously that it may undermine the independence or integrity reasonably expect of an Inspector General or designated staff member.

IC: 612

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Complainant:


Assistant Chief of Police
US Park Police

Subject:

Earl Devaney
Former Inspector General (IG)
Office of Inspector General (OIG)
Department of Interior (DOI)

Date Opened:

4/21/2009

Allegation:

That the OIG failed to follow the Quality Standards for Inspection during the investigation of the US Park Police.

Disposition:

The IC will close the complaint due to the matter failing to meet the IC's threshold standard and is within the discretion of the IG.

IC: 613

Complainant: Self-Referral
Curtis W. Crider
Inspector General (IG)
US Election Assistance Commission (EAC)

Subject: Julie Hodgkins
General Counsel (GC)
US Election Assistance Commission (EAC)

Date Opened: 4/23/2009

Allegation: The GC to the IG was involved in a racial insensitivity matter.

Disposition: The IC will close the complaint due to matter failing to meet the IC's threshold standards and the lack of an alleged misconduct. The IC will send complaint to the attention of the agency's EEO office for whatever action deemed appropriate.

IC: 615

Complainant: Anonymous

Referred by: GAO FraudNET

Subject: Office of Inspector General (OIG)
Department of State (DOS)

Date: 4/30/2009

Allegations: Failure to document a completed audit in FY 2007 report which would have given the DOS a lower information security grade, due to political ambitions. Senior management suppressed information not favorable to the Department. The Assistant IG manager was engaged in gambling trips with subordinates.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. The IC will refer the complaint to the DOS IG for whatever action deemed appropriate.

IC: 616

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Complainant:

Subject: Office of Inspector General (OIG)
Department of Defense (DOD)

Date Opened: 4/30/2009

Allegation: That the DOD OIG did not investigate allegations of false books and records related to military aircraft parts not received by complainant.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. IC will refer to the DOD IG for appropriate action.

IC: 617

Complainant:



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b7c

Subject: Gregory Friedman
Inspector General (IG)
Department of Energy (DOE)

Date Opened: 4/15/2009

Allegations: That the DOE IG failed to address fraud and abuse as well as personnel management issues within the Energy Information Administration.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. The IC will refer to the DOE IG for appropriate action.

IC: 618

Complainant: Anonymous

Referred by: Phyllis Fong
Inspector General (IG)
Department of Agriculture (DOA)

Subject: Office of Inspector General (OIG)
Treasury Inspector General for Tax Administration (TIGTA)

Date Opened: 4/30/2009

Allegations: Failure to deal with a sleeping employee. False and misleading testimony during a disposition. Inappropriate assignments for OIG Special Agents and the misuse of a government vehicle.

Disposition: The IC will request a response from the IG.

IC: 619

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Complainant:



Subject: David Kotz
Inspector General (IG)
Securities & Exchange Commission (SEC)

Date Opened: 5/5/2009

Allegations: Faulty investigation by the IG and concerns of SEC jurisdiction.

Disposition: The IC will close the complaint due to the fact that the IG had already responded to the complainant's concern.

Complaints referred to PIN DOJ for review:

IC: 614

Complainant:

[Redacted]
Acting US Attorney,
[Redacted]

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b7C

Subject: Gerald Walpin
Inspector General (IG)
Corporation for National and Community Service (CNCS)

Date Opened: 4/26/2009

Allegation: Abuse of authority and misconduct by the IG. Due to the high profile of the complaint, on May 12, 2009, the IC Chair requested that the IG respond to the allegations in time to discuss during the June 5th IC meeting. The IG provided a detailed response to the allegations on May 20, 2009.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. In addition, it was discussed that the complainant (AAUSA) be reviewed by PIN. On 6/12/2009, all IC members were telephonically contacted again to concur the disposition to close the IC complaint.

IC: 620

**Self-Referral
Complainant:**

[REDACTED]

**Acting Inspector General (IG)
Department of State (DOS)**

Subject:

[REDACTED]

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**Assistant Inspector General (AIG)
Middle East Regional Office (MERO)
Department of State (DOS)**

Date Opened: 4/14/2009

Allegation: Misconduct and mismanagement within the DOS -
Office of Inspector General, MERO.

Disposition: HOLD UNTIL SEPTEMBER.....

IG Responses:

IC: 563

Complainant: Anonymous,
GAO FraudNET and Confidential Complainant

Subject: Harold Damelin Former IG;
[redacted] Acting IG;
[redacted] Assistant IG for Investigation;
[redacted] Deputy Assistant IG for Investigation;
Department of Treasury (DOT)

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Date Opened: 10/1/2007

Allegations: That the [redacted] OIG, is alleged to have reported bogus statistics to Congress as part of the Treasury OIG's Semi-Annual Report. Hostile work environment, prohibited personnel practices and ethics violations by the former and acting IG.

Disposition: A long-standing complaint with letters from the IC requesting the resolution of this matter and the Acting IG's responses. The IC opined to close the matter after the IG provided his final response to the IC.

IC: 583

Complainant:



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**Assistant Inspector General (AIG)
Federal Communications Commission (FCC)**

Subject:

**Dr. Kent Nilsson
Inspector General (IG)
Federal Communications Commission (FCC)**

Date Opened: 8/21/2009

Allegations:

That the IG reviewed all documents and removed documents, prior to releasing to the FCC Oversight Committees; the IG is in violation of the Procurement Integrity Act; Irregular hiring practices; inappropriate behavior by the IG; failure to follow Federal Government guidelines with regards to US Postal Service Policy; IG disregarded new findings and included erroneous information in the 2008 Semi-Annual Report to Congress; AIG-Audit approves invoices for audits not performed or unacceptable; IG requested a sole source contract for a friend.

Disposition:

The IC accepted the IG's response to the allegations, therefore, complaint will be closed. The prohibited personnel practices allegations were referred to the Office of Special Counsel.

IC: 587

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b7c

Complainant: [REDACTED] Esq. for
[REDACTED]

Office of Inspector General (OIG)
Treasury Inspector General for Tax Administration (TIGTA)

Subject: Rodney Davis
Special Agent in Charge (SAC)
Intelligence Division - TIGTA
Michael Delgado,
Deputy Inspector General (DIG)
TIGTA

Date Opened: 10/13/2008


Allegations: That the OIG failed to request an independent investigation into allegations of Privacy Act violations and unauthorized disclosure by employees of the TIGTA-OIG.

Disposition: The IC accepted the IG's response to the allegations, therefore, complaint was closed.

IC: 605

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Complainant:


Administrator Officer,
Office of Inspector General (OIG)
Department of Health and Human Services (HHS)

Subject: Glenn Ferry
Special Agent in Charge (SAC)
OIG, HHS

Date Opened: 1/15/2009

Allegations: Abuse of authority.

Disposition: The IC accepted the IG's response to the allegations, therefore, complaint was closed.

IC: 609

Complainant: Anonymous

Subject: Fred Weiderhold
Inspector General (IG)
Amtrack

Date Opened: 3/18/2009

Allegation: That the IG abused his authority in time and attendance policies and travel expenses.

Disposition: The IC opined to accept the IG's response to the allegations, therefore, complaint was closed.

IC: 611

**Self Referral
Complainant:** Office of Inspector General
Community Futures Commission (CFC)

Subject: A. Roy Lavik,
Inspector General (IG)
CFC

Date Opened: 3/30/2009

Allegation: That the IG influenced and pre-selected a winning procurement bid.

Disposition: The IC will assign an uninvolved OIG office to handle the request by the IG to investigate the allegation.

Other Matters:

IC: 579

Complainant: GAO FraudNET

Subject:

[redacted]
~~Acting Inspector General (IG)~~

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[redacted]
Regional Director of the 28th Region
National Labor Relations Board (NLRB)

Date Opened: 7/22/2009

Allegations: Misconduct and retaliation by Acting IG [redacted] abuse of authority by
Director [redacted]

Disposition: The IC closed this complaint during the January 2009 IC meeting. The IG forwarded another complaint alleging that the IG acted improperly during the course of the investigation. The IC will forward the additional complaint to the Agency's EEO office.

Complaints Outside of the IC's Purview:

On 5/13/2009, the IC received a complaint alleging unethical methods, mismanagement and violations of law by the US Army Corps of Engineers - Office of Inspector General. On recommendation by the ICWG, the IC Chair will refer the complaint to the DOD IG for whatever action deemed appropriate.

Legislative Branch IG's:

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The submission of the annual list of designated senior staff personnel covered under the IC purview was discussed by [REDACTED] General Counsel and ICWG member. The IC received a great response from the IG community. The Legislative branch OIG's will have the option to participate or not, in the IC process.

Closing Remarks

The meeting concluded at approximately 11:30 a.m. with a reminder that the next IC meeting will be held during the month of September with the new IC Chair, Kevin L. Perkins.



Integrity Committee

Council of the Inspectors General for Integrity and Efficiency

Meeting Minutes of October 19, 2009

Notes of Program Analyst [REDACTED]

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Meeting Attendees

IC Members:

Kevin L. Perkins, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Aletha Brown, Inspector General (IG), Equal Employment Opportunity Commission (EEO)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Richard Skinner, Inspector General, Department of Homeland Security (DHS)
Robert Cusick, Director, Office of Government Ethics (OGE)

IC Working Group (WG) Members:

[REDACTED] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[REDACTED] Program Analyst, PCU, FBI
[REDACTED] Office of General Counsel, OGC, FBI

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I Opening Remarks

The working lunch meeting began at approximately 12:00 noon in the FBI's Criminal Investigative Conference Room. The new IC Chair, Mr. Perkins, was introduced and the IC reviewed the Threshold Standard definition for review by the IC.

II: NEW COMPLAINTS

IC: 620

Complainant:

[REDACTED]

Acting Inspector General
Department of State (DOS)

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b7c

Subject:

[REDACTED]

Assistant Inspector General (AIG)
Office of Inspector General (OIG)
Middle East Regional Office (MERO)

Date Opened: 4/14/2009

Allegation: That the AIG engaged in employee misconduct and prohibited personnel practices within the OIG, MERO, DOS.

Disposition: The IC will request that OIG, DOS, complete their internal investigation by conducting appropriate interview of the subject and provide subsequent results along with any administrative or disciplinary actions taken by the IG to the IC. The IC will also suggest to the IG, DOS, that he request a peer review by an uninvolved OIG to review MERO's adherence to GAGAS/Yellow Book standards. Additionally, the IC would suggest that OIG, DOS, provide the complainant with information on the Office of Special Counsel, to address any whistle-blower and prohibited personal practices

violations.

IC: 621

Complainant:

Dr.

b6
b7C

Self-Referral

Roderick H. Fillinger
Chief Counsel
Treasury Inspector General for Tax Administration (TIGTA)

Subject:

Steven M. Jones
Deputy Inspector General (DIG)
TIGTA

Date Opened:

7/13/2009

Allegation:

The DIG, TIGTA, made a false statement in responding to a Congressional inquiry.

Disposition:

The IC will close the complaint due to matter failing to meet the IC's threshold standards and lacks misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation.

IC: 622

Complainant:

b6
b7C

Self-Referral: Executive Office of the President
Office of Management and Budget

Subject: Office of Inspector General (OIG)
Tennessee Valley Authority (TVA)

Date: 7/13/2009

Allegations: Conflict of interest and fraud within the OIG, TVA.

Disposition: The IC will request that the IG respond to the allegations, in particular, the allegation that the OIG provides TVA management with the names of employees who were making confidential disclosures about criminal safety concerns at nuclear power plants in the TVA system.

IC: 623

b6
b7C

Complainant:

Referred by: Phyllis Fong, Chair
Council of Inspectors General for Integrity and Efficiency

Subject: John Seeba
Inspector General (IG)
Federal Trade Commission (FTC)

Date Opened: 7/13/2009

Allegation: That the IG, FTC, failed to enter consumer complaints into a law enforcement database and failed to respond to complainant.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. The IC will refer to the IG, FTC, for appropriate action.

IC: 624

Complainant: Anonymous

Referred by: GAO FraudNET and Phyllis Fong, Chair
Council of Inspectors General for Integrity and Efficiency

Subject: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

Date Opened: 6/19/2009

Allegations: That there is a conflict of interest and lack of independence by the IG,
DOD.

Disposition: The IC will close the complaint due to the matter failing to meet the
IC's threshold standards.

IC: 625

Complainant:

b6
b7C

Subject:

**Daniel Levinson
Inspector General (IG)
Department of Health & Human Services (HHS)**

Date Opened: 7/14/2009

Allegations: That the IG, HHS, failed to investigate complaint.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards. The IC will refer the complaint to the IG, HHS.

