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Description of document:

US Department of Justice United States Marshals Service Court Security Officer Contract for 5th Judicial Circuit at New Orleans, LA [for Louisiana, Mississippi, Texas] with Inter-Con Security Systems, Inc., Pasadena, CA (CSO Contract DJMS-08-D-0013), August, 2007

Requested date:

10-March-2008

Released date: 05-May-2008

Posted date: 06-June-2008

Source of document:

FOIA/PA Officer Office of General Counsel Department of Justice United States Marshals Service Washington, DC 20530-1000 (202) 307-9054 FOIA E-Mail

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March 10, 2008

William E. Bordley, Associate General Counsel Office of General Counsel United States Marshals Service Department of Justice CS-3, 12th Floor Washington, D.C. 20530-1000

# FOIA REQUEST

Dear Mr. Bordley:

I am requesting a copy of the **Judicial Security Contract** for each of the following Circuits:

- Third Judicial Circuit
- Fifth Judicial Circuit
- DC Circuit

Please provide a <u>searchable</u> electronic copy of these records on a CD-ROM.

I am requesting a fee waiver, as the disclosure of the requested records will contribute significantly to public understanding of government operations and/or activities. This information will be widely distributed, free of charge, to FOIA and investigative reporting news groups.

If any records are withheld or redacted, please include a "Vaughn Index" (1).

If you have any questions, please call me.

Thank you,

(1) VAUGHN INDEX - The term "Vaughn Index" originated from Vaughn v. Rosen, 484 F.2d 820 (D.C. Cir. 1973), cert. denied, 415 U.S. 977 (1974), wherein the court rejected an agency's conclusory affidavit stating that requested FOIA documents were subject to exemption. Id. at 828. "A Vaughn Index must: (1) identify each document withheld; (2) state the statutory exemption claimed; and (3) explain how disclosure would damage the interests protected by the claimed exemption." Citizens Comm'n on Human Rights v. FDA, 45 F.3d 1325, 1326 n.1 (9th Cir. 1995). This detailed affidavit " 'permit[s] the court system effectively and efficiently to evaluate the factual nature of disputed information.' " John Doe Agency v. John Doe Corp., 493 U.S. 146, 149 n.2 (1989) (quoting Vaughn, 484 F.2d at 826).



# U.S. Department of Justice

United States Marshals Service

Office of General Counsel

Washington, DC 20530-1000

March 10, 2008

RE: Freedom of Information/Privacy Act Request No. 2008USMS11658 Subject: Judicial Security Contracts (third and fifth judicial circuit, DC circuit).

Dear Requester:

The United States Marshals Service is in receipt of your Freedom of Information/Privacy Act request for records maintained by this Bureau. We have commenced a search for documents responsive to your request and will contact you when our processing is complete.

Although we are unable to determine at this time the amount of fees to be charged to you, if any, the filing of your request constitutes your agreement to pay all applicable fees that may be charged under 28 C.F.R. § 16.11 or § 16.49, up to \$25.00. You will be notified as soon as practicable if the estimated or actual fee for satisfying your request exceeds \$25.00.

If you should have any questions, please contact Gerleta Foreman at (202) 307-8565.

Sincerely, Julita Jeremon fin

William E. Bordley Associate General Counsel/FOIPA Officer Office of General Counsel



# **U.S. Department of Justice**

United States Marshals Service

Office of General Counsel

Washington, DC 20530-1000

May 5, 2008

# Re: Freedom of Information Act (FOIA) Request No. 2008USMS11658

The United States Marshals Service (USMS) is responding to your request for a copy of the judicial security contracts for the 3<sup>rd</sup>, 5<sup>th</sup>, and DC Judicial Circuits.

Pursuant to your request, the USMS conducted a search of its files and established that the USMS contracts with MVM, Inc. (No. DJMS-08-0011 - 3<sup>rd</sup> circuit); and Inter-Con Security Systems, Inc. (Nos. DJMS-08-0013 - 5<sup>th</sup> circuit and DJMS-08-D-0015 - 12<sup>th</sup> (DC) circuit) are responsive to your request. We have determined to grant you access to these contracts, consisting of 1,205 pages. The requested records are being provided to you on the enclosed CD, as you requested.

Section B Specification and Pricing Sheets incorporated into the contracts were excluded. Please advise us in writing if you seek access to this information. If so, we will initiate the business submitter notification procedures pursuant to 28 C.F.R. § 16.8(d) and (f) to provide the submitters an opportunity to object to disclosure of Section B Specification and Pricing Sheets and correspond with you accordingly. Should the business submitters object to disclosure, be advised that the review process may be time consuming and may require payment of (substantial) review fees. Also, an advance payment may be required before processing begins. Please note, you must pay review fees even if you receive little or no material. See 28 C.F.R. §16.11(b)(7). Accordingly, your written request for Section B Specification and Pricing Sheets should include your agreement to pay all fees associated with your request. Page Two

If you have any questions, please contact Arleta D. Cunningham by telephone at (202) 307-9052.

Sincerely,

William E. Bordley Associate General Counsel/FOIPA Officer

Office of General Counsel

Enclosure



# **5th Judicial Circuit** CSO Contract DJMS-08-D-0013



**Inter-Con Security Systems, Inc.** 

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Prescribed by GSA - FAR (48 CFR) 53.214(c)

Continuation Sheet for SF 33 (Numbers correlate with numbering on page 1)

14. Acknowledgement of Amendments (The offeror acknowledges receipt of amendments to the Solicitation for offerors and related documents numbered and dated):

| Amendment No | Date |           |
|--------------|------|-----------|
| A015         |      | 8/17/2007 |
| A025         |      | 8/30/2007 |
| A026         |      | 8/30/2007 |
| A027         |      | 9/08/2007 |
| A037         |      | 9/14/2007 |
| A039         |      | 9/25/2007 |

19. 5<sup>th</sup> Judicial Circuit

- 20. This is an ID/IQ type contract with time and materials pricing arrangements. The requirements are defined in the task orders. The minimum ordering quantity is defined by Clause H-10.
- 21. Specified on individual task orders.
- 23. Item 7 and individual task orders.
- 25 See Task Orders.

## **PART I – SCHEDULE**

## SECTION B - SUPPLIES OR SERVICES AND PRICES / COSTS

#### **B-1 DESCRIPTION OF SERVICES**

- (a) The Contractor must provide all management, supervision, manpower, material, supplies, and equipment (except as otherwise provided) and plan, schedule, coordinate, and assure effective performance of security services at the place of performance in accordance with the terms, conditions and statement of work.
- (b) The pricing for services required by Lead Court Security Officers (LCSOs), Court Security Officers (CSOs) and Site Supervisors have been divided into three separate categories that are defined below. The category of start-up cost is <u>not applicable</u> to the services for <u>site supervisor</u>. The categories are as follows:
  - (1) <u>Basic Rate</u> Rate for all court security services performed, except overtime, Sunday through Saturday, including holidays if the courthouse should be open. Medical exams and weapons training are allowed 2 hours each and billable at the Basic Rate. The 8hour training is billable at the Basic Rate.
  - (2) <u>Start-up Cost</u> The Start-up Cost includes *all* yearly Contractor expenditures for LCSO and CSO uniforms, weapon qualifications, range costs, and medical examinations required to demonstrate that the individual meets the minimum medical standards.

The Government is responsible for paying the actual start-up cost for each LCSO and CSO for each contract period up to the limit specified herein. These start-up costs are applicable when:

- (a) the Contracting Officer enhances the number of positions; or
- (b) the Contractor is required to hire replacement pursuant to one of the conditions stated in Section C-8, *Turnover*.

For LCSOs or CSOs who have started work less than four months prior to the new contract period the Contractor is not entitled to the start-up cost. 5<sup>th</sup> Judicial Circuit

All start-up requirements for new uniforms, weapons, and medical qualifications must be completed for all personnel annually, except those having come on board during the previous four months.

If the contractor uses the USMS range for weapons qualification, the amount proposed for the weapons qualification portion of the start-up cost will be deducted from the contractor's invoice.

- (3) <u>Overtime Rate</u> The Government will pay overtime when the LCSO or CSO is required by the Contracting Officer's Technical Representative (COTR) and approved by the Contracting Officer to work more than a 40hour work week. (See Section C-26, Overtime and Holiday Performance.) For the purposes of defining a work week for performance under this contract, a work week is from Sunday through Saturday.
- (c) <u>Wage Determination (WD)</u>: In order to ensure a stable and experienced workforce, the prospective contractor must, at a minimum, maintain the wages and benefits currently paid to the incumbent Lead Court Security Officers and Court Security Officers covered under a Wage Determination. The most current wages and benefits for each LCSO and CSO covered under a Wage Determination are included in Section J.
- (d) <u>Collective Bargaining Agreement (CBA)</u>: Any valid CBA will be incorporated into the contract and the offeror must propose in accordance with the Service Contract Act as it applies to Collective Bargaining Agreements.
- (e) Labor Escalation

After contract award, the USMS intends to request that the contract have the LCSO category conformed by the Department of Labor in accordance with the Service Contract Act. LCSO rates will then be governed by Wage Determinations. The National Labor Relations Board (NLRB) has twice issued decisions indicating that LCSOs are not considered management personnel and should be included in any collective bargaining agreements. However, there is no guarantee that LCSOs will be conformed by DOL or that it will be completed by October 1, 2007 to be included in the first or subsequent option year price adjustments. Once conformed, the offeror will be entitled to a price adjustment in accordance with the Service Contract Act. SECTION B

5<sup>th</sup> Judicial Circuit

# B-2 PRICING SCHEDULES

The pricing schedules for the Judicial Circuit and each facility in the circuit requiring support is provided in attachment 1 (C). The positions are estimates for planning purposes only. The requirements (CSOs, LCSOs and Site Supervisors) to be furnished are determined by task orders issued against this contract. The task orders requirements may be higher or lower than the estimates for positions cited in attachment 1 (C).

# **B-3 ESTIMATED QUANTITIES**

- (a) The Government will order and the Contractor must furnish the stated minimum quantity of supplies or services as described in Section H-10, *Quantities for Minimums and Maximums*.
- (b) The Government's estimated ceiling will be specified in each individual task order.
- (c) The Government reserves the right to remove services from any individual district, as long as this removal does not cause the Government to fail to order the guaranteed minimum number of hours under the contract.

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# SECTION C

# DESCRIPTION / SPECIFICATIONS / STATEMENT OF WORK

# C-I BACKGROUND

- (a) One of the major responsibilities of the United States Marshals Service (USMS) is to ensure the safety of all federal courts and court employees against unauthorized, illegal, and potentially life-threatening activities. For more than two decades, the USMS has sought the services of the private sector to provide highly qualified and skilled individuals to assist in this effort. These individuals are traditionally known as Court Security Officers (CSOs), and their duties are classified as court security services. Individuals hired to perform as a result of an Interagency Acquisition Agreement are designated as Special Security Officers (SSOs). Agencies authorized to acquire SSO services under such agreement are listed in Attachment 5(A) under Section J. These agencies perform limited contract and all administrative functions such as background investigations and medical qualifications for their respective SSOs.
- (b) The Judicial Security Division has responsibility for coordinating federal court security activities throughout the United States and its territories.

# C-2 SCOPE

The Contractor must provide all necessary personnel, management, supervision, administrative support, office facilities, transportation, materials, supplies, office equipment, and clothing not provided by the Government (See Section C-27, *Government Furnished Property*), to perform court security services for the USMS. During the term of this contract, including any extensions, the security coverage required may change (increase or decrease) as deemed necessary by the Government.

# C-3 CONTRACTOR PERSONNEL AND PERFORMANCE REQUIREMENTS

- (a) <u>Contract Manager</u>:
  - (1) Managing the requirements of this contract is considered a critical function. For that reason, the Contractor must provide and solely dedicate a highly skilled and experienced Contract Manager for each circuit specified under this contract. The Contract Manager

must:

(

| i) | Have the ability and authority to make decisions on behalf |
|----|--|
|    | of the company, particularly on personnel related issues.  |

- (ii) Have authority to supervise all individuals working under this contract.
- (iii) Be available to the Government on a 24-hour basis, by way of a pager and telephone, to ensure Contractor response in the event of an emergency or other exigent circumstance.
- (iv) Have the ability to carry out all administrative functions efficiently, effectively and in a timely fashion without the use of CSOs.
- (v) Ensure all contractor employees perform all duties and requirements of this contract and comply with the performance standards mandated in Section C-14, CSO Performance Standards.
- (vi) Serve as the main point of contact for the Government to discuss technical and security related requirements, which includes receiving and executing daily technical direction from the Contracting Officer's Technical Representative (COTR).
- (2) The minimum qualifications of the Contract Manager are as follows:
  - (i) Be a citizen of the United States of America;
  - Be able to read, write and speak the English language fluently;
  - Possess a Bachelor's degree or have five years of managerial experience with projects similar in size and scope to this contract;
  - Be able to pass the background investigation mandated for CSO applicants (See Section C-18, Background Investigation Requirements and Procedures);

- (v) Be free from conviction of a misdemeanor crime of domestic violence in accordance with Title 18, Section 922(g)(9) of the United States Code. The term "convicted" is generally defined in the statute as excluding anyone whose conviction has been expunged, set aside, or pardoned.
- (3) When the Contract Manager is unavailable for any reason, the Contractor must designate someone to act in the Contract Manager's capacity. The individual designated to act as the Contract Manager must meet the stated Contract Manager's minimum qualification requirements.
- (4) The Government will review and approve the Contractor's recommendation for all Contract Managers. The Contractor must notify the Government, in writing and in advance, if any change in the Contract Manager's position will occur or has occurred. A copy of this notification must be provided to the Chief, Office of Court Security, the Contracting Officer, and the COTR. Such notification must outline the details of the change, include the effective date(s) of the change and must specify changes in information which might affect the Government's ability to contact the Contract Manager. The notification must also include any new essential information, i.e., Contract Manager's address, telephone, and pager numbers.
- (5) Contract Manager is not required to meet the CSO medical standards, weapons qualifications, and uniform requirements stated below.
- (b) <u>Site Supervisor(s)</u>:
  - ((1) The Contractor must provide a Site Supervisor for the Districts and at the site indicated in accordance with the task orders issued for security services. The Government may add or delete the requirement of a Site Supervisor position at any location and at anytime during the performance of this contract. Site Supervisor positions are considered part of the Contractor's managerial staff and perform as direct line supervisors. Primarily, the Site Supervisor(s) must oversee and manage the day-today operations of the CSOs/LCSOs and maintain quality control of the security services performed by the CSOs/LCSOs. At a minimum, the Site

Supervisor must visit each facility within a district where CSOs/LCSOs are assigned at least three times per quarter. Additional visits may be required if directed by the Government. The Government may use the following guidelines/criteria for requiring Site Supervisors:

- A district having at least 50 positions. If a district meets this criterion, there is no minimum limit on the number of sites.
- A district has at least 30 positions and three or more sites
- A district has more than five sites
- (2) If a District meets one of the above criteria during the life of the contract, the Government may, at its sole discretion, order a Site Supervisor by modifying the existing task order.
- (3) Site Supervisor personnel must possess at least five years of supervisory experience and meet the minimum CSO qualification requirements. Site Supervisor personnel are prohibited from performing as a CSO/LCSO and will not be subjected to the uniform, medical or physical requirements stated in this contract.
- (4) Site Site Supervisor personnel must possess at least five years of supervisory experience and meet the minimum CSO qualification requirements. Site Supervisor personnel are prohibited from performing as a CSO and will not be subjected to the uniform, medical or physical requirements stated in this contract.

# (c) Lead Court Security Officers (LCSO):

- (1) The Contractor must provide a LCSO at each court facility stated in Section B of this contract. For facilities designated to operate on a "24-hour" basis, the Contractor must provide three LCSOs, one to cover each of the three shifts in a work day.
- (2) The Contractor must require the LCSO to maintain and monitor all post performance required by this contract. In addition, the Contractor must require the LCSO to keep the COTR informed about post coverage, potential problems, and the actions that will be taken to correct the problem(s). The LCSO must also coordinate daily activities at their respective facility directly with the COTR to:

|     |              | (i)     | act as liaison between the Contract Manager, Site Supervisor and the COTR;  |
|-----|--------------|---------|---|
|     |              | (ii)    | provide direct supervision to all CSOs;   |
|     |              | (iii)   | assure all posts are covered as directed by the Government;   |
|     |              | (iv)    | assure all CSOs are present and in proper uniform;  |
|     |              | (v)     | determine any changes that may be required in the District's daily routine; and,  |
|     |              | (ii)    | assure all Government-issued equipment and property are accounted for.  |
|     | (3)          | time v  | CSO is also required to function simultaneously as a full-<br>working CSO. When a LCSO is absent, the Contractor must<br>hate an individual to act as and perform the responsibilities of<br>CSO. |
| (d) | <u>Court</u> | Securit | ty Officers (CSO):  |
|     | (1)          |         | Contractor must provide qualified CSOs at each district   |

- facility designated by the Government. It is the express intention of the USMS to maintain consistency in CSO duties in order to provide the maximum amount of security possible. In this regard, the COTR in each district will provide the Contractor with post orders/standard operating procedures for each post assignment. In the event of an emergency, the Government may require the Contractor to cover posts and perform duties outside of the court facility. The Contractor must also ensure that all duties required of the CSO will be performed within the scope of this contract. At a *minimum*, CSOs will be required to perform the following:
  - (i) <u>Entrance Control</u> The CSO must enforce the district's entry and identification system. This includes operating security screening equipment to detect weapons, contraband, and prohibited items, checking such items as handbags, briefcases, computers, packages, baby carriages, wheel chairs, etc. Any items confiscated during the screening process must be documented on a CSO Form 003, *Court Facility Incident Report*, (See Section J, *List of Attachments*) by the CSO and turned over to the COTR.

The documentation must provide a thorough account of the confiscation. Upon completion, the CSO must provide the report to the COTR.

- (ii) <u>Roving Patrol</u> The CSO must patrol court facilities and grounds of the facility in accordance with applicable post orders.
- (iii) <u>Stationary Post Assignments</u> The CSO must stand guard at stationary posts. This may include monitoring closed circuit television, duress alarm systems or other such equipment, courtrooms, judges' chambers, and jury rooms.
- (iv) <u>Escort Duties</u> For official purposes and when deemed necessary by the COTR, the CSO must provide armed escort services for judges, court personnel, jurors, and other designated individuals. Generally, this may include escorting an individual(s) to a garage or parking area, from one room to another, one floor to another, or from one facility to another facility. The CSO may also be required to travel and/or drive an individual in a Government-owned vehicle for official functions only. However, advanced authorization from the COTR is required for this security service. Escort services for the movement and protection of money is strictly prohibited.
- (v) <u>Garage Parking and Pedestrian Control</u> Where applicable, the CSO must direct traffic and control lights on court facility properties, as described in the post orders/standard operating procedures. If traffic is controlled by the CSO, the CSO must be tactful and courteous at all times when issuing warnings to individuals who violate facility-parking regulations. The CSO must also report abandoned vehicles to the COTR immediately.
- (vi) <u>Law and Order</u>
  - (A) In addition to the duties listed above, the CSO is responsible for detaining any person attempting to gain unauthorized access to Government property, or a court proceeding(s) or attempting to commit acts that imperil the safety and security of

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Government employees, property and the public.

- (B) If a person is detained, the CSO must immediately turn the detainee over to the USMS and complete a CSO Form 003, Court Facility Incident Report. The CSO may be summoned as a witness to the incident.
- (C) When a CSO serves as a witness to an incident that occurred during their official post assignment, the time doing so will be compensated as if the CSO actually performed their normal duties. In such cases, the Government will pay the Contractor the appropriate contract rate. If the Judiciary compensates a CSO for serving as a witness, the amount paid by the Judiciary must be reported by the Contractor to the COTR and deducted from the Contractor's invoice.
- (vii) <u>Reports and Records</u> The CSO must prepare on a daily basis various reports and records regarding contract performance issues, such as labor hours worked (both regular and overtime hours), accidents, fire, bomb threats, unusual incidents or unlawful acts that occurred within the court facility area. All reports and records prepared under this contract are law enforcement sensitive in nature and considered Government property. The Contractor must ensure that all reports are prepared in a complete and accurate manner and make them available to the Government for review at all times. The Contractor must prepare and submit all reports and records to the Government in accordance with the requirements stipulated in Section F, *Deliverables or Performance* of this contract.
- (viii) <u>Court Attendance</u> The CSO must secure unattended courtrooms; inspect courtrooms prior to a proceeding; test security devices and report the findings to the COTR; and, perform other duties concerning security of the court area.
- (ix) <u>Preserve Order</u> The CSO must provide security presence in the courtroom; enforce federal law and judicial orders within the courtroom; enforce local court rules regarding prohibited items and provide protection to court

proceedings as circumstances dictate.

- (x) <u>Telephone Usage</u> The CSO must utilize Government furnished telephones located at an official post only for the purposes of conducting the services required under this contract.
- (xi) <u>Lost and Found</u> The CSO must turn over any articles found in a court facility or designated facility to the COTR.
- (xii) <u>Performance Requirements for Level One through Four</u> <u>Proceeding</u> – The U.S. Marshal of each respective district will classify the proceeding and determine when or if CSOs will be utilized. There are four different proceeding levels and each is based on the sensitivity and risk of the proceeding. They are defined below.
  - (A) <u>Level I</u>: The anticipated security risk of a particular civil proceeding is determined to be low or a non-hazardous situation—that is, there are no indications of potential disruptions or violence in the courtroom. This level also applies to criminal pretrial proceedings when the defendant is not present, provided there are no indications of a likelihood of disruption or violence in the courtroom. A Deputy Marshal is not required to be present.
  - (B) <u>Level II:</u> A particular civil proceeding is determined to be generally non-hazardous; still there are indications of the potential for disruption in the courtroom. All criminal proceedings and post-trial proceedings at which the defendant is present start at this level of anticipated risk. The U.S. Marshal, or designee, will determine the need for a Deputy Marshal to provide security in a Level 2 proceeding on a case-by-case basis.
  - (C) <u>Level III</u>: A particular criminal or civil trial or posttrial proceeding is determined to present a substantial opportunity for potentially disruptive or violent actions. One Deputy Marshal, at a minimum, is required to be present in this proceeding.

(D) <u>Level IV</u>: A particular criminal or civil proceeding is determined to present a high risk of disruption or violence in and around the courtroom. This determination should be based on an assessment of indicators that collectively suggest that the proceeding constitutes a high potential for disruption or violence in or around the courtroom. More than one Deputy Marshal must be in the courtroom for this risk level.

(e) Lead Special Security Officers and Special Security Officers:

When authorized by the Chief, Office of Court Security, the Contractor must provide qualified Lead Special Security Officers (LSSO) and Special Security Officers (SSO) to perform the services required by this contract. These positions generally serve the security needs of special operations of the U.S. Marshals Service and other Federal agencies. Their titles are interchangeable with LCSO and CSO. All contract requirements (except as noted), contract rates, and the benefits established for the LCSO and CSO positions also apply to the LSSO and SSO, respectively. In addition, contract oversight of LSSO and SSO positions will lie with the respective Federal agency paying for those services.

# C-4 AUTHORITY AND JURISDICTION

- (a) In order to facilitate the security services required herein, the Government, through the local U.S. Marshal, will deputize all CSOs performing under this contract with a limited special deputation. Such deputation is limited to the duties outlined and noted in the scope of this contract and does not establish an employment relationship with the USMS. This special deputation is effective only when the CSO is performing in an official contract capacity at the designated site authorized by the Government.
- (b) After a CSO has been deputized, the Government will issue a credential that must be carried when performing in an official CSO capacity at or between the federal work sites designated and authorized by the USMS. CSO credentials are issued for identification purposes only and are considered Government furnished property. When an individual is no longer performing as a CSO, the Contractor must immediately secure and return the credential to the COTR. The official CSO credential will be issued and controlled by the office of the Chief, Office of Court Security.

- (c) In accordance with provision H-3, *Removal of CSOs and Other Contractor Personnel*, misrepresentation or misuse of authority associated with the CSO's special deputation will be considered grounds to prohibit the individual from performing under this contract.
- (d) Under the authority of this special deputation, the U.S. Marshal may also require and authorize the CSO to transport Government issued firearms. When this task is required, the local U.S. Marshal will authorize and issue such task in writing and the Contractor must ensure that the CSO assigned to conduct the task receives and carries this written authorization while doing so.

# C-5 POSITION AND STAFFING POST REQUIREMENTS

# (a) Changing Positions:

- (1) Section B, Supplies or Services and Price/Cost, specifies the initial number of authorized positions at each court facility. The Contractor must fully staff the specified CSO positions by the official commencement date of this contract. A position requires the Contractor to provide security coverage 40-hours each week (Sunday through Saturday, in some cases), less Federal holidays and other days when the court is closed. The Government reserves the right to increase or decrease the positions and court facility locations, as deemed necessary, including reclassifying the positions from full-time to shared, or shared to full-time. Accordingly, the Government may increase the number of CSOs at any location set forth in Section B of the contract at the current hourly rate specified for that location or at a new location within the same USMS district.
- (2) Net increases to the number of authorized positions during any one contract year are limited to 100 percent of the maximum number of positions authorized at any district, unless agreed otherwise by the Contractor and the Government.
- (3) The Contractor must provide CSO coverage by using a combination of full-time and shared positions. Full-time positions require a CSO to work a 40-hour workweek, 52 weeks per year, excluding holidays. Shared positions require two CSOs to work a combined total of 40-hours a week, 52 weeks per year, excluding holidays. The Contractor must require and schedule both CSOs to

work each week and not permit a CSO in a shared position to routinely work a 40-hour workweek while the other shared CSO is off. Both CSOs filling the shared position must be available to be scheduled to work during the 40-hour workweek. The Contractor must use shared CSO positions to: (1) provide full staffing level coverage; (2) increase security levels, as needed; and (3) avoid unnecessary use of overtime.

(4) Each facility generally includes a mix of shared and full-time positions. A shared position will be authorized based on a one-tofive ratio, unless otherwise directed by the Government. Deviation of this requirement may only be approved by the Chief, Office of Court Security, and directed by the Contracting Officer. Where a facility does not meet the ratio at time of contract award, the Government may reclassify the positions to meet such requirements. Classification of existing and new CSO positions may only be approved by the Chief, Office of Court Security.

# (b) <u>Post Relief</u>:

The Contractor must provide adequate relief and continuous coverage for all post assignments. Abandonment of a post by a CSO or failure to perform the duties specified in this contract may be considered grounds for default and/or immediate removal of the CSO from performing under this contract. The Contractor must coordinate a schedule that ensures security levels are adequately maintained at all times, particularly during CSO breaks. Full-time CSOs are required to *work* 8-hours a day and will be permitted a paid 15-minute break during the first half of their shift and another paid 15-minute break during the latter half of their shift. In addition to the 8-hour work requirement, a full-time CSO will be allowed one unpaid 30-minute meal break. The meal break may not be considered as time worked. For shared positions, the CSO will only receive one paid 15-minute break when working a partial day.

# C-6 TURNOVER

- (a) The Contractor must take necessary measures to minimize CSO turnover and ensure that all required CSO positions are filled in a timely manner. Except as stated below, the Government will bear start-up costs for each person performing in a CSO position required by this contract.
- (b) The Government will bear start-up costs to fill a vacant CSO position if the former CSO:

- (1) had been employed by the current Contractor as a CSO continuously for a minimum of 18 months under this contract;
- (2) was disqualified as a result of findings that only could have been discovered during the Government's background investigation;
- (3) died.
- (c) If the turnover occurred for any other reason than those stated above, the Contractor must bear the start-up costs.
- (d) When an authorized CSO position becomes vacant, the Contractor must, within 21 calendar days after the vacancy occurs, submit a new CSO application package to the Office of Court Security. The Contractor may fill the position by transferring an individual from an existing CSO position. If the Contractor opts to transfer an individual, the Contractor must do so and notify the Office of Court Security within the first 72 hours of the 21-day calendar day requirement. The Contractor must convey all vacancy and transfer notifications on a CSO Form 001, *Contractor's Court Security Officer Staffing Notification*. After the 72-hour period, the Contractor will only be allowed to submit a new CSO application package during the remaining requirement period. Liquidated damages (See Section F) may be assessed if the Contractor fails to meet the 21-day requirement.

# C-7 SUITABILITY REQUIREMENTS

- (a) The Contractor must take all necessary steps to assure that all individuals serving under this contract are reliable, reputable, and have satisfied all stipulated training and experience requirements. In addition, the Contractor must ensure all CSOs remain suitable in all respects, meeting all standards of suitability, including but not limited to, performance standards, medical requirements, and weapon's requirements. Failure to meet any suitability requirements of the contract may constitute nonperformance and subject the individual to removal from the contract. The Contractor may also be subject to charges for liquidated damages or default. The Contracting Officer will notify the Contractor, in writing, on all suitability and non-performance issues.
- (b) The Government will perform a full background investigation on all Site Supervisors, CSO applicants and/or incumbents. These individuals are subject to a government investigation at anytime and must meet and maintain all government requirements, including Homeland Security Presidential Directive-12 and clause I.2 FAR 52.204-9, Personal Identity Verification of Contractor Personnel (Nov 2006). The Contract Manager

may also be subject to these requirements, as deemed necessary by the Government for unescorted access.

- (c) While the Government performs individual background investigations, doing so will not, in any manner, relieve the Contractor of its responsibility for assuring that each CSO meets the suitability requirements of this contract. In the event a strike or an emergency impedes continuation of the services provided under this contract, the Government may expedite background investigative procedures, as deemed necessary. However, the Government will not waive the minimum CSO qualification requirements stipulated in this contract.
- (d) The Contractor must immediately notify the Contracting Officer and the COTR in writing when a CSO engages in or is suspected of violating any of the performance standards stated in this contract.

# C-8 ALTERNATE LOCATIONS, SPECIAL SECURITY, AND TEMPORARY POST ASSIGNMENTS

- (a) When deemed necessary, the Government will require the Contractor to provide CSOs at temporary or alternate locations. When security is required at a temporary or alternate location, the duties required of the CSO will not change.
- (b) When a CSO is required to travel or is assigned to an alternate location, the Government will reimburse travel expenses in accordance with the Government Travel Regulations (GTR). The Contractor must complete and submit a CSO Form 010, Court Security Officer (CSO) Travel Authorization, Section J, List of Attachments, to the COTR for approval prior to commencement of travel. For reimbursement of travel and transportation costs, the Contractor must submit a CSO Form 011, Court Security Officer (CSO) Travel Expense Reimbursement, Section J, List of Attachments, as instructed in Section G, Contract Administration Data.
- (c) Changing conditions within the court environment may require post assignments to vary from day to day. In addition, situations may arise that will require the Contractor to provide CSOs to work overtime, if the COTR determines court security services are required beyond the normal hours of operation of the court facility. (The Government will not reimburse at the overtime rate unless the CSO has worked a minimum of 40-hours for the week.) Overtime may only be approved and directed by the COTR, with approval from the Contracting Officer. The Contractor is responsible for providing such services when notified by the COTR to do so. During such times, the Contractor must also be responsible for any

supervision or direction of the CSO performing during the extension period. Any variations in duties will be conveyed to the Contractor by the COTR.

# C-9 PROVISIONS REGARDING CSOs CALLED TO ACTIVE MILITARY DUTY

The Contractor must observe the following guidelines and take actions to temporarily fill vacancies of CSOs called to active military duty during wartime or during a national emergency (Only the Government can determine the declaration of a national emergency).

- (1) CSOs summoned to active duty will have their positions held open for a period of five years. The five-year period will be determined from the actual reporting date of the issued military orders. CSOs falling into this category will be placed on extended military reserve duty due to war or a national emergency.
- (2) Vacancies created by a CSO summoned to active military duty for a period exceeding 30 days will be filled on a temporary basis. The temporary CSO position will not permanently increase the number of allocated CSO positions for any facility.
- (3) The Contractor must submit a CSO application package, along with a copy of the departing CSO's official military orders, to fill such vacancies. The Contractor must also submit with the CSO application package, the Contractor's Court Security Officer Staffing Notification, CSO Form 001 (See Section J, List of Attachments) to identify the individual called to active military duty and the individual temporarily performing in the position.
- (4) Start-up costs associated with filling a temporary CSO position under a national emergency will be paid as follows:
  - a. If the position temporarily vacated had been occupied for 18 months or more, the Government will be responsible for the start-up costs.
  - b. If less than 18 months, the Contractor is responsible for the start-up costs.
- (5) CSOs serving less than a year of active duty will not be required to have a new background investigation, but will be subjected to a security check via law enforcement databases. A CSO serving more than a year on active

military service will be subjected to a full background investigation upon return.

- (6) The Contractor must provide a written notification to the USMS indicating when the CSO is available to resume performance as a CSO. The written notification must be submitted to the USMS at least 60-days prior to the CSO's return. If a CSO does not intend to return to the position after completion of the military assignment, the Contractor must notify the Government that a vacancy exists and submit a Form CSO 001, Contractor's Court Security Officer Staffing Notification, to the Office of Court Security within two days after receiving the CSO's notice. The Contractor must fill the vacant position in accordance with Section C-8. Turnover, and may opt to fill the vacancy with existing CSO personnel, including the individual temporarily assigned to the position. If the Contractor chooses to place an individual in a temporary status to permanent CSO status, the Contractor must complete and submit a CSO Form 001, Contractor's Court Security Officer Staffing Notification, to the Office of Court Security to reflect the change.
- (7) All CSOs, whether serving in a temporary capacity or returning from a military assignment, must meet all CSO qualifications, as specified in the contract.
- (8) If this contract is extended, expires or is terminated for any reason, the CSO who vacated the position must be accorded with the same rights and privileges extended to incumbent CSO under the follow-up contract.

# C-10 SPECIAL SECURITY COVERAGE

- (a) During special circumstances such as high-threat trials, the Government may require the Contractor to provide CSOs to cover special security or temporary post assignments. The Government may also require the Contractor to temporarily expand security coverage while continuing to maintain full coverage for all authorized positions. If necessary, the COTR will direct the Contractor to increase the time worked by shared position personnel, have full time CSOs work overtime, or temporarily assign CSOs from other districts within the contract area, whichever is least costly to the Government while preserving the maximum level of security. If the Government determines it is necessary for the Contractor to temporarily assign CSOs from one facility to another, the receiving court facility will utilize the additional CSO(s) only for the time required to complete the special security assignment.
- (b) The COTR will notify the Contractor, in writing, of the need for special

security or temporary post assignment. Coordination efforts for temporary post assignments will be the responsibility of the COTR. Travel requirements will be compensated in accordance with the Government Travel Regulations.

(c) If there is a difference between the applicable wage rate of a reassigned CSO's original location and that of the alternate location, the higher applicable wage rate will prevail and the Contractor must pay the CSO the higher rate. If there is a difference between the established contract hourly rates in effect at the two locations in question, the Contractor will be paid the higher of the two rates by the receiving district (i.e., the district to be invoiced for the temporary duty).

## C-11 CSO QUALIFICATION STANDARDS

The Contractor must provide security personnel who meet the following minimum qualifications. In addition, each applicant must undergo and pass suitability and background investigation requirements as determined by the USMS.

- (1) Be a citizen of the United States of America.
- (2) Be at least 21 years of age. While there is no maximum age limit for CSO positions, all applicants must be able to withstand the physical demands of the job and be capable of responding to emergency situations.
- (3) Be a high school graduate or have a GED, or equivalency.
- (4) Be able to read, write, and speak the English language fluently and any other language determined to be necessary by the U.S. Marshal of the district where the services are to be performed.
- (5) Possess or be able to obtain a valid state driver's license from their state of residence and have a safe driving record for the past five years.
- (6) Have at least three calendar years of verifiable experience as a certified law enforcement officer or its military equivalency, provided the experience includes general arrest authority (experience does not have to be consecutive). General arrest authority is defined as the authority conveyed upon a person to make felony arrests of persons not under a custodial arrangement (prisoner, probation or parole violator) throughout a valid

jurisdiction. The state or federal codes specific to the person's qualifying experience will be used to determine the CSO applicant's arrest authority. The Contractor must verify the CSO applicant's arrest authority prior to submitting the application for approval.

- (7) Be free from conviction of a misdemeanor crime of domestic violence in accordance with Title 18, Section 922(g)(9) of the United States Code. The term "convicted" is generally defined in the statute as excluding anyone whose conviction has been expunged, set aside, or pardoned.
- (8) Possess the ability to meet and deal tactfully with judges, attorneys, Government personnel, and the public.
- (9) Possess the ability to understand, explain, interpret, and apply rules, regulations, directives, and procedures.
- (10) Possess poise, self-confidence, and the ability to make sound decisions and react quickly under stressful conditions.
- (11) Possess the ability to prepare clear and concise reports.
- (12) Possess the ability to learn and adapt to changing situations.
- (13) Possess the ability to accept and respond to instruction and direction.

# C-12 CSO PERFORMANCE STANDARDS

(a) <u>Responsibility</u>:

The Contractor must ensure all employees maintain satisfactory standards of competency, conduct, appearance, and integrity and enforce appropriate disciplinary actions when necessary. The Contractor must also ensure that all CSOs receive and certify on a CSO Form 006 that they have received the CSO performance standards outlined below and must submit each certification to the Chief, Office of Court Security within 45 days after contract award. Thereafter, the Contractor must submit a CSO Form 006 for each newly approved CSO within five business days after receiving written notification of their approval. If any of the standards are violated, the Government may direct the Contractor to remove the individual from

the court facility. The Contractor must initiate immediate action to replace the individual in the time frame required by this contract.

