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Description of document: List of Tennessee Valley Authority (TVA) Office of Inspector General Closed Investigations, January 1 - December 31, 2012

Requested date: 09-February-2013

Released date: 11-July-2013

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Title of document Tennessee Valley Authority Office of Inspector General Closed Investigations, January 1-December 31, 2012

Source of document: TVA FOIA Officer  
400 West Summit Hill Dr. WT 7D  
Knoxville, TN 37902-1499  
Fax: 865-632-6901

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Tennessee Valley Authority, 400 West Summit Hill Drive, Knoxville, Tennessee 37902-1401

July 11, 2013

This responds to your letter dated February 9, 2013, requesting information under the Freedom of Information Act (FOIA) 5 U.S.C. § 552 (2012). You requested a list of OIG investigations closed during calendar year 2012.

Enclosed is the list you requested.

For non-commercial requests, TVA's FOIA regulations (18 C.F.R. § 1301) provide that the fees for the first two hours of search time and the first 100 pages of copying are waived. Since this response was made within those guidelines, there is no charge for processing your request.

You may appeal this initial determination of your FOIA request by writing to Ms. Janet J. Brewer, Vice President, Communications, Tennessee Valley Authority, 400 W. Summit Hill Drive (WT 7C), Knoxville, TN 37902-1401. Any appeal must be received by Ms. Brewer within 30 days of the date of this letter.

Sincerely,

A handwritten signature in black ink that reads "Denise Smith". The signature is written in a cursive, flowing style.

Denise Smith  
TVA FOIA Officer

Enclosure

**TENNESSEE VALLEY AUTHORITY OFFICE OF INSPECTOR GENERAL  
CLOSED INVESTIGATIONS, JANUARY 1 - DECEMBER 31, 2012**

<b>Matter ID</b>	<b>Classification</b>	<b>Case File No.</b>	<b>Opened</b>	<b>Closed</b>
2009-12698	01F Employee Misconduct: Unauthorized Gifts-Gratuities	01B12698	07/06/2009	01/09/2012
2010-13603	01B Employee Misconduct: Time and Leave	01B13603	10/27/2010	06/18/2012
2011-14026	01B Employee Misconduct: Time and Leave	01B14026	06/12/2011	03/20/2012
2010-13573	01D Employee Misconduct: Conflict of Interest	01D13573	10/08/2010	04/10/2012
2012-14527	01D Employee Misconduct: Conflict of Interest	01D14527	04/09/2012	09/18/2012
2010-13448	01H Employee Misconduct: Miscellaneous	01H13448	08/06/2010	09/01/2012
2011-13985	01H Employee Misconduct: Miscellaneous	01H13985	05/17/2011	04/10/2012
2011-14053	01H Employee Misconduct: Miscellaneous	01H14053	08/11/2011	02/26/2012
2011-14309	01H Employee Misconduct: Miscellaneous	01H14309	11/17/2011	02/08/2012
2012-14394	01H Employee Misconduct: Miscellaneous	01H14394	01/17/2012	03/07/2012
2012-14606	01H Employee Misconduct: Miscellaneous	01H14606	05/30/2012	08/30/2012
2012-14770	01H Employee Misconduct: Miscellaneous	01H14770	09/07/2012	02/26/2013
2011-14046	01K Employee Misconduct: Ethics	01K14046	06/29/2011	04/27/2012
2011-14346	01K Employee Misconduct: Ethics	01K14346	12/08/2011	06/18/2012
2012-14386	01K Employee Misconduct: Ethics	01K14386	01/11/2012	12/19/2012
2012-14604	01K Employee Misconduct: Ethics	01K14604	05/30/2012	06/28/2012
Total for Group 01: 16				
2011-14108	02A Employee Concerns Program: Harassment/Intimidation	02A14108	08/09/2011	01/23/2013
2011-13805	02D Employee Concerns Program: DOL/Energy Reorg Act	02D13805	02/23/2011	09/07/2012
2012-14732	02E Employee Concerns Program: Nuclear Safety	02E14732	06/28/2012	11/01/2012
Total for Group 02: 3				
2010-13704	03B Misuse/Abuse Govt Property: Computers	03B13704	01/03/2011	05/01/2012
2011-13770	03C Misuse/Abuse Govt Property: Information	03C13770	02/07/2011	01/30/2012
2012-14487	03F Misuse Govt Property: Miscellaneous	03F14487	03/09/2012	06/20/2012
Total for Group 03: 3				
2012-14815	06A Personnel: Promotion/Demotion Disputes	06A14815	09/30/2012	02/11/2013
2012-14545	06D Personnel: Preferential Treatment/Favoritism	06D14545	04/10/2012	02/21/2013
2012-14861	06J Personnel: Working Conditions	06J14861	10/30/2012	01/25/2013
2012-14757	06L Personnel Matters: Nepotism	06L14757	08/30/2012	10/26/2012
2012-14627	06M Personnel Matters: Miscellaneous	06M14627	06/13/2012	06/19/2012
2013-14945	06M Personnel Matters: Miscellaneous	06M14945	01/08/2013	02/25/2013
Total for Group 06: 6				