IC: 626

Complainant: Anonymous

Referred by: FBI Tip Line

Subject: David Kotz
Inspector General (IG)
Securities & Exchange Commission (SEC)

Date Opened: 7/22/2009

Allegations: That the IG, SEC, pre-selected contractors, creates a hostile work environment and abuses his authority.

Disposition: The IC will close the complaint due to lack of specificity of IG involvement and will refer the complaint back to the IG, SEC.

IC: 627

Complainant: Anonymous
Self-Referral: General Counsel
Department of Defense (DOD)

Subject: Gordon Heddell
Inspector General (IG)
Jim Burch
Deputy IG for Investigations (DIG)
DOD

Date Opened: 8/21/2009

Allegation: That the IG and DIG creates a hostile work environment and is engaged in the pre-selection of the Deputy Director position.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards.

IC: 628

b6
b7C

Complainant:



Referral by: Phyllis Fong, Chair
Council of Inspectors General for Integrity & Efficiency

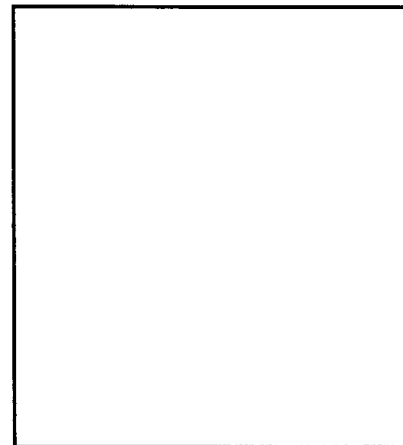
Subject: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

Date Opened: 8/31/2009

Allegation: That the IG, DOD, failed to investigate whistle blower complaints and failed to provide oversight to the Army IG.

Disposition: The complaint meets the IC's threshold standards and the IG will request that the IG, DOD, respond to the allegations.

Outside the Scope



IC: 629

Complainant:

b6
b7C

Subject: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

Date Opened: 9/29/2009

Allegations: That the IG, DOD, failed to investigate the merits of the complaint.

Disposition: The IC will close the complaint due to the matter failing to meet the IC's threshold standards.

IC: 630

Complainant:

b6
b7C

Referred by: Phyllis Fong, Chair
Council of Inspectors General for Integrity & Efficiency

Subject: Office Inspector General (OIG)
U.S. Postal Service (USPS)

Date Opened: 10/08/2009

Allegations: That the OIG, USPS, had discrepancies on an OIG report.

Disposition: The IC noted that due to insufficient factual basis provided for a complaint against the IG, the complaint will be closed.

III: IG Responses

IC: 592

Complainant:

b6
b7c

Subject: Eric Thorson
Inspector General (IG)
Department of Treasury (DOTr)

Date Opened: 11/05/2008

Allegations: That the IG, DOTr, failed to investigate allegations of fraud in connection with the Office of Thrift Supervision.

Disposition: At the 1/09 IC meeting, the IC requested that the IG respond to the allegation. On 3/09, the IG provided his interim response. In 7/09, the IG provided the IC with the final results from their internal investigation. On 10/16/2009, the IC accepted the IG's response to the allegations, therefore, complaint was closed.

IC: 594

Complainant: Anonymous

Referred by: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

Subject: Charles W. Beardall, Former
Deputy Inspector General for Investigations (DIGI)
DOD

Date Opened: 11/5/2008

Allegations: Abuse of authority and mismanagement by the DIGI, DOD

Disposition: At the 1/09 IC meeting, the IG was requested to respond to the allegations. The IC received the IG's response 6/10/09. The IC accepted the IG's response to the allegations, therefore, complaint was closed.

IC: 603

b6
b7c

Complainant:

[REDACTED]
Assistant Inspector General for Investigations (AIGI)
Wayne A. McElrath
Deputy (DIGI)
Environmental Protection Agency (EPA)

Referred by: FBI Tip Line

Subject: Bill Roderick
Inspector General (IG),
Mark Baliek
General Counsel (GC)
EPA

Date Opened: 1/9/2009

Allegation: That investigators assigned to the Oversight and Special Review Unit of the EPA are conducting law enforcement functions possibly in violation of the IG Act of 1978.

Disposition: At the 1/09 IC meeting, the IC requested that the IG respond to the allegations. The IG responded on 6/12/09. At the 10/16/09 IC meeting, the IC opined to refer the matter to the Investigations Committee of the Counsel of Inspectors General for Integrity and Efficiency for review. The IC closed the complaint.

IC: 607

**Complainant &
Self-Referral:** Karl Schornagel
Inspector General (IG)
Library of Congress (LOC)

Subject:

[REDACTED]

b6
b7C

Assistant Inspector General for Investigations (AIGI)

[REDACTED]

Special Agents, OIG
LOC

Date Opened: 3/18/2009

Allegation: At the direction of the AIGI, OIG, investigators inappropriately contacted Senate staffers by an e-mail message, which appeared to represent official OIG position, to amend the Omnibus Appropriations Act.

Disposition: At the 4/09 IC meeting, the IC requested that the IG provided the final results from their internal investigation upon completion. On 5/09, the IG provided the final results. At the 10/16/09 IC meeting, the IC accepted the response and closed the complaint.

IC: 618

Complainant: Anonymous

Referred by: Phyllis Fong
Inspector General (IG)
Department of Agriculture (DOA)

Subject: Office of Inspector General (OIG)
Treasury Inspector General for Tax Administration (TIGTA)

Date Opened: 4/30/2009

Allegation: Failure to deal with a sleeping employee, false and misleading testimony during a deposition, inappropriate assignments for OIG Special Agents and misuse of a government vehicle.

Disposition: At the 6/09 IC meeting, the IC requested that the IG provide a response to the allegations. On 6/29/09 the IG provided his response. The IC reviewed the IG's response at the 10/16/09 IC meeting and opined to accept the response and close the complaint.

IV: Other Matters

IC: 611

**Self Referral
Complainant:** Office of Inspector General (OIG)
Community Futures Commission (CFC)

Subject: A. Roy Lavik
Inspector General (IG)
CFC

Date Opened: 3/30/2009

Allegation: That the IG influenced and pre-selected a winning procurement bid.

Disposition: During the 6/09 IC meeting, the IC opined to assign an uninvolved OIG to handle the administrative investigation. The ICWG assigned the Equal Employment Opportunity Commission (EEOC), OIG, to handle the investigation. At the 10/16/09 IC meeting, the IG, EEOC, gave an update on the status of the investigation and anticipated completing the investigation by the next IC meeting.

Complaints Outside of the IC's Purview:

On 6/29/2009, the IC received a complaint alleging that [redacted] Chairman of the National Mediation Board, has been injecting himself in the normal handling of the Air Line Pilots Association (ALPA) cases, and in particular, a case involving Pinnacle Airlines. His involvement has been to the benefit of ALPA which he relies on ALPA support for his re-confirmation in exchange for his preferential handling of the case. On recommendation by the ICWG, the IC Chair will refer the complaint to the Inspector General for Department of Transportation, for whatever action deemed appropriate.

b6
b7c

On 9/8/2009, the IC received an anonymous complaint against [redacted] Senior Intelligence Specialist, Texas Service Center (TSC), US Citizenship and Immigration Services (CIS) of Dallas, Texas; for policy violations. Additional allegations were made against [redacted] Unit Chief, TSC, CIS, for privacy act violations, false statements and ethics violations, [redacted] TSC, CIS, for policy violations and David Roark, Director, TSC, CIS, for lack of accountability or knowledge of policies and procedures. On recommendation by the ICWG, the IC Chair will refer the complaint to the Inspector General for the Department of Homeland Security, for whatever action deemed appropriate.

Discussion of IC 614

The IC Chair discussed his position regarding IC 614.

V: Closing Remarks

The meeting concluded at approximately 1:30 a.m. with a reminder that the next IC meeting will be held during the month of January, 2010. All IC Members participated in a 2:00 pm tour of SIOC.



Integrity Committee

Council of the Inspectors General for Integrity and Efficiency

Meeting Minutes of February 1, 2010
Notes of Program Analyst [REDACTED]

b6
b7C

Meeting Attendees

IC Members:

Kevin L. Perkins, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Adam Trzeciak, Inspector General (IG), Federal Maritime Commission (FMC)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Richard Skinner, Inspector General, Department of Homeland Security (DHS)
Robert Cusick, Director, Office of Government Ethics (OGE)

IC Working Group (WG) Members:

[REDACTED] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[REDACTED] Program Analyst, PCU, FBI
[REDACTED] Office of General Counsel, OGC, FBI

b6
b7C

I Opening Remarks

The working lunch meeting began at approximately 1:30 pm in the FBI's Criminal Investigative Conference Room. Mr. Perkins welcomed Adam Trzeciak as the newest member of the IC.

2010

II: NEW COMPLAINTS

IC: 631

b6
b7C

Complainant:

Subject: John Helgerson,
Former Inspector General (IG)
Central Intelligence Agency (CIA)

Date Opened: 11/02/2009

Allegation: That the former IG failed to investigate complaints that certain FOIA/PA information was not provided to complainant. Failure to provide complainant with information necessary for him to receive additional Veteran's disability benefits.

Disposition: The IC noted that the allegation is against a "former" IG and fails to meet the threshold standards for IC review. The IC will refer to the IG, CIA for appropriate action and close complaint.

IC: 632

Complainant:

b6
b7C

Subject: Daniel Levinson
Inspector General (IG)
Department of Health and Human Services (HHS)

Date Opened: 11/08/2009

Allegation: That the IG, HHS failed to investigate allegations of mismanagement by the Director of the HHS, Region II, Civil Rights Office, NY.

Disposition: The IC noted that the complaint failed to meet the threshold standards for IC review. The IC will refer to the IG, HHS, for appropriate action and close complaint.

IC: 633

Complainant: Gordon S. Heddell
Inspector General (IG)
Department of Defense (DOD)

Subject: Office of Inspector General (OIG)
United States Postal Service (USPS)

Date: 12/07/2009

Allegations: Unauthorized changes to a USPS OIG report and possible violations of Title 18 USC.

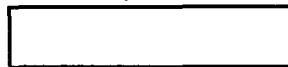
PIN Review: Declined further review.

Disposition: The IC will request that the IG, USPS, respond to USPS reasoning for performing their own internal review of the allegation. Secondly, who would be the "chain-of-command" to the audit specialists (who admitted performing the unauthorized changes to the report), and was the "chain of command" in a designated position under IC purview?

IC: 634

Complainant: Anonymous

Referred by:



b6
b7C

Associate Special Counsel,
Office of Special Council (OSC)

Subject: Francine C. Eichler
Inspector General (IG)
Federal Labor Relations Authority (FLRA)

Date Opened: 12/07/2009

Allegations: Inappropriate behavior of an IG.

Disposition: The IC noted that the IG, FLRA retired at the end of 2009. The IC will close the complain..

IC: 635

Complainant: Anonymous

Referred by: Deputy Inspector General (DIG)
Nuclear Regulatory Commission (NRC)

Subject:



b6
b7C

Assistant Inspector General for Investigations (AIGI)
Nuclear Regulatory Commission (NRC)

Date Opened: 12/4/2009

Allegations: That the AIGI, NRC, failed to act regarding time and attendance abuse by a Special Agent.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review. Additionally, the complaint is against a "former" DIGI and does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer the complaint to the IG and close the complaint.

IC: 636

Complainant: Anonymous

Subject: Gregory H. Friedman
Inspector General (IG)
Department of Energy (DOE)

Date Opened: 12/08/2009

Allegation: That the IG, DOE failed to investigate inappropriate and unethical management practices at the Albuquerque Field Office, DOE.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review. The complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, DOE and will close the complaint.

IC: 637

Complainant: Anonymous

Referred by: FBI Tip Line

Subject: Hubert Bell
Inspector General (IG)

b6
b7c


Assistant IG for Investigations (AIGI),
Nuclear Regulatory Commission (NRC)

Date Opened: 12/16/2009

Allegations: That the IG and AIGI, NRC, appear to have a conflict of interest in conducting an investigation regarding a criminal investigator in the Atlanta office, as well as, violations of the Quality Standards for Investigations.

Disposition: The IC noted that the complaint fails to meet the threshold standards as well as the complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, NRC and close the complaint.