- (b) <u>Performance Standards</u> All CSOs performing under this contract must comply with the following:
  - (1) Be courteous and demonstrate good manners toward the Judiciary, court employees, Government employees and the public.
  - (2) Maintain a respectful and helpful attitude in all endeavors.
  - (3) Maintain a neat, clean, and businesslike appearance and comply with CSO dress standards while on duty.
  - (4) Report to work physically fit and mentally alert.
  - (5) Report to their immediate supervisor any circumstances that may adversely affect performance on a particular assignment.
  - (6) Report to their employer if they are detained or become aware that they are under investigation, by any federal, state or local agency, for any legal or ethical violation. (The Contractor must immediately report the matter to the COTR, and the Office of Court Security.)
  - (7) Ensure weapons are secured in a safe place (free from theft, tampering, or misuse) and concealed from view when not in use. Weapons are not to be inspected, cleaned, handled, or exchanged in public areas or in the presence of jury members, prisoners, witnesses, protected persons, family members or the public.
  - (8) Not engage in any discussion concerning Government matters, policies, financial, personal or family matters with jury members, prisoners, witnesses, protected persons, family members, the public, or any known associate of the above. Not entertain, socialize, or enter into business arrangements with, give legal advice or grant special favors to, or accept gifts or payments from jury members, prisoners, witnesses, protected persons, or family members and friends of the above.
  - (9) Not accept or solicit gifts, favors, or bribes in connection with official duties.

- (10) Not allow jury members, prisoners, witnesses, protected persons, or their family members and friends into their home or living quarters (temporary or permanent).
- (11) Not visit the duty site during non-duty hours or allow family members and friends to visit the duty site or other operational areas. An exception may be requested in writing from the COTR.
- (12) Not gamble or enter into games of chance with prisoners, witnesses, jurors, or protected persons. Not engage, and/or promote gambling and unlawful betting on Government-owned or leased property.
- (13) Not disclose any official information (except to the COTR, or other officials having a need to know) or make any news or press releases. All press inquiries must be brought to the attention of the COTR. This restriction does not prohibit protected "whistleblowing" activities or protected union activities.
- (14) Refrain from discussions concerning duty assignment, particularly manpower, weapons, security precautions, or procedures, except with those persons having a need to know.
- (15) Comply with applicable laws while performing official duties.
- (16) Not knowingly give false or misleading statements or conceal material facts in connection with employment, promotion, travel voucher, any record, investigation, or other proper proceeding.
- (17) Not discriminate against or sexually harass members of the public, the judiciary, other employees or engage in any prohibited activities.
- (18) Ensure that financial obligations are met.
- (19) Abide by all ethical standards of the Department of Justice regarding conflict of interest, outside activities, gifts and use of federal property.
- (20) Not bid on or purchase in any manner, directly or through an agent, any property being offered for sale by the USMS or by others

serving on behalf of the USMS.

- (21) Refrain from any activity that would adversely affect the reputation of the U.S. Courts, the Department of Justice, or the USMS.
- (22) Avoid personal and business associations with persons known to be convicted felons or persons known to be connected with criminal activities. This restriction does not pertain to immediate family members if the circumstances have been thoroughly explained to the U.S. Marshal and the Chief, Office of Court Security.
- (23) Refrain from criminal, infamous, dishonest, immoral, or notoriously disgraceful conduct and habitual use of intoxicants or prescription/non-prescription drugs. Except in an official capacity, refrain from possessing or using illegal drugs.
- (24) Do not report for duty or work under any condition that impairs the ability to perform as expected.
- (25) Always demonstrate the highest standards of personal and moral conduct normally expected of law enforcement officers.
- (26) Not operate a Government vehicle or any other vehicle in an improper manner or under the influence of intoxicants or drugs and maintain a valid driver's license in the state of their residence.
- (27) Not misuse official authority, credentials, communications equipment, or weapon(s).
- (28) Not make statements about fellow employees or officials, with knowledge of the falseness of the statement or with reckless disregard of the truth.
- (29) Report violations of prescribed rules, regulations and any violations of statute or law to the appropriate supervisor and/or management officials.
- (30) Not violate security procedures or regulations.
- (31) Not close or desert any post prior to scheduled closure unless directed to do so by the supervisor. Remain at assigned post until properly relieved or until the time post is to be secured.

(32) Always perform assignments in accordance with prescribed regulations to the best of one's ability and in accordance with safe and secure working procedures and practices.

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- (33) Do not fail, unnecessarily delay, or refuse to carry out a proper order of a supervisor or other official having responsibility for your work.
- (34) Do not possess, use, lose, damage, or otherwise take Government property, including confiscated or abandoned property, without authorization of the COTR.
- (35) Employ no technique that violates the law, such as unauthorized intrusion onto private property, unnecessary destruction of property, unauthorized listening and/or recording devices, or any other activities prohibited by law or regulation.
- (36) Refrain from surreptitiously recording conversations between Government, law enforcement or contractor employees.
- (37) Conduct only official Government business on Government property.
- (38) Refrain from neglecting duties, i.e., sleeping while on duty; delaying or failing to carry out assigned tasks; conducting personal business during duty hours; refusing to render assistance or cooperation to superiors and the COTR.
- (39) Refrain from use of abusive or offensive language, quarreling, intimidation by words or actions, fighting and participating in disruptive activities that interfere with Government operations.
- (40) Respect the offices of judges and other court officers. Avoid disturbing desk items, opening desk drawers or cabinets, or using Government telephones and equipment, except as authorized.
- (41) Avoid disclosing or discussing USMS policies, procedures, operations, and disciplinary actions with non-USMS personnel.
- (42) Refrain from carrying any unauthorized equipment or weapons.
- (43) Follow their employer's chain of command procedures on all work-

related issues.

- (44) Except when the CSO is required to work overtime by the COTR, the CSO must not assume duties unless they have been in a nonworking status for a minimum of eight hours prior to reporting for duty.
- (45) Abstain from the consumption or possession of alcoholic beverages while on duty and at least eight hours prior to reporting to duty.
- (46) Refrain from consuming any controlled substances as defined in schedules I through V of section 202 of the Controlled Substances Act, 21, U.S.C. 812. Note: The Contractor must ensure that CSOs taking any medication are capable of performing the requirements of the statement of work.
- (47) Comply with Government direction regarding the use of body armor.
- (48) Refrain from performing any type of bailiff or clerk duties in the courtroom such as swearing in defendants, adjusting microphones, filling water pitchers, handing legal papers, moving papers or evidence between attorneys, jurors, and witnesses.
- (49) Refrain from taking physical custody of any court evidence.
- (50) Refrain from being involved in jury selection process, which include handing out paperwork to jurors, correcting juror paperwork, spinning the jury wheel, and handing jury picks back and forth between attorney tables.
- (51) Refrain from leaving the court facility to procure meals for the Court and/or jurors.
- (52) Avoid from driving or escorting judges to off-site functions not related to official court duties.
- (53) Refrain from moving or monitoring USMS prisoners.
- (54) Refrain from acting as a receptionist for the Court or USMS.

- (55) While guarding a post, refrain from using electronic devices not authorized or issued by the Government and limit conversations to official business. For example, watching television, discussing personal business on the telephone or a cellular telephone, listening to portable and personal radios, reading books, newspapers and any other material not associated with official business is prohibited while guarding a post. Allowing individuals or unauthorized personnel to loiter at an official post is also prohibited.
- (56) Refrain from using Government furnished telephones to conduct corporate or administrative work on behalf of the contractor.
- (57) Refrain from using personal telephones, including wireless phones, personal digital assistants, etc., at an official post.

## C-13 MININUM CSO TRAINING STANDARDS

- (a) The Contractor must ensure that each individual designated to perform as a CSO has successfully completed or graduated from a certified federal, state, county, local or military law enforcement training academy or program that provided instruction on the use of police powers in an armed capacity while dealing with the public. The certificate or diploma must be recognized by federal, state, county, local or military authorities as a certification that an individual is eligible for employment as a law enforcement officer. Individuals possessing five years of military experience involving functions similar to those previously described may also be considered for a CSO position. In cases where a CSO applicant did not receive a certificate or proof of law enforcement training, the Contractor may provide a signed statement from a supervisory official of the department or agency indicating that an applicant was employed as a law enforcement officer and that no certificate was issued. The statement must include all dates of employment the individual served in a law enforcement capacity. The Contractor must also include a copy of the signed statement with the CSO application.
- (b) In order to reinforce the CSO's ability to perform the job functions noted in this contract, the Contractor must develop and conduct annually 8-hours of training for *all* CSOs. This training is mandatory and must be attended by all CSOs. Prior to developing the training, the Contractor must survey and consider prior security breaches and refine security techniques, as necessary. At a minimum, topical areas of instruction must include: threat image and explosive device detection, handling unruly persons, emergency response training, and other sensitivity training associated with screening.
The Government reserves the right to require the Contractor (at no additional cost) to train CSOs on other areas of concern that are relative to this contract. The Contractor must also prepare and submit a written training syllabus to the Contracting Officer for approval prior to conducting the training. The training must not commence until the syllabus has been approved by the Chief, Office of Court Security. All training must be coordinated with the respective COTR and conducted at a time that best serves the Government. In addition, the Contractor must ensure that such training does not conflict with any existing USMS policies or procedures.

- (c) The Government will only pay the Contractor the basic contract rate during the annual training period. (All costs resulting from such training, *i.e.*, training instructors, materials, supplies, etc., will be viewed as indirect costs and must be included in the contractor's overhead for compensation purposes.)
- (d) Upon completion of the training, the Contractor must certify, in writing, to the Chief, Office of Court Security, and the Contracting Officer that all CSOs have been trained as required in paragraph (b) above. The certification must include the name, district, and date that each CSO attended the training.

# C-14 MEDICAL STANDARDS AND PROCEDURES

- (a) <u>General</u>
  - (1) The medical condition of the CSO workforce is critical to the overall safety of the Judiciary. As such, the medical examination process is part of the overall clearance process. To ensure that each CSO is medically qualified to perform all CSO duties, each prospective CSO must undergo a medical examination and meet all of the medical standards stated in this contract. Thereafter, each CSO must undergo and pass an annual examination during the life of the contract for qualification purposes. The Contractor must ensure that each CSO undergo and pass a subsequent annual examination date. In addition, the Contractor must inform and require each CSO to acknowledge, in writing, that they have no reasonable expectation of continued medical clearance after passing the initial and subsequent examinations.
  - (2) The Government reserves the right to require a CSO to undergo a medical examination whenever such actions are necessary to

ensure the safety and security of the Judiciary. The medical clearance will be determined based upon the results of each required medical examination. Thus, a CSO can be determined unsuitable to perform under this contract at any time for medical reasons.

- (3) Each CSO, including CSO applicants, must meet the medical standards outlined below. Failure to meet any one of the required medical and/or physical qualifications will disqualify an individual from performing as a CSO under this contract. The Contractor must not allow any individual to perform under this contract until the individual's qualification status has been determined the Federal Occupational Health (FOH) and a written approval has been granted by the Chief, Office of Court Security.
- (4) Contractor entitlement of costs incurred in the conduct of individual CSO medical examinations will be expressly limited to basic examination costs, as detailed in the Start-up Cost authorizations and will not apply to any follow-up consultation resulting from the Government's review.
- (5) At any point during the performance of this contract, the Government may delete the requirement that the Contractor must provide post offer and annual medical exams and have the services provided by the Government. *If the Government elects to provide medical examinations during the performance of the contract, there will be a downward adjustment to the start-up cost including associated overhead, general and administrative costs, and profit.*

#### (b) <u>Selecting and Qualifying Physicians</u>

- (1) The Contractor must establish and maintain designated licensed physicians to perform and document medical examinations on all CSOs on behalf of their company. At a minimum, the Contractor must designate two licensed physicians for each city in a given district where CSOs are assigned.
- (2) Designated examining physicians must possess a current license in the United States or a United States possession and be approved in advance by the USMS before they can provide examination services. The designated examining physician must also possess and use medical equipment and supplies that are essential for conducting a complete and comprehensive examination. The USMS reserves the right to disqualify physicians from providing services under this contract, at any time, if: (1) their license has been suspended or revoked by a licensing board; (2) they have been convicted of a federal crime; or (3) their performance is considered unsatisfactory by the Government.

(3)To qualify a physician as a designated CSO medical examination physician, the Contractor must submit to the Chief, Office of Court Security, for review and approval, within 30 calendar days after contract award, a detailed resume of the physician's credentials and employment history and written certification that the credentials of the respective physician have been verified for accuracy and authenticated by accrediting agencies, medical schools, residency training programs, licensing boards, and other data sources, Medical Practitioner's Data Sheet, CSO Form 013, Section J, List of Attachments. The Contractor must not permit prospective examining physicians to perform medical examinations on their behalf until the Government concurs and provides final approval in writing. In addition, the Contractor must submit to the Office of Court Security, an annual written certification that each designated examining physician continues to possess current licenses and state board certifications to practice in their field of expertise.

## (c) <u>Medical Examination Process</u>

- (1) The Contractor must require all CSOs and each CSO applicant to complete a comprehensive medical form, CSO Form 229, Certificate of Medical Examination for Court Security Officers, Section J, List of Attachments, and undergo a medical examination by a designated examining physician. The completed CSO Form 229, including all other supporting medical information, must be sent directly to the Federal Occupational Health for evaluation and qualification. In addition to the CSO Form 229, the Contractor must require all CSOs, as well as applicants, to read and sign that they have received and understand the provisions of the Acknowledgement of Conditions of CSO Eligibility, CSO Form 004. The original version of this form must be forwarded to the Office of Court Security.
- (2) The Contractor must require the examining physician to record the CSO's (both applicants and incumbents) medical results on the CSO Form 229 when the examination is being administered and sign the form after completion of the examination. The use of white-out or correction tape on the CSO Form 229 is prohibited. The information stated on the CSO Form 229, including any required additional information, i.e., print-outs or reports of lab data, EKG, vision and hearing test records, a summary of the applicant's treatment plan, etc., must be legible, truthful, complete and precise, in order for the Government to render a sound medical

determination. In addition, to ensure the integrity and privacy of the medical examination, the Contractor must require the examining physician/clinic to return the results of the medical examination directly to the Contractor in a sealed envelope.

- (3)Upon receipt of the CSO Form 229, the Federal Occupational Health will review the form for completeness. If the CSO Form 229 is considered complete, the CSO Form 229 will be evaluated to render a qualification determination. If the CSO Form 229 is not considered complete or acceptable, the form will be returned to the Contractor for correction or completion. The Federal Occupational Health will return the CSO Form 229 if: (1) the medical findings are illegible; (2) requested and necessary information was not provided; (3) the medical findings or documentation are incomplete, conflicting or questionable; or (4) necessary and complete additional information was not included with the submission. Incomplete CSO Form 229s will delay the process of qualifying an individual and thus impact the Contractor's performance and ability to supply the required security coverage. For that reason, the Contractor must establish and enforce quality assurance procedures to minimize such delays. The Contractor must also submit the CSO Form 229 to the Federal Occupational Health within 30 days of the examination date.
- (4) After the CSO Form 229 passes the initial review and clearance process performed by Federal Occupational Health, the form is reviewed for a medical qualification determination. If the Federal Occupational Health's initial review can determine, based on the information contained in the CSO Form 229, that the individual is clearly medically disqualified, the USMS will inform the Contractor in writing that the individual does not meet the medical qualification standards and cannot perform under this contract.
- (5) If, for any reason, the Federal Occupational Health is unable to make a final medical determination or it is necessary to clarify or prove that a disqualifying condition has been corrected or eliminated, the Government will issue a "deferred" determination. In such cases, the Contractor must require the CSO to submit specific supplemental information within 60 days of the request in order to medically qualify. Costs associated with any follow-up consultations or additional visits to the designated examining physician or the CSO's physician will not be the responsibility of the Government.

(6) If all of the requested information is not received by the Federal Occupational Health within the 60-day time frame the individual will be disqualified to perform under this contract. If the Contractor submits the required information to the Federal Occupational health within the 60-day time frame, the Government will review the necessary information for an evaluation and medical qualification determination. After reviewing all of the medical documentation, the Government will determine whether the individual meets the medical standards outlined in this contract and the Federal Occupational Health will inform the Contractor in writing of the final determination.

# (d) <u>Annual Medical Examinations and Other Medical Examination</u> <u>Requirements</u>

- (1) After the CSO successfully completes the initial examination and qualification process, the Contractor must require the CSO to complete and pass an annual medical examination within one year of their last examination date. If the CSO fails to complete and pass the examination within the one year period, the CSO will be rendered disqualified and the Contractor must prohibit the individual from performing under this contract. The requirements and procedures outlined in paragraph (c) above will also be followed for the annual medical examination process.
- (2) If the Contractor relieves a CSO from performing under this contract due to an injury, illness, inpatient or outpatient surgery/procedure, hospitalization or emergency room visits, extended medical reasons, suspension, resignation, or extended military reserve duty, the Contractor must complete and submit a CSO Form 001, *Contractor's Court Security Officer Staffing Notification*, to the Office of Court Security, within three business days after being informed of the situation and/or assignment. The Government will not pay any cost to conduct reexaminations for such causes. The Contractor must also ensure that all posts are covered at no additional cost to the Government when CSOs are on extended leave due to personal or medical reasons.

- (3) If, at anytime, the Government determines or suspects that a CSO's medical or physical condition may impede security of the Judiciary, the Contractor must require the CSO to undergo a medical examination outside of the annual medical requirement. In such cases, the cost of the medical examination will not be paid by the Government. In addition, such examinations must be administered as directed by the Government.
- (4) If, at anytime, an individual's observed physical state or annual medical examination documentation reveals any condition which could impair the individual's ability to perform the duties associated with this contract, the Government may, on a temporary or permanent basis, disqualify the individual from performing under this contract. The Contractor must prohibit an individual from performing under this contract until a reexamination of the individual's medical condition has been performed and a final written determination that the individual is medically qualified to resume performance under the contract has been issued by the Chief, Office of Court Security.
- (5) When the Contractor is seeking approval to allow an individual to resume CSO duties after being absent for an injury, illness, surgery (including inpatient and outpatient operations, such as *Lasik* eye surgery, etc.), or any other medical reason, the Contractor must allow the individual *no more than 30 calendar days* from the caring physician's release date to undergo a medical examination and furnish the results of the examination on a CSO Form 012, *Court Security Officer Contractor's Request to Reevaluate an Individual's Medical Qualification*. If the individual's physical or medical state restricts the individual from performing any of the CSO duties or if the condition is obviously disqualifying, the Contractor must immediately prohibit the individual from performing under this contract.

- (6) The Contractor and the individual's treating physician must complete all applicable areas of the CSO Form 012, Court Security Officer Contractor's Request to Reevaluate an Individual's Medical Qualification. Once completed, the Contractor must submit the form to the Office of Court Security for an official medical clearance. The CSO Form 012 will be reviewed for completeness and a final medical qualification determination. If the form is incomplete, the Office of Court Security will consider the form unacceptable and return it for correction. The individual may not resume CSO duties until the Government makes a final medical determination that the individual is medically qualified to resume CSO duties. The Chief, Office of Court Security, will notify the contractor in writing as to whether the individual is medically qualified to resume performance under this contract.
- (7) If a CSO is found to have a correctable condition, the CSO may resume performance when the disqualifying condition is satisfactorily corrected or eliminated and a final written determination that the individual is medically qualified to resume performance under the contract has been issued by the Chief, Office of Court Security. The Government will not reimburse the Contractor for any costs resulting from follow-up consultation. Furthermore, if a CSO is relieved for any medical reason(s), the Government will not be liable to pay, nor will the Contractor bill for any hours not worked.
- (e) <u>Medical Standards</u>
  - (1) <u>Vision</u> Corrected distant visual acuity must be 20/30, or better, as measured with both eyes viewing (binocular). Complete loss of vision in one eye is disqualifying. Corrected distant visual acuity must be 20/125, or better, in the worst eye. Ability to distinguish basic colors, as well as shades of color, is required. Normal peripheral vision is required.
  - (2) <u>Hearing</u>
    - (a) The individual must be able to hear well enough to safely and efficiently carry out the essential requirements of the job. This requires satisfactory binaural hearing (ability to hear in each ear), and ability to: *localize sounds; comprehend speech; and, hear sounds that require*

*investigation or that alert to danger*. Complete loss of hearing in one ear is disqualifying. The individual *must* meet the hearing standards *unaided*. In order to measure an individual's ability to meet the hearing standards, the following test procedures are administered:

- (b) Initially, all individuals must be tested UNAIDED using a pure tone, air conduction audiogram (audiometer) for measurement, testing each ear separately. The equipment and test setting must meet the standards of the American National Standards Institute (See 29 CFR 1910.95). Binaural hearing and auditory acuity may be demonstrated by documentation of hearing thresholds, as specified below:
  - (i) In the frequency range from 500 2000 hertz (Hz), the pure tone audiometric deficit must not exceed 30 decibels (dB) in either ear, *without* the use of hearing aids.
  - (ii) At 3000 Hz, the pure tone audiometric deficit must not exceed 40 dB in either ear, *without* the use of hearing aids.
  - (iii) At 4000 Hz, the pure tone audiometric deficit must not exceed 50 dB in either ear, without the use of hearing aids.

## (c) <u>Hearing Test Outcomes</u>

(i) If the above UNAIDED pure tone audiogram is *passed* and the individual *does not wear* hearing aids, no further testing is needed and the individual is deemed medically qualified under this hearing standard.

- (ii) If the UNAIDED pure tone audiogram is failed, and the individual *does not wear* a hearing aid, the individual must undergo UNAIDED functional hearing assessments that will be provided after the initial examination result is reviewed by the Government.
- (iii) If the above UNAIDED pure tone audiogram is failed, and the individual wears hearing aids, the individual must undergo UNAIDED functional hearing assessments which will be provided after the initial examination is reviewed by the Government. If the individual passes the unaided functional assessment, he or she will be required to undergo and pass the AIDED functional assessment.
- (iv) If the above UNAIDED pure tone audiogram is passed and the individual wears hearing aids, the individual must undergo UNAIDED functional hearing assessments which will be provided after the initial examination is reviewed by the Government. This is to ensure that the hearing aids do not impede the individual's ability to meet the hearing standards. If the individual passes the unaided functional assessment, he or she will be required to undergo and pass the AIDED functional assessment.

# (d) <u>The Purpose of Functional Hearing Tests</u>

Functional hearing tests which measure sound and speech recognition will be used to determine the medical qualification of all individuals who: (1) either passes the UNAIDED pure tone audiogram, but wears a hearing aids; or (2) fails the UNAIDED pure tone audiogram. The functional hearing tests will measure the following:

Unaided hearing loss between the two ears must not differ by 25 dB, or more, at three of the four speech frequencies, i.e., 500, 1000, 2000, and 3000 Hz.
(Measures the ability to localize sounds.)

| <i>(</i> 15) |   |
|--------------|---|
| (ii)         | Unaided Speech Reception Threshold must be 30 dB, or better, in at least one ear. (Measures the ability to been sounds that elect to depend   |
|              | ability to hear sounds that alert to danger.)   |
| (ii)         | Unaided Speech Recognition in quiet must be 90 percent, or above, in each ear.  |
| (iv)         | Unaided Speech Recognition in a noise sound field must be 50 percent or above.  |
|              | ds are worn, the following <u>additional</u> assessments will<br>and will be completed <u>with the hearing aid</u> in place:  |
| (i)          | A statement describing the type of hearing aids and ear(s) fitted must be provided by the audiologist.  |
| ` (ii)       | Aided pure tone air conduction audiogram at the frequencies 250, 500, 1000, 2000, 3000, 4000, 6000, and 8000 Hz.  |
| (iii)        | Aided Sound Field 5 percent FM warble tones at<br>frequencies 250 - 6000 Hz, including 3000 Hz.<br>Binaural signal must be phase-locked with<br>simultaneous presentation from both speakers<br>placed at 90 and 270 degrees azimuth (towards left<br>and right area. respectively) |
| (iv)         | and right ears, respectively).<br>Aided Speech Recognition in a noise sound field<br>must not be less than 50 percent.  |
|              | tion of medical qualification for those individuals who<br>aids will be made pursuant to these additional   |
| Cardiovascu  | lar System - Any condition that significantly interferes  |

- (3) Ca with heart function may be disqualifying. Examples of conditions that may be disqualifying are hypertension with repeated readings that exceed 150 systolic and 90 diastolic, symptomatic peripheral vascular disease and severe varicose veins.
- (4) Respiratory System - Any condition that significantly interferes with breathing capacity may be disqualifying.
- (5) Gastrointestinal System - Any disease or condition that requires

rigid diets may be a disqualifying factor. An ulcer active within the past year may also be disqualifying.

- (6) <u>Genitourinary System Disorders</u> Any functional disorder rendering the person incapable of sustained attention to work tasks, i.e., urinary frequency and secondary discomfort, may be disqualifying.
- (7) <u>Hernias</u> Inguinal and femoral hernias, with or without the use of a truss, may be a disqualifying factor. Other hernias may be disqualifying if they interfere with the performance of the duties of the position.
- (8) <u>Nervous System</u> Dysfunction of the central and peripheral nervous system that significantly increases the probability of accidents and/or potential inability to perform a variety of physical tasks may be disqualifying.
- (9) <u>Endocrine System</u> Any functional disorder rendering the person incapable of sustained attention to work tasks may be disqualifying.
- (10) <u>Speech</u> Permanent and significant conditions which result in indistinct speech may be disqualifying.
- (11) <u>Extremities & Spine</u> Disorders affecting the musculoskeletal system which significantly prevents the individual from meeting basic movement, strength, flexibility requirements, use of extremities (fingers and toes) and coordinated balance may be disqualifying.
- (12) <u>Miscellaneous</u> Any other disease or condition which interferes with the full performance of duties may be disqualifying.

### C-15 PHYSICAL STANDARDS

(a) When recruiting or considering individuals to perform under this contract, the Contractor must ensure that the individual can withstand the physical demands of the position. All individuals performing in a CSO position must be physically fit and be able to meet all of the physical and performance requirements of this contract. Any individual who cannot

meet the physical requirements of the CSO position will be disqualified and prohibited from performing under this contract.

- (b) <u>Physical Demands</u> The duties and responsibilities of a CSO require frequent and prolonged walking, standing, running, sitting, and stooping. In addition, a CSO may be required to subdue violent or potentially violent people. Physical stamina in all of its forms (i.e., mental, climatic) is a basic requirement of this position. Therefore, "light duty" post assignments are not available under this contract.
- (c) <u>Physical Fitness</u> The Contractor must encourage its employees working as CSOs to maintain a fitness program. Staying physically fit will help the individuals performing as CSOs to endure the stress generally associated with the performance demands of this contract and prepare them to respond to emergencies.

## C-16 BACKGROUND INVESTIGATION REQUIREMENTS AND PROCEDURES

### (a) Contractor's Responsibility

- (1) The Contractor must conduct a preliminary background check on all CSO applicants and other Contractor personnel working on this contract. Responsibility of costs for conducting background investigations on CSO applicants and other personnel will be determined, as described in Section C-8, *Turnover*. The Contractor must ensure prospective CSOs meet or exceed the minimum requirements set forth in Section C-13, *CSO Qualification Standards*, before submitting the applicant's package to the Government for processing. The Contractor must also complete, certify, and submit a CSO Form 005, *Court Security Officer Contractor's Preliminary Background Check* form, which is provided in Section J, *List of Attachments*, for each CSO applicant and other Contractor personnel undergoing a background investigation.
- (2) In order for the Government to conduct and complete the background investigation process, the Contractor must ensure that all CSO applicants and other required Contractor personnel complete the CSO 234, Personnel Qualification Statement (See Section J, List of Attachments), and the Standard Form 85P, United States of America Authorization For Release of Information. These forms are mandatory.

- (3) The Contractor must ensure that all CSOs and other personnel who are working on this contract have passed the USMS background investigation process. For security reasons, the Government strictly prohibits anyone from working on this contract without passing a proper USMS background investigation. The Contractor must ensure that no Contractor employee commences performance prior to the completion of the background investigation unless the Chief, Office of Court Security, grants an interim approval to do so.
- (4) The Contractor must bear the cost of conducting a background investigation on an individual replacing a former CSO unless the Government is paying for turnover in accordance with Section C-8, *Turnover*.
- (5) If a CSO is temporarily removed or resigns from performing services under this contract, the Government may require the individual to undergo another background investigation before resuming a CSO position. At the discretion of the Government, the Contractor must submit the necessary forms for a reinvestigation to the Office of Court Security. Prior to submitting the forms, the Contractor is responsible for reviewing the forms for completeness and accuracy. The forms must be forwarded with a cover letter indicating that the forms are for reinvestigation of a current Contractor employee or CSO.

### (b) <u>Government's Responsibility</u>

- The Government will conduct a background investigation on all CSO applicants and other personnel when deemed necessary. Derogatory information discovered during the investigation process may render the individual unsuitable to perform under this contract. The Government may also reinvestigate all Contractor personnel working on this contract for any reason.
- (2) Upon completion of the background investigation, the Office of Court Security will review the findings to determine if the individual is suitable to perform under this contract. The Government's primary concern is to determine whether the individual's presence or performance under this contract could pose a potential threat or risk to the U.S. Courts, the Government, or the public.

- (3)In the event a CSO applicant is currently working or has worked as a law enforcement officer within thirty days of applying for a CSO position with the Contractor, the Chief, Office of Court Security, may grant the Contractor an interim approval to allow the individual to perform immediately. In addition to the thirty day criteria for prior law enforcement officers, the individual must also be in full compliance with clause I.2 FAR 52.204-9 Personal Identity Verification of Contractor Personnel (Nov 2006) of this contract prior to being granted the interim approval. The Contractor must receive a written approval from the Chief, Office of Court Security, before the individual may perform in an official CSO capacity. If an approval is granted, such approval does not constitute a waiver of qualifications, including the background investigation, medical examination, or any other requirement.
- (4) The Government reserves the right to conduct a background investigation at any time on all contractor personnel, including corporate officers or any other employees or subcontractors, as deemed necessary. If the Government decides to conduct a background investigation, the Contractor, including the employee, must cooperate and provide, at a minimum, the employee's name, date of birth, and social security number.

# C-17 WEAPONS PROFICIENCY STANDARDS

- (a) The Contractor must test each CSO, including CSO applicants, to determine weapons-handling proficiency. In order to be eligible to perform in a CSO capacity, all individuals must successfully pass the weapons proficiency test in accordance with the USMS' policy. The Contractor must ensure that all tests are administered by a certified firearm instructor and witnessed by a USMS law enforcement official for official verification.
- (b) The actual testing must be conducted with the weapon issued to the CSO by the Government. The testing must also comply with the CSO Semi-Auto Handgun Qualification Course form (See Section J, List of Attachments). If approved by the U.S. Marshal, the Contractor may qualify a CSO at a USMS firearm range, including a designated firearm range used by the USMS under an interagency agreement. However, in such cases, the Contractor may not charge the Government or receive payment for any firearm range costs.

- (c) Before testing a CSO or applicant, the Contractor must coordinate the test and provide a one-week written notice of the testing to the COTR. The notice must provide the name of the individual being tested, the date, time, and location of the testing. All weapons will be transported to the range site as directed by the COTR. In the event the COTR requires the Contractor to transport the weapons, the COTR will provide the Contractor with a written authorization from the U.S. Marshal before doing so.
- (d) The initial weapon qualification testing for new hires must be performed within seven calendar days after the Contractor receives a favorable suitability determination from the Chief, Office of Court Security. The Contractor must not allow an individual to perform any CSO duties prior to weapons qualification. Within the seven calendar days after the individual has successfully qualified, the Contractor must submit the weapon's proficiency certification, CSO-014, CSO Weapons Qualification Record, Section J, List of Attachments and the CSO Form 009, Notification of a Court Security Officer's Official Performance Date, to the respective COTR and forward a copy of the form to the Office of Court Security. After an individual has successfully completed the initial testing, the Contractor must retest the individual annually by December 31 of each subsequent contract period.
- (f) When a CSO or applicant fails to meet the weapons qualification standards during the initial or annual testing period, the Contractor must not allow the prospective CSO to begin performance or an incumbent CSO to resume performance under this contract until the weapons qualification standards have been met. The Contractor must allow the individual to retest, up to two attempts only, within seven calendar days after the testing was conducted. If the individual fails the test during the two subsequent attempts, the Contractor must not permit the individual to perform under this contract.
- (g) Within 45 days after award of this contract, the Contractor must provide legible copies of each firearm instructor's certification, including any updates, to the Chief, Office of Court Security and the COTR. Proof of certification for new firearm instructors are required and must be submitted within 30 days of their performance date.

### C-18 CSO APPLICATION PACKAGE REQUIREMENTS

The Contractor must submit a complete and accurate CSO application to the Office of Court Security, for each individual proposed to work in a CSO position. A complete CSO application package consists of the following forms:

1. CSO 234, "Personnel Qualifications Statement (Contract Guard)"

2. FD 258, "FBI Fingerprint Card"

- 3. Court Security Officer Contractor's Preliminary Background Check Form, CSO Form 005
- 4. Form CSO-229, "Certificate of Medical Examination for Court Security Officers"
- 5. Military Discharge Certificate(s), Department of Defense DD-214 (If applicable)
- 6. Photocopy of the Applicant's Official Law Enforcement Training Certification
- 7. Contractor's Court Security Officer Staffing Notification, CSO Form 001
- 8. Certificate of Compliance, The Lautenburg Amendment, Tile 18, Section 922(g)(9) of the United States Code, CSO Form 007

9. Acknowledgement of Conditions of CSO Eligibility Form, CSO Form 004

- 10. Notice and Authorization Pertaining to Consumer Reports Pursuant to the Fair Credit Reporting Act of 1970, as amended 15 U.S.C. § 1681, et seq., CSO Form 015
- 11. Questionnaire for Public Trust Positions, Form SF 85P
- 12. Questionnaire for National Security Positions, Form SF-86 (Only when required.)

The Contractor must submit the CSO application package as indicated above for all new hires. In addition, the Contractor must submit the complete CSO application package within 21 calendar days from the date that a vacancy occurs or within 21 calendar days after receiving an official notification from the Government that a new CSO position exists.

### C-19 CSO AUTHORIZATION TO PERFORM

- (a) The Contractor must not permit anyone to assume the role of a CSO until (1) the individual has passed all qualification requirements stated in this contract, (2) the individual has been determined by the Government to be suitable to perform in such capacity, and (3) a written notification of such determination has been received from the Chief, Office of Court Security. After the approval has been granted, the Contractor may continue with the hiring process and coordinate the individual's official start date with the District.
- (b) Once the Contractor directs the individual to perform in an official capacity, the Contractor must complete and forward the following forms to the Office of Court Security within five business days.

- 1. In-District (Phase I) Orientation Certification, CSO Form 008
- 2. CSO Weapons Qualification Record, CSO Form 014
- 3. Certification of Court Security Officer Performance Standards, CSO Form 006
- 4. Notification of a Court Security Officer's Official Performance Date, CSO Form 009

### C-20 ORIENTATION REQUIREMENTS

- (a) This contract requires all individuals performing in a CSO position to complete the In-District Orientation and attend the USMS CSO Orientation. Both requirements are mandatory and may not be waived. The orientation is designed to provide a clear understanding of the USMS primary mission and the important roles and responsibilities of a CSO. The Orientation does not substitute or relinquish the Contractor's from performing the annual CSO training requirements. When the Government determines to conduct CSO Orientation (Phase II), the Contractor must make necessary arrangements for each eligible CSO to attend and satisfy CSO orientation requirements. Failure to complete both requirements will be considered grounds for removal in accordance with H-3, Removal of CSOs and Other Contractor Personnel. The requirements for each orientation are explained in the subsequent paragraphs.
- (b) <u>In-District Orientation (Phase I)</u>

The Contractor, through coordination with the COTR, must ensure that all new CSOs satisfactorily complete the In-District Orientation (Phase 1), CSO Resource Orientation Guide with seven calendar days after the contractor receives a favorable suitability determination from the Chief, Office of Court Security, prior to assuming CSO duties. After completion of the In-District Orientation, the Contractor must require the CSO to complete the In District (Phase I) Orientation Certification, CSO Form 008 (See Section J, List of Attachments), and require a supervisory official to certify that the individual has satisfied the CSO Orientation (Phase 1) requirement. The Contractor must also retain a copy of the form and forward the original to the USMS, Office of Court Security and a legible copy to the COTR within seven calendar days of the orientation.

### (c) <u>CSO Orientation (Phase II)</u>

(1) The Contractor will be notified in writing by the Chief, Office of Court Security, when Phase II Orientation sessions will be held and how many CSOs are required to attend. When the notification is received, the Contractor must prepare and submit a detailed cost

estimate and a written schedule for *all* CSOs required and eligible to attend the CSO Orientation (Phase II). (See eligibility requirements stated in the following paragraph.) This information must be submitted and coordinated with the COTR for review and approval within 10 business days after the issuance of the notification. In addition, the Contractor must make all necessary staffing coverage and travel arrangements for each CSO and take necessary measures to cover posts while the CSO(s) is attending the Phase II Orientation. The Government will not authorize or pay overtime to accommodate such staffing coverage.