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<u>Matter ID</u>	<u>Classification</u>	<u>Case File No.</u>	<u>Opened</u>	<u>Closed</u>
2011-14010	08H Discrimination & Harassment: Reprisal Action	08H14010	05/31/2011	05/18/2012
Total for Group 08: 1				
2012-14650	09A Safety: Nuclear Facility (Non-Nuclear Violation[s])	09A14650	05/31/2012	08/20/2012
2011-14007	09B Safety: Other Facilities	09B14007	05/31/2011	01/24/2012
2011-14168	09C Safety: Miscellaneous	09C14168	09/14/2011	06/18/2012
2012-14383	09C Safety: Miscellaneous	09C14383	01/09/2012	02/29/2012
Total for Group 09: 4				
2010-13638	11A Theft: Govt Property	11A13638	11/17/2010	07/08/2012
2011-14282	11A Theft: Govt Property	11A14282	11/01/2011	03/07/2012
2012-14598	11A Theft: Govt Property	11A14598	05/24/2012	06/04/2012
2010-13267	11E Theft: Miscellaneous	11E13267	05/07/2010	04/05/2012
Total for Group 11: 4				
2010-13642	12B False Records: Employment Records	12B13642	11/18/2010	07/30/2012
2012-14431	12B False Records: Employment Records	12B14431	02/08/2012	08/21/2012
2009-12604	12C False Records: Travel	12C12604	05/18/2009	01/24/2013
2010-13617	12C False Records: Travel	12C13617	11/02/2010	05/08/2012
2011-13735	12C False Records: Travel	12C13735	01/24/2011	04/27/2012
2012-14392	12C False Records: Travel	12C14392	01/17/2012	05/03/2012
2012-14462	12C False Records: Travel	12C14462	02/29/2012	05/03/2012
2012-14463	12C False Records: Travel	12C14463	02/29/2012	07/02/2012
2012-14464	12C False Records: Travel	12C14464	02/29/2012	05/03/2012
2012-14465	12C False Records: Travel	12C14465	02/29/2012	04/10/2012
2012-14563	12C False Records: Travel	12C14563	05/02/2012	06/06/2012
2007-11105	12D False Records: Benefit Plans	12D00162*	06/18/2007	01/18/2012
2006-8033	12D False Records: Benefit Plans	12D00168	06/28/2004	04/05/2012
2007-11020	12D False Records: Benefit Plans	12D00178	05/03/2007	05/15/2012
2009-12481	12D False Records: Benefit Plans	12D12481	03/27/2009	06/01/2012
2012-14730	12D False Records: Benefit Plans	12D14730	08/14/2012	02/28/2013
2010-13637	12E False Records: Miscellaneous	12E13637	11/17/2010	01/04/2012
2011-13801	12E False Records: Miscellaneous	12E13801	02/22/2011	03/07/2012
2011-13873	12E False Records: Miscellaneous	12E13873	03/29/2011	02/23/2012