IC: 638

b6
b7C

Complainant:



**Executive Director,
Public Employees for Environmental Responsibility (PEER)**

Subject:

**Gordon Heddell
(Former) Inspector General (IG)
Department of Labor (DOL)**

Date Opened:

12/28/2009

Allegations:

That the former IG, DOL, failed to provide an accurate Memorandum of Investigation of the Spadaro-Martin County allegations to include the interview of all appropriate and relevant witnesses.

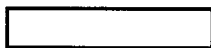
Disposition:

The IC noted that the complaint fails to meet the threshold standards for IC review. The IC will refer to the IG, DOL and close complaint.

IC: 639

Complainant: Anonymous

Referred by:



b6
b7C

**Counsel to the Inspector General
Department of Education (DOEd)**

Subject: Jack Higgins
(Former) Inspector General (IG)
Mary Mitchelson,
Deputy IG
DOEd

Date Opened: 01/08/2010

Allegations: Time and Attendance abuse by the former IG, DOEd.

Disposition: The IC noted that the complaint is against the "former" IG and therefore is outside of the IC's purview. The IC will refer the complaint back to the Counsel to the IG for appropriate action and close complaint.

IC: 640

Complainant: Request Confidentiality

Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)

Date Opened: 1/19/2010

Allegation: That the IG, AIGI and Deputy AIGI, USPS, are involved in illegal hiring practices, prohibited personnel practices, whistle-blower retaliation, abuse of authority and violation of a law, rule and regulation.

Disposition: Due to the fact that the complainant is in a position of authority and has detailed knowledge of the allegations, the IC opined to assign an OIG to conduct the administrative investigation into the allegations.

III: IG Responses

IC: 628

b6
b7C

Complainant:

Referral by: Phyllis Fong, Chair
Council of Inspectors General for Integrity & Efficiency

Subject: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

Date Opened: 8/31/2009

Allegation: That the IG, DOD, failed to investigate whistle blower complaints and failed to provide oversight to the Army IG.

Disposition: The complaint meets the IC's threshold standards and the IG will request that the IG, DOD, respond to the allegations

Narrative & Disposition:

On 11/18/2009, the IG provided the IC with a copy of the results from an OIG investigation of the allegations. The IC accepted the IG's response and will close the complaint.

IV: Other Matters

1. Complaint from the FBI Albany Division (SA's)

2. Examples of IC letters

3. IC: 611
Self Referral Office of Inspector General (OIG)
Complainant: Community Futures Commission (CFC)
Subject: A. Roy Lavik
Inspector General (IG), CFC
Date Opened: 3/30/2009
Allegation: That the IG influence/pre-selected a winning procurement bid.
Disposition: During the 6/09 IC meeting, the IC opined to assign an uninvolved OIG to handle the administrative investigation. The ICWG assigned the Equal Employment Opportunity Commission (EEOC), OIG, to handle the investigation. At the 10/16/09 IC meeting, the IG, EEOC, gave an update on the status of the investigation and anticipated completing the investigation by the next IC meeting.
Narrative: EEOC investigators are still reviewing the numerous documents obtained during the administrative investigation. The final report will be completed in time for the April IC meeting.

4. IC: 622
Complainant: [REDACTED] b6
Self-Referral: Executive Office of the President b7C
Office of Management and Budget
Subject: [REDACTED]
Acting Inspector General, Department of Labor (DOL)
Date: 1/22/2010
Allegations: Conflict of interest and fraud with the DOL IG and OSHA.
Disposition: The IC recommends no further action on this complaint. The IC will refer to the IG, DOL for appropriate action and will file this complaint with the original complaint #622.

5. Change from FBI Representative to an IC Member to provide the monthly status report during the monthly CIGIE meeting.

Complaints Outside of the IC's Purview:

On 12/2/2008, the IC received a complaint alleging a conflict of interest between the IG, Nuclear Regulatory Commission (NRC) and the Chairman of the NRC. The complainant alleges that the IG failed to take action against the Florida Power and Light Company for violation of the complainants protection provision under 10 C.F.R. 50.7 (discharging the complainant from his employment at the Turkey Point Nuclear Plant in 1988). The IC will refer the complaint to the IG, NRC for whatever action deemed appropriate.

On 12/17/2009, the IC received a complaint from a group of individuals from the Boaz Foundation (a tax exempt/non-profit organization) alleging that the IG, Treasury Inspector general for Tax Administration failed to provide a response to their submitted complaints. The IC will refer the complaint to the IG, Treasury Inspector General for Tax Administration for whatever action deemed appropriate.

V: Closing Remarks

The meeting concluded at approximately 3:00 p.m. with a reminder that the next IC meeting will be held during the month of April 2010.



Integrity Committee

Council of the Inspectors General for Integrity and Efficiency

Meeting Minutes of April 28, 2010
Notes of Program Analyst [redacted]

b6
b7C

Meeting Attendees

IC Members:

Kevin L. Perkins, Assistant Director, Criminal Investigative Division (CID), FBI (Chair)
Adam Trzeciak, Inspector General (IG), Federal Maritime Commission (FMC)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Robert Cusick, Director, Office of Government Ethics (OGE)

* IG Skinner provided written notes to the complaints which were reviewed by the ICWG.

IC Working Group (WG) Members:

[redacted] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[redacted] Program Analyst, PCU, FBI
[redacted] Office of General Counsel, OGC, FBI

b6
b7C

I Opening Remarks

The meeting began at approximately 10:00 am in the FBI's Criminal Investigative Conference Room. [redacted] will lead the review of the IC cases in place of SSA [redacted] who was on travel. The IC will discuss the Administrative Investigations first, IG responses next and follow up with new cases and other matters.

II: Administrative Investigations

IC: 611

Self Referral Office of Inspector General (OIG)

Complainant: Community Futures Commission (CFC)

Subject: A. Roy Lavik
Inspector General (IG), CFC

Date Opened: 3/30/2009

Allegation: That the IG influence/pre-selected a winning procurement bid.

Narrative: During the 6/09 IC meeting, the IC opined to assign an uninvolved OIG to handle the administrative investigation. The ICWG assigned the Equal Employment Opportunity Commission (EEOC), OIG, to handle the investigation. At the 10/16/09 IC meeting, the IG, EEOC, gave an update on the status of the investigation and anticipated completing the investigation by the next IC meeting in April, 2010. The IC received the Report of Investigation (ROI) on April 20 2010.

ROI Findings: The Contracting Officer's perception that the IG coerced or pressured two other members of the Technical Evaluation Committee were not supported by the testimony of the two key members. There is insufficient evidence to support a finding that the IG exerted improper influence over the procurement process of the financial audits.

Disposition: The IC members reviewed portions of the ROI and would like the IC to proceed with its Policy & Procedures allowing IG Lavik the opportunity to provide comment after reviewing the ROI. The IC members will review the entire ROI and IG Lavik's comments and will provide a final resolution in the matter by a conference call vote.

IC: 640

Complainant: Request Confidentiality

Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)

Date Opened: 1/19/2010

Allegation: Illegal hiring practices, PPPs, Whistle-blower retaliation, abuse of authority, violation of law, rule and regulation.

Narrative:

b5

III: IG Responses

IC: 622

b6
b7c

Complainant:

Self-Referral: Executive Office of the President
Office of Management and Budget (OMB)

Subject: Office of Inspector General (OIG)
Tennessee Valley Authority (TVA)

Date: 7/13/2009

Allegations: Conflict of interest and fraud within the OIG, TVA.

Narrative: The IC will request that the IG respond to the allegations, in particular, the allegation that the OIG provides TVA management with the names of employees who were making confidential disclosures about criminal safety concerns at nuclear power plants in the TVA system. The IG responded to the allegations on 2/18/2010.

Disposition: The IC opined that the IG's response was vague because the IC could not provide specific information without the possibility of identifying the complainant. The IC will contact the complainant for specific information in order to provide to the IG, so that he may respond to a specific instance in which the complainant identified that names were passed on to the management and subsequent retaliation ensued.

IV: New Complaints:

IC: 642

Complainant: Anonymous

Subject: Patrick O'Carroll
Inspector General (IG)
Jim Kissko
Deputy IG
Social Security Administration (SSA)

Date Opened: 2/24/2010

Allegation: That the IG and DIG are engaged in racism, sexism and cronyism in the performance of their official duties and failed to uphold the mission of the OIG.

Disposition: The IC reviewed the complaint and noted that the allegation lacks potential merit and is not supported by meaningful documentation. The IC will refer the complaint to the IG, SSA for appropriate action and close complaint.

IC: 643

Complainant:

[Redacted]

b6
b7c

Subject:

[Redacted]

**Assistant Inspector General (AIG)
Nuclear Regulatory Commission (NRC)**

Date Opened: 3/12/2010

Allegation: That the AIG, NRC abused his authority, demonstrated extreme favoritism and created a hostile work environment.

Disposition: The IC reviewed the complaint and requested that the ICWG ask the complainant to provide specifics about the complaint and meaningful documentation regarding the specific allegations for review by the IC review at their next meeting.

IC:

644

b6
b7C

Complainant:

Referred by:

Mike Carroll

Deputy Inspector General (DIG)

US Agency for International Development (USAID)

Subject:

Donald Gambatesa

Inspector General (IG)

USAID

Date:

3/12/2010

Allegations:

That the IG rescinded a statutorily mandated comparability pay adjustment during pay periods 17, 18, and 19 of calendar year 2009. The complainant was improperly charged for a salary overpayment of \$600.

Disposition:

The IC noted that the allegation is outside of the IC's purview and will refer back to IG and close complaint.

IC: 646

Complainant: Anonymous

Referred by: Lynne A. McFarland
Inspector General (IG)
Federal Election Commission (FEC)

Subject: b6
Special Assistant to the IG b7C
FEC

Date Opened: 4/9/2010

Allegations: Inappropriate use of government equipment.

Disposition: The complaint does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation or request an investigation by another OIG. The IC recommends that the IG request another OIG to conduct the investigation and close complaint.

IC:

647

b6
b7C

Complainant:

Subject:

**Patrick McFarland
Inspector General (IG)
Office of Personnel Management (OPM)**

Date Opened:

4/19/2010

Allegations:

That the IG, OPM, failed to investigate complaint.

Disposition:

The IC noted that the complaint fails to meet the threshold standards for IC review, but, will refer complaint to the IG, OPM and ask that the IG coordinate with OPM's Quality Assurance Group to contact complainant with a status on her complaint. The IC will close the complaint.

IC: 648

Complainant:

[Redacted]

b6
b7C

Referred by:

[Redacted]

**Acting Inspector General (IG)
Environmental Protection Agency (EPA)**

Subject:

[Redacted]

**Acting IG
EPA**

Date Opened: 2/12/2010

Allegation: Gross mismanagement and failure to investigate complaint.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review. The complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, EPA and will close complaint.

IC: 650

Complainant: Anonymous

Referred by:

[Redacted]

Assistant Inspector General (AIG)
Environmental Protection Agency (EPA)

b6
b7C

Subject:

Bill Roderick
Inspector General (IG)

[Redacted]

Associate IG and Counsel
EPA

Date Opened: 4/23/2010

Allegations: Abuse of Authority

Disposition: The IC noted that the complaint fails to meet the threshold standards as well as the complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, EPA and close complaint.

IC: 649

Complainant:

b6
b7C

Subject:

**Phyllis Fong
Inspector General (IG)
Department of Agriculture (DOA)**

Date Opened: 4/23/2010

Allegations: Failure to investigate complaint.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review because it is not directed at the IG or designated staff member. The IC will refer to the IG, DOA and close complaint.

IC: 651

Complainant:

b6
b7C

Subject: Daniel Petrole
Deputy Inspector General (DIG)
Department of Labor (DOL)

Date Opened: 4/23/2010

Allegations: Failed to investigate complaint against two OSHA employees.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review. The IC will refer to the IG, DOL and close complaint.

IC: 652

Complainant:

b6
b7C

Subject: Dr. George Ellard
Inspector General (IG)
National Security Agency (NSA)

Date Opened: 4/23/2010

Allegation: Failure to investigate complaint.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review. The IC will refer to the IG, NSA and close complaint.

IC: 641

Complainant:



Former Area Port Director
Customs and Border Protection (CBP)

b6
b7C

Subject: Office of Inspector General (OIG)
Department of Homeland Security (DHS)

Date Opened: 2/16/2010

Allegation: Investigators from the Bellingham/Blaine OIG, DHS, lacked professionalism during an interview of the complainant. The Seattle Special Agent in Charge, DHS, allowed an inaccurate statement in the report of interview.

Disposition: The IC notes that the complaint fails to meet the threshold standards for IC review because it does not concern the IG or a designated staff member. The IC will refer to the IG, DHS and close complaint. The IC will advise the complainant that her remedy, if any, is to request that the agency to correct and maintain an accurate record.