- (2) Before a CSO can attend the CSO Orientation (Phase II), the Contractor must ensure that the CSO meets each of the following requirements:
  - a. The individual has met the USMS CSO medical and physical standards. (Note: Individuals performing in an interim status may not attend the CSO Orientation (Phase II).)
  - b. The CSO has successfully passed the appropriate weapon's handling proficiency test and the CSO-014 has been received by the Office of Court Security.
  - c. The CSO has undergone and passed the USMS background investigation process.
  - d. The CSO has not previously attended or completed the entire USMS CSO Orientation (Phase II).
  - e. The CSO has been approved by the Office of Court Security to attend the CSO Orientation (Phase II).
- (3) If the Contractor sends a CSO to the CSO Orientation (Phase II) who has not met the requirements stated above, the Contractor will bear the costs for sending the CSO to the CSO Orientation. Only those individuals who have been authorized by the USMS may attend the CSO Orientation (Phase II).
- (4) When travel is necessary, the Government will reimburse travel expenses, on a one time only basis, for each CSO who is qualified to attend and who actually attends the CSO Orientation in its entirety. The Government will not be responsible for, nor will the Government reimburse the Contractor travel expenses, if a CSO

fails to attend the Orientation as scheduled. Travel reimbursement will be made in accordance with the Federal Government Travel Regulations. The Government will not be responsible for making travel arrangements for any Contractor personnel. The Government's involvement will only be to the extent that is necessary to ensure that all travel arrangements, including costs, are reasonable and to ensure that necessary coordination has been made. The Government will also reimburse the Contractor up to eight hours at the basic contract rate for each day that the CSO attends the orientation session. During the orientation phase, the Contractor will only be entitled to reimbursement of the basic contract rate and must only bill the basic rate for labor hours associated with the CSO Orientation (Phase II). When making travel arrangements, the Contractor must minimize costs and secure the lowest attainable price.

- (5) The Contractor must require all CSOs to meet the orientation requirements. If a CSO cannot attend the orientation when scheduled, the Contractor must explain, in writing, the circumstances preventing the CSO from attending and request approval from the Chief, Office of Court Security, for the individual to attend the next scheduled orientation.
- (6) If an emergency prevents a CSO from attending the entire CSO Orientation (Phase II) session, the Contractor must immediately inform the Office of Court Security, in writing, with the details of the emergency. The Contractor must also coordinate the makeup time with the Office of Court Security and make the necessary arrangements for the CSO to complete the orientation at no additional cost to the Government. Only the initial CSO Orientation cost will be paid by the Government.
- (7) The Contractor must prohibit visitors, including spouses and children, from accompanying their personnel to the areas where the CSO Orientation is being held.

# C-21 CSO DRESS STANDARDS

(a) Mandatory uniform standards apply to this contract. Such standards are established and may only be changed by the Government. If operational requirements necessitate a uniform change, the Contractor must submit a written uniform change request through the COTR to the Chief, Office of Court Security, for consideration. Uniform standards may not be deviated from unless approval has been granted by the Chief, Office of Court

Security and a written direction has been issued by the Contracting Officer.

- (b) CSO Uniform Requirements:
  - (1) By December 31 of each contract period, the Contractor must provide the required basic uniform items specified in the chart below to CSOs only. The Contractor must not issue CSO uniforms to Contractor Managers and Site Supervisors, or allow them to wear such uniforms. In addition, the Contractor must ensure that all CSOs are in required attire while officially performing under this contract. As noted below, the official CSO uniform includes a navy blue blazer, gray slacks, white shirt, a navy blue necktie with red and white stripes, dark socks, and low-heeled, plain toed, black shoes or boots.

| ITEM                         | ISSUE | SPECIFICATIONS   |
|------------------------------|-------|--|
| Short Sleeve Shirt or Blouse | 3     | White, plain or button-down collar.  |
| Long Sleeve Shirt or Blouse  | 3     | White, plain or button-down collar. (No French cuffs.)   |
| Blazer                       | 2     | Navy blue 3-ply tropical blend, full-cut traditional, fully<br>lined with fine rayon or polyester, taffeta-reinforced<br>shoulder pads. The style should include a single inset<br>pocket on the left breast, two patch pockets with flaps, a<br>center back vent, and a two-button front closure. Salient<br>features include cut, color, and 3-ply fabric of 55% Dacron<br>polyester and 45% worsted wool. The actual weight of the<br>material will be determined by the climatic conditions<br>where the CSO is providing services. Colder climates may<br>necessitate a heavier fabric with more of a wool blend.<br>Because of the wearing of the gun under the blazer, an<br>additional patch of material under the jacket should be<br>provided. The women's blazer is to be identical to the<br>men's except it has no center vent and plain patch pockets. |
| Trousers or Slacks           | 2     | 3-ply tropical blend full-cut traditional gray. Salient<br>features include cut, color, and 3-ply fabric that is of 55%<br>Dacron polyester and 45% worsted wool. Colder climates<br>may necessitate a heavier fabric with more of a wool blend.   |
| Necktie                      | 2     | Red, white, and blue, striped tie or clip-on necktie.<br>(Females may wear crossover ties.)  |
| Shoes and/or Boots           | 1     | Black, plain-toed, low-heeled shoes or boots. No high heels.   |
| Socks                        | 6     | Dark color   |

- (2) Prior to contract performance, and annually thereafter, the Contractor must certify in writing to the Contracting Officer and the COTR, that each CSO has been furnished new uniforms as required above. The Government will not compensate the start-up cost for a CSO and the Contractor must not bill the Government until the new uniform items have been purchased and issued to each CSO. In cases where a uniform was issued to a CSO under a previous contract award or option period less than four months prior to the start date of the current contract, the Contractor is not required to reissue a new uniform nor will the Government be liable to pay the start-up cost for such situations.
- (3) The Contractor is responsible for purchasing and replacing uniforms worn by the CSOs and must use the same supplier to maintain uniformity. The Government will not compensate the Contractor for uniform replacement costs occurring outside of the annual replacement period.
- (4) All uniforms are considered Government property and must remain with the Government. Disposition of all uniforms will be at the discretion of the USMS.
- (5) The Contractor must ensure that CSO uniforms are to be worn only when the CSO is on official duty or while in transit between place of residence and duty station.
- (6) The Contractor must require CSOs to wear long sleeve shirts or blouses beginning October 1of each year and short sleeve shirts or blouses beginning May 1of each year. Deviations in this requirement may be authorized by the COTR.
- (7) The Government will issue each CSO an official pocket identification badge and a nametag that must be worn while performing in an official CSO capacity. The pocket identification badge must be worn in the blazer breast pocket and cannot be modified in any manner. All pocket badges must comport to the USMS' official contract specifications. Displaying any item other than the USMS seal on the pocket badge is prohibited.
- (8) To prevent weapon exposure, the Contractor must prohibit CSOs from removing their jackets while on official duty. However, if a CSO is exposed to extreme heat and such exposure could impose a health problem, the Contractor may submit a written request to the

Chief, Office of Court Security, through the Contracting Officer, for reconsideration of this requirement.

(c) <u>Supplemental Items</u>:

The Contractor must provide CSOs with supplementary items that are necessary to perform their duties. Examples of supplementary items include, but are not limited to, pens, pencils, paper, notebooks, logbooks, etc.

- (d) <u>CSO Appearance</u>:
  - <u>Hair and Nail Length</u> The Contractor is responsible for assuring that CSOs maintain a functional and neat appearance in accordance with standards set by the COTR.
  - (2) <u>Uniform</u> The Contractor must ensure all CSOs are in complete uniform at all times while on official duty. If a CSO is out of uniform while on official duty, the Contractor must relieve the CSO from duty and provide a replacement immediately. If a CSO is relieved for this cause, the Government will not be obligated to pay the Contractor for the CSO's non-availability and the Contractor may be subject to liquidated damages.
  - (3) <u>Jewelry Restriction</u> The Contractor must ensure that all CSOs refrain from wearing any jewelry, except wristwatches, wedding, engagement and class rings. Any exceptions must be approved in writing by the COTR.
- (e) <u>Uniform Variations</u>:
  - (1) Whenever deemed necessary, the Government may authorize uniform variations. Certain post assignments may require CSOs to wear specialized uniforms, including rainwear and cold weather gear. In such cases, the Government may issue such uniforms as Government-furnished property or authorize the Contractor to make such purchases. If cold weather gear is authorized, the Contractor may provide a V-neck navy blue vest or sweater to be worn under the basic uniform. If a vest or sweater is provided, the Contractor must prohibit CSOs from placing patches or other decorative devices on them. Only those CSOs guarding post(s) exposed to such weather conditions may be authorized this variation.
  - (2) Only those posts exposed to cold weather elements will be authorized cold weather gear. Winter coats (jackets or parkas) must be "police duty" type and must be dark navy blue or black in color. Fur-type collars are optional. These coats should have securable side vents for easy access to the weapon. The use and purchase of cold weather and rain gear must be approved in advance and in writing by the Chief, Office of Court Security. The

Contractor must submit a written request through the COTR to the Chief, Office of Court Security, for consideration.

## C-22 UNFORESEEN GOVERNMENT CLOSURES

Uncontrollable or unforeseeable circumstances such as, acts of God or the public enemy, acts of the Government in its sovereign or contractual capacity, natural disasters, epidemics, quarantine restrictions, inclement weather, administrative closures, special Federal or ceremonial events, may cause the Government to close. Under such circumstances, the Government will not pay nor should the Contractor bill for hours that were not actually worked by their personnel.

## C-23 EMERGENCIES

In the event of an emergency, the Government (U.S. Marshals Service) reserves the right to direct the activities of the CSOs. Emergencies include, but are not limited to, a directive from a federal judge, bomb threats, natural disasters, terrorist attacks, or imminent personal danger to a judge, juror, witness, attorney, or other court personnel. Under no circumstances may a CSO refuse to cooperate with such directives when the Government or the U.S. Marshal determines that an emergency situation exists. The Contractor and the COTR will be promptly notified of the situation. As soon as practicable, the Contractor must document the event thoroughly and concisely in the Daily Activity Log (See Section F, Deliverables or Performance, for additional details) and the Form CSO 003, Court Facility Security Incident Report.

### C-24 OVERTIME AND HOLIDAY PERFORMANCE

- (a) <u>Overtime</u>
  - (1) When court proceedings or other court functions continue beyond the court facility's normal hours of operation, the Contractor may be required by the Government, through the direction of the COTR and with approval of the Contracting Officer, to work additional hours. In such cases, the COTR will request the Contractor, in writing, to perform the additional hours.
  - (2) In the event the Contractor is required to provide court security services beyond the court facility's normal hours of operation, the Government will apply the basic contract rate unless the particular CSO assigned has worked a 40-hour workweek. However, the Government will only be liable when the Government requests a variation in the schedule and the request results in overtime usage.

- (3) The Government will not reimburse nor is the Contractor to bill for overtime hours resulting from the coverage of a regularly scheduled vacant post.
- (4) The Government will not reimburse nor is the Contractor to bill for any overtime hours associated with the weapons proficiency testing, medical examinations, orientation, or any CSO-related training requirements.
- (b) <u>Holiday Performance</u> Any services provided by a CSO on a holiday, as recognized by the applicable Department of Labor wage determination, will be paid at the basic hourly rate.

#### C-25 GOVERNMENT FURNISHED PROPERTY

(a) The Government will furnish the Contractor the following items listed in the chart below and any other item(s) deemed necessary for the safety and protection of human life and court facilities. The Contractor will be directly responsible and held accountable for all Government property issued under this contract. Upon receipt from the Government, the Contractor must provide these items to each CSO:

| Ammunition to perform and qualify. | Name Tags   |  |
|------------------------------------|---|--|
| Body Armor                         | Oleoresin Capsicum (OC) Spray (Optional)                      |  |
| Body Armor Ballistic Tee Shirt     | Pocket Identification Badge                                   |  |
| Body Armor Carry Bag               | Radio (Issued to post)  |  |
| Body Armor Quilted Carrier         | Radio Charger   |  |
| CSO Credential                     | Radio Batteries   |  |
| Handcuffs                          | Radio Carrying Case/Belt Clip                                 |  |
| Handcuff Case                      | Radio Earphone  |  |
| Holster (Belt Type)                | Weapon(s)   |  |
| Magazine or Cartridge Case         | Specialized Uniforms (Only when authorized by the Government) |  |

(b) The Contractor must use the Government's furnished equipment and may not permit any CSO to substitute or replace any Government furnished equipment with personal or contractor equipment without written authorization from the Chief, Office of Court Security. In addition, the Contractor must ensure that each CSO is properly equipped and using only Government furnished property while performing under this contract. Contract Managers and Site Supervisors are prohibited from and may not be issued any of the items listed above. If, for any reason, an individual is no longer performing in a CSO position, the Contractor must ensure that

the individual immediately relinquish these items and return them to the Government.

- (c) The Contractor must establish and maintain a system to control, protect, preserve, and maintain all property issued by the Government until the Contractor has been relieved of the responsibility of the property by the Government. This property control system must be in writing and is subject to review and approval by the Government. In addition, the property control system or records will constitute the Government's official property control records and must be made available to the Government upon request.
- (d) If overages, shortages, or damages are discovered upon receipt of the property, the Contractor must provide a statement of the condition and apparent cause of the damage to the COTR. Depending on the circumstances, the Contractor may be liable for shortages, loss, damage, or destruction of the Government property. For example, the Government may hold the Contractor responsible for the destruction or loss of weapon(s), body armors, radios or any other items lost, damaged, or destroyed by the Contractor's employees.
- (e) The Contractor must maintain, at all times, a complete and accurate inventory of all Government furnished property issued under this contract. By October 31 of each contract period, the Contractor must verify the inventory of all Government furnished equipment and provide the inventory report to the COTR. These items must be cared for in accordance with FAR Part 45 and stored at the location designated by the Government.
- (f) The CSO equipment inventory report must provide, at a minimum, the following information:
  - (1) The location (district and site) of the inventory;
  - (2) The identification of the equipment, e.g., weapon, make and model.
  - (3) The serial number and bar code listed under its individual identification line, along with the name of the CSO to whom it is issued. The Contractor must provide a list showing a description and unit quantity of all non-serialized government furnished equipment, e.g. 15 holsters, right-handed, 4 inch. Handcuffs, although serialized, do not have to be listed as separate items.

- (g) The Contractor is responsible for ensuring that CSOs return all Government furnished equipment to the Government's designated storage area at the completion of the CSO's shift. Under no circumstances may the Contractor or its employees (CSOs) take any Government issued property from the duty station, with the exception of the CSO body armor and its accessories, unless the removal of such property has been specifically authorized in writing by the respective U.S. Marshal. The Contractor must inform the COTR immediately when any CSO violates this provision. When such violation occurs, the Contractor must also enforce the company's disciplinary policy. The Government reserves the right to prohibit the violator from performing under this contract and may exercise any legal rights regarding theft of Government property.
- (h) Use of equipment such as walk-through and hand-held metal detectors, x-ray machines, closed-circuit television (CCTV) monitoring equipment, trace detectors, etc., is mandatory under this contract and is subject to change at any time. If equipment changes occur, the Government will provide instructions on the proper use of such equipment and the Contractor must ensure that all CSOs are using such equipment as instructed. If any equipment is malfunctioning or damaged during use, the Contractor is responsible for promptly notifying the COTR of the condition. The Contractor must also inform the COTR immediately when any equipment is misused or abused by a CSO. The cost to repair or replace any damaged or lost Government equipment due to negligence will be deducted from the Contractor's invoice(s).
- (i) <u>Firearms</u> The Government will issue and determine the type of firearm that will be used under this contract. Furthermore, the Government reserves the right to change the type of firearm as deemed necessary at anytime during performance period of this contract. The Contractor, including all CSOs performing under this contract, must clearly understand that the use or display of firearms and any other weapon issued under this contract is strictly prohibited, except as stated herein, and may only be used during the CSO's official hours and at their official designated duty location.

### (j) <u>Oleoresin Capsicum Spray</u>

- (1) The U.S. Marshal may authorize CSOs assigned to their district to carry Oleoresin Capsicum Aerosol (OC Spray) devices. If such authorization is granted by the U. S. Marshal, the Contractor must ensure that the CSO receiving the OC Spray device successfully completes the certification requirements developed by the United States Marshals Service's Training Academy and use the device in accordance with applicable policies and procedures and the USMS Directive 2.54-1, *Less-Than-Lethal Devices*, Section J, List of Attachments.
- (2) After completion of the initial certification, the CSO must be tested and certified annually in order to carry the device on a continuous basis. It is the responsibility of the Contractor, acting in coordination with the U.S. Marshal, to schedule each CSO for annual certification. Re-certification must occur within 60 days prior to the anniversary of the original test. The Contractor must not permit CSOs to carry or use OC Spray unless the CSO has successfully completed the required certification.
- (3) The certification will be conducted by the Government in accordance with the procedures established by United States Marshals Service's Training Academy.
- (4) The Contractor must ensure the CSO(s) carries the OC Spray device only during their official duty hours. In addition, the Contractor must ensure that the CSO(s) conceals the OC Spray device from the public and refrains from inspecting and handling the OC Spray device in view of the public.
- (5) The Government prohibits the use of personal OC Spray under this contract.
- (k) <u>CSO Body Armor</u>

- (1) For life protection purposes, the Government will provide and require all CSOs to wear fitted body armor or a ballistic vest while performing under this contract, as deemed necessary by the USMS.
- (2) The Contractor must require all CSOs to wear USMS issued body armor during any high-risk threat situation or when the USMS determines a higher degree of protection is necessary.
- (3) The Contractor must ensure---
  - Each CSO is available and measured for proper fitting.
  - All vests issued to the CSOs are free from defects and damage.
  - All CSOs inspect and maintain their body armor as recommended by the manufacturer.
  - Signs of wear or deterioration are reported to the COTR within 24 hours after the condition is detected for replacement.
  - Lost or stolen body armor is reported to the COTR within 24 hours from the time the item was regarded missing.
- (4) Body armor will be replaced by the Government at no additional expense to the Contractor when it is evident that the armor is deteriorating from normal use and wear or when the manufacturer's warranty for the ballistic protective component expires. The Government will not bear replacement costs when: (1) the body armor is lost or stolen; (2) the body armor is rendered unusable due to negligence or improper alterations; or (3) when the armor no longer fits properly due to weight gain or loss on the part of the wearer.
- (5) Alterations to the body armor may only be made by the manufacturer.
- (6) Failure to comply with this provision or any COTR direction regarding body armor may be considered grounds for immediate removal of the CSO, pursuant to provision H-3, Removal of CSOs and Other Contractor Personnel for Violations of the CSO Performance Standards, paragraph (c).

#### C-26 CONTRACTOR'S PERSONNEL IDENTIFICATION CARDS

- (a) Within 45 days after commencement of the contract, the Contractor must provide a company identification card to all persons performing in the positions required under this contract (See C-5, Contractor Personnel and Duties). For new hires, the Contractor must issue a company identification card within 45 days after their performance start date.
- (b) At a minimum, the Contractor's company identification card must meet the following requirements:
  - 1. Bear the company's logo only. Use of USMS and the Department of Justice's badges, seals, or logos, and titles such as Special Deputy United States Marshal is prohibited.
  - 2. Include a clear photograph of the employee.
  - 3. Indicate the employee's current height, weight, date of birth, and gender.
  - 4. Must be wallet size, (approximately 2" x 31/4") similar to a driver's license.
- (b) The Contractor must require all personnel to carry the company's identification card at all times while performing services under this contract.

# C-27 OTHER CONTRACT RESTRICTIONS

The Contractor, including its personnel, must not represent themselves as USMS employees nor must the Contractor, including its personnel, use, apply, or duplicate USMS and Department of Justice's badges, seals, logos, and titles such as Special Deputy United States Marshal, on any supplies, including the company's stationary and business cards, equipment, materials, company gear or any other thing not mentioned herein.

# **PART I – SCHEDULE**

### SECTON D- PACKAGING AND MARKING

# D-1 PRESERVATION, PACKING AND MARKING

Preservation, packaging, and packing for all items delivered must be in accordance with commercial practices.

# D-2 MARKING

All information submitted to the Contracting Officer or the Contracting Officer's Technical Representative must clearly indicate the contract number and task order number, if applicable, for which the information is being submitted.

### PART I – SCHEDULE

#### SECTION E - INSPECTION AND ACCEPTANCE

#### E-1 NOTICE – Listing of Clauses Incorporated by References

The following contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

| NUMBER   | DATE     | TITLE  |
|----------|----------|--|
| 52.246-6 | MAY 2001 | INSPECTION- TIME-AND-MATERIAL AND LABOR HOUR |

## E-2 INSPECTION AND ACCEPTANCE OF CONTRACTOR'S SERVICES AND REPORTS AND OTHER REQUIRED DATA

- (a) <u>Services</u>: Inspection, acceptance and evaluation of services to be furnished will be performed by the COTR. The Government will conduct any inspection and tests deemed reasonably necessary to assure that the services provided conforms with all respects to the contract specifications. Services, which upon inspection are found not to be in conformance with contractual specifications shall be promptly rejected by the COTR and a notice of such rejection will be provided to the Contractor by the Contracting Officer.
- (b) <u>Reports and Data</u>: The Government will inspect monthly the Contractor's performance in submitting reports and data as required by the contract. Inspection shall be conducted by the Contracting Officer and the COTR. Inspection and evaluation of the Contractor will be performed to assess the following: (1) compliance with the specifications; (2) responsiveness; (3) timeliness; (4) quality with respect to generally acceptable professional standards, and, (5) compliance with all elements of Section F, "Deliveries or Performance."
- (c) Performance will be considered deficient whenever posts are not covered as required by the contract. This deficiency may be remedied by assessing liquidated damages in accordance with the liquidated damages clause provided in Section F, "Deliveries or Performance".
- (d) In accordance with the FAR 42.15, Contractor Performance Information, the Contractor's overall performance will be evaluated on a periodic basis. The

5<sup>th</sup> Judicial Circuits

evaluation will include, for example, the contractor's record of conforming to contract requirements and standards of good workmanship; the contractor's record of forecasting and controlling costs; the contractor's adherence to contract schedules, including the administrative aspects of performance; the contractor history of reasonable and cooperative behavior and commitment to customer satisfaction and generally, the contractor's businesslike concern for the interest of the customer.

Past performance information is relevant information, for future source selection purposes, regarding a contractor's actions under previously awarded contracts.

SECTION F

#### PART I – SCHEDULE

#### **SECTION F - DELIVERIES OR PERFORMANCE**

#### F-1 PERIOD OF PERFORMANCE

The base year period of performance start date (effective date) will be March 1, 2008 and continue thru September 30, 2008. This contract has four option period of performance. In accordance with Section H - Option To Extend Term of Contract (FAR 52.219-9), the Contracting Officer may exercise options for continued performance based on increments of one year periods. If options for continued performance are exercised, the following incremental performance periods are applicable:

| <b>OPTION YEAR 1</b> | October 1, 2008 – September 30, 2009 |
|----------------------|--------------------------------------|
| <b>OPTION YEAR 2</b> | October 1, 2009 - September 30, 2010 |
| <b>OPTION YEAR 3</b> | October 1, 2010 - September 30, 2011 |
| <b>OPTION YEAR 4</b> | October 1, 2011 – September 30, 2012 |

## F-2 52.211-11 LIQUIDATED DAMAGES-SUPPLIES, SERVICES, OR RESEARCH AND DEVELOPMENT (SEPT 2000)

- (a) If the Contractor fails to deliver the supplies or perform the services within the time specified in this contract, the Contractor shall, in place of actual damages, pay to the Government liquidated damages of \$216.64 per calendar day of delay at each facility.
- (b) If the Government terminates this contract in whole or in part under the Default-Fixed-Price Supply and Service clause, the Contractor is liable for liquidated damages accruing until the Government reasonably obtains delivery or performance of similar supplies or services. These liquidated damages are in addition to excess costs of repurchase under the Termination clause.
- (c) The Contractor will not be charged with liquidated damages when the delay in delivery or performance is beyond the control and without the fault or negligence of the Contractor, as defined in the Default-Fixed-Price Supply and Service clause in this contract.

## **F-3 DELIVERABLES**

 (a) As required in Section C, the Contractor must prepare, maintain, and furnish various reports and data during the performance of this contract. The following deliverables (reports and data) are considered mandatory and must be submitted by the Contractor, as indicated in the chart below.

|   | Title of Mandatory Roports,<br>Ferms, and Data   | Submit To: | Requirement   |
|---|--|------------|---|
| 1   | Acknowledgement of Conditions of<br>CSO Eligibility, CSO Form 004                        | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar days after a CSO position has been vacated or authorized by the Government.  |
|   | 0  | CO         |   |
|   | Contractor's Annual Training   | Chief, JPS | This certification is required annually and only after all CSOs performing under the contract have completed the Contractor's annual training session.  |
|   | Certification  | CO         |   |
| 3   | Certificate of Medical Examination<br>for Court Security Officers,<br>CSO-229            | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar<br>days after a CSO position has been vacated or authorized by the<br>Government.  |
| 4 Court Security Officer (CSO)<br>Travel Authorization, CSO Form<br>010         |  | COTR       | Submit this form, including any supporting documents, for CSO travel authorization.   |
| 5 Court Security Officer (CSO)<br>Travel Expense Reimbursement,<br>CSO Form 011 |  | COTR       | Submit this form, including any supporting documents, for travel reimbursement.   |
| 6   | Contract Pricing Proposal, SF<br>1411  | CO         | Submit when cost proposal or contract action exceeds or is expected to exceed \$500,000.  |
| 7   | Equipment Inventory Report   | . COTR     | Submit within 30 calendar days after each contract period.  |
| 8   | Notification of a Court Security<br>Officer's Official Performance<br>Date, CSO Form 009 | COTR       | Submit within 5 business days after the individual's performance date.  |
| 9   | Daily Activity Log   | COTR       | Required for each court facility and must be maintained on a<br>continuous basis. Provide as directed by the COTR.  |
| 10  | Daily Time and Attendance Log  | COTR       | Required for each court facility and must be maintained on a<br>continuous basis. Submit a copy of the log with monthly invoice(s).<br>COTR will designate a location for all CSO Daily Attendance Records. |
| 11  | Emergency Systems Report   | COTR       | Submit by the tenth of each month.  |
| 12  | Court Facility Incident Report,<br>CSO Form 003  | JPS/OSB    | Prepare immediately and submit the report to the COTR within 24 hours after the incident occurs.  |
|   | Court Facility Monthly Statistical   | JPS/OSB    | Submit by the tenth calendar day of each month.   |
|   | Summary Report, CSO Form 002   | COTR       |   |
| 14  | FBI Fingerprint Card   | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar<br>days after a CSO position has been vacated or authorized by the<br>Government.  |
| 15  | In-District (Phase I) Orientation<br>Certification, CSO Form 008                         | JPS/PSB    | Submit within 7 calendar days after the In-District Orientation is completed by a CSO.  |
|   |  | COTR       |   |
| 16  | Military Discharge Certificate,<br>Department of Defense (DD) 214                        | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar<br>days after a CSO position has been vacated or authorized by the<br>Government.  |
|       | Title of Mandatory Reports,<br>Forms, and Data  | Submit To: | Requirement   |  |
|-------|---|------------|---|--|
| 17    | Court Security Officer Monthly  | JPS/OSB    | Input all monthly activity data relative to the previous month by the   |  |
|       | Activity Report   | CO         | 10th calendar day of each month. Submit a printed hard copy of the  |  |
| - one |   | COTR       | District's monthly activity to each COTR. Separate reports are required for positions authorized by the USMS via an inter-agency  |  |
|       |   | JSFO       | agreement.  |  |
|       |   | AOUSC      |   |  |
| 18    | Contractor's Court Security Officer<br>Staffing Notification,<br>CSO Form 001   | JPS/PSB    | Submit this form for various contract staffing actions.   |  |
| 19    | Certification of Court Security<br>Officer Performance Standards,<br>CSO Form 006   | Chief, JPS | Submit annually by December 31 of each contract period.   |  |
| 20    | Court Security Officer Contractor's<br>Background Check Form, CSO<br>Form 005   | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar days after a CSO position has been vacated or authorized by the Government.                                  |  |
| 21    | Public Voucher for Purchases and<br>Services Other than Personal, SF<br>1034  | COTR       | Submit this form, including any supporting documents, for travel reimbursement.   |  |
| 22    | Subcontracting Report for<br>Individual Contract, SF 294  | CO         | Submit semiannually by April 30 and October 31 or as directed by the Contracting Officer.   |  |
| 23    | CSO Weapons Qualification<br>Record, CSO Form 014   | JPS/PSB    | Submit annually by December 31 of each contract period.   |  |
|       |   | COTR       |   |  |
| 24    | Certificate of Compliance, CSO<br>Form 007  | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calen<br>days after a CSO position has been vacated or authorized by the<br>Government.                               |  |
| 25    | Court Security Officer Contractor's<br>Request to Reevaluate An<br>Individual's Medical Qualification,<br>CSO Form 012  | JPS/PSB    | Submit when a CSO desires to return to contract performance after extensive or medical absence.   |  |
| 26    | Court Security Officer Contractor's<br>Medical Practitioner Data Sheet,<br>CSO Form 013   | Chief, JPS | Submit within 30 days after the initial award. Therefore, submit to<br>qualify a new Medical Practitioner to Perform CSO Medical<br>Examinations.                               |  |
| 27    | Notice and Authorization<br>Pertaining to Consumer Reports<br>Pursuant to the Fair Credit<br>Reporting Act of 1970, as<br>amended 15 U.S.C. § 1681,<br>et. seq., CSO Form 015 | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calend days after a CSO position has been vacated or authorized by the Government or when requested by the Government |  |
| 28    | CSO-234 Personnel Qualification<br>Statement (Court Security Officer)   | JPS/PSB    | Submit with each CSO Application Package, which is due 14 calend<br>days after a CSO position has been vacated or authorized by the<br>Government.                              |  |
| 29    | Questionnaire for Public Trust<br>Positions, Form SF-85P  | JPS/PSB    | Submit with each CSO Application Package, which is due 21 calendar<br>days after a CSO position has been vacated or authonzed by the<br>Government.                             |  |
| 30    | Questionnaire for National<br>Security Positions, Form SF-86  | JPS/PSB    | Only when necessary, submit with CSO Application Package, which is due 21 calendar days after a CSO position has been vacated or authorized by the Government.                  |  |
| 31    | Certifications of Firearm<br>Instructors  | Chief, JPS | Submit within 45 days after contract award and within 30 days for new<br>Firearm Instructors  |  |

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- (b) The Contractor must adhere to all reporting requirements. Unless stated otherwise, the Contractor cannot deviate from nor substitute any data or forms required by this contract. All deliverables are subject to the review and approval by the Government. If any information reported is found to be incomplete or inaccurate, the Government will deem the deliverable unacceptable and return the deliverable to the Contractor for correction. The Contractor must make all necessary corrections and/or revisions, as deemed necessary by the Government and in accordance with the due date.
- (c) <u>Daily Activity Log</u>: The Contractor must maintain a Daily Activity Log at each post, as directed by the COTR. The Daily Activity Log must be maintained on continuous basis and must capture all CSO post-related activities. At the Government's request, the Contractor must make the log available for review and inspection.
- (d) <u>Court Facility Incident Report (CSO Form 003)</u>: The Contractor must prepare and submit a Court Facility Incident Report whenever a CSO is involved or observes a suspicious or security-related incident at a court facility. With the exception of false alarms or alarm tests, all incidents such as, but not limited to, disruptive persons, threats, forced entry, illegal weapons, open arrest warrants, suspicious packages, etc., must be reported immediately to the COTR of the District and to the Judicial Protective Services, Operations Support Branch, on a Court Facility Incident Report, within 24-hours of the occurrence. A copy of the Facility Security Incident Report form is located in Section J, List of Attachments.
- (e) <u>Court Facility Monthly Statistical Summary Report (CSO Form 002)</u>

The Contractor must complete and submit a Court Facility Monthly Statistical Summary Report to the Judicial Protective Services, Operations Support Branch, through the COTR by the tenth calendar day of each month. This report provides statistical information on the number of illegal weapons, contraband, and prohibited items detected and/or confiscated by CSOs during the preceding month. Such information must be recorded on the Court Facility Monthly Statistical Summary Report. It is designed to collect information on incidents that are threatening or appear to threaten the safety and security of the Judiciary. In addition to the above, it is also designed to capture the details of all incidents involving arrests or detainment and other serious incidents such as, disruptive persons, threats, forced entry, illegal weapons, open arrest warrants, suspicious packages, etc., occurring in or out of the courtroom that required CSO action. The Contractor is also required to use the Court Facility Monthly Statistical Summary Report to document how many hours each CSO performs in the following areas: (1) courtroom

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assignment; (2) travel; (3) training; and (4) weapons qualification. The *Court Facility Security Monthly Statistical Summary Report* must be reviewed and signed by the COTR prior to forwarding it to the Judicial Protective Services, Operations Support Branch. A copy of the form is provided in Section J, *List of Attachments*.

- (f) Daily Time and Attendance Log:
  - (1) The Contractor must maintain at all times and as directed by the COTR, an official *Daily Time and Attendance Log*, for each court facility authorized CSOs (See Section B for official court facility locations). The Contractor must also require all CSOs, including LCSOs, to record their actual arrival and departure times on the *Daily Time and Attendance Log* while performing under this contract.
  - (2) The Daily Time and Attendance Log will be placed in an area designated by the COTR and must be maintained in chronological order for each court facility where CSOs provide services. The log must capture the names of each CSO, the date of performance, arrival and departure times, the actual hours worked by each CSO, an explanation block to address attendance issues, and a signature block for each CSO to certify their time and attendance entries. If, for any reason, a CSO is not present to perform, the Contractor must document the reason why the CSO is not available in the Daily Time and Attendance Log. The Contractor must provide, as supporting documentation, a legible copy of each log to the COTR with each applicable monthly invoice(s).
- (g) <u>Emergency Systems Report</u>: The Contractor must provide on a monthly basis, an <u>Emergency Systems Report</u> to confirm the testing, the condition, and the status of all duress alarms, control panels, and battery-operated emergency lighting, as required by this contract. The Contractor must provide the report to the COTR by the tenth of each month. The Emergency Systems Report must indicate the name of the CSO that performed the tests, the date and time the tests were conducted, the location of the alarms, control panels and lighting. The report must also indicate if a repair order was placed, when and what time the repair order was placed, the name of the company and the person contacted for the repair, and when the equipment was repaired.
- (h) Monthly Activity Report:

The Contractor must complete and submit a *Court Security Officer Monthly Activity Report* to the Government by the tenth calendar day of

(1)

each month. The monthly report must include as an attachment, copies of the invoices submitted to each facility's COTR for the reporting period of the report. The report or data will be subject to review and analyzed by the following offices listed in the chart below. The Contractor must provide an electronic copy of the data on a CD-ROM or other media mutually acceptable to the Government and the Contractor. For security and privacy reasons, the Contractor is prohibited from transmitting any data to the Government via the e-mail.

| United States Marshals Service                           |
|--|
| udicial Security Division                                |
| udicial Protective Services                              |
| Attention: Operations Support Branch                     |
| Washington, DC 20530-1000                                |
| United States Marshals Service                           |
| udicial Security Division                                |
| udicial Security Contracts                               |
| Washington, DC 20530-1000                                |
| Each designated COTR.                                    |
| Refer to respective task orders for the mailing address. |
| Administrative Office of the United States Courts        |
| One Columbus Circle, N.W.                                |
| Court Security Office, Room G-310                        |
| Washington, DC 20544                                     |

(i) <u>Contractor's Employee Actual Pay and Benefits Data</u>: At the request of the Contracting Officer, the Contractor must submit the names of all current employees that performed on this contract, their status (full-time or part-time), anniversary date, their appointed site address and their actual pay rate and employment benefit compensation. The data should be compiled to reflect the personnel assigned to each District. The Contractor waives any objection to the USMS' use of such data, including disclosure to offerors during any future solicitation process.

SECTION G

#### **PART I – SCHEDULE**

#### **SECTION G - CONTRACT ADMINISTRATION DATA**

### G-1 ROLES AND RESPONSIBILITIES OF GOVERNMENT PERSONNEL

- (a) <u>Contracting Officer</u>: The Contracting Officer has the overall and primary responsibility for the administration of this contract. Only the Contracting Officer has authority to enter into, administer, or terminate this contract on behalf of the Government. This includes modifying and deviating from the contract terms, conditions, requirement, specifications, and delivery schedules; making final decisions involving such matters as invoice payments or other consideration due to the Government for nonperformance or unsatisfactory performance, interpreting the contract, and resolving disputes; and, terminating the contract for default or convenience. The Contracting Officer also has authority to delegate certain responsibilities to an authorized Government representative.
- (b) <u>Contracting Officer's Technical Representative (COTR)</u>: The Contracting Officer will appoint individuals to act as authorized representatives in the monitoring and administration of this contract. This individual is designated in writing as a Contracting Officer's Technical Representative (COTR), with a copy to the Contractor. An individual designated as a COTR is authorized to perform the following functions and those functions in accordance with COTR appointment letter:
  - (1) Coordinate the technical aspects of this contract and inspect all required services.
  - (2) Certify, accept and reject invoices deemed improper for payment for the services and/or supplies rendered and allowed under the terms and conditions of this contract. (For rejection of services, see Section E-1 (a), Inspection and Acceptance.)
  - (3) Designate various individuals to assist in monitoring the performance of the contract. Such persons are not official COTRs, are NOT authorized representatives of the Contracting Officer, and may not perform the duties specified in JAR 2852.201-70(b), which is incorporated in the contract. The COTR responsibility still remains with the COTR designated by the Contracting Officer for that given area.

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(c) <u>Other Federal Agencies</u>: Under the authority of the Economy Act, the USMS has entered into mutual agreements with other Federal agencies to obtain security services by interagency agreements. These agencies will generally be referred to as an "Ordering Agency."

Federal Agencies other than the USMS, have limited contract administration authority. Primarily, these agencies are only authorized to serve as a paying office for the services specifically provided in their areas authorized in Schedule B of this contract.

## G-2 CONTRACT ADMINISTRATION

The primary contract administration office (CAO) and the designated Contracting Officer for each circuit (TO BE INSERTED AT CONTRACT AWARD) are as follows:

Contract Administration Office Contracting Officer Judicial Security Contracts Judicial Security Division U.S. Marshals Services Washington DC 20530-1000

## G-3 TASK ORDERS

A task order, Option Form 347, is the official ordering document issued by the Contracting Officer that requires the Contractor to provide the services as described in Section C of this contract. All services will be ordered via task order. It provides the Contractor, among other things, a general description of services required, the maximum number of hours being requested, and the place of performance. The Contractor must not perform any services nor exceed the total task order price without prior written notice from the Contracting Officer. Payment will not be made for unauthorized work or costs.

