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Description of document: Department of State and the Broadcasting Board of Governors (BBG) records provided to Senator Charles E. Grassley and Senator Tom Coburn concerning the independence of Inspectors General necessary to promote efficiency and prevent fraud, waste and abuse in agency programs, in response to the Senators' inquiry, 2011-2012

Requested: 14-April-2012

Released date: 17-May-2012

Posted date: 04-July-2012

Source of document: FOIA/PA Officer
BBG FOIA Office
Room 3349
330 Independence Ave. SW
Washington, D.C. 20237

Note: This is one of several files on the same subject for various agencies available on governmentattic.org. See: <http://www.governmentattic.org/6docs/GrassleyCoburn.htm>

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United States Department of State
and the Broadcasting Board of Governors

Office of Inspector General

MAY 17 2012

Re: OIG FOIA Case No. 12-00023-FOI

This is in response to your Freedom of Information Act (FOIA), 5 U.S.C. § 552, request dated April 14, 2012, to the U.S. Department of State's Office of Inspector General (OIG).

You requested "a copy of **each** biannual response to Senators Grassley and Coburn regarding their April 8, 2010, request to the State Department Office of the Inspector General to provide a summary of your non-public management advisories and closed investigations."

Enclosed is a copy of the requested documents. The documents are being provided in their entirety.

You may appeal this decision within 60 days to the Chairman of the Appeals Panel of the U.S. Department of State as explained in the enclosed regulation. Appeals should be addressed to: Chairman, Appeals Review Panel, Attention: Appeals Officer, A/ISS/IPS/PP/LC, Room 8100, State Annex 2 (SA-2), U.S. Department of State, Washington, D.C. 20522-8100.

Sincerely,

A handwritten signature in black ink, appearing to read "H. Geisel", written in a cursive style.

Harold W. Geisel
Deputy Inspector General

Enclosure: As stated

State OIG Responses:

1. Request A (Department delays/stonewalling of OIG data or info requests)

Response: During the time period specified, there were no instances when the Department resisted and/or objected to oversight activities and/or restricted OIG's access to information.

2. Request B (Biannual data and summary submissions on closed INV, ISP or AUD products that were not released publically)

Response: See attachments

3. Request C: Please provide a copy of the report on unimplemented recommendations provided to the Ranking Member of HOCR

Response: COMPLETED – CPA provided the report to Senators Grassley and Coburn staffers on 5/21/10.

State Department Office of Inspector General

Question 2 Response
Management Assessment Reports
Report Period - 1/1/09 through 4/30/10

Case #	Subject	Country of Allegation	Agency Program	Case Opened	Case Closed	Allegation type	Allegation Summary	Outcome Summary
09-042	Employee	Singapore	Consular Affairs	12/24/2008	N/A	Embezzlement	A consular cashier embezzled \$480,000	Recommendation for tightened management controls
09-086	Employee	Bolivia	Narcotics Affairs	6/10/2009	1/21/2010	False Claims	That program funds were mishandled	Recommendation for tightened management controls

State Department Office of Inspector General

REPORT PERIOD - 1/1/09 through 4/30/10

Question 2 Response: Cases closed, no action taken

Case Number	Allegation type	Subject Type
07-021	Contract Fraud	Contract Company
08-005	Conflict of Interest	Employee
08-017	Visa Fraud	Immigration Attorney
08-021	False Statements	Employee
08-023	Identity Theft	Employee
08-034	Conflict of Interest	Employee
08-043	Embezzlement	Unknown
08-050	Counterfeiting	Contract Employee
08-057	Obstruction of Justice	Employee
08-058	Obstruction of Justice	Employee
08-059	Obstruction of Justice	Employee
08-060	Obstruction of Justice	Employee
08-061	Obstruction of Justice	Employee
08-062	Obstruction of Justice	Employee
08-066	Employee Misconduct	Employee
08-075	Conflict of Interest	Employee
08-077	Employee Misconduct	Employee
08-079	Employee Misconduct	Employee
08-086	Conflict of Interest	Employee
08-091	Bribery	Employee
08-095	Employee Misconduct	Employee
08-101	Visa Fraud	Private Company
08-104	Employee Misconduct	Employee
08-119	Visa Fraud	Private Citizen
08-120	Contract Fraud	Private Company
08-122	Embezzlement	Employee
08-125	False Claims	Unknown
09-014	Visa Fraud	Private Company
09-017	Conflict of Interest	Unknown
09-034	Embezzlement	Employee
09-036	Conflict of Interest	Employee
09-039	Visa Fraud	Private Company
09-040	Visa Fraud	Immigration Attorney
09-041	Bribery	Unknown
09-043	Contract Fraud	Employee
09-046	Impersonation	Foreign National
09-049	False Claims	Employee

09-059	Embezzlement	Employee
09-064	Employee Misconduct	Employee
09-065	Employee Misconduct	Employee
09-066	Embezzlement	Employee
09-069	False Claims	Private Citizen
09-076	Contract Fraud	Contract Company
09-077	False Claims	Private Citizen
09-088	Visa Fraud	Private Citizen
09-089	Employee Misconduct	Employee
09-090	Embezzlement	Employee
09-096	Employee Misconduct	Employee
09-099	Employee Misconduct	Employee
09-105	Conflict of Interest	Employee
09-108	Employee Misconduct	Employee
09-109	Contract Fraud	Contract Company
09-113	Conflict of Interest	Employee
09-116	False Claims	Employee
09-126	Embezzlement	Unknown
10-001	Embezzlement	Employee
10-002	Embezzlement	Employee
10-003	Conflict of Interest	Employee
10-008	Kickbacks	Employee
10-013	Embezzlement	Employee
10-016	Embezzlement	Employee
10-033	Threats & Intimidation	Private Citizen



Enclosure

State Department OIG Grassley-Coburn Semiannual Report

REPORT PERIOD 4/1/10 through 9/30/10

During the time period, there were no instances when the Department resisted and/or objected to oversight activities and/or restricted OIG's access to information.