IC: 645

Complainant:

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b7C

Subject: Richard Skinner
Inspector General (IG)
Office of Inspector General (OIG)
Department of Homeland Security (DHS)

Date Opened: 3/25/2010

Allegation: Abuse of Authority: The IG, DHS is abusing the power of the OIG by using an administrative inquiry to intimidate CBP-IA agents and executive managers.

Gross Mismanagement: OIG SAIC is using position for disruption and obstruction of CBP-IA investigative efforts. The IG is expending resources outside of its mission. The OIG is investigating CBP-IA's legitimate efforts to identify administrative misconduct within the agency.

Gross Waste of Funds:
Waste of Government resources to investigate work of CBP-IA.

Violation of law, rule or regulation:
SAIC is operating outside of the CIGIE Quality standards for Investigation. IG appears to be taking improper actions under the color of office and failing to document actions in the official IG case management system.

Disposition: The IC notes that the complaint fails to meet the threshold standards for IC review. The IC will refer to the IG, DHS and close complaint.

V: Other Matters

Complaints Outside of the IC's Purview:

On 1/29/2010, the IC received a complaint from the Huntsville Community Rights Association, Huntsville, Alabama, alleging that the Alabama Housing Authority and the Huntsville Housing Authority are engaged in wasteful spending. The IC will refer the complaint to the IG, HUD, for whatever action deemed appropriate.

On 2/24/2010, the IC received a complaint from [redacted] of Coral Gables, Florida, alleging that Region II of the Nuclear Regulatory Commission failed to investigate an allegation against Florida Power and Light. The IC Chair will refer the complaint to the IG, NRC for whatever action deemed appropriate.

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On 3/30/2010, the IC received a complaint from [redacted] of New Jersey, alleging that false and misleading statements made by an Assistant Special Agent in Charge from the Chicago Office, DOJ. The IC Chair will refer to the IG, DOJ, for whatever action deemed appropriate.

On 4/20/2010, the IC received a copy of a motion filed in the WD Arkansas court by [redacted] of Harrison, AR, alleging that the Office of Inspectors General from DOL, OSHA, SSA and USDA failed to communicate with him. The IC Chair will take no further action in this matter.

[redacted]
On 4/9/2010, [redacted] of Merritt Island, Florida, contacted the IC requesting that the IC ask for special dispensation from President Obama, for the IC to investigate retaliation suffered by him, by the Office of Inspector General, NASA, during a Shuttle Safety concern whistleblower investigation. Mr. [redacted] states that the OIG Investigator gave him a promise of protection for participating in the investigation that was not forthcoming. Mr. [redacted] was subsequently fired. The IC investigated numerous complaints against the NASA IG in 2006. The IC empathized with Mr. [redacted] situation but opined not to go forward with any further investigation of this matter.

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VI: Closing Remarks

The meeting concluded at approximately 11:20 a.m. with a reminder that the next IC meeting will be held during the Summer.



Integrity Committee

Council of the Inspectors General for Integrity and Efficiency

Meeting Minutes of July 29, 2010
Notes of Program Analyst [redacted]

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Meeting Attendees

IC Members:

Adam Trzeciak, Inspector General (IG), Federal Maritime Commission (FMC)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM)
Richard Skinner, IG, Department of Homeland Security (DHS)
Robert Cusick, Director, Office of Government Ethics (OGE)

IC Working Group (WG) Members:

Nancy McNamara, Section Chief, Public Corruption/Civil Rights, FBI
[redacted] Supervisory Special Agent (SSA), Public Corruption Unit (PCU), FBI
[redacted] SSA, PCU, FBI
[redacted] Office of General Counsel, OGC, FBI
[redacted] Program Analyst, PCU, FBI

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I Opening Remarks

The meeting began at approximately 1:00 pm in the FBI's Criminal Investigative Conference Room. Nancy McNamara chaired the review of the IC cases in place of Kevin Perkins, Assistant Director, Criminal Investigative Division, who was unable to attend the meeting. The IC will discuss the Administrative Investigations first, IG responses next and follow up with new cases and other matters.

II: Administrative Investigations

IC: 611
Self Referral Office of Inspector General (OIG)
Complainant: Community Futures Commission (CFC)
Subject: A. Roy Lavik
Inspector General (IG), CFC
Date Opened: 3/30/2009
Allegation: That the IG influence/pre-selected a winning procurement bid.

Narrative:

[Redacted Narrative]

b5

[Redacted Narrative]

Disposition: The IC members reviewed portions of the ROI and would like the IC to proceed with its Policy & Procedures allowing IG Lavik the opportunity to provide comment after reviewing the ROI. The IC members will review the entire ROI and IG Lavik's comments and will provide a final resolution in the matter by a conference call vote.

Status: On 6/4/2010, the IC forwarded the ROI along with a letter of the findings, opinions and recommendations to the CIGIE Executive Chairperson and CFTC Administrator. The IG, CFTC, received a copy of the IC's letter transmitting the report to the CIGIE Executive Chairperson and the CFTC Administrator. On 7/16/2010, the IC forwarded an executive summary of the report to those Committees of the House and Senate designated in the IG Reform Act within 30 days of providing the report to the Executive Chairperson of the Council. The IC Chairman will notify both the individual making the complaint and the respondent IG when the case is closed. Since the IC has not yet received any further communications from either the Executive Chair of CIGIE nor the CFTC Director, the matter will be administratively closed at the next IC meeting.

IC: 640

Complainant: Request Confidentiality

Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)

Date Opened: 1/19/2010

Allegation: Illegal hiring practices, PPPs, Whistle-blower retaliation, abuse of authority, violation of law, rule and regulation.

Narrative:

[Redacted Narrative Content]

b5

Status: On 6/4/10, TIGTA OIG investigative plan was reviewed and approved by the ICWG. All respondents (subjects) were notified by letter dated 7/7/10. Administrative investigation is underway. TIGTA OIG anticipates a completed ROI by Fall 2010.

III: IG Responses

IC: 633

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b7C

Complainant:

Subject: Office of Inspector General (OIG)
United States Postal Service (USPS)

Date: 12/07/2009

Allegations: Unauthorized changes were made to a USPS OIG report and possible violation of Title 18 USC.

Narrative:

b5

Disposition: On July 2, 2010, the IG, USPS, responded to the IC's letter with an explanation. After reviewing the IG's response letter, the IC opined that the IG's response was sufficient and that no further action is required.

IV: New Complaints:

IC: 653

Complainant:

Senior Audit Advisor

Federal Communications Commission (FCC)

b6
b7C

Subject:

Acting Inspector General

Assistant IG for Management

FCC

Date Opened:

6/1/2010

Allegation: That the Acting IG and AIGM use their office for private gain, violated the antideficiency act, merit principles, appropriation law, competition in contracting act, obstructed a federal audit, engaged in nepotism, mismanaged the FCC IG's office and wasted federal funds.

Disposition:

[Redacted Disposition]

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IG Skinner was recused from discussion of the following complaint.

IC: 654

Complainant:

b6
b7C

**Former Special Agent,
Immigration and Customs Enforcement (ICE),
Department of Homeland Security (DHS)**

Subject: Richard Skinner,
Inspector General (IG)
DHS

Date Opened: 6/2/2010

Allegation: That the IG, DHS, failed to investigate his complaint and mismanaged the complaint of misconduct by a DHS ICE OPR manager by forwarding his complaint to DHS, ICE, OPR.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review, but, will refer complaint to the IG, DHS, for appropriate action.

IC: 655

b6
b7c

Complainant:

Subject: Office of Inspector General (OIG)
Veterans Affairs (VA)

Date: 6/14/2010

Allegations: That the OIG mismanaged an investigation, lied to a Member of Congress and complainant suffered retaliation after reporting the complaint to the IG.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review, but, will refer complaint to the IG, VA, and close complaint.

Members of the FBI ICWG is recused from discussion of the following complaint.

IC Member Patrick McFarland will chair the discussion of the following complaint.

IC: 656

**Complainant: Congressman Frank R. Wolf
House of Representative**

**Subject: Glenn Fine
Inspector General (IG)
Department of Justice (DOJ)**

Date Opened: 6/14/2010

Allegations: That the IG failed to open an investigation into whether improper political influence contributed to the dismissal of U.S. v. New Black Panther Party.

Disposition:

IC: 657

Complainant:



b6
b7C

Self-Referral:

Phyllis Fong

Subject:

**Phyllis Fong
Inspector General (IG)
Department of Agriculture (USDA)**

Date Opened:

6/17/2010

Allegations:

That the IG, USDA, is stonewalling an investigation regarding the prevention of infected meat from entering the human food chain.

Disposition:

The IC noted that the complaint fails to meet the threshold standards for IC review, and will close the complaint.

IC: 658

Complainant:

Judge [REDACTED]
[REDACTED]

Former Office of Inspector General Investigator,
Department of State (DOS)

Self-Referral by:

Patricia Lewis and [REDACTED]

b6
b7C

Subject:

Patricia Lewis,
Inspector General (IG)
Central Intelligence Agency (CIA)

[REDACTED]
Acting IG,
DOS

Date Opened:

6/14/2010

Allegation:

Misconduct occurred in the IG's office at both the DOS and the CIA. The rewriting of a DOS OIG investigative report and the forging of the DOS OIG investigator's name on the report written by the OIG Chief Counsel to the IG.

Disposition:

The IC discussed the matter and decided that the complaint has two separate issues. The IC also notes that the PIN section is still reviewing portions of the complaint for possible criminal violations. The IC opined that the IC will initially seek a response from the IG, DOS, as to why one of the key individuals involved in this 10 (+) year old lawsuit is still on the employment roles for DOS? The IC will continue to discuss the matter at the next IC meeting.

IC: 659

b6
b7C

Complainant:

Subject: Office of Inspectors General
Department of Transportation (DOT)

Date Opened: 6/24/2010

Allegations: Faulty investigation by OIG, DOT of the alleged misinformation contained in the Environmental Impact Statement for the "Entrance to Aspen" project. Complainant alleged false data was created to support a predetermined political preference in direct violation of federal guidelines and regulations.

Disposition: The IC noted that the complaint fails to meet the threshold standards as well as the complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, DOT and close complaint.

IC: 660

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b7C

Complainant:



Subject: Gregory Friedman
Inspector General (IG)
Office of Inspector General (OIG)
Department of Energy (DOE)

Date Opened: 6/28/2010


Allegations: That OIG, DOE and the National Nuclear Security Administration (NNSA), Lawrence Livermore National Laboratory (LLNL) approved an NNSA self-assessment of his complaint, which represents a conflict of interest. That OIG, DOE and a number of NNSA managers were working together to review complainant's "truncated" complaint. That the OIG, DOE completely ignored the waste aspects of his complaint. That the OIG, DOE FOIA process was not transparent and the FOIA documents do not support the OIG, DOE, NNSA's findings. That the OIG, DOE failed to address the impact that LLNL employee practices have had on complainant's career. That the OIG, DOE needs to redefine its mission statement to focus on supported objectives.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review because it does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation or request an investigation by another OIG. The IC will refer to the IG, DOE and close complaint.

IC: 661

Complainant:

Self-Referral:


Gregory Friedman
Inspector General (IG)
Department of Energy (DOE)

b6
b7C

Subject: Office of Inspector General, (OIG)
DOE

Date Opened: 6/20/2010

Allegations: That the OIG, DOE exempted taxpayer-funded litigation costs for a DOE contractor from OIG oversight. Has suborned perjury relating to ongoing litigation. Ignored evidence of fraud by a DOE contractor.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review because it does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation or request an investigation by another OIG. The IC will refer back to the IG, DOE and close complaint.

IC: 662

Complainant: [REDACTED] (LNU)

Self-Referral: Richard Moore
Inspector General (IG)
Tennessee Valley Authority (TVA)

b6
b7C

Subject:

[REDACTED]
Former Assistant Inspector General for
Administration and Government Relations

Date Opened: 6/4/2010

Allegation: That the former Assistant IG for Administration and Government
Relations made unwanted and inappropriate contacts to a female
subordinate.

Disposition: Due to the resignation of the subject, the matter had been resolved and
the ICWG recommends case closure.

IC: 663

Complainant: Anonymous
Self Referral: Arthur A. Elkins Jr.
Inspector General (IG)
Environmental Protection Agency (EPA)

Subject:
Acting Deputy Assistant IG
EPA

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b7C

Date Opened: 7/27/2010

Allegation: Fraud, Waste and Abuse regarding the temporary duty, per diem, and travel costs.