#### G-4 OVERTIME SERVICES

(a) Overtime hours and/or funds will be authorized by the Contracting Officer via task order. The COTR is delegated authority to request overtime services within the maximum hours and funding level provided on a given task order.

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The Contractor must not perform overtime services that will exceed the maximum funding level provided by the task order.

(b) Payment will not be made for unauthorized overtime worked or for overtime costs exceeding the maximum funding level.

#### G-5 INVOICE PAYMENTS

The Government will, on a monthly basis, pay the Contractor upon submission of a proper invoice, the total of the amount due for the services in accordance with this contract.

## G-6 INVOICE REQUIREMENTS

(a) *Invoice Procedures*:

The Contractor must prepare and submit an itemized invoice for each facility to the designated COTR or as specifically instructed in Option Form 347, "Order for Supplies or Services," and/or Standard Form 30, "Amendment of Solicitation/Modification of Contract," whichever is applicable. The Contractor must submit a *proper* invoice in order to receive payment.

The Contractor's invoice must include the following information:

- (1) The name and address of the business concern;
- (2) The invoice date;
- (3) Contract number, task order and/or modification number;
- (4) A description, and the quantity of supplies or services furnished, as well as the associated contract line item number(s);
- (5) Shipping and payment terms;
- (6) The name, title, telephone number and complete mailing address of the responsible official to whom payment is to be sent;
- (7) The name, title, telephone number and mailing address of the person to be notified in the event of a defective invoice;
- (8) Tax payer identification number (TIN) (Usually a social security number if the Contractor is an individual or their employer identification number if a company. Invoices submitted without this number will be considered incomplete and will not be paid.);
- (9) The date delivery occurred or the period over which services were provided;
- (10) The Contractor must include the following statement on each invoice:

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## CERTIFICATION

I certify to the best of my knowledge and belief that the supplies/services shown on this invoice have been received and are accepted.

Contracting Officer's Technical Representative Date

Payment will only be made after the following conditions have been met:

- (1) After contract performance/payment of CSO and Government acceptance of services;
- (2) After receipt of a proper invoice and the required monthly activity report;
- (3) Only for the number of hours actually performed, less any deductions for deficient performance, and for reimbursable expense(s) actually paid and considered allocable, allowable, and directly applicable to this contract.
- (4) The Government will take a deduction from the invoice for charges assessed to the Contractor for deficient performance for the month for which the invoice is submitted and for previous months, for which the proper deductions have not been taken. The paying office will itemize any deductions taken and provide the reasons for the deductions on the payment voucher.

## (b) *<u>Invoicing for CSO Travel</u>*:

- (1) The Contractor will be reimbursed for per diem (transportation, lodging, meals and incidental expenses) incurred by the CSO(s) authorized to travel. Reimbursement will only be made to the extent allowed by FAR 31.205-46 and the Department of Justice Travel Guide, and the applicable Government Travel Regulations (GTR) per diem rates, in effect at the time of travel. Travel costs will not be reimbursed in an amount greater than the cost of, and time required for coach class, commercially scheduled air or ground travel by the most expeditious route unless coach air or ground travel is not available and the Contractor certifies to this fact in the voucher or other documents retained as part of his contract records to support his claim or postaudit.
- (2) Per diem is not allowable at the CSO's regular duty station (RDS) or within an area located within a 50-mile radius of the RDS. When a CSO is required to travel to an alternate duty station (ADS) beyond 50

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miles of their RDS via a privately owned vehicle (POV) (either personally or contractor-owned), mileage expenses will be paid from the RDS to ADS in accordance with guidelines outlined in the GTR and only at the rate effective at the time of travel. If the CSO must visit their RDS prior to departing for an ADS, time (including travel to work overtime) and mileage will be calculated pursuant to the terms found in paragraph (3)(ii) below.

- (3) When a CSO is required to travel to an ADS via a POV and the mileage range is in excess of a 50-mile radius from the RDS, the following applies:
  - (i) When a CSO is required to visit their RDS prior to departure for an ADS: (1) mileage will be calculated from the RDS to the ADS; and (2) time will be calculated from the time of departure from the RDS to the arrival at the ADS, not from the CSO's residence. Time will be calculated on a reasonable basis taking into consideration normal traffic patterns and speed limits for the particular route taken. The route used will be the most direct route from the RDS to the ADS. The cognizant COTR will be the determining official should a question arise concerning the most direct route. (If travel occurs during normal duty hours, the pay will be in accordance with the Basic Rate).
  - (ii) When the CSO travels directly to an ADS: (1) mileage will be calculated as total miles traveled one way, from the CSO's residence to the ADS, less mileage calculated from the CSO's residence to the RDS; (2) time will be calculated from the time of departure from the CSO's residence to arrival at the ADS, less that time which would be attributable to normal commuting from the CSO's residence to the RDS; and (3) if, in the event the mileage from the CSO's residence to the ADS exceeds 50 miles, but the mileage from the CSO's residence to the ADS is less than 50 miles, the policy for travel not exceeding 50 miles from the RDS will apply.
  - (iii) The Contractor will use the following billing methods for CSO travel in excess of a 50-mile radius from the CSO's RDS:
    - (A) <u>Mileage</u>: If use of POV is authorized, allowable mileage will be billed at the applicable GTR rate that is current at the time of travel.

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|-----------|--|--|--------------------------|
| (         | (B) <u>Time</u> : For compensation for CS<br>and from the ADS), the Contrac<br>up to 40 hours per week. Any t<br>week will be billed at the overti | ctor must bill the Basic Rate,<br>time in excess of a 40-hour  |                          |
|           | (iv)   | The Contractor must submit an indivi<br>CSO. Partial or piecemeal invoices m<br>considered for payment purposes. |                          |
|           | (v)  | The Contractor's invoice must be acc   | ompanied with a Standard |

- v) The Contractor's invoice must be accompanied with a Standard Form (SF) 1034, "Public Voucher Purchases and Services Other than Personal," Section J, *List of Attachments* for travel reimbursement. The Contractor must also attach backup for the amount claimed on the CSO Form 011, Court Security Officer (CSO) Travel Expense Reimbursement form, Section J, List of Attachments. The voucher must include, at a minimum, the following information:
  - (A) The invoice date;
  - (B) The name of the traveler;
  - (C) The description of the travel;
  - (D) The contract number and the district in which the travel was incurred; and,
  - (E) The period covered.

#### (c) Invoicing for Overtime:

- (1) All hours billed in excess of a 40-hour work week (Sunday through Saturday) must be certified by the COTR in order for payment to be made (See terms and conditions set forth in Section C-26, Overtime and Holiday Performance.) Where the Contractor incurs overtime without the COTR's approval, the Government will have no liability to pay for those services.
- (2) A SF 1035 must be used for invoicing for overtime. In addition to the invoice requirements stated in paragraph G-4, the voucher for overtime must be annotated with the following information:
  - (i) The name of the employee who worked; and,
  - (ii) The number of hours in excess of the employee's normal 40 hour work week.

## (d) Invoicing Period:

Invoices must be submitted on a monthly basis only and must be submitted in accordance with Section G-6, *Invoice Requirements*, of the contract. Invoices must also be accompanied by, or preceded by, the Monthly Activity Report required in Section F-2, *Deliverables*.

# G-7 PRICE ADJUSTMENT PROCEDURES RESULTING FROM WAGE DETERMINATION INCREASES

- (a) Price adjustments resulting from wage determination increases incorporated into this contract will be processed in accordance with Federal Acquisition Regulation (FAR) 52.222-43, Fair Labor Standards Act (FLSA) and Service Contract Act (SCA)-- Price Adjustment (Multiple Year and Option Contracts).
- (b) <u>Applicability</u>:
  - (1) The Contractor must only submit a price adjustment notice for new or revised wage determinations officially incorporated into this contract by the Contracting Officer. The SCA and the FLSA contract price adjustments only apply to the labor categories listed on the Department of Labor's wage determinations that perform the work of the contract. Adjustments are limited to labor costs only. No adjustment will be made for business expenses such as uniform costs, medical exams, weapon qualifications or any other item listed in a wage determination or included in a Collective Bargaining Agreement that is not considered a direct labor cost.
  - (2) Site Supervisor positions are considered managerial personnel and are not subject to an adjustment as result of either the Department of Labor Wage Determination or Collective Bargaining Agreement.
- (c) <u>*Time Requirements*</u>:

The Contractor must submit the price adjustment notice to the Contracting Officer within 30 days after receiving a new wage determination, unless an extension of this notification has been granted by the Contracting Officer.

(d) (1) <u>Methodology</u>: Price adjustment claims will be based on the total number of hours ordered by the Contracting Officer for a given contract

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period. Each position equates to a maximum of 2008 hours per contract period.

- (2) *Format*: The Contractor's claim must include the following elements:
  - (i) Names of the employees for whom the wage determination will effect, including their employment status (full-time or part-time) (Number of hours should not exceed the total number of positions ordered by the Contracting Officer for the applicable contract period.);
  - the actual wage rate paid each employee for which a price adjustment is sought;
  - (iii) Each employees payroll record;
  - (iv) Documents supporting impact on fringe benefit costs, if applicable;
  - (v) Documents supporting costs (payroll taxes), if applicable;
  - (vi) A hard copy and an electronic copy of the claim (i.e., spreadsheet).

The Contractor's claim for a price adjustment should be presented in a manner that clearly defines the methodology/formula used to determine the increase amount sought. Using the columns indicated below, the price adjustment must be computed as follows. A detailed sample spreadsheet is provided in Section J, *List of Attachments*.

| Position | Contract<br>Rate | Current<br>Wage<br>Rate | Revised Wage<br>Determination<br>Rate | Rate of<br>Increase | Application<br>of<br>Applicable<br>Fringe<br>Benefits and<br>Taxes | Total<br>Projected<br>Hours or<br>Actual<br>Hours<br>Worked. | Total Price<br>Increase<br>(Fully<br>Burdened) |
|----------|------------------|-------------------------|---------------------------------------|---------------------|--|--|--|
| (a)      | <i>(b)</i>       | (c)                     | (d)                                   | (e)                 | (f)  | (g)  | (h)  |

(e) <u>Certification</u>. As required in FAR 52.222-43 paragraph (b), the Contractor warrants the prices in this contract do not include any allowance for any contingency to cover increased costs for which adjustment is provided under this clause.

Upon agreement of the parties, the Contracting Officer will modify the contract price or contract unit price labor rates in writing. The Contractor must continue performance until an agreement on or determination of any such adjustment and its effective date has been made. Violation of this requirement can be used as grounds for contract default.

## G-8 2852.201-70 Contracting Officer's Technical Representative (COTR) (JAN 1985)

- (a) Mr./Ms. (Name) of (Organization) (Room No.), (Building), (Address), (Area Code & Telephone No.), (TO BE APPOINTED AT CONTRACT AWARD) is hereby designated to act as Contracting Officer's Technical Representative (COTR) under this contract.
- (b) The COTR is responsible, as applicable, for: receiving all deliverables, inspecting and accepting the supplies or services provided hereunder in accordance with the terms and conditions of this contract; providing direction to the contractor which clarifies the contract effort, fills in details or otherwise serves to accomplish the contractual Scope of Work; evaluating performance; and certifying all invoices/vouchers for acceptance of the supplies or services furnished for payment.
- (c) The COTR does not have the authority to alter the contractor's obligations under the contract, and/or modify any of the expressed terms, conditions, specifications, or cost of the agreement. If as a result of technical discussions it is desirable to alter/change contractual obligations or the Scope of Work, the Contracting Officer shall issue such changes.

### **PART I – SCHEDULE**

#### **SECTION H - SPECIAL CONTRACT REQUIREMENTS**

#### H-1 SUBCONTRACTING RESTRICTION

Except as specifically stated in this contract (Reference Section H, Clause titled Subcontract [FAR 52.244-2 (Aug 1998)]or approved in writing in advance by the Contracting Officer, the Contractor must not subcontract any work under this contract. It is contemplated that approval will be given for subcontracting certain phases of the work when, in the opinion of the U.S. Marshals Service, such subcontracting will not adversely affect the quality of delivery of services nor the difficulty or cost of inspection and testing. All requests for approval to subcontract must be submitted in writing to the Contracting Officer for consideration and approval.

## **H-2 INDEMNIFICATION**

- (a) <u>Hold Harmless and Indemnification Agreement</u>: The Contractor shall save and hold harmless and indemnify the Government against any and all liability claims, and reasonable costs of any person or persons and for loss or damage to any Contractor or property owned by a third party occurring in connection with or in any way incident to or arising out of the occupancy, use, service, operation, or performance of work under the terms of this contract, resulting in whole or in part from the negligent acts or negligent omissions of the Contractor, any subcontract, or any employee, agent, or representative of the Contractor or subcontractor.
- (b) <u>Government's Right of Recovery</u>: Nothing in the above paragraphs shall be considered to preclude the Government from receiving the benefits of any insurance the Contractor may carry which provides for the indemnification of any loss or destruction of, or damage to property in the custody and care of the Contractor, where such loss, destruction or damage is to Government property. The Contractor shall do nothing to prejudice the Government's right to recover against third parties for any loss, destruction of, or damage to Government property, and upon request of the Contracting Officer shall, at the Government's expense, furnish to the Government all reasonable assistance and cooperation, including assistance in the prosecution of suit and the execution of instructions of assignment in favor of the Government, in obtaining recovery.

## H-3 REMOVAL OF CSOs AND OTHER CONTRACTOR PERSONNEL FOR VIOLATIONS OF THE CSO PERFORMANCE STANDARDS

- (a) The Contractor shall be responsible for providing employees that meet the qualifications and requirements established under the Contract. Any employee provided by the Contractor that fails to meet the CSO performance standards set forth in Section C may be removed from performing services for the Government under this Contract upon written request of the Contracting Officer.
- (b) The United States Marshals Service reserves the right at all times to determine the suitability of any Contractor employee to serve as a CSO. Decisions rendered under any dispute resolution process, including assisted settlement, negotiation, consultation, mediation, mini trials, arbitration or any other process available to the contractor and its employees shall not be binding upon the United States Marshals Service. Any decision to continue a Contractor employee in a CSO capacity will be made solely by the Judicial Protective Services on a case-by-case basis in accordance with the requirement to safeguard the federal judicial process, the Judiciary, citizens, and property as per policies and directives governing Judicial Protective Services operations.
- Any employee provided by the Contractor that the Contracting Officer asserts (c) has failed to meet the performance requirements set forth in Section C, Description/Specifications/Statement of Work, may be removed from performing services under this contract. The United States Marshals Service reserves the right to temporarily remove a CSO under investigation for an alleged serious performance standard violation or criminal charge from performing under the contract. The individual's firearm and credential must be returned to the USMS until the alleged incident is resolved. A determination by the Contractor that an employee's performance can be corrected by discipline and/or other measures and still meet the terms of the contract shall be made in writing to the Contracting Officer. The Contracting Officer and Judicial Protective Services shall make the final determination of suitability. In the event that an employee consistently fails to perform following notification by the Contracting Officer, the Contractor may be assessed liquidated damages. Liquidated damages shall be computed for each affected employee as outlined in Section F-1, Liquidated Damages -Supplies, Services or Research and Development.

5th Judicial Circuits

- (d) If requested by the Contracting Officer or a designated representative, the Contractor shall provide a written explanation to the Contracting Officer, providing the facts and argument regarding the proposed removal of an individual. In the event that the Contracting Officer or designated representative has requested the removal, a written response from the individual subject to the removal, if any, and a written statement of the Contractor's position on the removal of an individual must be forwarded to the Judicial Protective Services, through the Contracting Officer, within 15 days of the initial removal notice for a final decision.
- (e) Notwithstanding the requirements of Paragraph (b), above, any employee provided by the Contractor that engages in actions such as misuse of weapons or credential that have been provided, removal of assigned weapons or credential from the courthouse/site, improper activity related to a jury, or engages in criminal conduct, whether on or off-duty, or any other activity that affects the integrity of the judicial process or is likely to compromise the security of the courts, shall be removed from performing services for the Government under this contract, and shall not be reassigned to this contract without the concurrence of the Contracting Officer. The Contractor shall notify its employees of this requirement and shall post this requirement in a conspicuous location.
- (f) The Contractor shall be required to submit with its proposal established procedures for disciplining employees who fail to comply with the terms of the contract. The Contractor's disciplinary procedures must provide, at a minimum, notice to the employee of any allegation(s) made concerning the employee's performance and an opportunity for the employee to respond.
- (g) The Contracting Officer will forward copies of all correspondence pertaining to the removal of the contractor's employees to the COTR responsible for overseeing contractor performance in each district.
- (h) The procedures of Section H-3 do not apply to situations where a CSO is removed for failure to meet the contract's medical and/or physical qualification standards and/or firearms qualifications.

### H-4 INSURANCE COVERAGE

(a) The Contractor must acquire and maintain at its expense during the entire contract performance period adequate insurance. Insurance coverage must, at minimum, provide the following:

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- \$100,000 per incident minimum Workman's Compensation and Employee's Liability Insurance.
- (2) General public liability insurance covering all duties, services, and work to be performed under this contract. The insurance provides limits of liability for bodily injury not less than \$2,000,000 per person and \$5,000,000 for each occurrence, and property damage limits of liability of not less than \$200,000 for each accident. The general liability policy must name the "The United States of America, action by and through the Department of Justice," as an additional insured with respect to operations performed under this contract.
- (3) Automobile Liability Insurance written on the comprehensive form of policy of \$1,000,000 per person and \$5,000,000 per occurrence for bodily injury, and \$200,000 per occurrence for property damage.
- (b) Each liability policy must include the following provision:

"It is a condition of this policy that the company shall furnish written notice to the Department of Justice, in care of the issuing office, 30 days in advance of any reduction in or cancellation of this policy."

(c) Insurance is to be effective throughout the term of the contract. Upon request, the Contractor must furnish the Contracting Officer as evidence of requirement insurance, certified true copies of liability policies and manually countersigned endorsements of any changes thereto. Renewal policies must be furnished not less than five days prior to the expiration of current policies.

## H-5 LICENSES

The Contractor must secure and maintain in a current status all required licenses and permits applicable to the lawful functioning within the locations listed in Section B, Supplies or Services and Prices / Costs. In doing so, the Contractor must furnish evidence to the Contracting Officer, of a company license (state and/or local) authorizing the company to provide guard service within that state and/or locality, or evidence of application for same, within 14 days after request by the Contracting Officer. 5th Judicial Circuits

## H-6 FACILITY SURVEY PRIOR TO ASSUMING/COMMENCING CONTRACT PERFORMANCE

After the award, but prior to performance, the Contractor must coordinate a facility survey with the COTR for purposes of familiarizing each Contractor personnel with the CSO post assignment records and the Judicial Security Plan designed specifically for that facility. A facility survey must also be performed on the first day of duty for each Contractor personnel hired after implementation of the contract.

## H-7 RECORDING PRESENCE

All Contractor personnel performing work at a USMS site must sign in when reporting for duty and sign out when leaving at the end of the work day on a "Record of Time of Arrival and Departure" form. This form will be provided and secured at an area designated by the COTR.

## **H-8 WAGE DETERMINATIONS**

- (a) Wage determinations, as reflected in Section J, List of Attachments, Applicable Department of Labor Wage Determinations and Collective Bargaining Agreements, are applicable to employees who will be employed in the performance of this contract. Contractor employees assigned to labor categories listed in the wage determination must be paid no less than the minimum monetary wage and furnished fringe benefits as indicated in the wage determination. The Contractor must immediately pay these employees the minimum wages and fringe benefits upon receipt of the applicable wage determination. This determination was issued under the provisions of the McNamara-O'Hara Service Contract Act (79 Stat. 1034), and in accordance with Part 4-3 of 29 CFR Part 4.
- (b) Wage determinations, as reflected in Section J, List of Attachments, Applicable Department of Labor Wage Determinations and Collective Bargaining Agreements, are applicable to employees who will be employed as a CSO during the performance of this contract.

## H-9 LIABILITY FOR START-UP COSTS

(a) <u>Liability for Background Investigations Costs During Contract Start-Up</u> -During the contract transition phase only, the Contractor will be responsible for the costs of background investigations for all non-incumbent CSO applicants in the amount of \$1,895.00, unless the Contractor can substantiate that an offer for employment was made to an incumbent CSO and that offer was rejected. The Government will assess the amount from the Contractor's invoice. The amount of \$1,895.00 represents the additional costs of the Government performing background checks on a new applicant.

(b) <u>Start-Up Cost</u> - The Government will only be liable for actual start-up costs incurred by the Contractor. If the Contractor does not provide a complete uniform as stated in Section C to a CSO during a contract performance year, the Government will not be liable to reimburse the Contractor for uniform start-up costs.

The Government will not reimburse the Contractor for start-up costs associated with hiring individuals in excess of the number of personnel required for the CSO positions authorized in Section B, Supplies or Services and Prices / Costs, or subsequent positions authorized by the Contracting Officer. Additionally, the Government will not be liable for start-up costs caused by turnover of contractor employees or when previously approved CSOs fail either preliminary or background investigations, except those specific cases set forth in Section C-8, *Turnover*.

#### H-10 QUANTITIES FOR MINIMUM AND MAXIMUMS

- (a) For the purpose of determining the contract minimum guarantee as described below, the number of CSO positions must be the number of positions specified on the Specification and Pricing Proposal Sheet. *Neither LSSO nor SSO positions are included in the contract minimum guarantee calculations.* A position equates to a CSO working a 40-hour workweek. The Government guarantees that a minimum amount of work will be ordered under this contract. The minimum guarantee will be determined by the Specification and Pricing Proposal Sheet for the base contract period. The amounts must be determined as indicated in the following paragraphs.
- (b) For the base contract period, the minimum guarantee will be computed by multiplying the basic hourly rate for each location, by the number of CSO positions for each location, multiplied by 174 estimated average hours per month, multiplied by four months. This guarantee is subject to the availability of funds.
  - (c) If there are less than four calendar months remaining between the date of the award and the end of the Government's fiscal year in which the award is being made, then the minimum guarantee is to be calculated with the lesser number of months and the resultant shortfall from the amount calculated using four

5<sup>th</sup> Judicial Circuits

months may be subsequently provided subject to the availability of appropriated funds for performance beyond the end of the fiscal year. (The Government's fiscal year ends on September 30 of each year.)

(d) For the purpose of determining the contract maximum for the base contract period, the number of CSO positions will be 100% of the number of positions specified on the Specification and Pricing Proposal Sheet.

#### H-11 DEPARTMENT OF JUSTICE DEADLY FORCE POLICY

The Contractor must ensure that its employees fully comprehend and comply with Section J, *List of Attachments, Department of Justice Deadly Force Policy*.

#### H-12 NOTICE REGARDING FIREARM POSSESSION/DOMESTIC VIOLENCE

Title 18, Section 922(g)(9) of the United States Code makes it a federal felony for anyone previously convicted of a misdemeanor crime of domestic violence to possess a firearm or ammunition. "Misdemeanor crime of domestic violence" is generally defined as any offense whether or not explicitly described in a statute as a crime of domestic violence which has as its factual basis the use or attempted use of physical force, or the threatened use of a deadly weapon, committed by the victim's current or former domestic partner, parent or guardian. A person falls under the prohibition of the statute if he or she has ever been convicted of a misdemeanor crime of domestic violence. The term "convicted" is generally defined in the statute as excluding anyone, whose conviction has been expunged, set aside or has received a pardon.

## H-13 NOTICE REGARDING BLOOD BORNE/AIR BORNE PATHOGENS EXPOSURE

- (a) The Contractor is hereby provided notice that there is risk of occupational exposure to potentially infectious materials for their employees under this contract. It is the Contractor's responsibility to inform its employees of this risk.
- (b) The Contractor must formally document the acknowledgment of its employees that they have been made aware of the associated risks and that the Contractor is responsible for ensuring that they take self-protective measures whenever they are subject to such exposure.

- (c) The Contractor must ensure that its employees are made aware that they should not be handling prisoners or accessing cellblock areas on a routine basis as this is not a requirement of the contract and puts the employee at a high level of risk of infection.
- (d) Any cost to the Contractor associated with their compliance to this portion of the contract is the responsibility of the Contractor.

#### H-14 KEY PERSONNEL

(a) The Contractor must assign a contract manager and site supervisors to key positions. These individuals are considered essential for the work required. The Contractor must not make a diversion or substitution of any key personnel without the written consent of the Contracting Officer. The following key personnel are assigned to this contract:

NameRoleCompany1.2.

- (b) During the first 90 days of performance, no substitution of key personnel will be allowed unless the substitution is necessitated by illness, death, or termination of employment. In any of these events, the Contractor must notify the Contracting Officer within 72 hours of knowledge by the Contractor and provide the information required by paragraph (c) below. After the initial 90-day period, all proposed substitutions must be submitted in writing to the Contracting Officer for approval.
  - (c) All requests for substitutions must provide a detailed explanation of the circumstances necessitating the proposed substitutions, complete resumes for the proposed substitutes, and any additional information requested by the Contracting Officer. The qualifications of any proposed substitutes must meet or exceed the contract requirements. The Contracting Officer will notify the Contractor within 15 calendar days after receipt of all required information of the decision on substitutions.

#### H-15 RESIDENCY REQUIREMENT

The Department of Justice Residency Requirement is amended to apply to all DOJ contracts employed within the United States, both United States citizens and non-United States citizens.

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The Residency requirements states that, for three of the five years immediately prior to applying for a position, the individual must have: 1) resided in the United States; 2) worked for the United States overseas in a Federal or military capacity; or 3) be a dependent of a Federal or military employee serving overseas.

Additionally, an individual, whether employee or contractor, who is not a U.S. citizen must be from a county allied with the United States. Since the countries on the allied countries list are subject to change, refer to the following website for current information: <u>http://www.opm.gov/employ/html/Citizen.htm</u>

## H-16 PROCEDURES FOR ADDRESSING COURT SECURITY OFFICER (CSO) MISCONDUCT OR FAILURE TO PERFORM

Report of an alleged misconduct or failure to perform will be processed as follows:

- (a) Upon receipt of notification of an alleged misconduct and/or performance violation, the Contracting Officer will request the Contractor to investigate the alleged action. The Contractor must investigate the alleged action and report the results of the investigation to the Contracting Officer within five (5) business days of notification. The results of the investigation must include all investigative supporting documents, and the Contractor's recommendation for disciplinary action.
- (b) Based on a thorough review of the data provided by the Contractor's investigation and the information provided by USMS, if the Government does not agree with the proposed disciplinary action the Government may request the Contractor to reconsider its proposed remedy and submit its response to the Contracting Officer within two (2) business days of the date of the notification letter.

## Part II - CONTRACT CLAUSES

## **SECTION I – CONTRACT CLAUSES**

I.1 NOTICE – Listing of Contract Clauses Incorporated by References

The following contract clauses pertinent to this section are hereby incorporated by reference:

FEDERAL ACQUISITION REGULATION (48 CFR CHAPTER 1)

| NUMBER     | DATE       | TITLE                            |
|------------|------------|----------------------------------|
| 52.202-1   | JUL 2004   | DEFINITIONS                      |
| 52.203-3   | APR 1984   | GRATUITIES                       |
| 52.203-5   | APR 1984   | COVENANT AGAINST CONTINGENT      |
|            |            | FEES                             |
| 52.203-6   | JUL 1995   | RESTRICTIONS ON SUBCONTRACTOR    |
|            |            | SALES TO THE GOVERNMENT          |
| 52.203-7   | JUL 1995   | ANTI-KICKBACK PROCEDURES         |
| 52.203-8   | JAN 1997   | CANCELLATION, RECISSION AND      |
|            |            | RECOVERY OF FUNDS FOR ILLEGAL OR |
|            |            | IMPROPER ACTIVITY                |
| 52.203-10  | JAN 1997   | PRICE OR FEE ADJUSTMENT FOR      |
|            |            | ILLEGAL OR IMPROPER ACTIVITY     |
| 52.203-12  | JUN 2003   | LIMITATION ON PAYMENTS TO        |
|            |            | INFLUENCE CERTAIN FEDERAL        |
|            |            | TRANSACTIONS                     |
| 52.204-4   | AUG 2000   | PRINTED OR COPIED DOUBLE-SIDED   |
|            |            | ON RECYCLED PAPER                |
| 52.204-7   | JUL 2006   | CENTRAL CONTRACTOR REGISTRATION  |
| 52.209-6   | SEPT 2006  | PROTECTING THE GOVERNMENT'S      |
|            |            | INTEREST WHEN SUBCONTRACTING     |
|            |            | WITH CONTRACTORS DEBARRED,       |
|            |            | SUSPENDED OR PROPOSED FOR        |
|            |            | DEBARMENT                        |
| 52.215-2   | JUN 1999   | AUDIT AND RECORDS – NEGOTIATIONS |
| 52.215-8   | OCT 1997   | ORDER OF PRECEDENCE—UNIFORM      |
|            |            | CONTRACT FORMAT                  |
| 52.215-10  | OCT 1997   | PRICE REDUCTION FOR DEFECTIVE    |
| 50 01 5 11 | 0.000 1005 | COST OR PRICING DATA             |
| 52.215-11  | OCT 1997   | PRICE REDUCTION FOR DEFECTIVE    |
|            |            | COST OR PRICING DATA-MODS        |

| SECTION I             | · · · · · · · · · · · · · · · · · · · | 5 <sup>th</sup> Judicial Circuits | DJMS-08-D-0013    |
|-----------------------|---------------------------------------|-----------------------------------|-------------------|
|                       |                                       |                                   |                   |
| 52.215-12             | OCT 1997                              | SUBCONTRACTOR                     | COST OR PRICING   |
|                       |                                       | DATA                              |                   |
| 52.215-13             | OCT 1997                              | SUBCONTRACTOR                     | COST OR PRICING   |
|                       |                                       | -MODIFICATIONS                    |                   |
| 52.215-14             | OCT 1997                              | INTEGRITY OF UNI                  |                   |
| 52.215-15             | OCT 2004                              |                                   | IENTS AND ASSETS  |
| 50 015 17             | OOT 1007                              | REVERSIONS                        |                   |
| 52.215-17             | OCT 1997                              | OF MONEY                          | TIES CAPITAL COST |
| 52.215-18             | JUL 2005                              | REVERSION OR AD                   | IIISTMENT OF      |
| 32.213-18             | JUL 2003                              | PLANS FOR POSTR                   |                   |
|                       |                                       | BENEFITS (PRB) OT                 |                   |
|                       |                                       | PENSIONS                          |                   |
| 52.215-21             | OCT 1997                              |                                   | R COST OR PRICING |
| 02.210 21             | 0011001                               | -                                 | ATION OTHER THAN  |
|                       |                                       | COST OR PRICING                   |                   |
| 52.216-5              | OCT 1997                              | PRICE REDETERMI                   | NATION-           |
|                       |                                       | PROSPECTIVE                       |                   |
| 52.216-7              | DEC 2002                              | ALLOWABLE COST                    | AND PAYMENT       |
| 52.216-8              | MAR 1997                              | FIXED FEE                         |                   |
| 52.219-4              | JUL 2005                              | NOTICE OF PRICE I                 | EVALUATION        |
|                       |                                       | PREFERENCE FOR                    |                   |
|                       |                                       | BUSINESS CONCER                   |                   |
| 52.219-8              | MAY 2004                              | UTILIZATION OF S                  | MALL BUSINESS     |
|                       |                                       | CONCERNS                          |                   |
| 52.219-9              | OCT 2001                              |                                   | SUBCONTRACTING    |
| 50 010 10             | LANI 1000                             | PLAN (ALTERNATE                   |                   |
| 52.219-16             | JAN 1999                              | LIQUIDATED DAM.                   |                   |
| 50 000 0              | JUN 2003                              | CONTRACTING PLA<br>CONVICT LABOR  | AIN               |
| 52.222-3<br>52.222-21 | FEB 1999                              | PROHIBITION OF S                  | EGREGATED         |
| 52.222-21             | TLD 1999                              | FACILITIES                        | LUKLUATLD         |
| 52.222-26             | MAR 2007                              |                                   | ITY               |
| 52.222-35             | SEPT 2006                             | EQUAL OPPORTUN                    |                   |
|                       |                                       |                                   | ANS, VETERANS OF  |
|                       |                                       | THE VIETNAM ERA                   |                   |
|                       |                                       | ELIGIBLE VETERA                   |                   |
| 52.222-36             | JUN 1998                              | AFFIRMATIVE ACT                   | ION FOR WORKERS   |
|                       |                                       | WITH DISABILITIE                  | S                 |
| 52.222-37             | SEPT 2006                             | EMPLOYMENT REF                    | PORTS ON SPECIAL  |
|                       |                                       |                                   | ANS, VETERANS OF  |
|                       |                                       | OF THE VIETNAM I                  | -                 |
|                       |                                       | ELIGIBLE VETERA                   | NS                |

| SECTION I | 5        | h Judicial Circuits | DJMS-08-D-0013    |
|-----------|----------|---------------------|-------------------|
|           |          |                     |                   |
| 52.222-41 | JUL 2005 | SERVICE CONTRAC     | CT ACT OF 1965,   |
|           |          | AS AMENDED          |                   |
| 52.222-43 | NOV 2006 | FAIR LABOR STAN     | DARDS ACT AND     |
|           |          | SERVICE CONTRAC     | CTS ACT-PRICE     |
|           |          | ADJUSTMENT (MU      | LTIPLE YEAR AND   |
|           |          | OPTION CONTRAC      | TS)               |
| 52.223-5  | AUG 2003 | POLLUTION PREVE     | ENTION AND        |
|           |          | RIGHT-TO-KNOW       |                   |
| 52.223-6  | MAY 2001 | DRUG-FREE WORK      | PLACE             |
| 52.223-14 | AUG 2003 | TOXIC CHEMICAL      | RELEASE           |
|           |          | REPORTING           |                   |
| 52.224-1  | APR 1984 | PRIVACY ACT NOT     | TIFICATION        |
| 52.224-2  | APR 1984 | PRIVACY ACT         |                   |
| 52.225-13 | FEB 2006 | RESTRICTIONS ON     | CERTAIN           |
|           |          | FOREIGN PURCHAS     | SES               |
| 52.227-1  | JUL 1995 | AUTHORIZATION A     | AND CONSENT       |
| 52.227-17 | JUN 1987 | RIGHTS IN DATA-S    | PECIAL WORKS      |
| 52.228-5  | JAN 1997 | INSURANCE-WORK      | K ON A GOVERNMENT |
|           |          | INSTALLATION        |                   |
| 52.229-3  | APR 2003 | FEDERAL, STATE A    | AND LOCAL TAXES   |
| 52.230-2  | MAR 1998 | COST ACCOUNTIN      | G STANDARDS       |
| 52.230-3  | APR 1998 | DISCLOSURE AND      |                   |
|           |          | COST ACCOUNTIN      | G PRACTICES       |
| 52.230-6  | APR 2005 | ADMINISTRATION      | OF COST           |
|           |          | ACCOUNTING STA      |                   |
| 52.232-7  | FEB 2007 | PAYMENTS UNDER      | R TIME-AND-       |
|           |          | MATERIALS AND I     | LABOR-HOUR        |
|           |          | CONTRACTS           |                   |
| 52.232-8  | FEB 2002 | DISCOUNTS FOR P     | ROMPT PAYMENT     |
| 52.232-9  | APR 1984 | LIMITATION ON W     | ITHHOLDING OF     |
|           |          | PAYMENTS            |                   |
| 52.232-17 | JUN 1996 | INTEREST            |                   |
| 52.232-18 | APR 1984 | AVAILABILITY OF     |                   |
| 52.232-23 | JAN 1986 | ASSIGNMENT OF C     |                   |
| 52.232-25 | OCT 2003 | PROMPT PAYMEN       |                   |
| 52.232-33 | OCT 2003 | PAYMENT BY ELE      |                   |
|           |          | TRANSFER-CENTR      | AL CONTRACTOR     |
|           |          | REGISTRATION        |                   |
| 52.233-1  | JUL 2002 | DISPUTES            |                   |
| 52.233-2  | SEP 2006 | SERVICE OF PROTI    |                   |
| 52.233-3  | AUG 1996 | PROTEST AFTER A     |                   |
| 52.233-4  | OCT 2004 | APPLICABLE LAW      |                   |
|           |          | CONTRACT CLAIM      | [                 |

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|-----------|-----------------|---------------------------|---------------------------|
| 52.237-2  | APR 1984        | PROTECTION OF G           |                           |
| 52.257-2  | AFK 1964        |                           | a summer and a summer sum |
|           |                 | BUILDINGS, EQUIP          | MENT, AND                 |
| 52.239-1  | AUG 1996        | VEGETATIONS               | DITY CAEDOLLADDO          |
|           |                 |                           | RITY SAFEGUARDS           |
| 52.242-1  | APR 1984        | NOTICE OF INTENT<br>COSTS | IO DISALLOW               |
| 52.242-3  | MAY 2001        |                           | VALLOWABLE COSTS          |
| 52.242-4  | JAN 1997        | CERTIFICATION OF          | FINAL INDIRECT            |
|           |                 | COSTS                     |                           |
| 52.243-3  | <b>SEP 2000</b> | CHANGES-TIME-AN           | ND-MATERIALS OR           |
|           |                 | LABOR HOURS               |                           |
| 52.243-7  | APR 1984        | NOTIFICATION OF           | CHANGES                   |
| 52.244-2  | AUG 1998        | SUBCONTRACTS              |                           |
| 52.244-6  | <b>MAR 2007</b> | SUBCONTRACTS FO           | OR COMMERCIAL             |
|           |                 | ITEMS                     |                           |
| 52.245-1  | APR 1984        | PROPERTY RECORD           | DS                        |
| 52.245-4  | JUN 2003        | GOVERNMENT-FUI            | RNISHED PROPERTY          |
|           |                 | (SHORT FORM)              |                           |
| 52.246-25 | FEB 1997        | LIMITATION OF LIA         | ABILITY-SERVICES          |
| 52.249-6  | MAY 2004        | <b>TERMINATION (CO</b>    | ST                        |
|           |                 | REIMBURSEMENT)            | (ALTERNATE IV)            |
| 52.249-14 | APR 1984        | EXCUSABLE DELA            |                           |
| 52.251.1  | APR 1984        | GOVERNMENT SUF            | PLY SOURCES               |
| 52.253-1  | JAN 1991        | COMPUTER GENER            | ATED FORMS                |

## I-2. FAR 52.204-9 Personal Identity Verification of Contractor Personnel (Nov 2006)

- (a) The Contractor shall comply with agency personal identity verification procedures identified in the contract that implement Homeland Security Presidential Directive-12 (HSPD-12), Office of Management and Budget (OMB) guidance M-05-24, as amended, and Federal Information Processing Standards Publication (FIPS PUB) Number 201, as amended.
- (b) The Contractor shall insert this clause in all subcontracts when the subcontractor is required to have routine physical access to a Federallycontrolled facility and/or routine access to a Federally-controlled information system.