**TENNESSEE VALLEY AUTHORITY OFFICE OF INSPECTOR GENERAL  
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<u>Matter ID</u>	<u>Classification</u>	<u>Case File No.</u>	<u>Opened</u>	<u>Closed</u>
2011-14134	12E False Records: Miscellaneous	12E14134	08/22/2011	05/01/2012
2011-14212	12E False Records: Miscellaneous	12E14212	10/03/2011	01/25/2012
2011-14328	12E False Records: Miscellaneous	12E14328	11/29/2011	06/12/2012
2012-14424	12E False Records: Miscellaneous	12E14424	02/06/2012	03/27/2012
2012-14583	12E False Records: Miscellaneous	12E14583	05/15/2012	07/24/2012
2012-14653	12E False Records: Miscellaneous	12E14653	06/26/2012	11/19/2012
2012-14654	12E False Records: Miscellaneous	12E14654	06/26/2012	01/23/2013
2012-14909	12E False Records: Miscellaneous	12E14909	12/09/2012	01/23/2013
Total for Group 12: 27				
2012-14468	13A Contract Misconduct: Improper Bids/Negotiations	13A14468	02/29/2012	06/18/2012
2009-12353	13B Contract Misconduct: Kickbacks/Bribery	13B12353	02/06/2009	04/01/2012
2012-14408	13D Contract Misconduct: Breaches	13D14408	01/23/2012	09/18/2012
2012-14616	13D Contract Misconduct: Breaches	13D14616	06/05/2012	09/18/2012
2006-8444	13E Contract Misconduct: Miscellaneous	13E00408	02/23/2006	05/08/2012
2009-12356	13E Contract Misconduct: Miscellaneous	13E12356	02/06/2009	02/28/2013
2009-12462	13E Contract Misconduct: Miscellaneous	13E12462	03/12/2009	02/28/2013
2009-12992	13E Contract Misconduct: Miscellaneous	13E12992	12/03/2009	01/24/2013
2010-13269	13E Contract Misconduct: Miscellaneous	13E13269	05/07/2010	04/10/2012
2010-13520	13E Contract Misconduct: Miscellaneous	13E13520	09/09/2010	02/28/2013
2010-13529	13E Contract Misconduct: Miscellaneous	13E13529	09/15/2010	12/05/2012
2010-13693	13E Contract Misconduct: Miscellaneous	13E13693	12/17/2010	06/04/2012
2011-13877	13E Contract Misconduct: Miscellaneous	13E13877	03/29/2011	01/23/2012
2011-14148	13E Contract Misconduct: Miscellaneous	13E14148	08/29/2011	02/01/2012
2011-14185	13E Contract Misconduct: Miscellaneous	13E14185	09/26/2011	11/28/2012
2011-14342	13E Contract Misconduct: Miscellaneous	13E14342	12/07/2011	02/01/2012
2012-14486	13E Contract Misconduct: Miscellaneous	13E14486	03/06/2012	03/20/2012
2012-14554	13E Contract Misconduct: Miscellaneous	13E14554	04/25/2012	06/19/2012
2012-14683	13E Contract Misconduct: Miscellaneous	13E14683	07/13/2012	07/16/2012
2012-14724	13E Contract Misconduct: Miscellaneous	13E14724	08/30/2012	02/08/2013
2012-14752	13E Contract Misconduct: Miscellaneous	13E14752	08/28/2012	01/08/2013
Total for Group 13: 21				
2009-12358	14C Waste & Fraud - Workers Compensation Issue	14C12358	02/09/2009	05/11/2012
2011-13725	14C Waste & Fraud - Workers Compensation Issue	14C13725	01/19/2011	06/04/2012

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<b>Matter ID</b>	<b>Classification</b>	<b>Case File No.</b>	<b>Opened</b>	<b>Closed</b>
2011-14000	14C Waste & Fraud - Workers Compensation Issue	14C14000	05/25/2011	01/25/2012
2011-14001	14C Waste & Fraud - Workers Compensation Issue	14C14001	05/25/2011	01/24/2012
2011-14016	14C Waste & Fraud - Workers Compensation Issue	14C14016	06/02/2011	01/23/2012
2011-14180	14C Waste & Fraud - Workers Compensation Issue	14C14180	09/23/2011	01/10/2012
2012-14525	14C Waste & Fraud - Workers Compensation Issue	14C14525	04/06/2012	07/16/2012
2012-14555	14C Waste & Fraud - Workers Compensation Issue	14C14555	04/25/2012	08/16/2012
2012-14751	14C Waste & Fraud - Workers Compensation Issue	14C14751	08/27/2012	02/08/2013
2012-14753	14C Waste & Fraud - Workers Compensation Issue	14C14753	08/28/2012	12/11/2012
2010-13546	14D Waste & Fraud - Other	14D13546	09/21/2010	08/30/2012
2011-13830	14D Waste & Fraud - Other	14D13830	03/08/2011	01/18/2012
2011-14037	14D Waste & Fraud - Other	14D14037	06/27/2011	02/15/2012
2011-14169	14D Waste & Fraud - Other	14D14169	10/03/2011	03/13/2012
2011-14170	14D Waste & Fraud - Other	14D14170	10/03/2011	03/13/2012
2011-14181	14D Waste & Fraud - Other	14D14181	09/26/2011	07/02/2012
2011-14224	14D Waste & Fraud - Other	14D14224	10/05/2011	09/13/2012
2012-14746	14D Waste & Fraud - Other	14D14746	08/23/2012	01/25/2013
Total for Group 14: 18				
2011-14331	15B General Criminal Activities: Narcotics Trafficking	15B14331	11/29/2011	01/24/2012
2010-13688	15D General Criminal Activities: Other	15D13688	08/06/2010	06/27/2012
2011-14251	15D General Criminal Activities: Other	15D14251	01/09/2012	09/25/2012
2011-14252	15D General Criminal Activities: Other	15D14252	10/05/2011	02/01/2012
2011-14253	15D General Criminal Activities: Other	15D14253	10/26/2011	07/08/2012
Total for Group 15: 5				
2011-14230	16B Employee Suitability: Background	16B00056***	10/07/2011	02/21/2012
2011-14234	16B Employee Suitability: Background	16B00271***	10/11/2011	02/08/2012
2011-14144	16B Employee Suitability: Background	16B00273***	08/25/2011	07/18/2012
2012-14588	16B Employee Suitability: Background	16B00297**	05/17/2012	07/25/2012
2011-14065	16B Employee Suitability: Background	16B00394*	07/12/2011	02/07/2012
2011-14142	16B Employee Suitability: Background	16B00396*	08/25/2011	02/21/2012
2011-14231	16B Employee Suitability: Background	16B00416*	10/07/2011	01/10/2012
2011-14033	16B Employee Suitability: Background	16B14033	06/23/2011	02/07/2012
2011-14248	16B Employee Suitability: Background	16B14248	10/21/2011	07/18/2012
2011-14249	16B Employee Suitability: Background	16B14249	10/21/2011	07/25/2012