Disposition: The IC will request that the IG respond to the allegation.

V: Other Matters

Complainants Requesting Reconsideration:

[redacted] IC# 525 (Illegal implanted device) In October 2009, the IC reviewed a complaint from [redacted] of Forrest City, AK. Mr. [redacted] alleged that the IG, HHS failed to investigate his complaint that he was subjected to abusive, unauthorized, and an illegal implanted device while employed by the US Army. The IC determined that the allegations did not meet the IC's threshold standards and referred the complaint to the IG, HHS. Mr. [redacted] has again contacted the IC alleging that the OSC "failed to investigate" his submitted information. Recently, Mr. [redacted] contacted the IC regarding allegations that both the HHS and DOD IG's violated the "common rule" and Federal law section 503 of the Rehabilitation Act of 1973. DOD IG violated 45 CFR 46, Subpart A "Assurance of Compliance" and failed to turn over the information to the Attorney General. The "sponsor" of the "program" is suppose to operate within HHS's Title 45 part 46 in the Treatment of Human Research Subjects. Complainants states that he was not compensated for his "participation". "Assurance of Compliance" – measures instituted by a government agency to ensure that the provisions of its regulations are being met. *NSA is not part of CIGIE. b6 b7C

[redacted] IC# 652 (IG failure to provide CIA surveillance files) In April, 2010 IC meeting, the IC reviewed a complaint from [redacted] of Novato, CA. Mr. [redacted] alleged failure to provide his surveillance files and other wrongdoings by the IG, NSA. The IC determined that the allegations do not fall under the IC's purview* and referred the complaint to the IG, NSA. Mr. [redacted] again contacted the IC alleging that the IG, CIA failed to investigate his complaint and lacks independence. b6 b7C

[redacted] IC#575 (Failure by SBA & DOJ IG to investigate contractors who misrepresented themselves as small disadvantaged businesses) In April, 2008 IC meeting, the IC reviewed a complaint from [redacted] of Rockville, Maryland. Mr. [redacted] recently wrote to the IC on June 17, June 22 and June 30, 2010 to request that the IC reconsider the decision to close his complaint (IC# 575). Mr. [redacted] alleged failure by the SBA and DOJ IG's to take action that major contractors who misrepresented their status as small disadvantaged businesses in order to acquire contracts set-aside for small disadvantaged business participating in the SBA 8(a) program

[redacted] IC#647 (IG failed to investigate evidence of fraud in connection with her annuity) In April, 2010 IC meeting, the IC reviewed a complaint from [redacted] of Millsboro, DE. Ms. [redacted] alleged that the IG OPM failed to investigate her complaint. The IC requested that IG McFarland respond to complainant. On July 21st, Ms. [redacted] again contacted the IC to complain that Norbert Vint, Deputy IG, OPM, responded to her but failed to initiate an investigation into her alleged evidence of fraud, misconduct, abuse and waste of taxpayer money in connection with her annuity. b6 b7C

The IC members reconsidered all complaints and opined to affirm their original positions of disposition. Recommended that a final letter from the IC to the above listed complainants advising that the IC regrets that we will be unable to assist them any further.

CIGIE Request:

Request from the CIGIE Chair to be advised of the IG involved with a pending Administrative investigation and the OIG leading the Administrative Investigation. The IC discussed the matter and opined that it would recommend to CIGIE to contact the IC directly if CIGIE receives any inquiries regarding possible matters before the IC, thus, no need to involve CIGIE with any of the IC matters. All agreed to keep the present system in place, no notification to CIGIE of the IG involved in a pending Administrative investigation nor the OIG assigned to lead the investigation.

OSC Representation

The IC members discussed the present situation at OSC. The IC members opined to request that Bill Reukauf, Counsel to the OSC, be requested to join the IC, as the OSC representative, until the President selects a replacement.

VI: Closing Remarks:

The meeting concluded at approximately 2:30 p.m. with a reminder that the next IC meeting will be held in October.

Integrity Committee
Council of the Inspectors General for Integrity and Efficiency



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b7C

Meeting Minutes of October 22, 2010
Notes of [redacted] Management and Program Analyst

Attendees

IC Members:

Adam Trzeciak, Inspector General (IG), Federal Maritime Commission (FMC)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
Patrick McFarland, IG, Office of Personnel Management (OPM) (via telephone)
Richard Skinner, IG, Department of Homeland Security (DHS)
Robert Cusick, Director, Office of Government Ethics (OGE)
[redacted] Acting Special Counsel, Office of Special Counsel (OSC)

IC Working Group (WG) Members:

[redacted] Acting Section Chief, Public Corruption/Civil Rights, FBI
[redacted] Unit Chief, Public Corruption Unit (PCU), FBI
[redacted] Supervisory Special Agent (SSA), PCU, FBI
[redacted] SSA, PCU, FBI
[redacted] Office of General Counsel, OGC, FBI
[redacted] Program Analyst, PCU, FBI

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I Opening Remarks

The meeting began at approximately 10:00 pm in the FBI's Criminal Investigative Conference Room. The IC will discuss the Administrative Investigations first, IG responses next and follow up with new cases and other matters.

II: Administrative Investigations

IC# 611

Self Referral Office of Inspector General (OIG)
Complainant: Community Futures Commission (CFC)
Subject: A. Roy Lavik
 Inspector General (IG), CFC
Date Opened: 3/30/2009
Allegation: That the IG influence/pre-selected a winning procurement bid.

Narrative:

[Redacted Narrative Content]

b5

Disposition: The IC members reviewed portions of the ROI and following the IC's Policy & Procedures in allowing IG Lavik to provide comment to the ROI. The IC members reviewed the ROI, as well as, IG Lavik's comments during a conference call.

Status: On 6/4/2010, the IC forwarded the ROI along with a letter of the findings, opinions and recommendations to the CIGIE Executive Chairperson and CFTC Administrator. The IG, CFTC, received a copy of the IC's letter transmitting the report to the CIGIE Executive Chairperson and the CFTC Administrator. On 7/16/2010, the IC forwarded an executive summary of the report to those Committees of the House and Senate designated in the IG Reform Act within 30 days of providing the report to the Executive Chairperson of the Council. The IC Chairman will notify both the individual making the complaint and the respondent IG when the case is closed. Since the IC has not yet received any further communications from neither the Executive Chair of CIGIE nor the CFTC Director, the matter will be administratively closed at the next IC meeting. At the 10/22/2010 IC meeting, the administrative investigation was officially closed.

IC# 640

Complainant: Request Confidentiality
Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)
Date Opened: 1/19/2010
Allegation: Illegal hiring practices, PPPs, Whistle-blower retaliation, abuse
of authority, violation of law, rule and regulation.

Narrative:

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Status: On 6/4/10, TIGTA OIG investigative plan was reviewed and approved by the ICWG. All respondents (subjects) were notified by letter dated 7/7/10. The administrative investigation is underway with an anticipated completion of the investigation and ROI by end of year.

II: IG Responses

IC# 653

Complainant:

[Redacted]

Senior Audit Advisor

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b7C

Subject:

[Redacted] Federal Communications Commission (FCC)

[Redacted] Acting Inspector General,

[Redacted] Assistant IG for Management,
FCC

Date Opened:

6/1/2010

Allegation:

That the Acting IG and AIGM use their office for private gain, violated the antideficiency act, merit principles, appropriation law, competition in contracting act, obstructed a federal audit, engaged in nepotism, mismanaged the FCC IG's office and wasted federal funds.

Narrative:

[Redacted]

[Redacted]

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On 9/27/2010, the Acting IG and AIG provided their response.

Disposition:

The IC opined to accept the response to question 2, 3 and 5 referenced in a letter dated 8/18/2010. Although all of the allegations were answered, the IC will request additional information to be provided in connection with allegation 1, 4, 6 and 7.

IC# 663

Complainant: Anonymous
Self Referral: Arthur A. Elkins Jr.
Inspector General (IG)
Environmental Protection Agency (EPA) b6
b7C
Subject: [REDACTED] Acting Deputy Assistant IG,
EPA
Date Opened: 7/27/2010
Allegation: Fraud, Waste and Abuse regarding the temporary duty, per diem, and travel costs.

Narrative:

[REDACTED]

b5

Disposition: During the October 22, 2010, IC meeting, the IC determined that the IG sufficiently refuted the allegations, therefore, complaint will be closed.

IC# 658

Complainant: Judge [REDACTED]
Former Office of Inspector General Investigator,
Department of State (DOS)
Self-Referral by: Patricia Lewis and [REDACTED]
Subject: Patricia Lewis, Inspector General (IG),
Central Intelligence Agency (CIA)
[REDACTED] Acting IG,
DOS
Date Opened: 6/14/2010
Allegation: Misconduct occurred in the IG's office at both the DOS and the CIA.
The rewriting of a DOS OIG investigative report and the forging of the DOS OIG
investigator's name on the report written by the OIG Chief Counsel to the IG.

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b7C

Narrative:

[REDACTED]

b5

Disposition: During the October 22, 2010, IC meeting, [REDACTED] PIN, DOJ,
stated that PIN would like to continue to review the matter for a possible criminal violation,
therefore, the IC will stand down until advised by PIN, DOJ.

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b7C

IV: New Complaints:

IC# 664

Complainant: Anonymous
Referred by: GAO FraudNET
Subject: Paul K. Martin,
Inspector General (IG)
National Aeronautics and Space Administration (NASA)
Date Opened: 8/9/2010
Allegation: That the IG, NASA, waste government funds by assigning GSA cars to all criminal investigators for commuting to and from their duty station.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review, therefore, the complaint will be referred to the IG, NASA and closed.

IC# 665

Complainant: Anonymous
Referral: Board of Governors, United States Postal Service (USPS)
Subject: [REDACTED] Assistant Inspector General (AIG)
USPS
Date: 9/2/2010
Allegations: That the AIG implemented a commission-based performance ranking system where by investigating agents are motivated to obtain a higher ranking that would determine the agent's yearly bonus and pay increases based on achieving removals, retirement, resignations, convictions, fines, restitution and recoveries.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review, therefore, will refer complaint back to the Board of Governors, USPS and close complaint.

IC# 667

Complainant:



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b7C

Subject:

Assistant United States Attorney, Boston
Office of Inspector General
Department of Labor (DOL)

Date Opened:

8/20/2010

Allegations:

That the OIG is engaged in a cover-up of the ASAC wrongdoing in the discipline a whistle-blower special agent and misleading of the prosecution to obtain a Giglio letter causing the suspension of the whistle-blower special agent and the non-prosecution of the ASAC.

Disposition: The ICWG will reach out to the complainant for further information and discuss during the next IC meeting.

IC# 668

Complainant:



Criminal Investigator

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b7C

Subject:



Special Inspector General for Afghanistan Reconstruction (SIGAR)

Assistant Inspector General (AIG)

SIGAR

Date Opened:

9/1/2010

Allegations:

That the AIGI, SIGAR, misused SIGAR funds in connection with a contract employee and failure to act on allegations of time and attendance fraud.

Disposition:

The IC opined to request that the IG respond to the allegations.

IC# 669

Complainant: Anonymous
Referred by: GAO FraudNET
Subject: Phyllis Fong, Inspector General (IG)
[redacted] Assistant IG
Department of Agriculture (DOA)

b6
b7C

Date Opened: 9/1/2010

Allegations: That the IG created unnecessary positions and promotions for a number of individuals to include [redacted] Acting Executive Assistant to the IG and failure to investigate [redacted] AIGI, for illegally transporting alcoholic beverages out of the state of TN, circumventing the DHS checked baggage and hazardous materials regulations.

Disposition: The IC noted that the complaint fails to meet the threshold standards as well as the complaint does not allege misconduct so serious that it would undermine the agency's ability to conduct an internal investigation. The IC will refer to the IG, DOA, and close complaint.

IC# 671

Complainant: [redacted]
Subject: Office of Inspector General (OIG)
Department of Health and Human Services (HHS)

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b7C

Date Opened: 9/10/2010

Allegations: That OIG, HHS, failed to investigate the Food and Drug Administration and National Institute of Health in its claims that the test drug BB 7075 is harmful to humans; does not follow federal law; is bankrupting small biotechnology companies and removes human rights.

Disposition: The IC noted that the complaint fails to meet the threshold standards for IC review because it does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation or request an investigation by another OIG. The IC will refer to the IG, HHS, and close complaint.