#### 52.215-19 Notification of Ownership Changes (Oct 1997)

- (a) The Contractor shall make the following notifications in writing:
  - (1) When the Contractor becomes aware that a change in its ownership has occurred, or is certain to occur, that could result in changes in the valuation of its capitalized assets in the accounting records, the Contractor shall notify the Administrative Contracting Officer (ACO) within 30 days.
  - (2) The Contractor shall also notify the ACO within 30 days whenever changes to asset valuations or any other cost changes have occurred or are certain to occur as a result of a change in ownership.
- (b) The Contractor shall --
  - (1) Maintain current, accurate, and complete inventory records of assets and their costs;
  - (2) Provide the ACO or designated representative ready access to the records upon request;
  - (3) Ensure that all individual and grouped assets, their capitalized values, accumulated depreciation or amortization, and remaining useful lives are identified accurately before and after each of the Contractor's ownership changes; and
  - (4) Retain and continue to maintain depreciation and amortization schedules based on the asset records maintained before each Contractor ownership change.
- (c) The Contractor shall include the substance of this clause in all subcontracts under this contract that meet the applicability requirement of FAR 15.408(k).

## I-2 52.216-18 ORDERING (OCT 1995)

(a) Any supplies and services to be furnished under this contract shall be ordered by issuance of delivery orders or task orders by the individuals or activities designated in the Schedule. Such orders may be issued through the Period of Performance as specified in Section B. 5th Judicial Circuits

(b) All delivery orders or task orders are subject to the terms and conditions of this contract. In the event of conflict between a delivery order or task order and this contract, the contract shall control.

(c) If mailed, a delivery order or task order is considered "issued" when the Government deposits the order in the mail. Orders may be issued orally, by facsimile, or by electronic commerce methods only if authorized in the Schedule.

## **I-3 52.216-19 ORDER LIMITATIONS (OCT 1995)**

(a) Minimum order. When the Government requires supplies or services covered by this contract in an amount of less than the period of four months, the Government is not obligated to purchase, nor is the Contractor obligated to furnish, those supplies or services under the contract.

(b) Maximum order. The Contractor is not obligated to honor any order for a single item in or combination of items in excess of 100% of the existing number of CSOs assigned to a given District per contract period for the Basic Rate. No limitations are set for Start-up costs; however, a limit does apply to the Overtime Rate. That limit is based upon the maximum quantity ordered by the Contracting Officer.

(c) If this is a requirements contract (i.e., includes the Requirements clause at subsection 52.216-21 of the Federal Acquisition Regulation (FAR)), the Government is not required to order a part of any one requirement from the Contractor if that requirement exceeds the maximum-order limitations in paragraph (b) of this section.

(d) Notwithstanding paragraphs (b) and (c) of this section, the Contractor shall honor any order exceeding the maximum order limitations in paragraph (b), unless that order (or orders) is returned to the ordering office within 10 days after issuance, with written notice stating the Contractor's intent not to ship the item (or items) called for and the reasons. Upon receiving this notice, the Government may acquire the supplies or services from another source.

## I-4 52.216-22 INDEFINITE QUANTITY (OCT 1995)

(a) This is an indefinite-quantity contract for the supplies or services specified, and effective for the period stated, in the Schedule. The quantities of supplies and services specified in the Schedule are estimates only and are not purchased by this contract.

(b) Delivery or performance shall be made only as authorized by orders issued in accordance with the Ordering clause. The Contractor shall furnish to the Government, when and if ordered, the supplies or services specified in the Schedule up to and including the quantity designated in the Schedule as the "maximum." The Government shall order at least the quantity of supplies or services designated in the Schedule as the "minimum."

(c) Except for any limitations on quantities in the Order Limitations clause or in the Schedule, there is no limit on the number of orders that may be issued. The Government may issue orders requiring delivery to multiple destinations or performance at multiple locations.

(d) Any order issued during the effective period of this contract and not completed within that period shall be completed by the Contractor within the time specified in the order. The contract shall govern the Contractor's and Government's rights and obligations with respect to that order to the same extent as if the order were completed during the contract's effective period; provided, that the Contractor shall not be required to make any deliveries under this contract after the effective period of performance of the contract.

## I.5 52.216.29 TIME-AND MATERIALS LABOR-HOUR PROPOSAL REQUIREMENTS-NON-COMMERCIAL ITEM ACQUISITION WITH ADEQUATE PRICE COMPETITION (FEB 2007)

- (a) The Government contemplates award of a Time-and-Materials or Labor-Hour type of contract resulting from this solicitation.
- (b) The offeror must specify fixed hourly rates in its offer that include wages, overhead, general and administrative expenses, and profit. The offeror must specify whether the fixed hourly rate for each labor category applies to labor performed by—
  - (1) The offeror;
  - (2) Subcontractors; and/or
  - (3) Divisions, subsidiaries, or affiliates of the offeror under a common control;
- (c) The offeror must establish fixed hourly rates using—
  - (1) Separate rates for each category of labor to be performed by each subcontractor and for each category of labor to be performed by the offeror, and for each category of labor to be transferred between

SECTION I

5th Judicial Circuits

divisions, subsidiaries, or affiliates of the offer under a common control;

- (2) Blended rates for each category of labor to be performed by the offeror, including labor transferred between divisions, subsidiaries, or affiliates of the offeror under a common control, and all subcontractors; or
- (3) Any combination of separate and blended rates for each category of labor to be performed by the offeror, affiliates of the offeror under a common control, and subcontractors.

## I.6 FAR 52.217-8 Option to Extend Services (Nov 1999)

The Government may require continued performance of any services within the limits and at the rates specified in the contract. These rates may be adjusted only as a result of revisions to prevailing labor rates provided by the Secretary of Labor. The option provision may be exercised more than once, but the total extension of performance hereunder shall not exceed 6 months. The Contracting Officer may exercise the option by written notice to the Contractor within 30 days.

## I.7 FAR 52.217-9 Option to Extend the Term of the Contract (Mar 2000)

- (a) The Government may extend the term of this contract by written notice to the Contractor within 60 day provided, that the Government gives the Contractor a preliminary written notice of its intent to extend at least 30 before the contract expires. The preliminary notice does not commit the Government to an extension.
- (b) If the Government exercises this option, the extended contract shall be considered to include this option clause.
- (c) The total duration of this contract, including the exercise of any options under this clause, shall not exceed 4 years 10 months.

#### I.8 FAR 52.222-1 Notice to the Government of Labor Disputes (Feb 1997)

If the Contractor has knowledge that any actual or potential labor dispute is delaying or threatens to delay the timely performance of this contract, the Contractor shall immediately give notice, including all relevant information, to the Contracting Officer.

## I.9 FAR 52.222-39 NOTIFICATION OF EMPLOYEE RIGHTS CONCERNING PAYMENT OF UNION DUES OR FEES (Dec 2004)

- (a) Definition. As used in this clause--
- "United States" means the 50 States, the District of Columbia, Puerto Rico, the Northern Mariana Islands, American Samoa, Guam, the U.S. Virgin Islands, and Wake Island.
- (b) Except as provided in paragraph (e) of this clause, during the term of this contract, the Contractor shall post a notice, in the form of a poster, informing employees of their rights concerning union membership and payment of union dues and fees, in conspicuous places in and about all its plants and offices, including all places where notices to employees are customarily posted. The notice shall include the following information (except that the information pertaining to National Labor Relations Board shall not be included in notices posted in the plants or offices of carriers subject to the Railway Labor Act, as amended (45 U.S.C. 151-188)).

#### Notice to Employees

Under Federal law, employees cannot be required to join a union or maintain membership in a union in order to retain their jobs. Under certain conditions, the law permits a union and an employer to enter into a union-security agreement requiring employees to pay uniform periodic dues and initiation fees. However, employees who are not union members can object to the use of their payments for certain purposes and can only be required to pay their share of union costs relating to collective bargaining, contract administration, and grievance adjustment.

If you do not want to pay that portion of dues or fees used to support activities not related to collective bargaining, contract administration, or grievance adjustment, you are entitled to an appropriate reduction in your payment. If you believe that you have been required to pay dues or fees used in part to support activities not related to collective bargaining, contract administration, or grievance adjustment, you may be entitled to a refund and to an appropriate reduction in future payments.

For further information concerning your rights, you may wish to contact the National Labor Relations Board (NLRB) either at one of its Regional offices or at the following address or toll free number:

National Labor Relations Board Division of Information 1099 14th Street, N.W. Washington, DC 20570 1-866-667-6572 1-866-316-6572 (TTY)

To locate the nearest NLRB office, see NLRB's website at <u>http://www.nlrb.gov</u>

- (c) The Contractor shall comply with all provisions of Executive Order 13201 of February 17, 2001, and related implementing regulations at 29 CFR Part 470, and orders of the Secretary of Labor.
- (d) In the event that the Contractor does not comply with any of the requirements set forth in paragraphs (b), (c), or (g), the Secretary may direct that this contract be cancelled, terminated, or suspended in whole or in part, and declare the Contractor ineligible for further Government contracts in accordance with procedures at 29 CFR part 470, Subpart B--Compliance Evaluations, Complaint Investigations and Enforcement Procedures. Such other sanctions or remedies may be imposed as are provided by 29 CFR Part 470, which implements Executive Order 13201, or as are otherwise provided by law.
- (e) The requirement to post the employee notice in paragraph (b) does not apply to--
  - (1) Contractors and subcontractors that employ fewer than 15 persons;
  - (2) Contractor establishments or construction work sites where no union has been formally recognized by the Contractor or certified as the exclusive bargaining representative of the Contractor's employees;
  - (3) Contractor establishments or construction work sites located in a jurisdiction named in the definition of the United States in which the law of that jurisdiction forbids enforcement of union-security agreements;
  - (4) Contractor facilities where upon the written request of the Contractor, the Department of Labor Deputy Assistant Secretary for Labor-Management Programs has waived the posting requirements with respect to any of the Contractor's facilities if the Deputy Assistant Secretary finds that the Contractor has demonstrated that--
    - (i) The facility is in all respects separate and distinct from activities of the Contractor related to the performance of a contract; and

- (ii) Such a waiver will not interfere with or impede the effectuation of the Executive order; or
- (5) Work outside the United States that does not involve the recruitment or employment of workers within the United States.
- (f) The Department of Labor publishes the official employee notice in two variations; one for contractors covered by the Railway Labor Act and a second for all other contractors. The Contractor shall--
  - Obtain the required employee notice poster from the Division of Interpretations and Standards, Office of Labor-Management Standards, U.S. Department of Labor, 200 Constitution Avenue, NW, Room N-5605, Washington, DC 20210, or from any field office of the Department's Office of Labor-Management Standards or Office of Federal Contract Compliance Programs;
  - (2) Download a copy of the poster from the Office of Labor-Management Standards website at <u>http://www.olms.dol.gov;</u> or
  - (3) Reproduce and use exact duplicate copies of the Department of Labor's official poster.
- The Contractor shall include the substance of this clause in every subcontract (g) or purchase order that exceeds the simplified acquisition threshold, entered into in connection with this contract, unless exempted by the Department of Labor Deputy Assistant Secretary for Labor-Management Programs on account of special circumstances in the national interest under authority of 29 CFR 470.3(c). For indefinite quantity subcontracts, the Contractor shall include the substance of this clause if the value of orders in any calendar year of the subcontract is expected to exceed the simplified acquisition threshold. Pursuant to 29 CFR Part 470, Subpart B--Compliance Evaluations, Complaint Investigations and Enforcement Procedures, the Secretary of Labor may direct the Contractor to take such action in the enforcement of these regulations, including the imposition of sanctions for noncompliance with respect to any such subcontract or purchase order. If the Contractor becomes involved in litigation with a subcontractor or vendor, or is threatened with such involvement, as a result of such direction, the Contractor may request the United States, through the Secretary of Labor, to enter into such litigation to protect the interests of the United States.

## I-10 52.222-42 STATEMENT OF EQUIVALENT RATES FOR FEDERAL HIRES (MAY 1989)

In compliance with the Service Contract Act of 1965, as amended, and the regulations of the Secretary of Labor (29 CFR part 4), this clause identifies the classes of service employees expected to be employed under the contract and states the wages and fringe benefits payable to each if they were employed by the contracting agency subject to the provisions of 5 U.S.C. 5341 or 5332.

This Statement is for Information Only:

It is not a Wage Determination

Employee Class

Monetary Wage-Fringe Benefits

Court Security Officer

GS-6 (see below)

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Wilmington, DE

Newark, NJ Trenton, NJ Camden, NJ

Philadelphia, PA Reading, PA Easton, PA Allentown, PA Scranton, PA Harrisburg, PA Wilkes-Barre, PA Williamsport, PA Pittsburgh, PA Erie, PA Johnstown, PA

St. Thomas, VI St. Croix, VI

5<sup>th</sup> Circuit New Orleans, LA Lafayette, LA Baton Rouge, LA Oxford, MS Greenville, MS Aberdeen, MS Jackson, MS Hattiesburg, MS Biloxi, MS Gulfport, MS Gulfport, MS Natchez, MS

Shreveport, LA

Alexandria, LA

Lake Charles, LA

Monroe, LA

Dallas, TX Abilene, TX Amarillo, TX Fort Worth, TX Lubbock, TX San Angelo, TX Tyler, TX Beaumont, TX Texarkana, TX Marshall, TX Sherman, TX Lufkin, TX Plano, TX Houston, TX Galveston, TX Laredo, TX Victoria, TX McAllen, TX Brownsville, TX Corpus Christi, TX San Antonio, TX Austin, TX El Paso, TX Midland, TX Waco, TX Del Rio, TX Pecos, TX Alpine, TX

12<sup>th</sup> Washington, DC Arlington, VA

## I-11 52.232-18 AVAILABILITY OF FUNDS (APR 1984)

Funds are not presently available for this contract. The Government's obligation under this contract is contingent upon the availability of appropriated funds from which payment for contract purposes can be made. No legal liability on the part of the Government for any payment may arise until funds are made available to the Contracting Officer for this contract and until the Contractor receives notice of such availability, to be confirmed in writing by the Contracting Officer.

## I-12 52.252-2 CLAUSES INCORPORATED BY REFERENCE (FEB 1998)

This contract incorporates one or more clauses by reference, with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make their full text available. Also, the full text of a clause may be accessed electronically at this/these address(es):

#### http://www.arnet.gov

#### I.13 Authorized Deviations in Clauses (Apr 1984)

- (a) The use in this solicitation or contract of any Federal Acquisition Regulation (48 CFR Chapter 1) clause with an authorized deviation is indicated by the addition of "(DEVIATION)" after the date of the clause.
- (b) The use in this solicitation or contract of any \_DEPARTMENT OF JUSTICE ACQUISITION REGULATION (JAR) (48 CFR clause with an authorized deviation is indicated by the addition of "(DEVIATION)" after the name of the regulation.

#### I.14 Alterations in Contract (Apr 1984)

Portions of this contract are altered as follows:

SECTION J

## PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS SECTION J – LIST OF ATTACHMENTS

| ATTACHMENT | TITLE  |
|------------|--|
| 1          | APPLICABLE DEPARTMENT OF LABOR   |
|            | WAGE DETERMINATIONS  |
| 1(A)       | Applicable Department of Labor Wage Determinations and<br>Collective Bargaining Agreements |
| 1(B)       | Current Seniority Listing For All LCSOs and CSOs   |
| ATTACHMENT | TITLE  |
|------------|--|
| 2          | CSO STAFFING FORMS   |
| 2(A)       | CSO Form 001, Contractor's Court Security Officer Staffing<br>Notification   |
| 2(B)       | CSO Form 004, Acknowledgement of Conditions of Court Security<br>Officer Eligibility   |
| 2(C)       | CSO Form 005, Court Security Officer Contractor's Background<br>Check Form   |
| 2(D)       | CSO Form 006, Certification of Court Security Officer Performance Standards  |
| 2(E)       | CSO Form 007, Certificate of Compliance, The Lautenburg<br>Amendment, Title 18, Section 922(G)(9) of the United States Code  |
| 2(F)       | CSO Form 008, In-District (Phase I) Orientation Certification  |
| 2(G)       | CSO Form 009, Notification of a Court Security Officer's Official<br>Performance Date  |
| 2(H)       | CSO-229, Certification of Medical Examination for Court Security Officers  |
| 2(I)       | CSO-234, Personal Qualifications Statement (Court Security Officer)  |
| 2(J)       | CSO FORM 014, CSO Weapons Qualification Record   |
| 2(M)       | FD-258, FBI Fingerprint Card   |
| 2(N)       | CSO Form 013, CSO Contractor's Medical Practitioner's Data Sheet   |
| 2(0)       | CSO Form 012, CSO Contractor's Request to Reevaluate an<br>Individual's Medical Qualification  |
| 2(P)       | CSO Form 015, Notice and Authorization Pertaining to Consumer<br>Reports Pursuant to the Fair Credit Reporting Act of 1970, as<br>amended 15 U.S.C. § 1681, et. Seq. |
| 2(Q)       | Standard Form 85P, Questionnaire for Public Trust Positions  |
| 2(R)       | Standard Form 86, Questionnaire for National Security Positions,<br>Form   |

| ATTACHMENT   | TITLE  |
|--------------|--|
| 3            | REPORT FORMS   |
| 3(A)         | CSO Form 002, Court Facility Monthly Statistical Summary Report            |
| 3(B)         | CSO Form 003, Court Facility Incident Report                               |
| 3(C)         | CSO Form 010, Court Security Officer (CSO) Travel Authorization            |
| 3(D)         | CSO Form 011, Court Security Officer (CSO) Travel Expense<br>Reimbursement |
| <b>3</b> (G) | Approved Subcontracting Plan (to be incorporated at time of award)         |
| 3(I)         | SF 1034, Public Voucher For Purchases and Services Other Than<br>Personal  |
| 3(J)         | Court Security Officer Monthly Activity Report                             |

| ATTACHMENT | TITLE   |
|------------|---|
| 4          | POLICIES AND DIRECTIVES                         |
| 4(A)       | Department of Justice (DOJ) Deadly Force Policy |
| 4(B)       | USMS Directive 2.54-1, Less-Than-Lethal Devices |

# DJMS-08-D-0013

Attachment 1

Applicable Department of Labor Wage Determinations

# DOL WAGE DETERMINATIONS/COLLECTIVE BARGAINING AGREEMENTS

| District             | СІТҮ         | COUNTY     | REVISION<br>DATE | WAGE<br>DETERMINATION | UNIÓN   |
|----------------------|--------------|------------|------------------|-----------------------|---|
| Eastern District of  |              |            |                  |                       | United Government Security Officers of          |
| Louisiana            | New Orleans  | Orleans    | 9/7/2007         | CBA-2007-1464         | America Local 111                               |
| Middle District of   |              | East Baton |                  |                       | United Government Security Officers of          |
| Louisiana            | Baton Rouge  | Rouge      | 5/9/2006         | CBA-2006-301          | America Local 110                               |
|                      |              |            |                  |                       | United Government Security Officers of          |
|                      | Shreveport   | Caddo      | 5/9/2006         | CBA-2006-303          | America Local 109                               |
|                      |              |            |                  |                       | United Government Security Officers of          |
|                      | Lafayette    | Lafayette  | 5/9/2006         | CBA-2006-304          | America Local 109                               |
|                      |              |            |                  |                       | United Government Security Officers of          |
| Western District of  | Monroe       | Oachita    | 5/9/2006         | CBA-2006-305          | America Local 109                               |
| Louisiana            |              |            |                  |                       | United Government Security Officers of          |
|                      | Opelousas    | St. Landry | 5/9/2006         | CBA-2006-307          | America Local 109                               |
|                      |              |            |                  |                       | United Government Security Officers of          |
|                      | Alexandria   | Rapides    | 5/9/2006         | CBA-2006-308          | America Local 109                               |
|                      |              |            |                  |                       | United Government Security Officers of          |
|                      | Lake Charles | Calcasieu  | 5/9/2006         | CBA-2006-302          | America Local 109                               |
|                      |              |            |                  |                       |   |
|                      | Oxford       | Lafayette  | 9/7/2007         | CBA-2006-309          | Court Security Officers of Northern Mississippi |
| Northern District of |              |            |                  |                       |   |
| Mississippi          | Greenville   | Washington | 9/7/2007         | CBA-2006-310          | Court Security Officers of Northern Mississippi |
|                      | Aberdeen     | Monroe     | 9/7/2007         | CBA-2006-311          | Court Security Officers of Northern Mississippi |

| Southern District of |                                       |           |           |              |   |
|----------------------|---------------------------------------|-----------|-----------|--------------|---|
| Mississippi          | Jackson                               | Hinds     | 9/7/2007  | CBA-2006-312 | Court Security Officers of Southern Mississippi |
|                      | Dallas                                | Dallas    | 11/7/2006 | CBA-2006-316 | PACSO of Northern Texas                         |
|                      | Amarillo                              | Randall   | 11/7/2006 | CBA-2006-317 | PACSO of Northern Texas                         |
| Northern District of | Ft. Worth                             | Tarrant   | 11/7/2006 | CBA-2006-318 | PACSO of Northern Texas                         |
| Texas                | Lubbock                               | Lubbock   | 11/7/2006 | CBA-2006-319 | PACSO of Northern Texas                         |
|                      | Abilene                               | Taylor    | 11/7/2006 | CBA-2006-320 | PACSO of Northern Texas                         |
|                      | San Angelo                            | Tom Green | 11/7/2006 | CBA-2006-321 | PACSO of Northern Texas                         |
|                      |                                       |           |           |              | United Government Security Officers of          |
|                      | Tyler                                 | Smith     | 11/7/2006 | CBA-2006-322 | America Local 86                                |
|                      |                                       |           |           |              | United Government Security Officers of          |
|                      | Beaumont                              | Jefferson | 11/7/2006 | CBA-2006-323 | America Local 86                                |
|                      | · · · · · · · · · · · · · · · · · · · |           |           |              | United Government Security Officers of          |
|                      | Texarkana                             | Bowie     | 11/7/2006 | CBA-2006-324 | America Local 86                                |
| Eastern District of  |                                       |           |           |              | United Government Security Officers of          |
| Texas                | Sherman                               | Grayson   | 11/7/2006 | CBA-2006-325 | America Local 86                                |
|                      |                                       |           |           |              | United Government Security Officers of          |
|                      | Lufkin                                | Angelina  | 11/7/2006 | CBA-2006-326 | America Local 86                                |
|                      |                                       |           |           |              | United Government Security Officers of          |
|                      | Plano                                 | Colin     | 11/7/2006 | CBA-2006-327 | America Local 86                                |
|                      |                                       |           |           |              | United Government Security Officers of          |
|                      | Marshall                              | Harrison  | 11/7/2006 | CBA-2006-328 | America Local 86                                |

# SECTION J

# **5TH JUDICIAL CIRCUIT**

|  | Houston     | Ft. Bend  | 9/7/2007      | CBA-2007-1465           | PACSO of Southern Texas                                    |
|--|-------------|-----------|---------------|-------------------------|--|
|  | Brownsville | Cameron   | 9/7/2007      | CBA-2007-1466           | PACSO of Southern Texas                                    |
| Southern District of Corpus Christi              | Neuces      | 9/7/2007  | CBA-2007-1467 | PACSO of Southern Texas |  |
|  | Galveston   | Galveston | 9/7/2007      | CBA-2007-1468           | PACSO of Southern Texas                                    |
| Texas  | Laredo      | Webb      | 9/7/2007      | CBA-2007-1469           | PACSO of Southern Texas                                    |
|  | Victoria    | Victoria  | 9/7/2007      | CBA-2007-1470           | PACSO of Southern Texas                                    |
|  | McAllen     | Hidalgo   | 9/7/2007      | CBA-2007-1471           | PACSO of Southern Texas                                    |
|  | San Antonio | Bexar     | 9/7/2007      | CBA-2007-1472           | United Government Security Officers of<br>America Local 85 |
| Austin<br>El Paso<br>Western District of Midland | Austin      | Travis    | 9/7/2007      | CBA-2007-1473           | United Government Security Officers of<br>America Local 85 |
|  | El Paso     | El Paso   | 9/7/2007      | CBA-2007-1474           | United Government Security Officers of<br>America Local 85 |
|  | Midland     | Midland   | 9/7/2007      | CBA-2007-1475           | United Government Security Officers of<br>America Local 85 |
| Texas  | Pecos       | Reeves    | 9/7/2007      | CBA-2007-1476           | United Government Security Officers of<br>America Local 85 |
|  | Waco        | McLennan  | 9/7/2007      | CBA-2007-1477           | United Government Security Officers of<br>America Local 85 |
|  | Del Rio     | Val Verde | 9/7/2007      | CBA-2007-1478           | United Government Security Officers of<br>America Local 85 |
|  | Alpine      | Brewster  | 9/7/2007      | CBA-2007-1479           | United Government Security Officers of<br>America Local 85 |

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San Antonio

| REGISTER OF WAGE I<br>THE SERVICE CON<br>By direction of<br>of Labor |                                   | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|--|-----------------------------------|--|
| William W.Gross<br>Director<br>State: Texas                          | Division of<br>Wage Determination | Wage Determination No.: CBA-2006-337<br>Revision No.: 0<br>s Date Of Last Revision: 5/9/2006                       |

Area: Bexar

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

1 stin REGISTER OF WAGE DETERMINATION UNDER | U.S. DEPARTMENT OF LABOR THE SERVICE CONTRACT ACT [EMPLOYMENT STANDARDS ADMINISTRATION | WAGE AND HOUR DIVISION By direction of the Secretary of Labor WASHINGTON D.C. 20210 ŧ Wage Determination No.: CBA-2006-338 William W.Gross Division of Revision No.: 0 Director Wage Determinations| Date Of Last Revision: 5/9/2006 State: Texas Area: Travis

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

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| REGISTER OF WAGE D<br>THE SERVICE CONI<br>By direction of<br>of Labor |                                    | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |   |
|---|------------------------------------|--|---|
| William W.Gross<br>Director   | Division of<br>Wage Determinations | Wage Determination No.: CBA-2006-339<br>Revision No.: 0<br>Date Of Last Revision: 5/9/2006                         |   |
| State: Texas  | ······                             |  | - |
| Area: El Paso   |                                    |  |   |

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

Midland

| THE SERVICE CON<br>By direction of |                                       | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION |
|------------------------------------|---------------------------------------|--|
| of Labor                           |                                       | WASHINGTON D.C. 20210  |
|                                    |                                       | 1  |
|                                    |                                       | 1  |
|                                    |                                       | Wage Determination No.: CBA-2006-340   |
| William W.Gross                    | Division of                           | Revision No.: 0  |
| Director                           | Wage Determinations                   | Date Of Last Revision: 5/9/2006  |
| State: Texas                       | · · · · · · · · · · · · · · · · · · · | I  |
| Area: Midland                      |                                       |  |

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Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

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| REGISTER OF WAGE<br>THE SERVICE CON<br>By direction of | NTRACT | ACT                           | EMPLOYMEN | PARTMENT (<br>T STANDARI<br>D HOUR DIV | DS ADM | INISTRATION  |
|--|--------|-------------------------------|-----------|--|--------|--------------|
| of Labo  |        | scietary                      |           | WASHINGTON                             |        | 20210        |
|  |        |                               | Wage Det  |  |        | CBA-2006-341 |
| William W.Gross<br>Director                            | Wage   | Division of<br>Determinations | Date Of   | Revision<br>Last Rev:                  |        |              |
| State: Texas   |        |                               |           |  |        |              |

CBA WD

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

Page 1 of 1

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| REGISTER OF WAGE I<br>THE SERVICE CON | TRACT ACT      | EMPLOYMENT STANDARDS ADMINISTRATION    |
|---------------------------------------|----------------|--|
| By direction of                       | the Secretary  | WAGE AND HOUR DIVISION                 |
| of Labor                              |                | WASHINGTON D.C. 20210                  |
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|                                       |                |  |
|                                       |                | Wage Determination No.: CBA-2006-342   |
| William W.Gross                       | Division       | of Revision No.: 0                     |
| Director                              | Wage Determina | tions  Date Of Last Revision: 5/9/2006 |
| Director                              | Hage Decermine |  |
| State: Texas                          |                | I                                      |

Area: McLennan

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

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| William W.GrossDivision ofDirectorWage Determination:   | Revision No.: 0<br>Date Of Last Revision: 5/9/2006   |
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| William W.Gross Division of   | <br> <br>  Wage Determination No.: CBA-2006-343  |
| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |

Area: Val Verde

Employed on United States Marshals Service contract for Court Security Officers.

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Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

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| REGISTER OF WAGE D | ETERMINATION UNDER  | U.S. DEPARTMENT OF LAB   | DR .         |
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| By direction of    | the Secretary       | WAGE AND HOUR DIVISION   |              |
| of Labor           | -                   | WASHINGTON D.C.          | 20210        |
|                    |                     |                          |              |
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|                    |                     |                          |              |
|                    |                     | Wage Determination No.:  | CBA-2006-344 |
| William W.Gross    | Division of         | Revision No.:            |              |
| Director           | Wage Determinations | Date Of Last Revision:   | 5/9/2006     |
| State: Texas       |                     | l                        |              |
| Area: Brewster     |                     |                          |              |

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 85, effective 10/1/2004 through 9/30/2006 and amended on 7/14/2005.

Collective Bargaining Agreement

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Between

# AKAL SECURITY, INCORPORATED

and the

UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA, LOCAL #85

# PREAMBLE

THIS AGREEMENT is made and entered into by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and UGSOA International Union, on behalf of its Local #85, hereinafter referred to as the "Union".

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# MISSION STATEMENT COURT SECURITY OFFICER

- Ensure the safety of US Federal Courts, Protected Government facilities and their employees against unauthorized, illegal and potentially life-threatening activities.
- Cadres of qualified and highly skilled officers perform this mission.

# CSO Goal & Vision

# Goal

To conduct ourselves in a manner as to bring credit upon the Court Security Officer and Special Security Officer program and the United States Marshal Service at all times.

# Vision

To be alert to all situations and events that take place and take necessary measures to prevent dangerous situations from happening.

## ARTICLE 1

# GENERAL PROVISIONS

#### **SECTION 1.1 BARGAINING UNIT**

- A. This agreement is entered between Akal Security, Inc., United Government Security Officers of America (UGSOA), and UGSOA Local #85 (hereinafter referred to as the Union). The Company recognizes the Union as the sole and exclusive bargaining representative for the purpose of collective bargaining as defined in the National Labor Relations Act.
- B. The unit is defined as all full-time and shared position Federal Court Security Officers (CSOs), Federal Special Security Officers (SSOs), Lead Federal Court Security Officers (LCSOs) and Lead Federal Special Security Officers (LSSOs) employed by the Company in the 5<sup>th</sup> Circuit consisting of UGSOA Local #85, in the Western District of Texas, excluding all other employees including office clerical employees and professional employees as defined in the National Labor Relations Act.
- **C.** This agreement shall be binding upon both parties, their successors and assigns. In the event of a sale or transfer of the business of the employer, or any part thereof, the purchaser or transferee shall be bound by this agreement.

#### SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

## **SECTION 1.3 STEWARD SYSTEM**

- A. The Company agrees to recognize a steward system.
- **B.** The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. Aggrieved employees will be paid their regular rate of pay in the conduct of Company Union business during scheduled working hours.
- **C.** If the Employee requests, the Company will call for a steward prior to any disciplinary action taken, whether it be written or verbal. The supervisor, at the request of the Employee, will release the steward as soon as possible. The Union Steward will be paid for up to one-half hour upon receiving Supervisor approval of relief from duty.

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# SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except in an emergency.

# SECTION 1.5 UNION SECURITY

- A. An Employee who is a member of the Union at the time this Agreement becomes effective shall continue membership in the Union for the duration of this Agreement, to the extent of tendering the membership dues uniformly required as a condition of retaining membership in the Union.
- **B.** An Employee who is not a member of this Union at the time that this Agreement becomes effective shall, within ten (10) days after the 30th day following the effective date of this Agreement or date of hire either:
  - 1. Become a member of the Union and remain a member

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- 2. Pay the Union a service fee. The amount of this service fee shall be equal to that paid by regular Union members to include regular and usual initiation fees. The service fee will not include any assessments, special or otherwise. Such payments shall commence on the 30th day after the date of hire.
  - a) Employees who are members of, and adhere to the established and traditional tenets of a bona-fide religion, body, or sect, which has historically held conscientious objections to joining or financially supporting labor organizations, shall, instead of the above, be allowed to make payments in amounts equal to the agency fee required above, to a tax-exempt organization (under Section 501(c)(3) of the IRS Code). The Union shall have the right to charge any Employee exercising this option, the reasonable cost of using the arbitration procedure of this Agreement on the Employee's individual behalf. Further, any Employee who exercises this option shall twice a year submit to the Union proof that the charitable contributions have been made.
- C. Before any termination of employment pursuant to this Section becomes effective, the employee involved shall first be given notice in writing by the Union to pay the prescribed initiation fee and/or delinquent dues. If the employee fails to pay the initiation fee and/or delinquent dues, and if such fee and/or dues are tendered within 48 hours after the employee receives this notification from the Company, his/her dismissal under here shall not be required. If termination is administered under this provision, the reasons will be given in writing. Termination will not occur if there is an ongoing dispute between the effected employee and the Union.

- **D.** The obligations set forth in this Article shall only be effective to the extent permitted by controlling law. All employees regularly employed at any federal enclave who are not members of the Union shall pay the Union a service fee. If there is a legal challenge to any provision of this Article, the Employer may suspend its obligations under this Article for the duration of the dispute after conferring on the matter with the Union.
- E. The Union, including its International, agrees to save and hold the Employer harmless from any and all claims, actions, suits, damages, or costs, including any attorneys fees incurred by the Employer, on account of any matter relating to the terms of this Article, including, but not limited to, any claims by any Employee(s) and compliance with the law.

# **SECTION 1.6 DUES CHECKOFF**

- A. The Company agrees to deduct dues as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon written authorization from the Employee on a form provided by the Union. The Employee, upon written notice served upon the Company and the Union, may revoke such authorization as provided in the Employee Check-Off Authorization Card. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to the dollar amount of the Union membership dues.
- **B.** The Company will remit all such deductions to the Financial Secretary/Treasurer within three (3) business days from the date that the deduction was made. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues with each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions initiated by an Employee against the Company, and assumes full responsibility of the dispositions of the funds so deducted, once they are paid over to the Union. Errors made by the Company in the deduction or remittance of monies shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

#### **SECTION 1.7 INTENT OF PARTIES**

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient security operations. The Union and the Company will put forth their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce, or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union.

## SECTION 1.8 ANTI-DISCRIMINATION

Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, disability or other protected reason. The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

# ARTICLE 2

## **UNION SENIORITY**

## SECTION 2.1 UNION SENIORITY DEFINED

- A. Union seniority shall be the length of continuous service, within the Local, from the Employee's last date of hire as a CSO or LCSO for the Employer, past or present and/or any predecessor Employer. Union seniority shall not accrue until the Employee has successfully completed the probationary period. Union seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work, transfers within the Local, and other matters as provided for in this Agreement.
- **B.** For the purposes of shift bidding, vacation schedules and extra work, union seniority shall be defined as seniority within the work site. (See Appendix B for the definition of the work site.)
- **C.** Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose their Union seniority as it applies to the order of layoff and recall, shift bidding, vacation schedules, extra work, and other matters as provided for in this Agreement.

# SECTION 2.2 SENIORITY LISTS

The Company shall provide an employee list (last date of hire as a CSO), to the Local Union each year on October 1. The Union will respond, within thirty (30) days, with a "Union Seniority" list to the Company. This list shall be posted on all Union bulletin boards. (See Appendix B for local list specifications.)

# SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

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#### SECTION 2.4 TRANSFER OUT OF UNIT

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) consecutive weeks shall lose their Union seniority. If they return to the bargaining unit at a later date their seniority will start on that return date.

# SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) calendar day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs, or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement.

Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The Probationary period can be extended by mutual agreement between the Company and the Union.

# SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- A. the Employee quits or retires;
- **B.** the Employee is discharged;
- **C.** a settlement with the Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- **D.** the Employee is laid off for a continuous period of one hundred eighty (180) calendar days;
- E. the U.S. Government revokes the Employee's credentials as a CSO;
- **F.** the Employee is permanently transferred out of the bargaining unit.