**TENNESSEE VALLEY AUTHORITY OFFICE OF INSPECTOR GENERAL  
CLOSED INVESTIGATIONS, JANUARY 1 - DECEMBER 31, 2012**

<b>Matter ID</b>	<b>Classification</b>	<b>Case File No.</b>	<b>Opened</b>	<b>Closed</b>
2011-14263	16B Employee Suitability: Background	16B14263	10/27/2011	07/31/2012
2011-14310	16B Employee Suitability: Background	16B14310	11/18/2011	07/25/2012
2012-14375	16B Employee Suitability: Background	16B14375	01/03/2012	01/10/2012
2012-14812	16B Employee Suitability: Background	16B14375*	10/02/2012	01/29/2013
2012-14453	16B Employee Suitability: Background	16B14453	02/27/2012	07/18/2012
2012-14466	16B Employee Suitability: Background	16B14466	03/01/2012	03/27/2012
2012-14467	16B Employee Suitability: Background	16B14467	03/01/2012	03/06/2012
2012-14492	16B Employee Suitability: Background	16B14492	03/14/2012	03/27/2012
2012-14782	16B Employee Suitability: Background	16B14492*	09/20/2012	01/29/2013
2012-14503	16B Employee Suitability: Background	16B14503	03/15/2012	03/27/2012
2012-14556	16B Employee Suitability: Background	16B14556	04/27/2012	05/01/2012
2012-14557	16B Employee Suitability: Background	16B14557	04/27/2012	05/01/2012
2012-14626	16B Employee Suitability: Background	16B14626	06/12/2012	09/03/2012
2012-14642	16B Employee Suitability: Background	16B14642	06/25/2012	12/04/2012
2013-14991	16B Employee Suitability: Background	16B14991	02/05/2013	02/27/2013
2011-13930	16C Employment Suitability: Miscellaneous	16C13930	04/19/2011	04/10/2012
2011-14091	16C Employment Suitability: Miscellaneous	16C14091	07/22/2011	04/10/2012
Total for Group 16: 27				
2010-13618	17A Public Concerns: Citizen Concerns	17A13618	01/03/2011	01/13/2012
2011-14330	17C Public Concerns: Utility/Rate Concerns	17C14330	11/29/2011	09/29/2012
Total for Group 17: 2				
2009-12887	20Z Special Projects	20Z12887	10/06/2009	02/28/2012
2009-12990	20Z Special Projects	20Z12990	02/01/2010	02/29/2012
2011-14103	20Z Special Projects	20Z14103	08/04/2011	10/04/2012
2012-14633	20Z Special Projects	20Z14633	06/15/2012	10/22/2012
2012-14918	20Z Special Projects	20Z14918	12/14/2012	01/29/2013
Total for Group 20: 5				
2011-13994	23A Environmental Matters: Water	23A13994	05/20/2011	02/14/2012
2012-14378	23A Environmental Matters: Water	23A14378	01/04/2012	02/22/2012
2012-14605	23A Environmental Matters: Water	23A14605	05/30/2012	08/24/2012
2012-14814	23A Environmental Matters: Water	23A14814	10/03/2012	12/18/2012
Total for Group 23: 4				

**TENNESSEE VALLEY AUTHORITY OFFICE OF INSPECTOR GENERAL  
CLOSED INVESTIGATIONS, JANUARY 1 - DECEMBER 31, 2012**

<b>Matter ID</b>	<b>Classification</b>	<b>Case File No.</b>	<b>Opened</b>	<b>Closed</b>
2011-14092	25A Computer Crimes: Unauthorized Access	25A14092	07/25/2011	02/29/2012
2011-14002	25B Computer Crimes: Intrusion-Hacking	25B14002	05/26/2011	05/23/2012
2012-14421	25B Computer Crimes: Intrusion-Hacking	25B14421	02/02/2012	04/02/2012
2012-14548	25B Computer Crimes: Intrusion-Hacking	25B14548	04/20/2012	04/26/2012
2012-14426	25D Computer Crimes: Internet Fraud/Abuse	25D14426	02/06/2012	07/16/2012
Total for Group 25: 5				

**Report Total: 151**