IC# 672

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b7c

Complainant:
Referred by: CIGIE Chair
Subject: Office of Inspector General, (OIG)
Equal Employment Opportunity Commission (EEOC)
Date Opened: 9/20/2010
Allegations: That the OIG, EEOC, failed to comply with the IG Act of 1978 by allowing EEOC employees to practice law on behalf of EEOC, without an "Active" license.

Disposition: The IC requested that the IG, EEOC, respond to the allegations.

IC# 673

Complainant: Request Confidentially
Special Agent
Subject: Special Inspector General for Afghanistan Reconstruction (SIGAR)
Office of Inspector General (OIG)
SIGAR
Date Opened: 9/17/2010
Allegation: False reporting to Congress regarding a joint investigation and subsequent claim of the accomplishment in their quarterly report. False data involved in the number of Staff employees in Afghanistan as reported in the Investigations Directorate Report. Lack of competence in the preparation of the Procedures Manual for case management.

Disposition: The IC requested that the IG, SIGAR, respond to the allegations.

IC# 674

Complainant: [REDACTED]
Special Agent
Department of Transportation (DOT)
Subject: [REDACTED]
Assistant Inspector General (AIG)
DOT
Date Opened: 9/27/2010
Allegation: Abuse of authority by the AIG.

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b7c

Disposition: The IC noted that the complainant has a current EEOC and a completed DOL VETs investigation into this matter, which appears to be the correct course of action in this complaint. The IC will refer the complaint back to the IG, DOT and close complaint.

IC# 676

IG TRZERICK WAS RECUSED FROM DISCUSSION OF THIS COMPLAINT

Complainant: [REDACTED]
Managing Director
Federal Maritime Commission (FMC)
Subject: Adam R. Trzerick
Inspector General (IG)
FMC
Date Opened: 9/29/2010
Allegation: That the IG violated the Quality Standards for Federal Offices of Inspectors General, Government Auditing Standards and the Quality Standards for Inspectors.

b6
b7c

Disposition: The IC noted that the IG's response to the allegations sufficiently refuted the allegations, and therefore complaint will be closed.

IC# 677

THE ICWG WAS RECUSED FROM DISCUSSION OF THIS COMPLAINT.

Complainant: [REDACTED]
Subject: Office of Inspector General (OIG)
Department of Justice (DOJ)
Date Opened: 10/4/2010
Allegation: Faulty investigation by the OIG, DOJ.

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b7C

Disposition: IC Member Richard Skinner chaired the discussion of the complaint.

IC# 678

Complainant: Anonymous
Referred by: [REDACTED] Acting Inspector General
Central Intelligence Agency (CIA)
Subject: Roslyn A. Mazer, Inspector General (IG)
Office of the Director of National Intelligence (ODNI)
Date Opened: 10/18/2010
Allegation: Abuse of authority by the IG.

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Disposition: The IC noted that Acting IG Lewis discussed the anonymous complaint with the subject before referring to the IC. Since the complaint does not meet the threshold standards for further review by the IC, the complaint will be referred back to the IG, ODNI and closed.

V: Other Matters

Complainants Requesting Reconsideration:

Billie Piner Gardel: IC# 643

Subject: [redacted] Assistant Inspector General (AIG),
Nuclear Regulatory Commission, NRC.

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Allegation: Abuse of authority by favoritism and hostile work environment.

In May, 2010, the IC requested that the complainant provide specific allegations to accompany supporting documentation of examples of the AIG abuse of authority allegation. On 8/11/2010, complainant provided a copy of an Affidavit and EEO complaint for IC review. During the October 22, 2010 IC meeting, the IC noted that the complainant's concerns are being handled through the EEO process and since no further information was provided by complainant, complaint will be referred back to the IG, NRC and complaint closed.

Miscellaneous:

FOIA Request for Information:

A previous complainant would like a list of the IC members and their term of service. The IC members discussed the request and opined to provide their names to the complainant..

Complaints That Fall Outside The Purview Of The IC:

On August 24, 2010, the IC received an anonymous complaint alleging that [redacted] from the Office of Counsel for the Environmental Protection Agency (EPA), are abusing their positions and committing prohibited personnel practices. Neither [redacted] are listed in the designated staff list falling under IC purview. The ICWG referred the complaint to the IG, EPA for review.

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On October 6, 2010, the IC received a complaint from [redacted] Regional SAC, Amtrak. He alleged hostile work environment and prohibited personnel practice by H. Peterson, the former Deputy Counsel and [redacted] Assistant IG for Investigations. Neither individuals are listed in the designated staff list falling under IC purview. The ICWG contacted the complainant and recommend that he contact OSC for prohibited personnel practices and the agency's EEO for the age discrimination and hostile work environment allegation.

VI: Closing Remarks:

The meeting concluded at approximately 12:30 p.m. with a reminder that the next IC meeting will be held in January 2011.

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Integrity Committee
Council of the Inspectors General for Integrity and Efficiency



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Meeting Minutes of February 3, 2011
Notes of [redacted] Management and Program Analyst

Attendees

IC Members:

Patrick McFarland, IG, Office of Personnel Management (OPM)
Adam Trzeciak, Inspector General (IG), Federal Maritime Commission (FMC)
A. Sprightley Ryan, Inspector General (IG), Smithsonian Institution (SI)
[redacted] Acting Special Counsel, Office of Special Counsel (OSC)

IC Working Group (WG) Members:

Vida Bottom, Section Chief, Public Corruption/Civil Rights, FBI
[redacted] Unit Chief, Public Corruption Unit (PCU), FBI
[redacted] Supervisory Special Agent (SSA), PCU, FBI
[redacted] Office of General Counsel, OGC, FBI
[redacted] Program Analyst, PCU, FBI

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1 Opening Remarks

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IC members [redacted] were unavailable to participate in the meeting. The meeting began at approximately 2:00 pm in the FBI's Criminal Investigative Conference Room. The IC will discuss the Administrative Investigations first, IG responses next and follow up with new cases and other matters.

II: Administrative Investigation

IC# 640

Complainant: Request Confidentiality
Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)
Date Opened: 1/19/2010
Allegation: Illegal hiring practices, PPPs, Whistle-blower retaliation, abuse of authority, violation of law, rule and regulation.

Narrative:

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Status: On 6/4/10, TIGTA OIG investigative plan was reviewed and approved by the ICWG. All respondents (subjects) were notified by letter dated 7/7/10. The administrative investigation is underway with an anticipated completion of the investigation and ROI by end of year. On 2/02/2011 [redacted] lead investigator, stated that the administrative investigation was completed. The completed ROI will be ready for IC review within two weeks. None of the allegations were substantiated.

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III: IG Responses

IC# 665

Complainant: Anonymous
Referral: Board of Governors, United States Postal Service (USPS)
Subject: [REDACTED] Assistant Inspector General (AIG)
USPS

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b7C

Date: 9/2/2010

Allegations: That the AIG implemented a commission-based performance ranking system whereby investigating agents are motivated to obtain a higher ranking that would determine the agent's yearly bonus and pay increases based on achieving removals, retirement, resignations, convictions, fines, restitution and recoveries.

Narrative: During the October 2010 IC meeting, the IC requested that the IG respond. On 11/15/2010, the IG provided his response to the IC.

Disposition: The IC noted that the IG's response sufficiently answered the concerns of the complainant, therefore, the complaint will be closed.

IC# 668

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b7C

Complainant: [REDACTED] Criminal Investigator
Subject: Special Inspector General for Afghanistan Reconstruction (SIGAR)
[REDACTED]
Assistant Inspector General (AIG)
SIGAR

Date Opened: 9/1/2010

Allegations: That the AIGI, SIGAR, misused SIGAR funds in connection with a contract employee and failure to act on allegations of time and attendance fraud.

Narrative: During the October IC meeting, the IC requested that the IG respond to the allegations. On 12/28/2010, the IG provided his response.

Disposition: The IC noted that the IG's response to allegation 1, was not sufficiently answered. The IC will contact complainant for further information on the company Intelpro and request that the IG respond again.

IC# 672

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b7C

Complainant: [REDACTED]
Referred by: CIGIE Chair
Subject: Office of Inspector General, (OIG)
Equal Employment Opportunity Commission (EEOC)
Date Opened: 9/20/2010
Allegations: That the OIG, EEOC, failed to comply with the IG Act of 1978 by allowing EEOC employees to practice law on behalf of EEOC, without an "Active" license.

Narrative: During the October IC meeting, the IC requested that the IG respond. On 12/3/10, the Acting IG provided his response.

Disposition: The IC noted that the Acting IG's response sufficiently addressed the concerns of the complainant; therefore, the complaint will be closed.

IC# 673

Complainant: Request Confidentially
Special Agent
Subject: Special Inspector General for Afghanistan Reconstruction (SIGAR)
Office of Inspector General (OIG)
SIGAR
Date Opened: 9/17/2010
Allegation: False reporting to Congress regarding a joint investigation and subsequent claim of the accomplishment in their quarterly report. False data involved in the number of Staff employees in Afghanistan as reported in the Investigations Directorate Report and lack of competence in the preparation of the Procedures Manual for case management.

Narrative: During the October IC meeting, the IC requested that the IG respond. On 12/23/2010, the IG provided his response.

Disposition: The IG provided his response on December 23, 2011 and reviewed by the IC during the February 3, 2011 meeting. The IC accepted the IG's response to the allegation and will close the complaint.

IV: New Complaints

IC# 667

Complainant:

[REDACTED]

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b7C

Subject:

Assistant United States Attorney, Boston
Office of Inspector General
Department of Labor (DOL)

Date Opened:

8/20/2010

Allegations:

That the OIG is engaged in a cover-up of the ASAC wrongdoing in the discipline a whistle-blower special agent and misleading of the prosecution to obtain a Giglio letter causing the suspension of the whistle-blower special agent and the non-prosecution of the ASAC.

Disposition:

The IC noted that the complaint does not meet the threshold standard for further review by the IC. The IC will refer the complaint to the IG, DOL and close the complaint.

IC# 679

Complainant:

Anonymous

Self Referral:

[REDACTED]

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b7C

Counsel to the Inspector General,
Securities and Exchange Commission (SEC)

Subject: H. David Kotz, IG, SEC

Date Opened: 12/20/2010

Allegation: That the IG made misleading statements during testimony before the Senate Committee on Banking, Housing and Urban Affairs (Senate Banking Committee) on 9/22/10.

Disposition:

The IC noted that the complaint does not meet the threshold standard for further review by the IC, therefore, the complaint will be closed.

IC# 680

Complainant:

[REDACTED]

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Subject:

Office of Inspector General (OIG)

2/3/11 IC Meeting Minutes

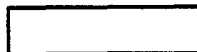
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United States Postal Service (USPS)
Date Opened: 12/16/2010
Allegation: That the OIG failed to investigate fraud and misconduct in connection with an individual soliciting private insurance on Federal property of the USPS and with claims of permission from the OIG.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards. The complaint will be referred to the IG, USPS, and closed.

IC# 681

Complainant:



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Subject:

Office of Inspector General (OIG)
Department of Labor (DOL)
Richard Clark
Deputy Assistant Inspector General,
Howard L. Shapiro,
Chief Legal Counsel, DOL

Date Opened:

11/16/2010

Allegation:

Abuse of authority and misconduct by the DIAG and Chief Legal Counsel in the misuse of a Government vehicle.

Disposition: The IC noted that neither Mr. Clark nor Mr. Shapiro operated the vehicle, but they willingly accepted transportation to an event not related to their duties after working hours and while on a temporary duty assignment. The IC will request that the IG provide additional information regarding this matter for IC consideration.

IC# 682

Complainant: Anonymous
Referred by: Phyllis Fong,
CIGIE Chair
Subject: Office of Inspector General (OIG)
Department of Health and Human Services (HHS)
Date Opened: 12/17/2010
Allegation: Failed to investigate nepotism, discrimination, misconduct and sexual assault by employees of the OIG.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards and does not allege misconduct or conduct so serious that it would undermine the agency's ability to conduct an internal investigation or request an investigation by another OIG. The complaint will be referred to the IG, HHS and closed.

V: Other Matters

The IC received an anonymous complaint alleging inappropriate behavior between the IG and the Assistant IG for Administration, DOC. The IC opined that the IG, DOC, be requested to respond to the allegation that he created the Assistant IG for Administration position specifically due to his personal relationship. The IC will assign IC# 683 to this complaint.

VI: Miscellaneous

The IC noted two upcoming retirements: IC member Richard Skinner and IC Working Group Member [redacted] from the Office of General Counsel, FBI.

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VII: Closing Remarks

The meeting concluded at approximately 3:00 p.m. with a reminder that the next IC meeting will be held in April/May 2011.