# ARTICLE 3

#### **JOB OPPORTUNITIES**

## **SECTION 3.1 FILLING VACANCIES**

If a vacancy occurs in a regular position covered by this Agreement or a new position is added and the company chooses to fill the position, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays) within the Local as defined in Appendix B. All shared position Employees who have notified the Site Supervisor, in writing of their intent to apply for a Full-Time position and who are not scheduled to work during that three (3) day period at the site where an opening occurs, and any Employees on vacation or on other approved leave will be notified by the Company. When a vacancy occurs, the Employer will fill the position with the most senior Employee (see Appendix B) who has applied for the position in writing, who has been trained (if required) to fill any necessary special qualifications for the new position. No more than two (2) shifts will be filled under this procedure as a result of that vacancy.

## **SECTION 3.2 SHARED POSITION EMPLOYEES**

The Company is obligated under its contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part time schedule, as necessary, at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for weekly work schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action.

# SECTION 3.3 LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority within the Local. The Company will notify the Union, in writing, of required reduction. The Union will respond, in writing, within three (3) business days (excluding Saturday, Sunday & Holidays), with the name or names of the least senior employee or employees. Recall of Employees will be accomplished by recalling the last laid off Employee first, and so on.

# SECTION 3.4 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, or assign an Employee to a position that is part of a temporary security assignment directed by the USMS, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement. To the extent feasible the assignment shall be a voluntary selection based on seniority and qualification. In the absence of volunteers, assignments shall be made on a reverse seniority and qualifications basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this agreement, whichever is greater.

# SECTION 3.5 APPOINTMENT OF LEAD CSOs

The U.S. Government in its contract with the Company creates specific guidelines for the job duties and qualifications of Lead CSOs. Based on these guidelines, all appointments of Lead CSOs will be made on the basis of suitability as evaluated by the Company. Suitability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail. Lead CSOs will not perform supervisory duties, as described by the National Labor Relations Act.

#### **ARTICLE 4**

#### MANAGEMENT'S RETAINED RIGHTS

## **SECTION 4.1**

Management of the business and direction of the security force are exclusively the right of management. These rights include the right to:

- A. Hire;
- B. Assign work;
- C. Promote, Demote;
- D. Discharge, discipline, or suspend based on Article 6;
- E. Require Employees to observe reasonable Employer rules and regulations;
- F. Determine when overtime shall be worked;
- G. Determine the qualifications of an Employee to perform work.

#### **SECTION 4.2**

Management shall not implement any changes to subjects covered in the mandatory bargaining list as provided for in the NLRA Section 8(d).

## **SECTION 4.3**

Any rights, power or authority the Company had prior to the signing if this Agreement are retained by the Company, except those specifically abridged or modified by this Agreement and any supplemental Agreements that may hereafter be made. The Company's failure to exercise any function reserved to it shall not be deemed a waiver of any such rights.

#### **ARTICLE 5**

#### **GRIEVANCE PROCEDURE**

#### **SECTION 5.1 INTENT**

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement, or the challenge of any disciplinary action taken against a Union Employee, except that this grievance procedure shall not be used for any action or order of removal of an Employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract MS-02-D-0001 between the US Marshals Service and Akal Security, Inc. Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the

CBA (Akal & UGSOA Local #85 2003 - 2006)

Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government.

# SECTION 5.2 GENERAL PROVISIONS

- A. The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance. The term "days" shall not include Saturdays, Sundays or holidays when used in this Article.
- **B.** Should either the Company, the Union, or the aggrieved employee fail to comply with the time limits as set forth in this Article, the party who failed to comply within the time limits shall forfeit the grievance.

# SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

- A. Informal Step The parties shall make their best efforts to resolve any dispute on an informal basis. Both the Company and the Union agree that the Employee will first discuss the complaint with their immediate supervisor (not in the bargaining unit), within eight (8) working days of the incident being grieved, to start the informal procedure. If the informal procedure is not invoked within eight working days of Employee's knowledge of a grievable issue, then it is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in.
- **B.** Step One If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the union representative, and shall be submitted to the Contract Manager or designee with a copy to the Company's HR Director. The Contract Manager or designee shall have ten (10) days from the date the grievance was presented to return a decision in writing with a copy to the aggrieved Employee and the union representative.
- **C.** Step Two If the grievance is not settled in Step One, the grievance may be appealed in writing to the Company's Director of Human Resources or designee not later than ten (10) days from the denial by the Contract Manager or designee. The Director of Human Resources or designee will have ten (10) days from the date the grievance was presented to return a decision, in writing, with a copy to the aggrieved Employee and the union representative.

**D.** Grievance for Discipline - Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or designee within eighteen (18) days after the occurrence of the facts giving rise to the Grievance.

## SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Company's Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

- A. Selection of an Arbitrator Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet telephonically to jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the American Arbitration Association (AAA) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the AAA by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.
- **B.** Decision of the Arbitrator The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement. If the decision of the Arbitrator is not complied with within fifteen (15) days of the decision, the losing side shall be liable for attorney and court costs to enforce compliance including through the courts, absent an order from the U.S. Marshals Service or unless the Company files a written request for clarification, then the Company will comply within fifteen (15) days of receiving the clarification.
- **C.** Arbitration Expense The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.
- **D. Time Limits** The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

# SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

### **SECTION 5.6 INDIVIDUAL GRIEVANCES**

No individual may move a grievance to arbitration.

## ARTICLE 6

#### DISCIPLINE

#### SECTION 6.1 GROUNDS FOR DISCIPLINE AND DISMISSAL

# SECTION 6.1 (a)

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After completion of the probationary period, as specified in Section 2.5, no Employee shall be dismissed or suspended without just cause. Just cause shall include any action or order of removal of an employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the Removal of Contractor Employee provision in Section H-3 of Contract MS-02-D-0001 between the US Marshals Service and Akal Security, Inc.

Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3 of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government, and the Employer shall be held harmless by the Union and the employee for any further claims made after this final determination. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties.

The Company's contract with the U.S. Government sets out performance standards for the CSOs in Section C of the Contract between the Company and the USMS, and all Employees are required to comply with these standards. Failure to do so may lead to disciplinary action. These performance standards, the USMS Deadly Force Standards and the US Title 18 Domestic Abuse and Violence policy will be issued to each Employee and must be signed, acknowledging receipt, by the Employee and may be updated by the Company each year. Employees agree to comply with any express non-disciplinary directive issued by the Government.

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### SECTION 6.1 (b)

The Company may discipline Employees when necessary and discharge those who fail to uphold U.S. Government or Company standards as described in 6.1 (a) and 6.1 (b) above. It is recognized by parties to this Agreement that progressive discipline generally shall be applied in dealing with Employees. However, it is also recognized that offenses may occur for which progress discipline is not applicable (e.g. fraud, gross misconduct, theft, etc.). Disciplinary measures vary depending on the seriousness of the matter and the past record of the Employee. All discipline shall be subject to the grievance and arbitration procedures, except for those issues involving the USMS rights under Section H-3 of Contract MS-02-D-0001 as referenced in Sections 5.1 and 6.1(a). The Employee may request, in writing, to the Site Supervisor, that any disciplinary action not resulting in suspension may be considered for removal from the Employee's file after 2 months, provided that no violations of the same type have occurred and that no more than one violation of any type has occurred.

#### ARTICLE 7

#### HOURS OF WORK AND OVERTIME

# SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the U.S. Government. Per the National Labor Relations Act, changes in shifts must be negotiated with the Union prior to implementation of any such changes. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

# SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours **actually worked** in excess of forty (40) hours in a work week.

#### SECTION 7.3 OVERTIME REQUIREMENT

If directed to work overtime or extra hours, and the seniority system is not invoked due to shortness of notice to the Company, the Employee shall be required to do the work, unless the Employee is excused by the Company for good cause.

# SECTION 7.4 OVERTIME DISTRIBUTION

A. Overtime will be offered by Seniority (within the worksite) on a rotating basis. Overtime will be distributed as equitably and fairly as practicable among Employees.

- **B.** Exclusion: Managers cannot be assigned to cover CSO overtime positions or posts except in emergency situations.
- **C.** The Company will not adjust an Employee's schedule during a weekly period to reduce overtime.

### SECTION 7.5 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch period for each eight (8) hour shift. These rest periods require that the Employee be properly relieved before leaving their post. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional work requirements, Employees may have to work through their unpaid lunch breaks and/or paid rest periods, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to make its best efforts to provide regularly scheduled breaks. It is not the intent of the Company to avoid this requirement.

## ARTICLE 8

#### WORK SHIFTS AND PAYMENT POLICIES

### SECTION 8.1 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four (4) hours of work, or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours time. Call in is defined as anytime a CSO is required to report to duty for any business related function.

## SECTION 8.2 SHIFT BIDDING, HOURS OF WORK, & SENIORITY

Once each year, full-time Employees and shared position Employees at each location shall bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared position or vice versa.

## **SECTION 8.3 WAGE SCHEDULE**

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement.

## SECTION 8.4 PAYDAY

Payday for all hourly Employees will be after 11 a.m. on Friday following the two (2) week pay period ending on Saturday, subject to change by mutual agreement. The Company will make its best effort to make direct deposit available and to list available personal leave and vacation in each Employee's paycheck.

## **SECTION 8.5 UNDISPUTED ERROR**

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error, involving eight (8) hours of pay or more, will be corrected and paid within three (3) working days.

# SECTION 8.7 LEAD CSO RATES

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid the LCSO wage. In the case where there are multiple LCSO wages, the additional LCSO will be paid at the lowest LCSO wage for the site or location where they are assigned.

# ARTICLE 9

# HOLIDAYS

#### **SECTION 9.1 HOLIDAYS DEFINED**

Whenever the term "holiday" is used, it shall mean:

| New Years Day               | Independence Day   |
|-----------------------------|--|
| Veterans Day                | Columbus Day   |
| Christmas Day               | Labor Day  |
| Thanksgiving Day            | Martin Luther King Birthday                                    |
| Memorial Day                | Presidents Day   |
| Employee's Birthday         |  |
| Any day designated by the P | resident of the United States as a permanent National holiday. |

#### **SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS**

- A. A full-time position Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift premium for that holiday.
- **B.** Any full-time position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition, shall receive eight (8) hours holiday pay at the straight time rate as described in Section 9.2a above.
- C. A shared position Employee who does not work on a holiday shall receive prorated holiday pay based on the number of actual hours the Employee is eligible for pay during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a minimum of four (4) hours pay per holiday. Shared position holiday prorating shall be based upon total non-holiday work days in the pay period.

- **D.** Any shared position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition shall receive prorated holiday pay as described above in Section 9.2c.
- **E.** In the event that the Holiday falls on a weekend, the term "holiday" will refer to the day that the U.S. Government designates as the Holiday.

# ARTICLE 10

### VACATIONS

## SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Full-time Employees shall be entitled to annual vacation based on their continuous years of service with the Employer (based on the Employee's anniversary date of employment) at their individual hourly rate of pay at the time payment is made in accordance with the following schedule:

Upon completion of one (1) year of service:80 hoursUpon completion of five (5) years of service:120 hoursUpon completion of ten (10) years of service:160 hoursUpon completion of fifteen (15) years of service:200 hours

#### SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees shall be entitled to pro-rated vacation per the schedule contained in Section 10.1, based on their individual hourly rate, the number of hours paid in the previous year, and the Employee's anniversary date. A minimum of one-half the full-time benefit is guaranteed for Employees who have been paid for at least 1040 hours in the previous year.
- **B.** Any Employee who works a full anniversary year, in part as a full-time position Employee and in part as a shared position Employee, shall receive prorated vacation benefits for that year as calculated in SECTION 10.2, part A (per the Service Contract Act).

## SECTION 10.3 SCHEDULING VACATIONS

Vacations, insofar as reasonably possible, shall be granted at the times most desired by the Employee, after the Employee's anniversary date. Vacation schedules shall be posted.

## SECTION 10.4 PAY OPTIONS

Earned vacation pay may be requested at anytime and will be paid in the next pay cycle. Earned vacation time will remain available without pay.

CBA (Akal & UGSOA Local #85 / 2003 - 2006)

## SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (based on the Employee's anniversary date of employment) shall be paid to the Employee.

# SECTION 10.6 TERMINATING EMPLOYEES

Upon termination of employment, Employees will be paid at their individual hourly rate vacation time earned as of their last anniversary date, but not used, as entitled by the Service Contract Act. (Example: An Employee who terminates one month into the next anniversary year is entitled to any of the previous year's earned accrued vacation not already used, and not to the additional month accrued in the new anniversary period).

# SECTION 10.7 VACATION - LAID OFF EMPLOYEES

Length of service with the Employer shall accrue for the purposes of vacation benefits while an Employee is on laid-off status for up to one (1) year. Employees will only be paid vacation benefits when they are working.

#### **SECTION 10.8 VACATION INCREMENTS**

Consistent with Employer approval, efficiency, and economy of operations, Employees may take their vacation in segments of less than one (1) week each, but not less than eight (8) hour increments.

# **ARTICLE 11**

#### LEAVES OF ABSENCE

#### **SECTION 11.1 LIMITATIONS**

Personal leaves of absence for non-medical emergencies may be granted at the sole discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless a special extension is approved by the Employer. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence. It is acknowledged by the Union that under USMS CSO contract, the Employer is not permitted to hire additional (reserve) or temporary Employees to provide work coverage during Employee absences. Unpaid leaves of absence may be taken only with written approval of the Employer, or in a case of verified personal emergency. Failure to report for scheduled shifts without Employer permission will lead to disciplinary action.

Any Employee in an unpaid status at the time a holiday occurs shall not be entitled to any holiday pay. Note "unpaid status" does not include regular scheduled days off, vacation or personal leave.

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#### SECTION 11.2 MEDICAL LEAVE

- A. The Family and Medical Leave Act of 1993 (FMLA) is incorporated herein.
- **B.** The Company agrees to honor the FMLA for all Employees.
- **C.** During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employer with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work. Any Employee who is not able to return to work with a medical clearance from a licensed physician at the end of a maximum medical leave shall be terminated from Employment.
- **D.** If the Employee files for medical leave on false pretext or works for another employer without pre-authorization from the company, the Employee will be removed from the CSO program and from employment with the Employer.

#### **SECTION 11.3 MILITARY LEAVE**

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

## **SECTION 11.4 UNION LEAVE**

A Union President and one delegate will be granted an unpaid leave of absence no more than once a year for a maximum of seven (7) days upon written request for the purpose of attending Union conventions or other meetings of vital interest to the Union as long as staffing requirements permit. More time will be granted upon mutual agreement between the Company and the Union.

### SECTION 11.5 PERSONAL/SICK LEAVE

| START DATE   | RATE OF<br>PERSONAL/SICK LEAVE<br>ELIGIBLE<br>TO USE |                 |
|--|--|-----------------|
| Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date. | FULL-TIME  | SHARED POSITION |
| October 1 - 31   | 48 hours   | 24 hours        |
| November 1 - 30  | 44 hours   | 22 hours        |
| December 1 -31   | 40 hours   | 20 hours        |
| January 1 - 31   | 36 hours   | 18 hours        |
| February 1 - 29  | 32 hours   | 16 hours        |
| March 1 - 31   | 28 hours   | 14 hours        |
| April 1 - 30   | 24 hours   | 12 hours        |
| May 1 - 31   | 20 hours   | 10 hours        |
| June 1 - 30  | 16 hours   | 8 hours         |
| July 1 - 31  | 12 hours   | 6 hours         |
| August 1 - 31 .  | 8 hours  | 4 hours         |
| September 1 - 30   | 4 hours  | 2 hours         |

#### PERSONAL / SICK LEAVE TABLE

- A. Each full-time Employee shall be eligible to use a maximum of six (6) days personal leave at the beginning of each 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based on the above Personal/Sick Leave Table.
- **B.** Personal leave may be taken in not less than four (4) hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.
- C. Shared position Employees will receive one-half the full time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1040 hours) will receive additional prorated personal leave based on the number of hours the Employee was paid during that contract year.
- **D.** Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave will be paid to the Employee at the end of the contract year.
- **E.** Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of hours the Employee was paid during that contract year. If the Employee has used more personal leave than

he/she earned based upon time paid on the contract, the amount of the overage will be deducted from the Employee's final paycheck.

F. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

# SECTION 11.6 PROCESSING UNPAID LEAVES OF ABSENCE

The Employer will consider requests for unpaid leaves of absence and may grant them at its sole discretion. An unpaid leave of absence must be processed in the following manner:

- A. All requests for unpaid leaves of absence shall be submitted in writing to the Lead CSO, Site Supervisor or Contract Manager at least ten (10) calendar days prior to the date the leave will take effect, except in cases of verified personal emergencies, and include:
  - a. The reasons for such leave;
  - b. The effective dates of such leave;
  - c. The estimated date of return to work.
- **B.** The Company will respond to the request within five (5) working days.
- **C.** The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- **D.** Extensions of the leave of absence may be granted at the sole discretion of the Employer, upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence. Extensions, when granted, shall not total more than thirty (30) days.

### **SECTION 11.7 GENERAL PROVISIONS**

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of this Agreement.

# SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay for up to three (3) days minus any pay received from the courts for time spent on jury duty.
#### SECTION 11.9 BEREAVEMENT LEAVE

- A. If it is necessary for a full-time Employee to lose time from work because of a death in the immediate family, whether the family member lives in or out of their local state, the Employee shall be entitled to five (5) days paid leave of absence per Government contract year at their straight-time rate of pay.
- **B.** Shared position Employees will receive one-half of the full-time leave.
- C. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including legally adopted children and/or stepchildren), father-in-law, mother-in-law, grandparents, grandchildren, sister-in-law, brother-in-law, daughter-in-law and son-in-law.
- **D.** The Employer may require proof of the death for which an Employee requests a paid leave.

#### **ARTICLE 12**

#### HEALTH, WELFARE AND UNIFORM ALLOWANCES

#### SECTION 12.1 PAYMENTS

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix A.

#### **SECTION 12.2 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other available Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs may include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

#### SECTION 12.3 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour worked, up to 40 hours per week, for uniform maintenance as described in Appendix A. A shoe allowance of \$62.50 per contract year will be provided annually for the purchase of USIMS-required CSO uniform shoes. The Employer will provide all foul weather gear for each employee as is authorized and funded by the USMS. The Company shall make its best effort to issue uniforms by December 31<sup>st</sup> and uniforms shall be gender-proper.

#### MISCELLANEOUS PROVISIONS

#### **SECTION 13.1 BULLETIN BOARDS**

The Employer will make its best effort to obtain a space from the U.S. Government for Union to locate a Union-provided bulletin board that will be used by the Union for posting notices of meetings, elections, appointments, recreational and social affairs, and other Union notices. The provision of these facilities is the prerogative of the U.S. Government, who owns and controls all worksite facilities.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

- A. The Employer shall pay for any physical/medical examinations and additional testing that is required by the Employer and/or the U.S. Government. The Employer has the right to choose the physician who will perform the physical exam and pre-approve any expenses.
- **B.** Employees must pass the physical exam prescribed by the Employer's contract with the U.S. Government in order to be employed and to maintain employment.
- C. The Employer will pay for the time required for the Employee to take required physical exams and additional testing. Time for any exams requiring more than two (2) hours must be pre-approved by the Site Supervisor. If, when the appointment is going to exceed two (2) hours, the Employee will call into the Site Supervisor or designee to inform them of the delay and request approval for additional time.

#### **SECTION 13.3 TRAVEL EXPENSES**

The Company will provide advance payments for Company authorized and approved travel expenses if requested by an Employee. Any workday that includes travel and totals over twelve (12) hours may require the Employee to stay overnight, and the appropriate per diem will be paid. All hours in travel up to a maximum of eight (8) per day will be counted as work hours, with the appropriate overtime wages provided for under this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day the Employer receives the properly completed travel voucher and all required receipts.

#### **SECTION 13.4 BREAK ROOMS**

The Employer will make its best effort to obtain from the U.S. Government break rooms for CSOs for breaks and lunch, without management using the room as an office, and will make its best effort to have the U.S. Government equip the room with water. The providing of these facilities is the prerogative of the U.S. Government.

#### SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the U.S. Government for the use of the CSOs. The Employer agrees to make its best effort to support any Union request for separate Locker/Changing facilities. The providing of these facilities is the prerogative of the U.S. Government.

#### SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances as described in this Agreement. No Employee may leave their post without permission from the Employer under any circumstances, unless there is appropriate Government permission granted.

#### ARTICLE 14

#### 401 (k) PLAN

#### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401 (k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. At the direction of the individual Employee, the Company may deposit the Health & Welfare payment to the Employee's 401 (k) account. Employees shall be subject to the eligibility requirements and rules of the Plan.

#### SECTION 14.2 UGSOA 401(a) Plan

The Company agrees to make deductions for Union members in good standing for the UGSOA 401(a) Plan, if the Union members so choose. At the direction of the individual Union member, the Company may contribute the Health & Welfare and /or Pension payments into the Union members' UGSOA 401(a) plan. Union members shall be subject to the eligibility requirements and rules of the Plan.

The Company agrees to send the deductions / contributions to UGSOA International Union, the Administrator of the UGSOA 401(a) Plan, no later than the Tenth  $(10^{th})$  of the Month following the Month the deductions were made.

The Company's sole responsibility is to make the payroll deductions and send said deductions to the Plan Administrator with a record of name, social security number and amount of deduction for each source of money (i.e. Health & Welfare, Pension and/or after-tax deduction). The Company is in no way responsible for any other aspect of the plan.

No local and its members will be eligible for both the Company's 401 (k) Plan and the Union's 401 (a) Plan. All Employees in a local will be eligible for only one of the plans.

#### SAFETY

#### SECTION 15.1 SAFETY POLICY

It is the policy of the Company to make its best efforts to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. Under this Agreement, all worksites and facilities are the property of the U.S. Government, who is responsible for the condition and safety of the worksite. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings.

#### SECTION 15.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any U.S. Government-provided CSO workstations and break rooms.

#### ARTICLE 16

#### **CONTINUITY OF OPERATIONS**

#### **SECTION 16.1 NO STRIKES**

- A. Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns, or secondary boycotts during the term of this Agreement.
- **B.** Upon hearing of an unauthorized strike, slowdown, stoppage of work, planned inefficiency, or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such activity to prompt termination.

#### SECTION 16.2 LOCKOUTS

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through Government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the Government decree or statutes, so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

#### ARTICLE 18

#### **ENTIRE AGREEMENT**

The parties acknowledge that during the negotiation which resulted in the Agreement, the unlimited right and opportunity to make demands and proposals with respect to any matter not removed by law from the area of collective bargaining, and all understand agreements reached by the parties are set forth in this Agreement. Therefore, the Company and the Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including, but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

#### **ARTICLE 19**

#### **TERMINATION OF AGREEMENT**

Should either party desire to terminate this Agreement or any provision thereof, it shall give written notice to the other party of not less than sixty (60) days and not more than one hundred and eighty (180) days prior to the expiration. In the event such notice is given, the existing Agreement may be continued by mutual consent of both parties until a new Agreement is reached. This Agreement may also be changed or amended by agreement of both parties.

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#### DURATION

This Agreement shall be effective from June 13, 2003 through September 30, 2006 and supersedes any and all prior agreements or understandings between the parties.

This Collective Bargaining Agreement is a follow-on to the Agreement dated September 30, 2002 between Akal and its employees covered by the Agreement. All terms and conditions of the previous Collective Bargaining Agreement, economic and non-economic, remain in effect other than as specifically revised in this follow-on Agreement.

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IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR: United Government Security Officers of America, Local #85

ΒY TITLE: DATE:

POR: United Government Security Officers Of America, International Office

BY: TITLE DATE

FOR: Akel Security, Inc. BY: **1**17 TITLE:  $\mathcal{D}$ DATE

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CBA (Ala) & UGSOA Local #35 2003 - 2006)

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#### Appendix A

## WAGE SCHEDULE

Listed below are the Wages and Benefits and the security officers of America Local #85:

| a) Base Wages   | SITE: Alpine, Midland, and Pecos  |
|---|---|
|   | Current:  |
| Court Security Officers:<br>Loss Court Security Officer:<br>Health & Welfere Allowance:<br>Uniform Allowance:   | 15.56 \$ 15.58 / hour<br>16.31 \$ 18.31 / hour<br>5   |
| -<br>-  | Effective October 1, 2003:  |
| Court Security Officers;<br>Lead Court Security Officer;<br>'Health & Welfare Allowance;<br>'Uniform Allowance; | \$ 16.10 / hour<br>\$ 17.10 / hour<br>- 2.36 * \$ 2.25 / regular hour paid up to 40<br>\$ 0.11 / regular hour worked up to 40 |
|   | Effective October 1, 2004:  |
| Court Security Officers:<br>Lead Court Security Officer:<br>Hoalth & Welfare Allowance:<br>Uniform Allowance:   | <pre>\$ */hour<br/>\$ /hour<br/>\$ /hour<br/>\$ Jregular hour paid up to 40<br/>\$ 0.11 / regular hour worked up to 40</pre>  |
|   | Effective October 1, 2005:  |
| Court Security Officers:<br>Lead Court Security Officer.<br>Health & Welfare Allowance:<br>Uniform Allowance:   | \$ / hour<br>\$ / hour<br>\$ / egular hour peld up to 40<br>\$ 0,11 / regular hour workeed up to 40                           |

\* The parties agree that other party may reason negotiations for ementionents to Appendix "A" Weges and Health & Walkare Allowance at any time after key 1 and before June 1, for all years powemed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shell be incorporated into the terms of this agreement. If the parties that a reach agreement, the dispute that be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not filmited to arbitration in accordance with Article 5 of the agreement. All provisions of this Agreement, including, but not filmited to arbitration in accordance with Article 5 of the regolistions and any resulting explicit on the nemainder of the terms of this agreement.

\*\* If 34 hour site, A unit differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

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United Goldenmant Security Officers of America Akal Spourity, Inc. International Union Stonature Date Signature Dete United Government Security Officers of Amorica Local# 109/02

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## Appendix A

## WAGE SCHEDULE

| a) Base Weges  | SITE:                | Del Rio and San Antonio  |
|--|----------------------|--|
|  | Current              |  |
| Court Security Officers:   | \$ 15.22             | / hour   |
| Lead Court Security Officer 7:   |                      | /hour  |
| Lead Court Security Officer 2:   |                      | / regutar hour peid up to 40   |
| Uniform Allowance:   |                      | J regular hour worked up to 40<br>/ regular hour worked up to 40   |
|  |                      | 2.1  |
| -  |                      | Cotober 1, 2003:<br>/ hour<br>/ hour<br>/ nour<br>/ regular hour, paid up to 40<br>/ regular hour, worked up to 40<br>/ regular hour, worked up to 40  |
| Court Seoutly Officers:  |                      | /how **  |
| Land Court Security Officer 1:   |                      | / hours  |
| Lead.Court Security Officer 2:   |                      | / regular hour paid up to 40   |
| Unitom Allewance;  |                      | / regular hour worked up to 40   |
|  | Effective            | October 1, 2004:   |
| COURT SOCIAL Officers:   |                      | /hour  |
| Laza Court Security Officer 1:   |                      | / haur   |
| Lead Court Security Officer 2;   |                      | 7 regular hour paid up to 40   |
| Health & Walfare Abowance:   |                      | / regular hour worked up to 40   |
| Unitom Allowance:  | S 0.11               | / regular hour worked up to 40   |
| -  | Effective            | Detabler 1, 2005:  |
| Court Security Officers:   | \$ *                 | Ihour  |
| Lead Court Security Officer,   |                      | / hour*  |
| Senior Lead Court Security Officer.  |                      | / regular hour paid up to 40   |
| Neelth & Welfare Allowance:<br>Uniform Allowance:  |                      | / regular hour worked up to 40<br>/ regular hour worked up to 40   |
| CINCIN REPRESE   | 4 0.17               | LICATER (OT HOLD OF THE  |
| * The parties agree that either party may reopen negotic   |                      |  |
| Allowance at any line oner May 1 and before June 1, fo<br>other party. Any final agreement resulting from sold neg |                      |  |
| parties left to reach Agreement, the dispute chall be aut  |                      |  |
| All provisions of this Agrooment, Including, but not Amilia  |                      |  |
| negatations and any resulting orbitration, and for the rea   | mainder of the loans | of this synaction of this synactic terms of the synactic terms of terms o |
|  |                      |  |
| ** If 24 hour sta. A shift differential of lear porcent (4%)<br>worked between 6 P.M. and 6 A.M.                   | от (по отриоуеез н   | קשור הסטרא ופוום גווומי שב אמע דרו פון הסמים.  |
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| International Union  | (lan )               | 11 clation   |
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## Appendix A

## WAGE SCHEDULE

Listed below are the Wageo and Benefits **Classified and the second for the employees at the 5th Circuit** for the Western District of Texas, United Government Security Officers of America Local #85;

| a) Base Wages   | SITE   | El Paso   |
|---|--|---|
|   | Current;   |   |
|   |  | . Theorem   |
| Court Security Officers<br>Lead Court Security Officer 1:   |  | / hour  |
| Lead Court Security Officer 2:  |  | / regular hour poid up to 40  |
| Health & Welfare Allowance:   | •  | I regular hour worked up to 40  |
| Uniforny Allowance:   | \$ 0.10625   | Tregular hour worked up to 40   |
|   |  |   |
|   | Effective  | October 1, 2003:  |
| Court Security Officers:  | 6 15.00  | /hour /   |
| Lead Court Security Officer 1;  |  | Thours of pr  |
| Lend Court Security Diffeer 2:  |  | / regular, hour paid up to 40   |
| Health & Weltore Allowance:   |  | / rogular hour worked up to 40 - XN   |
| Uniform Allowance:  | \$ 0,11  | October 1, 2003:<br>/ hour<br>/ hour<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40 - paid<br>/ regular hour worked up to 40 - paid  |
|   | THE office (   | October 1, 2004;  |
|   |  | · •   |
| Court Security Officers:  | -  | / hour  |
| Load Court Security Officer 1:<br>Lead Court Security Officer 2:  | -  | / hour  |
| Health & Walfare Allowance;   |  | / regular hour worked op to 40  |
| Uniform Allowance:  |  | / regular hour worked up to 40  |
| •   | •  |   |
|   | Effective (  | Detober 1, 2005;  |
| Court Security Officers;  | <b>1</b> -   | / mourte  |
| Lead Court Security Officer 1:  |  | / hour  |
| Lead Court Security Officer 2:  | -5 *   | / regular hour paid up to 40  |
| Health & Welfore Allowance:   |  | / regular hour worked up to 40  |
| Unlion Allowance:   | \$ 0.11  | regular hour worked up to 40  |
| * The purple beins that either party may reapen negativitions<br>Aloastic at any line after May 1 and before June 1, for all y<br>other party. Any final Dynaminat resulting from said negotiativ<br>parties kill to reach egroomont, the dispute about be user atted<br>All provisions of this Agreement, jackuding, but not linked to, a<br>negativitient and any resulting ethicstion, and for the remaind | ears governed b<br>on shall be incol<br>l to arbitration in<br>Article 10, shall ( | y this contract, by giving written notice to the<br>parallal into the terms of this ogrammat. If the<br>accordance with Article 5 of B2s asroamant.<br>whait in force during the terms of the |
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| International Union   | $\bigcirc$   |   |
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Appendix A

## WAGE SCHEDULE

Listed below are the Wages and Benefills **Climit and State 2006** for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 65;

a) Base Wages

Current:

SITE: Austin

|                              |       | -    |         |  |   |
|------------------------------|-------|------|---------|--|---|
| Court Security Officers:     |       | \$   | 14.85   | / trour  |   |
| Lead Court Security Officer; | 16.35 | \$   |         | / hour   |   |
| Health & Welfore Allowance;  |       | _\$. | 2.15    | / regular hour paid up to 40   |   |
| <br>Uniform Allowance:       |       | \$   | 0.10625 | / regular hour worked up 10 40   |   |
|                              |       |      |         | ار ما به محمد المراجع ال |   |
| •                            |       | EĘ   | fective | October 1, 2003:   | • |
| Court Security Officers:     | 16.13 | \$   | 16,13   | / hour   |   |

| Lead Court Security Officer: | 17.63 \$ | 17.63 / hour*   |   |       |   |
|------------------------------|----------|---|---|-------|---|
| Health & Welfare Allowance:  | 5        | <ul> <li>2,36 / regular hour paid up to 40</li> </ul> |   | <br>, |   |
| Uniform Allowance: *         | \$,      | 0.11 / regular hour worked up to 40                   |   | •     | • |
|                              | Eff      | ective October 1, 2004:                               |   |       |   |
| Court Security Officers:     | \$       | * / hour**  |   |       |   |
| Lead Court Security Officer. | \$       | * / hour**  |   |       |   |
| Health & Welfare Allowance:  | \$       | * ( regular hour pold up to 40                        | - |       |   |
| Unilorm Allowance:           | \$       | 0.11 / regular hour worked up to 40                   | • |       |   |
|                              | Eff      | ective October 1, 2005:                               |   |       |   |
|                              |          |   |   |       |   |

| Court Security Officers:<br>Lood Court Security Officer;<br>Health & Welfare Allowance: | • | \$<br>6 | ■ / hour**<br>* / hour**<br>* / regular hour pa)d up to 40 |  |
|---|---|---------|--|--|
| Uniform Allowance:  |   | \$      | 0.11 / regular hour worked up to 40                        |  |

"The parties agree that aliner party may reapen negatializes for amendments to Appendix "A" Wages and Health & Walfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by gloing written notice to the other party. Any final agreement, treating from sain negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the alterute shall be cubmitted to arbitration in accordance with Article 5 of this agreement. ... All provisions of this Agreement, including, but not gratited to, Article 16, shall remain in force during the terms of the negatistic party resulting erbitration, and for the remainder of the terms of this agreement,

\*\* If 24 how site, A shirt differential of four percent (4%) of the employee's regular hourly rate about be puld for all hours worked between B.P.M. and 6.A.M.

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#### Appendix A

## WAGE SCHEDULE

Listed below are the Wages and Benefits and Benefits and the state of the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 03:

| a) Base Wages   | SITE:               | Waco   |
|---|---------------------|--|
|   | Current             |  |
| Court Security Officers:<br>Load Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$ 15.19<br>\$ 2.15 | / hour<br>/ hour<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40 |
|   | Effective           | Jotober 1, 2003:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Walfare Allowance:<br>Uniform Allowance: | \$ 16.20<br>\$ 2.36 | / hour<br>/ hour<br>/ regular beur paid up to 40<br>/ regular hour worked up to 40 |
| •   | Effective (         | October 1, 2004:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$ -<br>\$ •        | / hour<br>/ hour<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40 |
|   | Effective C         | october 1, 2005:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$ */               | hour"<br>hour"<br>regular hour paid up to 40<br>regular hour worked up to 40       |

\* The perforcagree that either party may respon napoliations for amendments to Appendix "A" Wages and Health & Wajfaro Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from eail negotiation shall be incorporated into the terms of this egneement. If the perflet fail to reach agreement, the dispute shall be existingliad to arbitration in accordance with Article 6 of this agreement. All provisions of this Agroement, including, but not limited to, Article 16, chell remain in facto during the terms of the negotialians and any resulting subtration, and for the remainder of the terms of this agreement.

" It B4 hour site, A shift differential of four percent (4%) of the employoe's regular hourly rate shell be paid for all hours worked between & P.M. and & A.M.

United Sevaramont Security Officers of America Abul Socurity, Inc. . International Union Date 0/9/03 Director, 1t. R Dale Signatu wh HP+ United Government Security Diffeets of America Local 827

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### Appendix B

#### Local UGSOA 85

#### Section 2.1 Definition of a Work Site:

For the purposes of this Collective Bargaining Agreement, a work site shall be defined as: (check one)

□ the entire local

#### Section 2.2 Seniority Lists Addendum

The company will provide a seniority master list to the Local # 79 president including full time and shared employees by date of entry on duty.

#### Section 3.1 Filling Vacancies Addendum

For the purposes of this Collective Bargaining Agreement, job vacancies within the local shall be posted: (check one)

□ throughout the entire local

within the specific building in which the job vacancy occurs

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#### APPENDIX B

#### WESTERN DISTRICT OF TEXAS WORKSITES

#### SAN ANTONIO

John H. Wood Federal Courthouse, 655 E. Durango, San Antonio, Texas 13 total positions Bankruptcy Court, 615 E. Houston, San Antonio, Texas 3 total positions United States Federal Building, 727 E. Durango, San Antonio, Texas

#### AUSTIN

Federal Courthouse, 200 W. 8<sup>th</sup>, Austin, Texas 8 total positions Homer Thornberry Building, 903 San Jacinto St., Austin, Texas 8 total positions

#### EL PASO

Federal Courthouse, 511 E. San Antonio, El Paso, Texas 12 total positions

**3 total positions** 

U. S. Bankruptcy Court, 8515 Lockheed, El Paso, Texas 2 total positions

#### MIDLAND

U. S. Federal Courthouse, 200 E. Wall, Midland, Texas 6 total positions

#### PECOS

U. S. Federal Courthouse, Cedar and Fifth, Pecos, Texas 6 total positions

#### WACO

U. S. Federal Courthouse, 800 Franklin Ave., Waco, Texas 8 total positions

#### **DEL RIO**

U. S. Federal Courthouse, 111 E. Broadway, Del Rio, Texas 9 total positions

#### ALPINE

U. S. Federal Courthouse, 803 N. 2<sup>ed</sup> St., Alpine, Texas 2 total positions

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#### **ARTICLE 2**

#### SENIORITY

#### SECTION 2.1 SENIORITY DEFINED

(ADD)

D. For the purposes of this agreement, worksite shall be defined as CSO's assigned location and/or building. Where there is more than one building in a city each building shall maintain a seniority list.

#### **ARTICLE 3**

#### SECTION 3.1 FILLING VACANCIES

(ADD)

Job postings shall be limited to the site having the vacancy.