Office of Audits

No unclassified reports were issued without being publically released.

Office of Inspections

No unclassified reports were issued without being publically released.

Middle East Region Office

No unclassified reports were issued without being publically released.

Office of Investigations

No Management Assessment Reports (MARs) were issued during this period.

**Closed Hotline allegations,
no action taken**

<u>Allegation type</u>	<u>Subject Type</u>
08-040	08-040 Visa Fraud Immigration Attorney
09-001	09-001 Contract Fraud Contractor
09-054	09-054 Employee Misconduct Employee
09-068	09-068 Visa Fraud Employee
09-103	09-103 False Claims Employee
09-108	09-108 Employee Misconduct Employee
09-118	09-118 False Claims Employee
09-125	09-125 Employee Misconduct Employee
09-128	09-128 Contract Fraud Contractor
10-028	10-028 Embezzlement Employee
10-054	10-054 Embezzlement Employee
10-056	10-056 False Claims Contractor
10-066	10-066 Visa Fraud Private Citizen
10-076	10-076 Conflict of Interest Employee
10-079	10-079 False Claims Employee
10-088	10-088 Visa Fraud Employee

Enclosure

State Department OIG Grassley-Coburn Semiannual Report

REPORT PERIOD 10/1/10 through 3/30/11

1. Describe any instances, temporary or not, where your Department or Agency objected to your oversight activities, or restricted your access to information.

Response 1: During the time period, there were no instances when the Department resisted and/or objected to oversight activities and/or restricted OIG's access to information.

2. Provide information on all closed investigation, evaluations or audits there were not disclosed to the public. Please include all Management Assessment Reports (MARs) and for INV cases, provide case numbers, allegation type and subject type.

Response 2:

No Management Assessment Reports (MARs) were issued by OIG during this period.

Office of Audits

No unclassified reports were issued without being publically released.

Office of Inspections

No unclassified reports were issued without being publically released.

Middle East Region Office

No unclassified reports were issued without being publically released.

Office of Investigations

<u>Closed Cases, no action taken</u>	<u>Allegation type</u>	<u>Subject Type</u>
07-027	False Claims	Employee
09-022	Conflict of Interest	Employee
09-075	Export Control Violation	Private Company
09-127	Embezzlement	Employee
10-012	Contract Fraud	Other Agency Employee
10-048	Contract Fraud	Employee
10-082	Export Control Violation	Foreign National
10-083	Visa Fraud	Private Company
10-085	Contract Fraud	Contractor
11-021	Visa Fraud	Private Employer

Enclosure

11-039

Contract Fraud

Contractor

11-040

Contract Fraud

Contractor

State Department OIG Grassley-Coburn Semiannual Report

REPORT PERIOD 4/1/11 through 9/30/11

- 1. Describe any instances, temporary or not, where your Department or Agency objected to your oversight activities, or restricted your access to information.**

Response 1:

During the time period, there were no instances when the Department resisted and/or objected to oversight activities and/or restricted OIG's access to information.

- 2. Provide information on all closed investigation, evaluations or audits that were not disclosed to the public. Please include all Management Assessment Reports (MARs) and for investigative cases, provide case numbers, allegation type and subject type.**

Response 2:

No Management Assessment Reports (MARs) were issued by OIG during this period.

Office of Audits

No unclassified reports were issued without being publically released.

Office of Inspections

No unclassified reports were issued without being publically released.

Office of Investigations

Closed Cases (where no action taken):

<u>Case #</u>	<u>Allegation type</u>	<u>Subject Type</u>
08-113	Embezzlement	Employee
09-056	Contractor	Contract Fraud
09-083	Embezzlement	Employee
09-084	Embezzlement	Employee
09-085	Contract Fraud	Foreign National
10-004	Embezzlement	Employee
10-025	Conflict of Interest	Employee
10-059	Conflict of Interest	Employee
11-002	Grant Fraud	Grantee
11-067	Contract Fraud	Contractor
11-073	Proactive Inquiry	Unknown
11-076	Export Licensing Fraud	Private Company
11-091	Contract Fraud	Contractor

State Department OIG Grassley-Coburn Semiannual Report

REPORT PERIOD 10/1/11 through 3/30/12

- 1. Describe any instances, temporary or not, where your Department or Agency objected to your oversight activities, or restricted your access to information.**

Response 1:

During the time period, there were no instances when the Department resisted and/or objected to oversight activities and/or restricted OIG's access to information.

- 2. Provide information on all closed investigation, evaluations or audits that were not disclosed to the public. Please include all Management Assessment Reports (MARs) and for investigative cases, provide case numbers, allegation type and subject type.**

Response 2:

Management Assistant Reports

<u>Case Number</u>	<u>Issue</u>	<u>Recipient</u>
10-031	Procurement Procedures	Office of Acquisitions Management
12-014 (P)	Financial Disclosure Reports Requirements	Undersecretary for Management

Office of Audits

No unclassified reports were issued without being publically released.

Office of Inspections

No unclassified reports were issued without being publically released.

Office of Investigations

Closed Cases (where no action taken):

<u>Case #</u>	<u>Allegation type</u>	<u>Subject Type</u>
09-023	False Claims	Contractor
10-004	Embezzlement	Employee
10-007	Contract Fraud	Contractor
10-018	Conflict of Interest	Employee
10-038	Embezzlement	Employee
10-094	Conflict of Interest	Employee
11-060	Grant Fraud	Grantee
11-091	Contract Fraud	Contractor
12-002	Contract Fraud	Contractor