Integrity Committee
Council of the Inspectors General for Integrity and Efficiency



Meeting Minutes of April 28, 2011
Notes of [redacted] Management and Program Analyst

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Attendees

IC Members:

Patrick McFarland, Inspector General (IG), Office of Personnel Management (OPM)
Adam Trzeciak, IG, Federal Maritime Commission (FMC)
A. Sprightley Ryan, IG, Smithsonian Institution (SI)
J. Russell George, IG, Treasury Inspector General for Tax Administration (TIGTA)
Rick Cusick, Director, Office of Government Ethics (OGE)
Don Fox, General Counsel, OGE
[redacted] Public Integrity Section, (PIN), Department of Justice (DOJ)

IC Working Group (WG) Members:

[redacted] Unit Chief, Public Corruption Unit (PCU), FBI
[redacted] Supervisory Special Agent (SSA), PCU, FBI
[redacted] Office of General Counsel, (OGC), FBI
[redacted] Program Analyst, PCU, FBI

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IC member Bill Reukauf was unavailable to participate in the meeting. The meeting began at approximately 1:30 pm in the FBI's Criminal Investigative Conference Room. Don Fox was introduced as the new IC representative from the Office of Government Ethics as well as J. Russell George, our newest IC member. The IC will first discuss the Administrative Investigations, followed by IG responses, and concluded with new cases and other matters.

Integrity Committee Meeting Minutes (April 28, 2011)

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I: Administrative Investigation

IC# 640

Complainant: Request Confidentiality
Subject: Dave Williams
Inspector General (IG)
United States Postal Service (USPS)
Date Opened: 1/19/2010

Allegation: Illegal hiring practices, PPPs, Whistle-blower retaliation, abuse of authority, violation of law, rule and regulation.

The IC received the completed ROI from [REDACTED] OIG, TIGTA, on February 28, 2011. The allegations against all subjects were unsubstantiated. The IC members received a copy of the ROI on March 1, 2011 and the IC accepted the findings of the OIG-TIGTA as their own. The following additional steps will be taken before the complaint can be officially closed.

- 1). Letter to the Executive Chairperson (Zients) with a summary of allegations and findings.
- 2). Letter to Subject IG (Williams) with copy of the letter to the Executive Chairperson.
- 3). Executive Summary of the ROI and copy of the letter containing the findings, opinions and recommendations of the IC to the Committees of the House and Senate designated in the Act within 30 day of providing the report to the Executive Chairperson.
- 4). After receiving a letter with the final disposition from the Executive Chairperson, the IC will close the complaint.
- 5). Once the complaint is closed, the IC will notify the subjects.

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II: IG Responses

IC# 653

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Complainant:

[REDACTED]

Senior Audit Advisor

Federal Communications Commission (FCC)

Subject:

[REDACTED] Acting Inspector General,

[REDACTED] Assistant IG for Management,

FCC

Date Opened:

6/1/2010

Allegation:

That the Acting IG and AIGM use their office for private gain, violated the anti-deficiency act, merit principles, appropriation law, competition in contracting act, obstructed a federal audit, engaged in nepotism, mismanaged the FCC IG's office, and wasted federal funds

Narrative:

[REDACTED]

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Disposition from 10/22/10 IC meeting: The IC agreed to accept the responses to questions 2, 3 and 5, referenced in a letter dated 8/18/2010. Although all of the questions were answered, the IC requested additional information to be provided in connection with questions 1, 4, 6 and 7. On 2/23/11, the IG and AIG provided their supplemental responses to the IC.

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Disposition from 4/28/11 IC meeting: The IC agreed to accept the response to questions 1 and 7 from IC [REDACTED]. The IC discussed the response to question 4 by AIG [REDACTED] and opined to accept the response. The IG and AIG's responses failed to adequately address the concerns associated with question 6 since it involves conflicting accounts between the complainant and the subject. The IC agreed to open an administrative investigation to address unresolved issues in any instruction given to auditors not to interview a witness.

Comment: [112]: Mary, instead of "opined" I would use one of the following: agreed, decided, or voted wherever "opined" appears in the minutes.

Integrity Committee Meeting Minutes (April 28, 2011)

IC# 681

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Complainant:

[REDACTED]

Office of Inspector General (OIG)
Department of Labor (DOL)

Subject:

Richard Clark
Deputy Assistant Inspector General,
Howard L. Shapiro,
Chief Legal Counsel, DOL

Date Opened:

11/16/2010

Allegation: Abuse of authority and misconduct by the DIAG and Chief Legal Counsel in the misuse of a Government vehicle.

Disposition from 2/3/11 IC Meeting: The IC noted that neither Mr. Clark nor Mr. Shapiro operated the vehicle, but they willingly accepted transportation to an event not related to their duties after working hours and while on a temporary duty assignment. The IC requested that the IG provide additional information regarding this matter for IC consideration. On 4/19/2011, the IG provided a response to the allegations.

Disposition from 4/28/11 IC Meeting: The IC agreed to accept the IG's response to the allegations and close the complaint.

Comment [162]

IC# 683

Complainant:

Anonymous

Subject:

Todd J. Zinser
Inspector General
Department of Commerce (DOC)

Date Opened:

2/1/2011

Allegations: Abuse of authority, created the Assistant IG for Administration position specifically due to a personal relationship.

Disposition from 2/3/11 IC Meeting: During the February 2011 IC meeting, the IC ~~opened~~ to request a response from the IG regarding the allegations. On 4/14/2011, the IG provided his response.

Disposition from 4/28/11 IC Meeting: The IC agreed to accept the IG's response to the allegations and close the complaint.

Comment [163]

Comment [164]

Integrity Committee Meeting Minutes (April 28, 2011)

III. New Complaints

IC# 684

Complainant:

[REDACTED]

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Attorney-Investigator
Federal Communication Commission (FCC)

Subject:

David Hunt
Inspector General, (IG)
FCC

Date Opened:

2/7/2011

Allegations: That the IG, FCC, mislead Congress on the Semi-Annual Reports, failed to report to the Chairman of the FCC and appropriate committees or subcommittees of the Congress, and conflicts of interest.

Narrative: Complaint was reviewed and declined by PIN, DOJ for any criminal interest.

Disposition: The IC noted that the complaint does not meet the threshold standards; therefore, the IC will refer the complaint to the IG, FCC and close the complaint.

IC# 685

Complainant:

Anonymous

Subject:

Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

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b7C

[REDACTED]

Assistant IG for Investigations (AIGI)
Veterans Affairs (VA)

Date Opened:

2/17/2011

Allegation: That the IG and AIGI: abused their authority, gross mismanagement, nepotism & improper hiring practice.

Disposition: The IC noted that the complaint meets the IC's threshold standards; therefore, the IC ~~opined~~ to request a response from both subjects.

Comment [15]:

IC# 686

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b7C

Complainant: [REDACTED]
Customs and Border Protection Officer
Customs and Border Protection (CBP)
Department of Homeland Security (DHS)
Subject: Office of Inspector General
DHS
Date Opened: 2/14/2011

Allegations: That the OIG, DHS is engaged in gross mismanagement.
Disposition: The IC noted that the complaint does not meet the threshold standards; therefore, the IC will refer the complaint to the IG, DHS and close the complaint.

IC#687

This complaint was removed from the Agenda due to incomplete information provided by the complainant. The complainant was contacted by the ICWG to provide additional information prior to the scheduled IC meeting, but, information was not forthcoming; therefore, this matter may be reviewed at the next IC meeting.

IC# 688

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Complainant: [REDACTED]
Special Agent (SA)
US Agency for International Development (USAID),
Subject: Office of Inspector General
USAID
Date Opened: 2/28/2011

Allegation: That the OIG allowed a subordinate employee of USAID agents involved in an accidental shooting, to conduct an investigation of the incident.
Disposition: The IC noted that the complaint does meet the threshold standard for review by the IC. The IC will request that the IG respond to the allegations.

IC#689

Complainant: Senator Charles Grassley
Ranking Member, Committee on the Judiciary
Subject: Office of Inspector General (OIG)
Department of Justice (DOJ)
Opened: 3/8/2011

Allegations: That the OIG would not be able to apply an acceptable level of independence and objectivity in a review of an operation by the Bureau of Alcohol, Tobacco and Firearms (ATF).

Disposition: FBI and DOJ IC Members were recused from discussion of this matter. Senior IC member Pat McFarland, IG, OPM, chaired the discussion with other members of the IC. In a letter dated March 16, 2011, the IC responded to Senator Grassley declining involvement in the matter due to the matter falling outside of the IC jurisdiction.

IC# 690

Complainant: [REDACTED]
Subject: Office of Inspector General (OIG)
Department of Labor (DOL)
Date Opened: 3/16/2011

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Allegation: That the OIG failed to investigate claims that an Employee Retirement Income Security Act of 1974 (ERISA) Plan Administrator, falsified a document used in the administration of an ERISA plan.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, USPS, and closed.

IC# 691

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Complainant:

Subject:

[REDACTED]
Milton Mayo
Inspector General (IG)
Equal Employment Opportunity Commission (EEOC)

Date Opened:

3/9/2011

Allegation: That the IG: failed to investigate allegations that an EEOC Area Director, falsified an official record.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, EEOC and closed.

IC# 692

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Complainant:

Referred by:

Subject:

[REDACTED]
GAO FraudNET
Daniel Levinson
Inspector General (IG)
Health and Human Services (HHS)

Date Opened:

3/29/2011

Allegation: That the IG: failed to investigate allegations of corruption on the part of employees at the Food and Drug Administration and National Cancer Institute.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, HHS and closed.

IC# 693

Complainant: Senator Charles Grassley
Referred by: CIGIE
Subject: Office of Inspector General (OIG)
Department of Defense (DOD)
Date Opened: 3/31/2011

Allegation: That the OIG: failed to complete the joint investigation with ICE in [REDACTED]

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Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, DOD and closed.

IC# 694

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Complainant: [REDACTED]
Senior Counsel,
Division of Enforcement
Securities and Exchange Commission
(SEC)
Subject: Office of Inspector General (OIG)
SEC
Date Opened: 4/7/2011

Allegation: That the OIG produced a false ROI with fraudulent and abusive investigative practices against the subject.

Disposition: The IC would like time to review the supplemental information provided by the complainant before making a determination.

IC# 695

Complainant: Anonymous
Referred by: GAO FraudNET
Subject: Office of Inspector General (OIG)
Department of Homeland Security (DHS)
Date Opened: 4/14/2011

Allegation: That the OIG: Mismanagement of the OIG by retaining all criminal cases and withholding information from other departmental criminal investigative components.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, DHS, and closed.

IV: Other Matters

IC# 668

Complainant: [redacted] Criminal Investigator
Special Inspector General for Afghanistan Reconstruction (SIGAR)
Subject: [redacted]
Assistant Inspector General (AIG)
SIGAR
Date Opened: 9/1/2010

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b7c

Allegations: That the AIGI, SIGAR, misused SIGAR funds in connection with a contract employee and failure to act on allegations of time and attendance fraud.

Narrative: During the October IC meeting, the IC requested that the IG respond to the allegations. On 12/28/2010, the IG provided his response. During the 2/3/2011 IC meeting, it was noted that the IG's response to Allegation #1 was not specifically addressed. The ICWG was asked to reach out to the complainant for specific information on the company INTELPRO. To date, the complainant has not responded to the IC with additional information.

Disposition: The IC agreed to close the complaint since the IC has not been able to obtain additional information from the complainant and that the subject, as well as, the complainant are no longer employed by the OIG, SIGAR.

Comment [f6]:

V: Matters Outside of IC Purview

On 3/31/2011, the IC received a complaint from [redacted] alleging misconduct on the part of [redacted] Assistant special Agent in Charge, Investigations Division, Chicago Office, DOJ. The Complainant also states that Ms [redacted] was promoted to a supervisory position, thereby rewarding her for that conduct. The ICWG referred the complaint to the IG, DOJ, for appropriate action.

On 2/24/11, the IC received a complaint from [redacted] alleging that the Region II, Office of Investigation, Nuclear Regulatory Commission (NRC) failed to investigate safety concerns involving Florida Power & Light (FPL) (Turkey Point Nuclear Power Plant), as well as, possible collusion between the NRC and FPL. The ICWG referred the complaint to the IG, NRC, for appropriate action.

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b7c

On 2/23/2011, the IC received a complaint from [redacted] alleging inappropriate behavior by OIG employee at the West Palm Beach Veterans Affairs Medical Center, Riviera Beach, FL. The ICWG referred the complaint to the IG, VA, for appropriate action.

