



# governmentattic.org

*"Rummaging in the government's attic"*

Description of document: Washington Metropolitan Area Transit Authority (WMATA) list of Audit Division Reports, 2007-2012

Requested date: 2012

Released date: 14-August-2012

Posted date: 03-September-2012

Title of document Alert Memorandums Issued by Year

Source of document: Office of General Counsel  
PARP Administrator  
Washington Metropolitan Area Transit Authority  
600 Fifth Street, NW  
Washington, D.C. 20001

The governmentattic.org web site ("the site") is noncommercial and free to the public. The site and materials made available on the site, such as this file, are for reference only. The governmentattic.org web site and its principals have made every effort to make this information as complete and as accurate as possible, however, there may be mistakes and omissions, both typographical and in content. The governmentattic.org web site and its principals shall have neither liability nor responsibility to any person or entity with respect to any loss or damage caused, or alleged to have been caused, directly or indirectly, by the information provided on the governmentattic.org web site or in this file. The public records published on the site were obtained from government agencies using proper legal channels. Each document is identified as to the source. Any concerns about the contents of the site should be directed to the agency originating the document in question. GovernmentAttic.org is not responsible for the contents of documents published on the website.



August 14, 2012

Re: PARP Request No. 12-0175

This is in response to the appeal that was filed for the above-referenced Public Access to Records Policy (PARP) request for a copy of the listing of the titles and dates of WMATA's Audit Division Reports for all years in the electronic database of Audit Reports. On July 5, 2012, we provided a link to our Inspector General Semi-annual Reports to the Board of Directors, which contains a listing of the Reports and provided a list of 3 Reports that have been completed since the last Semi-annual Report. On July 9, 2012, you submitted an appeal of our decision because you claimed that it was not truly responsive to your request because you asked for an electronic database of Audit Records.

We have processed your July 6, 2012 letter as an extension of your PARP request because it only requires providing revised records. In lieu of responding to your appeal, please find the enclosed Table of Contents that lists all of the Audit Reports 2007 - 2012. Please note that the Table of Contents is pulled from our electronic database of Audit Reports.

Future correspondence regarding your request should be directed to my attention and should reference the PARP request number above. You may also contact me at 202-962-2058 or kthom@wmata.com.

Sincerely,

Keysia A. Thom  
PARP/Privacy Policy Administrator

Enclosure

**Washington  
Metropolitan Area  
Transit Authority**

600 Fifth Street, NW  
Washington, DC 20001  
202/962-1234

By Metrorail:  
Judiciary Square—Red Line  
Gallery Place-Chinatown—  
Red, Green and  
Yellow Lines  
By Metrobus:  
Routes D1, D3, D6, P6,  
70, 71, 80, X2

## TABLE OF CONTENTS

### BOARD RESOLUTION TO CREATE THE OFFICE OF INSPECTOR GENERAL

### AUDITS AND INVESTIGATIONS POLICY INSTRUCTION No. 13.4/0

### ALERT MEMORANDUMS ISSUED BY YEAR----- 1

#### 2007

- BUS Security Issues (8/17/07)
- Quality Assurance Program (12/28/07)

#### 2008

- Voiding Metrocheks (8/8/08)
- Salary Increase Error (11/26/08)

#### 2009

- Availability of Private Information (2/11/09)
- Distribution of OBN/OBS SmartTrip Cards (1/28/09)

#### 2010

- Project Oversight over ERG Transit, Inc. (ERG) and Northrop Grumman Information Technology, Inc. (NGIT) (3/10/10)
- Lack of Accountability for Keys to Some Mission-Critical Areas (8/5/10)
- Controls for Capital Spares (11/18/10)

### AUDIT REPORTS ISSUED BY YEAR----- 2

#### 2007 - (Tab – A)

- Review of BUS revenue (6/25/07)
- Review of Internal Controls Related to Accounts Payable (12/28/07)

#### 2008 – (Tab – B)

- Overtime at Shady Grove Rail Yard Rail Transportation Supervisors (1/7/08)
- Review of Issues and Concerns on Cubic Contract (2/19/08)
- Review of Trapeze, the Bus Rail Scheduling and Dispatch System (3/25/08)
- Review of Issues and Concerns on ERG Contract (4/29/08)
- Follow-up Review on the Administration of Overtime Hours and Cost in WMATA's Department of Operations and Metro Transit Police Department (6/5/08)
- Review of Capital Projects: Internal Controls (12/23/08)
- Internal Control Review of Fare Media Sales (12/30/08)

#### 2009 – Tab – C

- Audit of Purchase Card Program (6/29/09)
- Audit of Martek Global Services, Inc. (10/2/09)
- Review of PeopleSoft Remediation Project (10/21/09)
- Review of Access Controls of Major Financial and Information Systems (10/14/09)

**2010 – Tab – D**

- Internal Controls: Review of Excess Bus Revenue (1/27/10)
- Review of Controls Over Storeroom Operations (3/24/10)
- Audit of Fiscal year 2009 Accruals and Year-end spending (7/12/10)
- Control Self-Assessment – Employee Safety in the Office of Track and Structures Systems Maintenance – Executive Summary (9/8/10)
- Review of Monthly Reports on Bus Revenue (9/22/10)
- Review of Emergency Plans for Critical information Technology Operations and Financial Systems (9/24/10)

**2011 – Tab – E**

- Review of WMATA's MAXIMO work Orders Module (3/28/11)
- Review of Office of Bus Maintenance Inspection Program (6/3/11)
- Audit of Reported Paratransit Revenues and Ridership (10/3/11)
- Review of WMATA's Oversight of Selected IT Service Contractors (12/2/11)

**2012 – Tab - F**

- Review of Contract CQ 7068 – Safety Management Assessment and Enhancement Program 2/14/12
- Review of Internal Controls over the Collection of Metrorail Cash (2/29/12)
- Review of WMATA's Software/Hardware Acquisition Process (5/25/12)