On 4/8/2011, the IC received a complaint from [redacted] alleging unprofessional conduct by employees at the Milan Federal Institution, Milan, Michigan. The ICWG referred the complaint to the IG, DOJ, for appropriate action.

VI: Closing Remarks

The meeting concluded at approximately 3:00 p.m. with a reminder that the next IC meeting will be held at the end of summer.

Integrity Committee
Council of the Inspectors General for Integrity and Efficiency



Meeting Minutes of September 22, 2011
Notes of [redacted] Management and Program Analyst

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Attendees

IC Members:

Patrick McFarland, Inspector General (IG), Office of Personnel Management (OPM)
Adam Trzeciak, IG, Federal Maritime Commission (FMC)
A. Sprightley Ryan, IG, Smithsonian Institution (SI)
J. Russell George, IG, Treasury Inspector General for Tax Administration (TIGTA)
[redacted] Special Counsel, Office of Special Counsel (OSC)
Don Fox, General Counsel, OGE (via telephone)
[redacted] Public Integrity Section, (PIN), Department of Justice (DOJ)

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IC Working Group (WG) Members:

[redacted] Unit Chief, Public Corruption Unit (PCU), FBI
[redacted] Supervisory Special Agent (SSA), PCU, FBI
[redacted] Office of General Counsel, (OGC), FBI
[redacted] Program Analyst, PCU, FBI

David Cardona, Deputy Assistant Director, Criminal Investigative Division, chaired the IC meeting for Assistant Director Kevin Perkin. The meeting began at approximately 1:30 pm in the FBI's Criminal Investigative Conference Room.

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[redacted] was introduced as the new IC representative from the Office of Special Counsel. The IC will first discuss the Administrative Investigations, followed by IG responses, and concluded with new cases and other matters.

September 2011

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I: Administrative Investigation

IC# 653

Complainant: [redacted] Senior Audit Advisor
Federal Communications Commission (FCC)

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Subject: [redacted] Acting Inspector General,
[redacted] Assistant IG for Management,
FCC

Date Opened: 6/1/2010

Allegation: That the Acting IG and AIGM use their office for private gain, violated the anti-deficiency act, merit principles, appropriation law, competition in contracting act, obstructed a federal audit, engaged in nepotism, mismanaged the FCC IG's office and wasted federal funds.

Narrative: The Oversight and Review Division, OIG, DOJ, is leading the administrative investigation into allegations that the FCC, IG and the AIG endeavored to obstruct two federal performance audits by directing that a witness not be interviewed.

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*Administrative Investigation Status: [redacted] DOJ, Lead investigator, stated that interviews and follow-up interviews were completed for five individuals. Her investigative team is reviewing thousands of pages of documents and in the process of organizing and analyzing the documents. Completion of report should be within the next few months.

II: IG Responses

IC# 685

Complainant: Anonymous
Subject: Gordon Heddell
Inspector General (IG)
Department of Defense (DOD)

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b7C

[redacted]
Assistant IG for Investigations (AIGI)
Veterans Affairs (VA)

Date Opened: 2/17/2011

Allegation: That the IG and AIGI: abused their authority, gross mismanagement, nepotism & improper hiring practice.

Disposition from the April 28, 2011 IC Meeting: The IC agreed to request a response from both the IG and AIGI regarding the allegations. The IC received a response from IG, DOD on June 2nd and from the AIGI, VA on June 15th. The IC noted that the complaint meets the IC's threshold standards; therefore, the IC opined to request a response from both subjects.

Disposition from the September 22, 2011 IC Meeting: The IC agreed to accept the IG's response to the allegations and close the complaint.

September 2011

IC# 688

Complainant: [REDACTED]

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b7C

Special Agent (SA)
US Agency for International Development (USAID),
Subject: Office of Inspector General
USAID

Date Opened: 2/28/2011

Allegation: That the OIG allowed a subordinate employee of USAID agents involved in an accidental shooting, to conduct an investigation of the incident.

Disposition from the April 28, 2011 IC Meeting: The IC noted that the complaint does meet the threshold standard for review by the IC. The IC will request that the IG respond to the allegations.

Disposition from the September 22, 2011 IC Meeting: The IC agreed to accept the IG's response to the allegations and close the complaint. In the closing letter to the IG, the IC members wanted to caution the IG regarding the following two matters: To ensure that a certified firearms supervisor is on the shooting range at all times and to request another OIG to handle future internal investigations to avoid the appearance of a conflict of interest.

IC# 694

Complainant: [REDACTED]

Senior Counsel,
Division of Enforcement
Securities and Exchange Commission (SEC)
Subject: Office of Inspector General (OIG)
SEC

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Date Opened: 4/7/2011

Allegation: That the OIG produced a false ROI with fraudulent and abusive investigative practices against the subject.

Disposition from April 28, 2011 IC Meeting: The IC members would like time to read the additional 200 pages of document from complainant. On July 12, 2011, a conference call with the IC members to determine a course of action. The IC decided to request an IG response to two of the allegations. A letter was mailed to the IG on August 22, 2011. The IC members will be contacted to participate in a conference call (prior to our next scheduled quarterly meeting) to resolve complainant's allegations.

September 2011

III. New Complaints

IC# 695

Complainant: [redacted]

Clifford & Garde, LLP

Subject: [redacted]

Assistant Inspector General (AIG)
Nuclear Regulatory Commission (NRC)

Date Opened: 6/27/2011

Allegations: That the AIGI, NRC re-wrote an internal OIG investigation to interfere with the mission of the Agency and cover-up staff deficiencies in connection with the essential service water system issues at the Byron nuclear power plant.

Disposition: The IC noted that the complaint does not meet the threshold standards; therefore, the IC will refer the complaint to the IG, NRC and close the complaint.

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IC# 696

Complainant: Confidential Complainant

Subject: [redacted]

Assistant Inspector General (AIG)
Department of Homeland Security (DHS)

Date Opened: 6/27/2011

Allegations: That the AIGI, DHS, abused his authority, engaged in unprofessional conduct, creates a hostile work environment and violates merit system principles.

Disposition: The IC noted that the complaint meets the threshold standards; therefore, the IC will request a response from the IG.

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IC#697

Complainant: Anonymous

Subject:

David Kotz, Inspector General (AIG)
Securities and Exchange Commission (SEC)

Date Opened: 6/27/2011

Allegations: That the IG, SEC, creates a hostile work environment and violates the Quality Standards for Investigations.

Disposition: The IC noted that the complaint meets the threshold standards; therefore, the IC will request a response from the IG.

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IC# 698

Complainant: [redacted] Esq.

Subject: [redacted] Assistant Inspector General for Audit (AIG, Audit)
Corporation for National & Community Service (CNCS)

Date Opened: 6/27/2011

Allegation: That the AIGI, CNCS, may potentially undermine the independence or integrity reasonably expected of an OIG senior staff member.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, CNCS and closed.

IC#699

Complainant: Harvey L. Pitt, Chief Executive Officer
Kalorama Legal Services

Subject: David Kotz, Inspector General (IG)
Securities and Exchange Commission (SEC)

Opened: 6/27/2011

Allegations: That the IG, SEC, lacks independence or integrity reasonably expected of an IG and that he exceeded its (IG's) jurisdiction. +

Disposition: The IC noted that the complaint does not meet the threshold standards; therefore, the IC will refer the complaint to the IG, SEC and close the complaint.

IC# 700

Complainant: [redacted]

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Referred by: GAO FraudNET

Subject: Office of Inspector General (OIG)
Department of Labor (DOL)

Date Opened: 6/27/2011

Allegation: That the OIG, DOL, failed to investigate a complaint that DOL mistakenly awarded a non-small business a small business set-aside award of \$4 million.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, DOL and closed.

IC# 701

Complainant: Anonymous

Subject: Patrick McFarland, Inspector General (IG)
Office of Personnel Management (OPM)

Date Opened: 8/4/2011

Allegation: That the IG, OPM awarded a relocation bonus to the same individual twice.

Disposition: The IC noted that the complaint meets the IC's threshold standards; therefore, the IC will request a response from the IG.

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IC# 702

Complainant: Anonymous

Referred by: CAO E-mail NET

Subject: [redacted] Executive Special Agent in Charge (SAC)
Office of Inspector General (OIG)
United States Postal Service (USPS)
David C. Williams, Inspector General (IG)
USPS

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Date Opened: 6/10/2011

Allegation: That the Executive SAC misused his Government issued credit card and abused his position. That the IG redacted the audit findings that disclosed the Executive SAC's misuse of the Government credit card.

Disposition: The IC noted that the complaint meets the IC's threshold standards; therefore, the IC will request a response from the IG.

IC# 703

Complainant: Anonymous

Referred by: Gregory H. Friedman, Inspector General (IG)
Department of Energy (DOE)

Subject: John Hartman, Deputy Inspector General for Investigations (DIGI)
DOE

Date Opened: 8/24/2011

Allegation: That the DIGI, DOE mismanaged the Office of Investigations and created a hostile work environment.

Disposition: The IC will refer the complaint back to the IG, DOE, and closed.

IC# 704

Complainant: [redacted]

Subject: Milton A. Mayo, Inspector General (IG)
Equal Employment Opportunity Commission (EEOC)

Date Opened: 8/1/2011

Allegation: That the IG, EEOC, failed to investigate complaints involving accountability of the Agency's program.

Disposition: The IC noted that the complaint does not meet the IC's threshold standards; therefore, the complaint was referred to the IG, EEOC and closed.

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IV: Matters Outside of IC Purview

The IC received complaints from the following individuals:

[redacted] of Staten Island, NY. Mr. [redacted] alleges that the IG, United States Postal Service (USPS), failed to investigate his complaint of mail tampering. The ICWG referred the complaint to the IG, USPS, for appropriate action.

[redacted] of Dallas, TX. Mr. [redacted] alleges that the IG, Equal Employment Opportunity Commission (EEOC), failed to investigate his complaint regarding the employment status of an OIG, EEOC employee. The complaint is still in pending status with EEOC, OIG, therefore, the ICWG referred the matter back to the IG, EEOC.

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Dr. [redacted] of Troy, NY. Dr. [redacted] alleges that the OIG, National Science Foundation (NSF) conducted a faulty investigation by violating the Quality Standards of Investigation. The ICWG referred the complaint to the IG, NSF, for appropriate action.

[redacted] of Orlando, FL. Mr. [redacted] alleges judicial bias and child endangerment by a Judge and Sumter County Department of Children and Family. The ICWG referred the complaint to the FBI, Orlando, FL, office for appropriate action.

[redacted] of Los Angeles, CA. Mr. [redacted] alleges that an employee of the US Attorney's Office, Chicago, IL, failed to address his concerns. The ICWG notified Mr. [redacted] that his complaint was outside of the IC's purview.

GAO FraudNET forwarded an anonymous complaint that alleges intimidation, mismanagement and waste by the IG, Securities and Exchange Commission (SEC). The ICWG referred the complaint to the IG, SEC, for appropriate action.

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[redacted] of Visalia, CA. Mr. [redacted] alleges retaliation by Department of Defense school authorities overseas. The ICWG referred the complaint to the IG, DOD, for appropriate action.

[redacted] of Salt Lake City, UT. Ms. [redacted] alleges regulatory abuses by the Federal Emergency Management Administration, Department of Homeland Security (DHS). The ICWG referred the complaint to the Acting IG, DHS, for appropriate action.

[redacted] of Yucca Valley, CA. Mr. [redacted] alleges that the IG, Central Intelligence Agency (CIA) failed to uphold the rule of law and oversight responsibilities. The ICWG referred the complaint to the IG, CIA, for appropriate action.

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[redacted] of Hayes, VA. Mr. [redacted] alleges that the IG, Department of Defense (DOD) failed to investigate his complaint regarding safety concerns of the Virginia Class submarine. The ICWG referred the complaint to the IG, DOD, for appropriate action.

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[redacted] of Castle Point, NY. Mr. [redacted] alleges that the OIG, Social Security Administration (SSA), failed to conduct an investigation in a timely manner. The ICWG referred the complaint to the IG, SSA, for appropriate action.

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[redacted] of Chicago, IL. Mr. [redacted] alleges failure to investigate by the OIG, Department of Labor (DOL), regarding his complaint of harassment and retaliatory action taken against him by OSHA. The ICWG referred the complaint to the IG, DOL, for appropriate action.

V: Closing Remarks

The meeting concluded at approximately 3:00 p.m. with a reminder that the IC members will be contacted regarding a conference call meeting to discuss the IG's response in connection with IC 694. The next IC meeting will be scheduled after the New Year.

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