#### ARTICLE 7

#### SECTION 7.4 OVERTIME DISTRIBUTION (ADD)

A. Scheduled overtime will be offered by Seniority on a rotating basis. Scheduled overtime will be distributed as equitably and fairly as practicable among Employees at the affected worksite.

#### ARTICLE 9

#### HOLIDAYS

#### SECTION 9.1 HOLIDAYS DEFINED

Whenever the term "holiday" is used, it shall mean:

New Years Day Veterans Day Christmas Day Thanksgiving Day Memorial Day

Independence Day Columbus Day Labor Day Martin Luther King Jr's Birthday Presidents Day Employee's Birthday (to be taken during the Employee's birth month)

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## AMENDMENT TO COLLECTIVE BARGAINING AGREEMENT BETWEEN AKAL SECURITY, INC. AND THE UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA LOCAL #85

This Amendment to the Collective Bargaining Agreement between Akal Security, Inc. ("Company") and the United Government Security Officers of America, Local #85 ("Union") is entered into this 1<sup>st</sup> day of July, 2004, as an amendment to the Collective Bargaining Agreement between Akal Security, Inc. and the United Government Security Officers of America, Local #85 in effect form Geteters, 2003 till September 30, 2006.

The following wages are in effect from October 1, 2004 through September 30, 2005 in El Paso, TX:

| Court Security Officers:       | \$18.64/ hour**                      |
|--------------------------------|--------------------------------------|
| Lead Court Security Officer 1; | \$20,14/ hour**                      |
| Lead Court Security Officer 2; | \$19.64/ hour**                      |
| Health & Welfare Allowance:    | \$ 2.59/ regular hour paid up to 40  |
| Uniform Allowance:             | \$ .11/ regular hour worked up to 40 |

The following wages are in effect from October 1, 2004 through September 30, 2005 in Waco, TX:

| Court Security Officers:     | \$16.00/ hour**                      |
|------------------------------|--------------------------------------|
| Lead Court Security Officer; | \$17.00/ hour**                      |
| Health & Welfare Allowance:  | \$ 2.59/ regular hour paid up to 40  |
| Uniform Allowance:           | \$ .11/ regular hour worked up to 40 |

The following wages are in effect from October 1, 2004 through September 30, 2005 in San Antonio and Del Rio, TX:

| Court Security Officers:       | \$19.43/ hour**                      |
|--------------------------------|--------------------------------------|
| Lead Court Security Officer 1; | \$20.93/ how**                       |
| Lead Court Security Officer 2; | \$20.43/ hour**                      |
| Health & Welfare Allowance:    | \$ 2.59/ regular hour paid up to 40  |
| Uniform Allowance:             | \$ .11/ regular hour worked up to 40 |
|                                |                                      |

The following wages are in effect from October 1, 2004 through September 30, 2005 in Midland, Pecos and Apline, TX:

| Court Security Officers:     | \$16.86/ hou <sup>.**</sup>          |
|------------------------------|--------------------------------------|
| Lead Court Security Officer; | \$17.86/ hour**                      |
| Health & Welfare Allowance:  | \$ 2.59/ regular hour paid up to 40  |
| Uniform Allowance:           | \$ .11/ regular hour worked up to 40 |

.../?

The following wages are in effect from October 1, 2004 through September 30, 2005 in Austin TX:

Court Security Officers:\$16.86/ hour\*\*Lead Court Security Officer;\$18.36/ hour\*\*Health & Welfare Allowance:\$ 2.59/ regular hour paid up to 40Uniform Allowance:\$ .11/ regular hour worked up to 40

Beginning October 1, 2004, in replacement of the shoe allowance, Akal Security will be issuing regulation shoes to each CSO as part of the required uniform.

All other provisions, terms and conditions of the Agreement, except 2s provided herein, shall continue in full force and effect.

UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA, LOCAL #85 By: ה Date: C

AKAL SECURITY, INC.

ъ₽ Б'n Date

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## WAGE SCHEDULE

Listed below are the Wages and Benefits effective October 1, 2003 for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 85:

a) Base Wages

#### SITE: El Paso

|                                  | Cu       | urrent:                                |
|----------------------------------|----------|--|
| Court Security Officers:         | \$       | 17:48 / hour                           |
| Lead Court Security Officer 1:   | \$       | 18.98 / hour                           |
| Lead Court Security Officer 2:   | \$       | 18.23 / regular hour paid up to 40     |
| Health & Welfare Allowance:      | \$       | 2.15 / regular hour worked up to 40    |
| Uniform Allowance:               | \$       | 0.10625 / regular hour worked up to 40 |
|                                  | Ef       | fective October 1, 2003:               |
| Court Security Officers:         | 5        | 18.00 / hour**                         |
| Lead Court Security Officer 1:   | \$       | 19.50 / hour**                         |
| Lead Court Security Officer 2:   | \$       | 19.00 / regular hour paid up to 40     |
| Health & Welfare Allowance:      | \$       | 2.36 / regular hour worked up to 40    |
| Uniform Allowance:               | \$       | 0.11 / regular hour worked up to 40    |
|                                  | Ef       | fective October 1, 2004:               |
| Court Security Officers:         | \$       | * / hour**                             |
| Lead Court Security Officer 1:   | \$       | * / hour**                             |
| Lead Court Security Officer 2: - | 5        | * / regular hour paid up to 40         |
| Health & Welfare Allowance:      | \$       | * / regular hour worked up to 40       |
| Uniform Allowance:               | \$       | 0.11 / regular hour worked up to 40    |
|                                  | Ef       | fective October 1, 2005:               |
| Court Security Officers:         | \$       | * / hour**                             |
| Lead Court Security Officer 1:   | \$<br>\$ | * / hour**                             |
| Lead Court Security Officer 2:   | \$       | * / regular hour paid up to 40         |
| Health & Welfare Allowance:      | \$       | * / regular hour worked up to 40       |
| Uniform Allowance:               | \$       | 0.11 / regular hour worked up to 40    |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* If 24 hour site, A shift differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

| United Government Security Offi<br>International Union | cers of America | Akal Security, Inc. |      |
|--|-----------------|---------------------|------|
| Signature  | Date            | Signature           | Date |
| United Government Security Office                      | cers of America |                     |      |

Signature

## WAGE SCHEDULE

Listed below are the Wages and Benefits effective October 1, 2003 for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 85:

| a) Base Wages  | SITE:                           | Del Rio and San Antonio  |
|--|---------------------------------|--|
|  | Current:                        |  |
| Court Security Officers:<br>Lead Court Security Officer 1:<br>Lead Court Security Officer 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:    | \$ 19.72<br>\$ 18.97<br>\$ 2.15 | / hour<br>/ hour<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40   |
|  | Effective                       | October 1, 2003:   |
| Court Security Officers:<br>Lead Court Security Officer 1:<br>Lead Court Security Officer 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:    | \$ 20.27<br>\$ 19.77<br>\$ 2.36 | / hour**<br>/ hour**<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40   |
|  | Effective                       | October 1, 2004:   |
| Court Security Officers:<br>Lead Court Security Officer 1:<br>Lead Court Security Officer 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:    | \$<br>\$<br>\$                  | <ul> <li>/ hour**</li> <li>/ hour**</li> <li>/ regular hour paid up to 40</li> <li>/ regular hour worked up to 40</li> <li>/ regular hour worked up to 40</li> </ul> |
|  | Effective                       | October 1, 2005:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Senior Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$<br>\$<br>\$                  | <ul> <li>/ hour**</li> <li>/ hour**</li> <li>/ regular hour paid up to 40</li> <li>/ regular hour worked up to 40</li> <li>/ regular hour worked up to 40</li> </ul> |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration In accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* If 24 hour site, A shift differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

| United Government Security Officers of America<br>International Union |         | Akal Security, Inc. |      |  |
|---|---------|---------------------|------|--|
| Signature   | Date    | Signature           | Date |  |
| United Government Security Officers of A<br>Local #                   | America |                     |      |  |

Signature

## WAGE SCHEDULE

Listed below are the Wages and Benefits effective October 1, 2003 for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local #85:

| Court Security Officers:       \$ 15.56 / hour         Lead Court Security Officer:       \$ 16.31 / hour         Health & Welfare Allowance:       \$ 2.15 / regular hour paid up to 40         Uniform Allowance:       \$ 0.10625 / regular hour worked up to 40         Effective October 1, 2003:       Effective October 1, 2003:         Court Security Officers:       \$ 16.10 / hour**         Lead Court Security Officer:       \$ 17.10 / hour**         Health & Welfare Allowance:       \$ 2.36 / regular hour paid up to 40         Uniform Allowance:       \$ 0.11 / regular hour worked up to 40 | os |
|--|----|
| Lead Court Security Officer:       \$ 16.31 / hour         Health & Welfare Allowance:       \$ 2.15 / regular hour paid up to 40         Uniform Allowance:       \$ 0.10625 / regular hour worked up to 40         Effective October 1, 2003:         Court Security Officers:       \$ 16.10 / hour**         Lead Court Security Officer:       \$ 17.10 / hour**         Health & Welfare Allowance:       \$ 2.36 / regular hour paid up to 40   |    |
| Lead Court Security Officer:       \$ 16.31 / hour         Health & Welfare Allowance:       \$ 2.15 / regular hour paid up to 40         Uniform Allowance:       \$ 0.10625 / regular hour worked up to 40         Effective October 1, 2003:         Court Security Officers:       \$ 16.10 / hour**         Lead Court Security Officer:       \$ 17.10 / hour**         Health & Welfare Allowance:       \$ 2.36 / regular hour paid up to 40   |    |
| Uniform Allowance: \$ 0.10625 / regular hour worked up to 40 Effective October 1, 2003: Court Security Officers: \$ 16.10 / hour** Lead Court Security Officer: \$ 17.10 / hour** Health & Welfare Allowance: \$ 2.36 / regular hour paid up to 40   |    |
| Uniform Allowance: \$ 0.10625 / regular hour worked up to 40<br>Effective October 1, 2003:<br>Court Security Officers: \$ 16.10 / hour**<br>Lead Court Security Officer: \$ 17.10 / hour**<br>Health & Welfare Allowance: \$ 2.36 / regular hour paid up to 40   |    |
| Court Security Officers:\$ 16.10 / hour**Lead Court Security Officer:\$ 17.10 / hour**Health & Welfare Allowance:\$ 2.36 / regular hour paid up to 40  |    |
| Lead Court Security Officer:\$ 17.10 / hour**Health & Welfare Allowance:\$ 2.36 / regular hour paid up to 40   |    |
| Lead Court Security Officer:\$ 17.10 / hour**Health & Welfare Allowance:\$ 2.36 / regular hour paid up to 40   |    |
|  |    |
| Uniform Allowance: \$ 0.11 / regular hour worked up to 40  |    |
|  |    |
| Effective October 1, 2004:   |    |
| Court Security Officers: \$ * / hour**   |    |
| Lead Court Security Officer: \$ * / hour**<br>Health & Welfare Allowance: \$ * / regular hour paid up to 40  |    |
| Health & Welfare Allowarice: \$ * / regular hour paid up to 40   |    |
| Uniform Allowance: \$ 0.11 / regular hour worked up to 40  |    |
| Effective October 1, 2005:   |    |
| Court Security Officers: \$ * / hour**   |    |
| Lead Court Security Officer: \$ * / hour**   |    |
| Health & Welfare Allowance: \$ * / regular hour paid up to 40  |    |
| Uniform Allowance: \$ 0.11 / regular hour worked up to 40  |    |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* If 24 hour site, A shift differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

| International Union |           |                |
|---------------------|-----------|----------------|
| Date                | Signature | Date           |
| s of America        |           |                |
|                     | Date      | Date Signature |

Local #

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Signature

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## WAGE SCHEDULE

Listed below are the Wages and Benefits effective October 1, 2003 for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 85:

| a) Base Wages   | SITE:                                       | Austin   |
|---|---|--|
|   | Current:                                    |  |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: |   |  |
|   | Effective                                   | October 1, 2003:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$ 17.63<br>\$ 2.36<br>\$ 0.11<br>Effective | / hour**<br>/ hour**<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40<br>October 1, 2004:<br>/ hour** |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$*<br>\$*<br>\$0.11                        | / hour**<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40   |
|   |   | October 1, 2005:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$*<br>\$*                                  | / hour**<br>/ hour**<br>/ regular hour paid up to 40<br>/ regular hour worked up to 40                                 |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* If 24 hour site, A shift differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

| United Government Security Officers of America<br>International Union |               | Akal Security, Inc. |      |  |
|---|---------------|---------------------|------|--|
| Signature   | Date          | Signature           | Date |  |
| United Government Security Officer                                    | rs of America |                     |      |  |

United Government Security Officers of America Local #

Signature

## WAGE SCHEDULE

Listed below are the Wages and Benefits effective October 1, 2003 for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local # 85:

| a) Base Wages   | SITE: Waco   |
|---|--|
|   | Current:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | <ul> <li>\$ 14.44 / hour</li> <li>\$ 15.19 / hour</li> <li>\$ 2.15 / regular hour paid up to 40</li> <li>\$ 0.10625 / regular hour worked up to 40</li> </ul>  |
|   | Effective October 1, 2003:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | <ul> <li>\$ 15.20 / hour**</li> <li>\$ 16.20 / hour**</li> <li>\$ 2.36 / regular hour paid up to 40</li> <li>\$ 0.11 / regular hour worked up to 40</li> </ul> |
|   | Effective October 1, 2004:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | <ul> <li>\$ * / hour**</li> <li>\$ * / hour**</li> <li>\$ * / regular hour paid up to 40</li> <li>\$ 0.11 / regular hour worked up to 40</li> </ul>            |
|   | Effective October 1, 2005:   |
| Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | <ul> <li>\$ * / hour**</li> <li>\$ * / hour**</li> <li>\$ * / regular hour paid up to 40</li> <li>\$ 0.11 / regular hour worked up to 40</li> </ul>            |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* If 24 hour site, A shift differential of four percent (4%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

| United Government Security Officers of Americ<br>International Union | ca   | Akal Security, Inc. |      |
|--|------|---------------------|------|
| Signature  | Date | Signature           | Date |
| United Government Security Officers of Ameri<br>Local #              | ca   |                     |      |

Signature

Dale

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# 5th WTX 85

#### LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #87, 88, 90, 92, 94, 96, 85, 86, 106, 109, 110, 111, 56, 68, 114, 127, 137, 142, 143, 63, 79, 113, 157, **27**, 152, 158, 161, 167, 220, 57, 64, 67, 71, 76, 81, 118, 124, 128, 133, 134, 155, 159, 164, 53, 66, 130, 154, 125, 131, 132, and 135.

In the event of a building closure due to increment weather or an emergency situation, CSOs will have the option of either taking accrued paid leave (vacation and/or personal leave), or using Leave Without Pay.

This agreement does not change any existing policies regarding Leave Without Pay in other circumstances.

United government Security Officers Of America, International Union

Int'l Director CSO Div.

Date

Akal Security, Incorporated

## Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals 53, 56, 57, 66, 68, 76, 79, 81, 85, 86, 87, 88, 90, 92, 94, 96, 106, 109, 110, 113, 114, 118, 127, 128, 130, 131, 133, 134, 135, 137, 142, 143, 154, 157, 158, 161 and 220.

The Health and Welfare rate effective 10/1/2005 through 9/30/2006 is \$2.87 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers Of America, International Union

Int'l Director CSO Div.

Tille Date

United government Scourity Officers Of America, Local # 8

Akal Security: Incomposated lations Manager Dale

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## Appendix A

## **WAGE SCHEDULE**

Listed below are the Wages and Behefits for the employees at the 5th Circuit for the Western District of Texas, United Government Security Officers of America Local #85:

a) Base Wages

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STTE: San Antonio & Del Rio, TX

|   | Cu | ment           |  |
|---|----|----------------|--|
| Court Security Officers:<br>Lead Court Security Officer 1:<br>Lead Court Sociality Officer 2:<br>Health & Wicifare Allowance; | 7  | 20.93<br>20.43 | / hour**<br>/ hour**<br>/ hour**<br>/ regular hour paid up to 40 |

#### Effective October 1, 2005:

| Court Security Officers:       | 5  | 20.01 | /bour*                       |
|--------------------------------|----|-------|------------------------------|
| Lead Court Security Officer 1: | 3  | 21.51 | / hour                       |
| Lead Court Security Officer 2: | 8  | 21,01 | / hour=                      |
| Healin & Wellere Allowance:    | \$ | 2.57  | / regular hour paid up to 40 |

United Bovenment Security Officers of America Akal Security, loc. International Unica Signature Dete Signature Capat Relations Ma United Government, Security Officers of America L0cal # 85 07-07-2005 unis Date Signature

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\*\* TOTAL PAGE.06 \*\*

|                    |  | Appendb                          | (A     |  |
|--------------------|--|----------------------------------|--------|--|
|                    | . ₩/   | AGE SCH                          | EDU    | LE   |
| Listed<br>United   | below are the Wages and Benefits for<br>Government Security Officers of Ame              | the employees<br>Nica Local # 85 | at the | 6th Circuit for the Western District of Texas,       |
| a) Bas             | e Wages  | នា                               | E I    | Midland, Pecos & Alpine, TX                          |
|                    |  | Cum                              | ant:   |  |
|                    | Court Security Officers:<br>Lead Court Security Officer;<br>Health & Welfare Allowance:  | \$ 17                            | .85    | / hour**<br>/ hour**<br>/ regalar hour paid up to 40 |
|                    |  | Effec                            | tive O | ctober 1, 2005:                                      |
|                    | Court Security Officens:<br>Level Court Security Officen:<br>Health & Wettere Allowance; | 5 18                             | L37 J  | / hour**<br>/ hour**<br>/ tegular hour paid up to 40 |
|                    |  |                                  |        |  |
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|       | WAGE  | SC              | HED                             | ULE  |                               |   |
|       | Listed below are the Wages and Benefits for the em<br>United Government Security Officers of America Low  | ipitoy<br>cal # | ees at D<br>85:                 | ie 5th Gircuit f                                   | or the Western District o     | of Texas,                                   |
| ]     | a) Base Wages   | ł               | SITE:                           | El Paso, Ta  | ĸ                             |   |
| 1     |   | C               | arrent:                         |  |                               |   |
|       | Court Security Officers:<br>Lead Court Security Officer 1:<br>Lead Court Security Officer 2:<br>Nexilh & Welfare Allowance;   | 222             |                                 |  | paid up to 40                 |   |
|       |   | E               | Tective                         | October 1, 2                                       | <b>905:</b>                   |   |
|       | Court Security Officers;<br>Lead Court Security Officer 1;<br>Land Court Security Officer 2:<br>Health & Welfare Allowance;   | * * * *         | 19:20<br>20.70<br>20:20<br>2.87 | / hour**<br>/ hour**<br>/ hour**<br>/ regular hour | paktup ko 40                  |   |
|       | United Government Socurity Officers: of America<br>International Union<br>Muse<br>Signature<br>United Government Socurity Officers of America<br>Soci # ES<br>Multiple D1-01<br>Signature<br>Date |                 | Signature                       | urity, Inc.  | <u>Indos</u><br>tions Manager |   |

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| United Government Security Officers of America Local # 07.<br>a) Base Wages SITE: Austin, TX<br>Court Security Officer: 5 16.88 / hour<br>Health & Wetfare Alcowance: 5 2.59 / regular hour paid up to 40<br>Effective October 1, 2005:<br>Court Security Officer: 5 18.13 / hour<br>Health & Wetfare Alcowance: 5 2.07 / regular hour paid up to 40<br>United Government Security Officers of America<br>Wetfare Alcowance: 5 2.07 / regular hour paid up to 40<br>United Government Security Officers of America<br>Signature<br>Watter Bound Difference<br>Signature<br>Market Government Security Officers of America<br>Market Government Security Officers of America<br>Signature<br>Market Government Security Officers of America<br>Market Government Security Officers of America   |  |   |
| b) Deter Higher  Court Socially Officer: I and Court Security Officer: I social Security I social I social Security Officer: I social Security I social I s  | Listed below are the Wages and Benetits for the employees<br>United Government Security Officers of America Local # 85;  | s at the 5th Circuit for the Western District of Texas. |
| Court Security Officer:       \$ 16.86       / hour**         Lead Court Security Officer:       \$ 2.57       / regular hour paid up to 40         Durt Security Officer:       \$ 2.57       / negular hour paid up to 40         Lead Court Security Officer:       \$ 18.13       / hour**         Lead Court Security Officer:       \$ 2.57       / negular hour paid up to 40         Durt Security Officer:       \$ 19.53       / hour**         Lead Court Security Officer:       \$ 19.53       / hour**         Lead Court Security Officer:       \$ 2.07       / negular hour paid up to 40         Unided Covernment Security Officer:       \$ 2.07       / negular hour paid up to 40         Unided Covernment Security Officer:       \$ 2.07       / negular hour paid up to 40         Unided Covernment Security Officer:       \$ 50       / hour*         Signature       Date       Marketons         Marketons       Date       Marketons         Marketons       Date       Date   | a) Base Wages SIT  | E: Austin, TX   |
| Load Data Stearthy Officer:       \$ 18.36       / hour"         Ited to Data Stearthy Officer:       \$ 2.59       / regular hour pold up to 40         Editor Scoutby Officer:       \$ 19.36       / hour"         Lead Court Scoutby Officer:       \$ 19.53       / hour"         Heath & Wettere Alcowance:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ 2.07       / regular hour pold up to 40         Unled Government Security Officer:       \$ Security Date       \$ Security for the pold of the pold up to 40         Unled Government Security Officer:       \$ Date       \$ Security Officer:       \$ Security Officer:         Unled Government Security Officer:       \$ Date       \$ Security Officer:       \$ Security Officer:         Date       \$ Date       \$ Date       \$ Security Officer:       \$ Security Officer:  |  |   |
| Court Security Officers<br>Lead Court Security Officers<br>Hoeld & Wetters Allowance:<br>United Covernment Security Officers of America<br>International Union<br>Signature<br>United Covernment Security Officers of America<br>Signature<br>United Covernment Security Officers of America<br>United Covernment Security Officers of America<br>Signature<br>United Covernment Security Officers of America<br>United Covernment Security Officers of America<br>Date  | Lead Court Security Officer: \$ 1  | 8,36 / hour**   |
| Lead Court Security Officer:<br>Hostin & Welfere Advance:<br>2 19,53 / host<br>2 257 / regular hour paid up to 40<br>United Government Security Officere of America<br>Whited Government Security Officers of America<br>United Govern | Effor  | stave October 1, 2005:                                  |
| Harnestinal Union<br>Signature<br>United Generative Officients of Angerica<br>Logar 8 25<br>DALLA OT-07-2005<br>Signature<br>Date  | Lead Court Security Officer; \$ 1  | 9.63 / hour   |
|  | releases to the line of the second se | Jean 1/14/05<br>Calor Relations Manager                 |

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## Appendix A

## WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Western District of Teass, United Government Security Officers of America Local # 85:

a) Base Wages

(18v.)

SITE: Waco, TX

Current:

| Court Security Officers:     | \$ | 16,00 | /hour <sup>se</sup>          |
|------------------------------|----|-------|------------------------------|
| Load Court Security Officer: | 3  | 17.00 | 7hout**                      |
| Health & Welfare Alkwance:   | \$ | 2.69  | / regular hour paid up to 40 |

Effective October 1, 2005:

Court Security Officers; Lead Court Socurity Officer: Health & Wolfare Allowance:

| \$ | 16.75 | / hour**                     |
|----|-------|------------------------------|
| \$ | 17,75 | /hou**                       |
| 3  | 2.87  | I regular hour paid up to 40 |

United Gøljernment Security Officers of America of Union Internation Signature Date

United Government Security Officents of America

Lace) # 85 07-07-2005 Dale Signature

Akal Security, Inc. UU Signature alsa

Western hoursignp

| REGISTER OF WAGE D<br>THE SERVICE CONT<br>By direction of<br>of Labor |                     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|---|---------------------|--|
| OI LADOI  |                     | WASHINGION D.C. 20210  |
|   |                     | 1  |
|   |                     | Wage Determination No.: CBA-2006-303   |
| William W.Gross   | Division of         | Revision No.: 0  |
| Director  | Wage Determinations | Date Of Last Revision: 5/9/2006  |
| State: Louisiana  | · · · · · ·         | ·  |
| Area: Caddo   |                     |  |

Employed on United States Marshals Service contract for Court Security Officer.

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Collective Bargaining Agreement between contractor: Akal Security Inc., and union: United Government Security Officer of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

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| REGISTER OF WAGE 1<br>THE SERVICE CONI<br>By direction of<br>of Labor |                                    | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|---|------------------------------------|--|
|   |                                    | 1  |
| William W.Gross<br>Director   | Division of<br>Wage Determinations | <br>  Wage Determination No.: CBA-2006-304<br>  Revision No.: 0<br>  Date Of Last Revision: 5/9/2006               |
| State: Louisiana  |                                    |  |
| Area: Lafayette   |                                    |  |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: Akal Security Inc., and union: United Government Security Officer of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.

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| THE SERVICE CONT<br>By direction of |                     | U.S. DEPARTMENT OF LAB<br> EMPLOYMENT STANDARDS ADM<br>  WAGE AND HOUR DIVISION | INISTRATION  |
|-------------------------------------|---------------------|---|--------------|
| of Labor                            |                     | WASHINGTON D.C.<br> <br> <br>   | 20210        |
| ·                                   |                     | Wage Determination No.:   | CBA-2006-305 |
| William W.Gross                     | Division of         | Revision No.:   | 0            |
| Director                            | Wage Determinations | Date Of Last Revision:  | 5/9/2006     |
| State: Louisiana                    |                     |   |              |
| Area: Ouachita                      |                     |   |              |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: Akal Security Services, and union: United Government Security Officers of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.

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| CDIT WD          |  | 1. 1.                                |
|------------------|--|--------------------------------------|
|                  |  | Spelousas                            |
| REGISTER OF WAGE | DETERMINATION UNDER                    | U.S. DEPARTMENT OF LABOR             |
| THE SERVICE CON  | ITRACT ACT                             | EMPLOYMENT STANDARDS ADMINISTRATION  |
| By direction of  | the Secretary                          | WAGE AND HOUR DIVISION               |
| of Labor         |  | WASHINGTON D.C. 20210                |
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|                  |  |                                      |
|                  |  | Wage Determination No.: CBA-2006-307 |
| William W.Gross  | Division of                            | Revision No.: 0                      |
| Director         | Wage Determinations                    | s  Date Of Last Revision: 5/9/2006   |
| State: Louisiana | ······································ |                                      |
| State, HOUISIana |  |                                      |
|                  |  |                                      |

Area: St Landry

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.

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| REGISTER OF WAGE DETERM | INATION UNDER  | U.S. DEPARTMENT OF LAB   | OR           |
|-------------------------|----------------|--------------------------|--------------|
| THE SERVICE CONTRACT    | ACT            | EMPLOYMENT STANDARDS ADM | INISTRATION  |
| By direction of the S   | ecretary       | WAGE AND HOUR DIVISION   |              |
| of Labor                | -              | WASHINGTON D.C.          | 20210        |
|                         | 1              |                          |              |
|                         | 1              |                          |              |
|                         |                | Ware Determination No.   | CD1 2006 200 |
|                         |                | Wage Determination No.:  |              |
| William W.Gross         | Division of    | Revision No.:            | 0            |
| Director Wage           | Determinations | Date Of Last Revision:   | 5/9/2006     |
| State: Louisiana        | I              |                          |              |

Area: Rapides

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.

| REGISTER OF WAGE I<br>THE SERVICE CONT<br>By direction of<br>of Labor |  | U.S. DEPARTMENT OF LAB<br>EMPLOYMENT STANDARDS ADM<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. | INISTRATION                           |
|---|--|---|---------------------------------------|
| William W.Gross<br>Director   | Division of<br>Wage Determinations     | <br>  Wage Determination No.:<br>  Revision No.:<br>  Date Of Last Revision:                    | 0                                     |
| State: Louisiana  | ······································ |   | · · · · · · · · · · · · · · · · · · · |
| Area: Calcasieu   |  |   |                                       |

Employed on United States Marshals Services contract for Court Security Officer.

Collective Bargaining Agreement between contractor: Akal Security Inc., and union: United Government Security Officer of America Local 109, effective 10/1/2002 through 9/30/2006 and amended on 6/27/2005.
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Collective Bargaining Agreement

Between

# AKAL SECURITY, INCORPORATED

and the

United Government Security Officers of America (UGSOA) Local #109

CBA (Akal & UGSOA Local # 109-2002 - 2007)

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#### PREAMBLE

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THIS AGREEMENT is made and entered by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and UGSOA International Union, on behalf of its Local #109, hereinafter referred to as the "Union".

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#### **ARTICLE 1**

#### **GENERAL PROVISIONS**

#### **SECTION 1.1 BARGAINING UNIT**

A. This agreement is entered between Akal Security, Inc., United Government Security Officers of America (UGSOA), and UGSOA Local #109 (hereinafter referred to as the Union). The Company recognizes the Union as the sole and exclusive bargaining representative for the purpose of collective bargaining as defined in the National Labor Relations Act.

The unit is defined as all full-time and shared position Federal Court Security Officers (CSOs), Lead Federal Court Security Officers (LCSOs), Special Security Officers (SSOs), and Lead Special Security Officers (LSSOs) employed by the Company in the 5<sup>th</sup> Circuit in the Western District of Louisiana, excluding all other employees including office clerical employees and professional employees as defined in the National Labor Relations Act.

This agreement shall be binding upon both parties, their successors and assigns. In the event of a sale or transfer of the business of the employer, or any part thereof, the purchaser or transferee shall be bound by this agreement.

#### SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

#### SECTION 1.3 STEWARD SYSTEM

- A. The Company agrees to recognize a steward system.
- **B.** The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. Aggrieved employees will be paid their regular rate of pay in the conduct of Company Union business during scheduled working hours.
- C. If the Employee requests, the Company will call for a steward prior to any disciplinary action taken, whether it be written or verbal. The supervisor, at the request of the Employee, will release the steward as soon as possible. The Union Steward will be paid for up to thirty (30) minutes of that time, upon receiving Supervisor approval of relief from duty.

#### SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except in an emergency.

#### **SECTION 1.5 UNION SECURITY**

**A.** An Employee who is a member of the Union at the time this Agreement becomes effective shall continue membership in the Union for the duration of this Agreement, to the extent of tendering the membership dues uniformly required as a condition of retaining membership in the Union.

- **B.** An Employee who is not a member of this Union at the time that this Agreement becomes effective shall, within ten (10) days after the 30th day following the effective date of this Agreement or date of hire either:
  - 1) Become a member of the Union and remain a member.

2) Pay the Union a service fee. The amount of this service fee shall be equal to that paid by regular Union members to include regular and usual initiation fees. The service fee will not include any assessments, special or otherwise. Such payments shall commence on the 30th day after the date of hire.

- 2(a) Employees who are members of, and adhere to the established and traditional tenets of a bona-fide religion, body, or sect, which has historically held conscientious objections to joining or financially supporting labor organizations, shall, instead of the above, be allowed to make payments in amounts equal to the agency fee required above, to a tax-exempt organization (under Section 501(c)(3) of the IRS Code). The Union shall have the right to charge any Employee exercising this option, the reasonable cost of using the arbitration procedure of this Agreement on the Employee's individual behalf. Further, any Employee who exercises this option shall twice a year submit to the Union proof that the charitable contributions have been made.
- C. Before any termination of employment pursuant to this Section becomes effective, the employee involved shall first be given notice in writing by the Union to pay the prescribed initiation fee and/or delinquent dues. If the employee fails to pay the initiation fee and/or delinquent dues, and if such fee and/or dues are tendered within 48 hours after the employee receives this notification from the Company, his/her dismissal under here shall not be required. If termination is administered under this provision, the reasons will be given in writing. Termination will not occur if there is an ongoing dispute between the effected employee and the Union.
  - The obligations set forth in this Article shall only be effective to the extent permitted by controlling law, including, but not limited to, any Executive Orders permitting or restricting Union security rights. If there is a legal challenge to any provision of this Article, the Employer may suspend its obligations under this Article for the duration of the dispute after conferring on the matter with the Union.
  - 2) The Union, including its International, agrees to save and hold the Employer harmless from any and all claims, actions, suits, damages, or costs, including any attorneys fees incurred by the Employer, on account of any matter relating to the terms of this Article, including, but not limited to any claims by any Employee(s) and compliance with the law.

#### **SECTION 1.6 DUES CHECKOFF**

A. The Company agrees to deduct dues as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon written authorization from the Employee on a form provided by the Union. The Employee, upon thirty (30) days written notice served upon the Company and the Union, may revoke such

authorization. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will, be advised in writing, by the Union, as to the dollar amount of the Union membership dues.

**B.** The Company will remit all such deductions to the Financial Secretary/Treasurer within five (5) business days from the date that the deduction was made, via direct deposit, if possible. All costs related to direct deposit will be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues, within three (3) business days of each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions initiated by an Employee against the Company, and assumes full responsibility of the dispositions of the funds so deducted, once they are paid over to the Union. Errors made by the Company in the deduction or remittance of monies shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

#### SECTION 1.7 INTENT OF PARTIES

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient security operations. The Union and the best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce, or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union. Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, or disability. The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

#### ARTICLE 2

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#### SENIORITY

#### **SECTION 2.1 SENIORITY DEFINED**

- A. Union seniority shall be the length of continuous service from the Employee's last date of hire as a CSO or LCSO for the Employer, past or present and/or any predecessor Employer. Seniority shall not accrue until the Employee has successfully completed the probationary period. Seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work, transfers, and other matters as provided for in this Agreement.
- **B.** For the purposes of shift bidding, vacation schedules and extra work, union seniority shall be defined as seniority within the work site.
- **C**. Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose their Union seniority as it applies to the order of layoff and recall, shift

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bidding, vacation schedules, extra work, and other matters as p wided for in this Agreement.

#### SECTION 2.2 SENIORITY LISTS

The Company will provide a seniority list (last date of hire as a CSO, within the bargaining unit, as designated by the Union and approved by the Company) to the Local Union President twice each year. (See Appendix B for local list specifications)

#### SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

## SECTION 2.4 TRANSFER OUT OF UNIT

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) weeks shall lose their Union seniority. If they return to the bargaining unit at a later date their seniority will start on that return date.

#### SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a one hundred twenty (120) calendar day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs, or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement.

Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The Probationary period can be extended by mutual agreement between the Company and the Union.

#### SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- a) the Employee quits or retires;
- b) the Employee is permanently discharged;
- c) a settlement with the Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- d) the Employee is laid off for a continuous period of one hundred eighty (180) calendar days;
- e) the U.S. Government revokes the Employee's credentials as a CSO;
- f) the Employee is permanently transferred out of the bargaining unit.

#### ARTICLE 3

#### **JOB OPPORTUNITIES**

#### SECTION 3.1 FILLING VACANCIES

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays). All shared position Employees who have notified the Site Supervisor, in writing of their intent to apply for a Full-Time position and who are not scheduled to work during that three (3) day period at the site where an opening occurs, and any Employees on vacation or on other approved leave will be notified by the Company. When a vacancy occurs, the Employer will fill the position with the most senior Employee who has applied for the position in writing, who has been trained (if required) to fill any necessary special qualifications for the new position. No more than two (2) shifts will be filled under this procedure as a result of that vacancy.

#### **SECTION 3.1(a) SHARED POSITION EMPLOYEES**

The Company is obligated under its contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part time schedule, as necessary, at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action.

#### SECTION 3.1(b) LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority. Recall of Employees will be accomplished by recalling the last laid off Employee first, and so on.

#### SECTION 3.2 TEMPORARY ASSIGNMENTS

A. In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, or assign an Employee to a position that is part of a temporary security assignment directed by the USMS, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement. To the extent feasible the assignment shall be a voluntary selection based on seniority and qualification absence of volunteers, assignments shall be made on a reverse seniority and qualifications basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this agreement ,whichever is greater.

#### SECTION 3.3 APPOINTMENT OF LEAD CSOs

The U.S. Government in its contract with the Company creates specific guidelines for the job duties and qualifications of Lead CSOs. Based on these guidelines, all appointments of Lead CSOs will be made on the basis of suitability as evaluated by the Company. Suitability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail. Lead CSOs will not perform supervisory duties as described by the National Labor Relations Act. The job of the Lead CSO is

described in Section C-5(c) of contract # MS-02-D-0001, or its succes. , between the US Marshals Service and the Company.

#### ARTICLE 4

#### SECTION 4 MANAGEMENT'S RETAINED RIGHTS

#### SECTION 4(a)

Management of the business and direction of the security force are exclusively the right of management. These rights include the right to:

- A. Hire;
- B. Assign work;
- C. Promote, Demote;
- D. Discharge, discipline, or suspend based on Article 6;
- E. Require Employees to observe reasonable Employer rules and regulations;
- F. Determine when overtime shall be worked;
- G. Determine the qualifications of an Employee to perform work.

#### SECTION 4(b)

Any of the rights, power or authority the Company had prior to the signing of this Agreement are retained by the Company, except those specifically abridged or modified by this Agreement and any supplemental Agreements that may hereafter be made. The Company's failure to exercise any function reserved to it shall not be deemed a waiver of any such rights.

#### ARTICLE 5

#### **GRIEVANCE PROCEDURE**

#### SECTION 5.1 INTENT

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement, or the challenge of any disciplinary action taken against a Union Employee, except that this grievance procedure shall not be used for any action or order of removal of an Employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract # MS-02-D-0001 or its successor between the US Marshals Service and the Company. Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government.

#### SECTION 5.2 GENERAL PROVISIONS

- A. The number of days outlined in Sections 5.3 and 5.4 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance. The term "days" shall not include Saturdays, Sundays or holidays when used in this Article.
- B. Should either the Company, the Union, or the aggrieved employee fail to comply with the time limits as set forth in this Article, the party who failed to comply with the time limits shall forfeit the grievance.

#### SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

- A. Informal Step The parties shall make their best efforts to resolve any dispute on an informal basis. Both the Company and the Union agree that the Employee will first discuss the complaint with their immediate supervisor (not in the bargaining unit), within five (5) working days of the incident being grieved, to start the informal procedure. If the informal procedure is not invoked within five working days of Employee's knowledge of a grievable issue, then it is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in. If the complaint is not satisfactorily adjusted within three (3) working days of the inception of the informal discussion, it may be submitted in writing to the Contract Manager or designee in accordance with Step One.
- **B.** Step One If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the union representative, and shall be submitted to the Contract Manager or designee with a copy to the Company's HR Director. The Contract Manager or designee shall have ten (10) days from the date the grievance was presented to return a decision in writing with a copy to the aggrieved Employee and the union representative.
- C. Step Two If the grievance is not settled in Step One, the grievance may be appealed in writing to the Company's Director of Human Resources or designee not later than ten (10) days from the denial by the Contract Manager or designee. The Director of Human Resources or designee will have ten (10) days from the date the grievance was presented to return a decision, in writing, with a copy to the aggrieved Employee and the union representative.
- **D.** Grievance for Discipline Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or designee within ten (10) days after the occurrence of the facts giving rise to the Grievance.

#### SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Company's Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements CBA (Akal & UGSOA Local #109-2002 - 2007) 9

of Section 5.3 which remain unsettled shall be processed in accordanc /ith the following procedures and limitations:

- A. Selection of an Arbitrator Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet telephonically to jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the American Arbitration Association (AAA) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the AAA by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.
- **B.** Decision of the Arbitrator The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement. If the decision of the Arbitrator is not complied with within fifteen (15) days of the decision the losing side shall be liable for attorney and court costs to enforce compliance including through the courts, absent an order from the U.S. Marshals Service or unless the Company files a written request for clarification, then the Company will comply within fifteen (15) days of receiving the clarification.
- **C.** Arbitration Expense The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.
- **D.** Time Limits The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

#### SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

#### SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

#### **ARTICLE 6**

#### DISCIPLINE

#### SECTION 6.1 GROUNDS FOR DISCIPLINE AND DISMISSAL

#### SECTION 6.1 (a)

After completion of the probationary period, as specified in Section 2.5, no Employee shall be dismissed or suspended without just cause. Just cause shall include any action or order of removal of CBA (Akal & UGSOA Local # 109-2002 - 2007) 10

an employee from working under the contract by the U.S. Governmen, revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract # MS-02-D-0001 or its successor between the US Marshals Service and the Company.

Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government, and the Employer shall be held harmless by the Union and the employee for any further claims made after this final determination. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties.

The Company's contract with the U.S. Government sets out performance standards for the CSOs in Section C of the Contract between the Company and the USMS, and all Employees are required to comply with these standards. Failure to do so may lead to disciplinary action. These performance standards, the USMS Deadly Force Standards and the US Title 18 Domestic Abuse and Violence policy will be issued to each Employee and must be signed, acknowledging receipt, by the Employee and may be updated by the Company each year. Employees agree to comply with any express non-disciplinary directive issued by the Government.

#### SECTION 6.1 (b)

The Company may discipline Employees when necessary and discharge those who fail to uphold U.S. Government or Company standards as described in 6.1 (a) and 6.1 (b) above. It is recognized by parties to this Agreement that progressive discipline generally shall be applied in dealing with Employees. However, it is also recognized that offenses may occur for which progress discipline is not applicable (e.g. fraud, gross misconduct, theft, etc.). Disciplinary measures vary depending on the seriousness of the matter and the past record of the Employee. All discipline shall be subject to the grievance and arbitration procedures, except for those issues involving the USMS rights under Section H-3(b) of Contract # MS-02-D-0001 or its successor as referenced in Sections 5.1 and 6.1(a).

#### ARTICLE 7

#### HOURS OF WORK AND OVERTIME

#### SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the U.S. Government. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

#### **SECTION 7.2 OVERTIME**

An overtime rate of time and one-half  $(1 \ 1/2)$  of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours actually worked in

excess of forty (40) hours in a work week. The Company will comply with all State and Federal Laws concerning overtime.

#### SECTION 7.3 OVERTIME REQUIREMENT

If directed to work overtime (i.e. over forty [40] hours in a workweek) or extra hours, and the seniority system is not invoked due to shortness of notice to the Company, the Employee shall be required to do the work, unless the Employee is excused by the Company for good cause.

#### SECTION 7.4 OVERTIME DISTRIBUTION

- **A.** Overtime will be offered by Seniority on a rotating basis. Overtime will be distributed as equitably and fairly as practicable among Employees.
- **B.** Exclusion: Managers cannot be assigned to cover CSO overtime positions or posts except in emergency situations.

#### **SECTION 7.5 REST PERIODS**

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch period for each eight (8) hour shift. These rest periods require that the Employee be properly relieved before leaving their post. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional work requirements, Employees may have to work through their unpaid lunch breaks and/or paid rest periods, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to make its best efforts to provide regularly scheduled breaks. It is not the intent of the Company to avoid this requirement.

#### ARTICLE 8

#### WORK SHIFTS AND PAYMENT POLICIES

#### SECTION 8.1 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four (4) hours of work, or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours time.

#### SECTION 8.2 SHIFT BIDDING, HOURS OF WORK, & SENIORITY

Once each year, full-time Employees and shared position Employees at each location may bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared time position or vice versa.

#### **SECTION 8.3 WAGE SCHEDULE**

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement:

#### **SECTION 8.4 PAYDAY**

Payday for all hourly Employees will be after 11 a.m. on Friday following the two (2) week pay period ending on Saturday, subject to change by mutual agreement. The Company will make its best effort to make direct deposit available.

#### **SECTION 8.5 UNDISPUTED ERROR**

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error, involving eight (8) hours of pay or more, will be corrected and paid within three (3) working days.

#### **SECTION 8.6 LEAD CSO RATES**

If additional Lead CSOs are added to the contract any time after this Agreement goes in, they will be paid the LCSO wage. In the case where there are multiple LCSO wages, the additional LCSO will be paid at the lowest LCSO wage for the site or location where they are assigned.

#### ARTICLE 9

#### HOLIDAYS

# SECTION 9.1 HOLIDAYS DEFINED

Whenever the term "holiday" is used, it shall mean:

| New Years Day  | Independence Day                  |  |
|--|-----------------------------------|--|
| Veterans Day   | Columbus Day                      |  |
| Christmas Day  | Labor Day                         |  |
| Thanksgiving Day   | Martin Luther King Jr.'s Birthday |  |
| Memorial Day   | Presidents Day                    |  |
| Any day designated by the President of the United States as a permanent national holiday |                                   |  |

#### SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

- A. A full-time position Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift premium for that holiday.
- **B.** Any full-time position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition, shall receive eight (8) hours holiday pay at the straight time rate as described in A above.
- **C.** A shared position Employee who does not work on a holiday shall receive prorated holiday pay based on the number of actual hours the Employee worked during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a minimum of four (4) hours per holiday with the exception of anyone on extended leave. Shared position holiday proration shall be based on total non-holiday work days in the pay period.
- **D.** Any shared position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition shall receive prorated holiday pay based on the number of actual hours the Employee worked during the two (2)

week pay period in which the holiday occurs. A shared position \_\_\_\_\_nployee shall be granted a minimum of four (4) hours per holiday with the exception of anyone on extended leave. Shared position holiday proration shall be based on total non-holiday work days in the pay period.

**E.** In the event that the Holiday falls on a weekend, the term "holiday" will refer to the day that the U.S. Government designates as the Holiday.

#### **ARTICLE 10**

#### VACATIONS

#### SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Eligibility for vacation benefits shall be based on Department of Labor (DOL) rules under Service Contract Act. Eligible full-time Employees shall be entitled to annual vacation based on their continuous years of service with the Employer (based on the Employee's anniversary date of employment) at their individual hourly rate of pay at the time payment is made in accordance with the following schedule:

Upon completion of one (1) year of service: eighty (80) hours Upon completion of five (5) year of service: one-hundred and twenty (120) hours Upon completion of twelve (12) year of service: one-hundred and sixty (160) hours

## SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees shall be entitled to pro-rated vacation per the schedule contained in Section 10.1, based on: their individual hourly rate, the number of hours worked in the previous year, and the Employee's anniversary date.
- **B.** Any Employee who works a full anniversary year, in part as a full-time position Employee and in part as a shared position Employee, shall receive prorated vacation benefits for that year as calculated in SECTION 10.2, part A (per the Service Contract Act).

### SECTION 10.3 SCHEDULING VACATIONS

Vacations, insofar as reasonably possible, shall be granted at the times most desired by the Employee, after the Employee's anniversary date.

#### **SECTION 10.4 PAY OPTIONS**

Earned vacation pay may be requested at anytime and will be paid in the next pay cycle.

#### SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (based on Employee's anniversary date of employment) shall be paid to the Employee.

#### SECTION 10.6 PAY IN LIEU OF VACATION LEAVE

At any time during the year, Employees may request in writing to be paid for earned vacation, pay in lieu of taking actual vacation leave.

#### SECTION 10.7 TERMINATING EMPLOYEES

Upon termination of employment, Employees will be paid at their individual hourly rate vacation time earned as of their last anniversary date, but not used, as entitled by the Service Contract Act. (Example: An Employee who terminates one month into the next anniversary year is entitled to any of the previous year's earned accrued vacation not already used, and not to the additional month accrued in the new anniversary period).

#### **SECTION 10.8 VACATION - LAID OFF EMPLOYEES**

Length of service with the Employer shall accrue for the purposes of vacation benefits while an Employee is on laid-off status for up to one (1) year. Employees will only be paid vacation benefits when they are working.

#### **SECTION 10.9 VACATION INCREMENTS**

Consistent with Employer approval, efficiency, and economy of operations, Employees may take their vacation in segments of less than one (1) week each but not less than one (1) day.

#### ARTICLE 11

#### LEAVES OF ABSENCE

#### SECTION 11.1 LIMITATIONS

Personal leaves of absence for non-medical emergencies may be granted at the sole discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless a special extension is approved by the Employer. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence. It is acknowledged by the Union that under USMS CSO contract, the Employer is not permitted to hire additional (reserve) or temporary Employees to provide work coverage during Employee absences. Unpaid leaves of absence may be taken only with written approval of the Employer, or in a case of verified personal emergency. Failure to report for scheduled shifts without Employer permission will lead to disciplinary action.

#### **SECTION 11.2 MEDICAL LEAVE**

- A. The Family and Medical Leave Act of 1993 (FMLA) is incorporated herein.
- B. The Company agrees to honor the FMLA for all Employees.
- **C.** During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employee with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work. Any Employee who is not able to return to work with a medical clearance from a licensed physician at the end of a maximum medical leave shall be terminated from Employment.
- D. If the Employee files for medical leave on false pretext or works for another employer without pre-authorization from the company, the Employee will be removed from the CSO

program and from employment with Employer.

E. In the event of injury or illness that is deemed non communicable, the Employee may be granted "light duty" status at the approval of the COTR in writing to the Company as long as it does not interfere with the business of the Court. The injury or illness for light duty status must be temporary and cannot be more than thirty (30) days.

#### SECTION 11.3 MILITARY LEAVE

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

#### **SECTION 11.4 UNION LEAVE**

A Union President and one delegate will be granted an unpaid leave of absence no more than once a year for a maximum of five days upon written request for the purpose of attending Union conventions or other meetings of vital interest to the Union as long as staffing requirements permit. More time will be granted upon mutual agreement between the Company and the Union.

#### SECTION 11.5 PERSONAL/SICK LEAVE

Each full-time seniority Employee shall be eligible to use a maximum of six (6) days of personal leave (48 hours) per 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based upon the following rate (see **Personal Leave Eligibility Table** below):

| Personal Leave Eligibility Table |  |                 |  |
|----------------------------------|--|-----------------|--|
| START DATE                       | RATE OF PERSONAL LEAVE ELIGIBLE TO USE |                 |  |
| (Date Employee begins working    | FULL-TIME                              | SHARED POSITION |  |
| on the contract, based on an     |  |                 |  |
| October 1 contract start date.)  |  |                 |  |
| October 1-31                     | 48 hours                               | 24 hours        |  |
| November 1-30                    | 44 hours                               | 22 hours        |  |
| December 1-31                    | 40 hours                               | 20 hours        |  |
| January 1-31                     | 36 hours                               | 18 hours        |  |
| February 1-29                    | 32 hours                               | 16 hours        |  |
| March 1-31                       | 28 hours                               | 14 hours        |  |
| April 1-30                       | 24 hours                               | 12 hours        |  |
| May 1-31                         | 20 hours                               | 10 hours        |  |
| June 1-30                        | 16 hours                               | 8 hours         |  |
| July 1-31                        | 12 hours                               | 6 hours         |  |
| August 1-31                      | 8 hours                                | 4 hours         |  |
| September 1-30                   | 4 hours                                | 2 hours         |  |

- A. Personal days shall be used in not less than four-hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.
- B. Shared position Employees will receive one- half the full-time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1,040 hours) will receive additional prorated personal leave based upon the number of actual hours Employee worked during that contract year. Therefore, for each additional

87 hours worked over 1,040 hours during the contract year, Emplo will receive an additional 2 hours of personal leave, up to a possible maximum of 48 hours total personal leave for the contract year.

- C. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave pay will be paid to Employee at the end of the contract year.
- D. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of actual hours Employee worked during that contract year. (Example: An Employee who terminates work after six months at the fulltime rate during the current contract year and earns three (3) days personal leave, but only uses two (2) days, would be eligible upon termination to be paid for the third, unused personal day.) If the Employee has used more personal days upon termination than he/she earned based upon time worked on the contract (4 hours per full month worked), the amount of the overage will be deducted from the Employee's final paycheck. (Example: If Employee works only six months and therefore earns three days (24 hours) personal leave, but actually uses four days personal leave, the extra 8 hours' pay will be deducted from Employee's final paycheck.)
- E. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her regular shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

#### SECTION 11.6 PROCESSING UNPAID LEAVES OF ABSENCE

The Employer will consider requests for unpaid leaves of absence and may grant them at its sole discretion. An unpaid leave of absence must be processed in the following manner:

- A. All requests for unpaid leaves of absence shall be submitted in writing to the Lead CSO, Site Supervisor or Contract Manager at least ten (10) calendar days prior to the date the leave will take effect, except in cases of verified personal emergencies, and include:
  - 1. The reasons for such leave;
  - 2. The effective dates of such leave;
  - 3. The estimated date of return to work.

The Company will respond to the request within four (4) working days.

- **B.** The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- **C.** Extensions of the leave of absence may be granted at the sole discretion of the Employer, upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence. Extensions when granted shall not total more than thirty (30) days.

#### SECTION 11.7 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of this Agreement.

#### SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty (See Appendix for Local Specifics). Employees will receive their regular rate of pay minus any pay received from the courts for up to three (3) days spent on jury duty.

#### **SECTION 11.9 BEREAVEMENT LEAVE**

- A. Effective October 1, 2002. If it is necessary for an Employee to lose time from work because of a death in the immediate family, whether the family member lives in or out of their local state, the Employee shall be entitled to three (3) days paid leave of absence per contract year at their straight rate of pay. Shared position employees will receive one half of the full time leave. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including legally adopted children and/or step children), father in-law, mother-in-law, grandparents, and grandchildren.
- B. The Employer may require proof of the death for which an Employee requests a paid leave.

#### ARTICLE 12

#### HEALTH, WELFARE AND UNIFORM ALLOWANCES

#### **SECTION 12.1 PAYMENTS**

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix A per Department of Labor Wage Determination.

#### **SECTION 12.2 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other available Employee paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs may include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

#### SECTION 12.3 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour worked, up to 40 hours per week, for uniform maintenance as described in Appendix A. A shoe allowance of \$62.50 per contract year will be provided annually for the purchase of USMS-required CSO uniform shoes.

#### **SECTION 12.4 INCLEMENT WEATHER GEAR**

The Employer will make its best effort to assist in obtaining appropriate and adequate weather gear through the appropriate channels.

#### **ARTICLE 13**

#### MISCELLANEOUS PROVISIONS

#### **SECTION 13.1 BULLETIN BOARDS**

The Employer will make its best effort to obtain a space from the U.S. Covernment for Union to locate a Union-provided bulletin board that will be used by the Union for post-notices of meetings. elections, appointments, recreational and social affairs, and other Union notices. The provision of these facilities is the prerogative of the U.S. Government, who owns and controls all worksite facilities.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

- A. The Employer shall pay for any physical/medical examination that is required by the Employer and the U.S. Government. The Employer has the right to choose the physician who will perform the physical exam.
- **B.** Physical/medical exams may be required by the U.S. Government contract, or should the Employer have concerns regarding an Employee's fitness for duty. The Employer may designate the physician or clinic, at its discretion. Physical fitness is an important job requirement. Employees must pass the physical exam prescribed by the Employer's contract with the U.S. Government in order to be employed and to maintain employment. Should an Employee fail the exam, or be officially deemed medically unqualified by the U.S. Government, they may be re-examined once at the Employee's expense.
- C. The Employer will pay for the time required for the employee to take required physical exams. Time for any exams requiring more than two (2) hours must be pre-approved by the Site Supervisor. If, when the appointment is going to exceed two (2) hours, the Employee will call into Site Supervisor or designee to inform them of the delay and request approval for additional time.

#### **SECTION 13.3 TRAVEL EXPENSES**

The Company will provide advance payments for Company authorized and approved travel expenses if requested by an Employee. Any workday that includes travel and totals over twelve (12) hours may require the Employee to stay overnight, and the appropriate per diem will be paid. All hours in travel up to a maximum of eight (8) per day will be counted as work hours, with the appropriate overtime wages provided for under this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day Employer receives the properly completed travel voucher and all required receipts.

#### **SECTION 13.4 BREAK ROOMS**

The Employer will make its best effort to obtain from the U.S. Government break rooms for CSOs for breaks and lunch, without management using the room as an office, and will make its best prerogative of the U.S. Government effort to have the U.S. Government equip the room with water. The providing of these facilities is the prerogative of the U.S. Government

#### **SECTION 13.5 LOCKERS**

The Employer will make its best effort to obtain lockers from the U.S. Government for the use of the CSOs. The Employer agrees to make its best effort to support any Union request for separate Locker/Changing facilities. The providing of these facilities is the prerogative of the U.S. Government.

#### SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances as described in this Agreement. No Employee may leave their post without permission from the Employer under any circumstances. No Employee may be at the worksite at any time unless on duty at that time, unless there is appropriate Government permission granted.

#### **ARTICLE 14**

#### 401 (k) PLAN

#### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401 (k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. At the direction of the individual Employee, the Company may deposit the Health & Welfare payment to the Employee's 401 (k) account. Employees shall be subject to the eligibility requirements and rules of the Plan.

### SECTION 14.2 UGSOA 401(a) Plan

The Company agrees to make deductions for Union members in good standing for the UGSOA 401(a) Plan, if the Union members so choose. At the direction of the individual Union member, the Company may contribute the Health & Welfare and /or Pension payments into the Union members' UGSOA 401(a) plan. Union members shall be subject to the eligibility requirements and rules of the Plan.

The Company agrees to send the deductions / contributions to UGSOA International Union, the Administrator of the UGSOA 401(a) Plan, no later than the Tenth (10<sup>th</sup>) of the Month following the Month the deductions were made.

The Company's sole responsibility is to make the payroll deductions and send said deductions to the Plan Administrator with a record of name, social security number and amount of deduction for each source of money (i.e. Health & Welfare, Pension and/or after-tax deduction). The Company is in no way responsible for any other aspect of the plan.

No local and its members will be eligible for both the Company's 401 (k) Plan and the Union's 401 (a) Plan. All Employees in a local will be eligible for only one of the plans.

#### **ARTICLE 15**

#### SAFETY

#### SECTION 15.1 SAFETY POLICY

It is the policy of the Company to make its best efforts to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. Under this Agreement all worksites and facilities are the property of the U.S. Government, CBA (Akat & UGSOA Local # 109-2002 - 2007) 20

who is responsible for the condition and safety of the worksite. The Co., any agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings by the Company. The Company agrees to make its best effort to seek approval to include one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meeting by the US Government.

#### SECTION 15.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any U.S. Government-provided CSO workstations and break rooms.

#### ARTICLE 16

#### **CONTINUITY OF OPERATIONS**

#### SECTION 16.1 NO STRIKES

- A. Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns, or secondary boycotts during the term of this Agreement.
- **B.** Upon hearing of an unauthorized strike, slowdown, stoppage of work, planned inefficiency, or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such or bring such activity to prompt termination.

#### SECTION 16.2 LOCKOUTS

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

#### ARTICLE 17

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through U.S. Government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or U.S. Government statutes, so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

#### **ARTICLE 18**

#### ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, the unlimited right and opportunity to make demands and proposals with respect to any or matter not removed by

law from the area of collective bargaining, and all understand agreements reach by the parties are set forth in this Agreement. Therefore, the Company Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

#### **ARTICLE 19**

#### DURATION

This Agreement shall be effective from 11:45 pm September 30, 2002 through September 30, 2007 and supersedes any and all prior agreements or understandings between the parties.

IN WITNESS WHEREOF, the parties have caused their representative. ... sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR: United Government Security Officers of America,  $\frac{\omega}{k_{e}}$  Local #/109

thin BY: TITLE: > DATE: 😹 02

FOR: United Government Security Officers Of America, International Office

BY: TITLE: 7-30-02 DATE:

FOR: Akal Security, Inc.

enquati quations Office BY: TITLE: DATE:

#### APPENDIX "A"

#### WAGE SCHEDULE

Listed below are the Wages and Benefits for the Employees in the  $5^{\pm}$  Circuit for the Western District of Louisiana, Local # 109 of UGSOA.

# A. Base Wages & Benefits:

| (1) Current t               | unit Septemi | per 30, 2002: |                    |                   |
|-----------------------------|--------------|---------------|--------------------|-------------------|
|                             | Lake         |               | Alexaudria, Monroc | Shreveport (300 - |
|                             | Charles      | Lafayette     | and Opelousas      | Fannin St.)       |
| a) Court Security Officers: | \$14.03      | \$14.03       | \$14.03            | \$14.03           |
| b) Lead Court Security      | \$14.42      | \$14.69       | \$14.34            | \$14.77           |
| Officer:                    |              | i             | l                  |                   |
| c) IIcelth & Welfare        | \$2.00       | \$2.00        | \$2.00             | \$2.00            |
| Allowance:                  |              |               |                    |                   |
| d) Uniform Allowance:       | \$0.10625    | \$0.10625     | \$0.10625          | \$0.10625         |

### (1) Current until September 30, 2002:

# (2) Effective October 1, 2002:

| •                               | Alexandria, Lake Charles, | Lafayette & Shreveport |
|---------------------------------|---------------------------|------------------------|
|                                 | Monroe and Opelousas      | (300 Faunin SL)        |
| a) Court Security Officers:     | \$14.57                   | <b>\$</b> 14.57        |
| b) Lead Court Security Officer: | \$15.32                   | \$16.07                |
| c) Health & Wolfare Allowance;  | per WD as of 10/1/02      | per WD as of 10/1/02   |
| d) Uniform Allowance:           | \$0.10625                 | \$0.10625              |

#### (3) Effective October 1, 2003:

|                                 | Alexandria, Lake Charles, | Lafayette & Shreveport |
|---------------------------------|---------------------------|------------------------|
|                                 | Monroe and Opclousas      | (300 Farnin St.)       |
| a) Court Security Officers:     | \$15.01                   | \$15.01                |
| b) Lead Court Security Officer: | \$15.76                   | \$16.51                |
| c) Health & Welfare Allowance:  | per WD as of 10/1/03      | per WD as of 10/1/03   |
| d) Utuform Allowance:           | \$0.10625                 | \$0.10625              |

#### (4) Effective October 1, 2004:

|                                 | Alexandria, Lake Charles,<br>Monroe and Opelousas | Lafayette & Shreveport<br>(300 Fapnin St.) |
|---------------------------------|---|--|
| a) Court Security Officers:     | \$15.46   | \$15.46                                    |
| b) Lead Court Security Officer: | \$16.21   | \$16.96                                    |
| c) Health & Welfare Allowance:  | per WD as of 10/1/04                              | per WD as of 10/1/04                       |
| d) Uniform Allowance:           | \$0.10625   | \$0.10625                                  |

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### WAGE SCHEDULE (Continued)

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|--------------|---|
| ~            |   |

|                                 | (Continued)               | 0 10                   |
|---------------------------------|---------------------------|------------------------|
| (5) Effective October           | 1,2005:                   | Mundo                  |
|                                 | Alexandris, Lake Charles, | Lafayette & Shreveport |
|                                 | Monroe and Opelousas      | (300 Fannin St.)       |
| a) Court Security Officers:     | \$15.92                   | \$15.92                |
| b) Lead Court Security Officer: | \$16.67                   | \$17.42                |
| c) Health & Welfare Allowance:  | per WD as of 10/1/05      | per WD as of 10/1/05   |
| d) Uniform Allowance:           | \$0.10625                 | \$0.10625              |

| (6) | Effective Octo | ber 1 | 1,2006: |
|-----|----------------|-------|---------|
|     |                |       |         |

|                                 | Alexandria, Lake Charles,<br>Monroe and Opelousas | Lafayette & Shreveport<br>(300 Fannin St.) |
|---------------------------------|---|--|
| a) Court Security Officers:     | \$16.40   | \$16,40                                    |
| b) Lead Court Security Officer: | \$17.15   | \$17.90                                    |
| c) Health & Welfare Allowance:  | per WD as of 10/1/06                              | per WD as of 10/1/06                       |
| d) Uniform Allowance:           | \$0.10625   | \$0.10625                                  |

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B. Shift Differential:

Employees assigned to sites that operate 24 hours per day/ 7 days per week and who work between the hours of 1800 (6:00PM) to 0600 (6:00AM) shall receive an additional 3.5% of their base hourly rate.

United Government Security Officers of America

Western District of Louisiana Local # 109, United Government Security Officers of America

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Akal Scounty, Inc. cue 7/25/02

# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreement.

#### SECTION 1.1 RECOGNITION- BARGAINING UNIT

This is to add, the "Fiscal Year, 2002 Temporary CSO Program," to this Collective Bargaining Agreement. If these positions continue beyond Fiscal Year 2002, CSOs filling these positions will be covered by all economic provisions; all provisions involving Employer disciplinary actions and the Union's right to grieve those actions; and the Union Security and / or Dues Check-Off provisions. Should these positions become permanent, all provisions, including Seniority, would apply as of that date.

Akal Security Incorporated

International Union, United Government Security Officers of America.

7/35/02

All United Government Security of America Locals, Employed by Akal Security, Inc.

1 Specil 109 W/ka 5.02

# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security, Incorporated and United Government Security Officers of America, International Union and its Locals 57, 67, 71, 74, 76, 81, 118, 128, 133, 134, 155, 63, 79, 113, 152, 154, 157, 158, 159, 85, 86, 106, 109, 110 and 111.

Both Akal Security, Incorporated and the United Government Security Officers of America, International Union agree that all non-economic portions of this agreement are in effect as of the signing of this collective Bargaining Agreement. All economic portions become effective on October 1, 2002.

Akal Security, Inc.

United Government Security Officers of America, International Union

PAX No. 565 747 0382

P 006 Western District of Locusiana Local # 109

#### LETTER OF UNDERSTANDING (5 year agints)

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #106, #109 and #110.

The health & welfare rate effective 10/1/02 through 9/30/03 is \$2.15 per hour.

The health & welfare rate for the remaining years of this agreement to be effective 10/1/03 - 9/30/04, 10/1/04 - 9/30/05, 10/1/05 - 9/30/06 and 10/1/06 - 9/30/07 shall be subject to a renegotiation to be conducted between June 1 and July 31 of each corresponding government contract year through the end of this agreement.

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United Government Security Officers of America, International Union

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P. 002

#### LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security. Inc. and United Government Security Officers of Americe, International Union and its Locals #113, #57, #76, # 81, #64, #118, #128, #133, #134, #159, #63, #67, #71, #111, #152, #154, #155, #106, #109, #110.

The health and welfare rate effective 10/1/03 through 9/30/04 is \$2.36 per

United Government Security Officers of America, International Union

-Akal Security, Inc. -- --. . . .

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #111, #109, #110, and #106 in the 5<sup>th</sup> Circuit.

The Health and Welfare rate effective 10/1/2004 through 9/30/2005 is \$2.69 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers Of America, International Union An

Nat Date

Akal Security, Incorporated

100 Date

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #111, #109, #110, and #106 in the 5<sup>th</sup> Circuit.

As of October 1, 2004 Akal will be providing shoes rather than shoe allowance.

United government Security Officers Of America, International Union

Nam C.

Date

Akal Scourity, Incorporated

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UGSDA

Br 1, 510

# 5th WLA 109

### LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #87, 88, 90, 92, 94, 96, 85, 86, 105, 109, 110, 111, 56; 68, 114, 127, 137, 142, 143; 63, 79, 113, 157, **27**, 152, 158, 161, 167, 220, 57, 64, 67, 71, 76, 81, 118, 124, 128, 133, 134, 155, 159, 164, 53, 66, 130, 154, 125, 131, 132, and 135.

In the event of a building closure due to increment weather or an emergency situation, CSOs will have the option of either taking accrued paid leave (vacation and/or personal leave), or using Leave Without Pay.

This agreement does not change any existing policies regarding Leave Without Pay in other circumstances.

United government Security Officers Of America, International Union

Int'l Director CSO Div.

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Akai Security, incorporated

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# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of Amorica, International Union and its Locals 53, 56, 57, 66, 68, 76, 79, 81, 85, 86, 87, 88, 90, 92, 94, 96, 106, 109, 110, 113, 114, 118, 127, 128, 130, 131, 133, 134, 135, 137, 142, 143, 154, 157, 158, 161 and 220.

The Health and Welfare rate effective 10/1/2005 through 9/30/2006 is \$2.87 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers Of America, International Union/

Int'l Director CSO Div.

Tillo Date

United government Security Officers Of America, Logal # 109

Akal Security Incorporated elations Manager Title Date 142

Southern Texes

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| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT |                     | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION |
|--|---------------------|--|
| By direction of  | the Secretary       | WAGE AND HOUR DIVISION   |
| of Labor   |                     | WASHINGTON D.C. 20210  |
|  |                     |  |
|  |                     | Wage Determination No.: CBA-2006-329                             |
| William W.Gross  | Division of         | Revision No.: 2  |
| Director   | Wage Determinations | s  Date Of Last Revision: 11/7/2006                              |
| State: Texas   |                     | - <sup>1</sup>   |

Area: Fort Bend

Employed on United States Marshals Service contract for Court Security Officers.

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Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of court Security Officers of the Sourt, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary |                    | U.S. DEPARTMENT OF LABOR<br>[EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION |
|---|--------------------|--|
| of Labor  |                    | WASHINGTON D.C. 20210  |
|   |                    | 1  |
|   |                    | 1  |
|   |                    | Wage Determination No.: CBA-2006-331   |
| William W.Gross   | Division of        | Revision No.: 1  |
| Director  | Wage Determination | s] Date Of Last Revision: 11/7/2006  |
|   |                    | - 1  |
| State: Texas  |                    | ·  |
| Area: Cameron   |                    |  |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).
| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor |                                 | EMPLOYMENT S<br>WAGE AND H | RTMENT OF LAB<br>STANDARDS ADM<br>HOUR DIVISION<br>SHINGTON D.C. | INISTRATION |
|---|---------------------------------|----------------------------|--|-------------|
| William W.Gross<br>Director Wag<br>State: Texas   | Division of<br>e Determinations | F                          | Revision No.:  |             |

Area: Nueces

Employed on United States Marshals Services contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor |                                  | U.S. DEPARTMENT OF LABOR         EMPLOYMENT STANDARDS ADMINISTRATION         WAGE AND HOUR DIVISION         WASHINGTON D.C. 20210 |  |  |
|---|----------------------------------|---|--|--|
| William W.Gross<br>Director   | Division of<br>Wage Determinatio | Wage Determination No.: CBA-2006-333<br>Revision No.: 1<br>ns: Date Of Last Revision: 11/7/2006                                   |  |  |

State: Texas

Area: Galveston

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

| State: Texas    | · · · · · · · · · · · · · · · · · · · | 1  |
|-----------------|---------------------------------------|--|
| Director        |                                       | s  Date Of Last Revision: 11/7/2006  |
| William W.Gross | Division of                           | <br> <br>  Wage Determination No.: CBA-2006-334<br>  Revision No.: 1   |
| THE SERVICE CON | f the Secretary                       | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |

Area: Webb

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

| THE SERVICE CON<br>By direction of | the Secretary      | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION |
|------------------------------------|--------------------|---|
| of Labor                           |                    | WASHINGTON D.C. 20210   |
|                                    |                    |   |
|                                    |                    | Wage Determination No.: CBA-2006-335  |
| William W.Gross                    | Division of        | Revision No.: 1   |
| Director                           | Wage Determination | s! Date Of Last Revision: 11/7/2006   |
|                                    |                    |   |
| State: Texas                       |                    |   |

Area: Victoria

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

| THE SERVICE CON             | f the Secretary                  | R   U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210 |
|-----------------------------|----------------------------------|---|
| William W.Gross<br>Director | Division of<br>Wage Determinatio | Wage Determination No.: CBA-2006-336<br>Revision No.: 1<br>Date Of Last Revision: 11/7/2006                                 |
| State: Texas                |                                  | /   |

Area: Hidalgo

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers of the South, effective 7/15/2004 through 9/30/2007 and amended on 8/1/2006.

# Collective Bargaining Agreement

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# Between

# AKAL SECURITY, INCORPORATED

# and the

# Professional Association of Court Security Officers (PACSO)

of the Southern District of Texas

# · PREAMBLE

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CBA (AKAL & PACSO-2004-2007)

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THIS AGREEMENT is made and entered by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, and its successors, hereinafter referred to as the "Employer" or "Company," and *Professional Association of Court Security Officers (PACSO)* of the Southern District of Texas hereinafter referred to as the "Union."

# ARTICLE I

#### GENERAL PROVISIONS

### SECTION 1.1 RECOGNITION-BARGAINING UNIT

( )

- A. The Employer hereby recognizes the Union as the sole and exclusive bargaining agent for the purpose of collective bargaining as outlined in this Agreement, with respect to wages, hours, overtime, leaves of absence, uniform allowances and any and all other conditions of employment for all full-time and regular shared position USMS credentialed court security officers (CSOs), lead court security officers, and assistant lead court security officers assigned to the federal courthouses and other United States Justice Department related office buildings pursuant to the Employer's contract(s) with the United States Marshals Service for security within the jurisdictional boundaries of the Southern District of Texas, excluding all managers, supervisors as defined by the NLRB, office and/or clerical Employees, temporarily assigned Employees and substitute Employees and all other Employees of the Employer.
- B. The term "Employee" when used in this Agreement shall refer to the Employees in the bargaining unit described in Article 1, Section 1. 1 of this Agreement.

# SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of three members and one alternate elected by the Union to represent the Employees in collective bargaining negotiations.

## SECTION 1.3 STEWARD SYSTEM

The Company agrees to recognize a steward system.

The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in Article 5 of this Agreement.

If the Employee requests, the Company will call for a steward prior to any disciplinary action taken whether it be written or verbal. The supervisor at the request of the Employee will release the steward as soon as possible. The Company will not be responsible for paying the steward for time spent in this regard.

# SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except as necessary to fulfill the work under the US Marshals Service contract.

# SECTION 1.5 DUES CHECK-OFF

The Company agrees to deduct monthly dues and lawful assessments as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be

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ade only upon receipt of written authorization from the Employee on a form provided by supervision or the Union. The Employee upon 30 days written notice served upon the Company and the Union may revoke such authorization. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to what the Union membership dues are.

The Company will remit all such deductions to the Financial Secretary/Treasurer within 72 hours from the date the deduction was made via direct deposit unless it is technically impossible to do so. All costs related to direct deposit will be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues and mitiation fees within seven (7) days of each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions commenced by an Employee against the Company, and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Union. Errors made by the Company in the deduction or remittance of moneys shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Union and the Employees, shall be the official Union Authorization for Check-Off Dues. The Company shall accept no other form unless the parties mutually agree to the substitution.

# SECTION 1.6 INTENT OF PARTIES

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient operation. The Union and the Company agree that they will use their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union. Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, or disability.

The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

#### **ARTICLE 2**

#### SENIORITY

# SECTION 2.1 SENIORITY DEFINED

Union seniority shall be the length of continuous service from the Employee's last date of hire or transfer to all sites within the bargaining unit as a Special Deputy US Marshal Court Security

Officer for the Employer, past or present and/or any predecessor Employer. Seniority shall not accrue until the employee has successfully completed his/her probationary period. Seniority shall be applicable in determining the order of layoff and recall, vacation schedules, extra work and other matters as provided for in this Agreement.

Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose his/her union seniority as it applies to the order of layoff and recall, vacation schedules, extra work and other matters as provided for in this Agreement.

### SECTION 2.2 SENIORITY LISTS

Seniority Lists shall be furnished by the Company to the proper Union officials within a reasonable time, upon written request by the Union, each March and September of each contract year. The Union President or the President's designated representative must make the request for these lists to the Company in writing. The updated and current Seniority List shall be posted and maintained by the Company at each work location. An Employee's standing on the posted Seniority List will be final unless protested in writing to the Site Supervisor or Contract Manager in districts where a "Site Supervisor" is not authorized, no later than thirty (30) calendar days after the list has been posted.

# SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

# SECTION 2.4 TRANSFER OUT OF UNIT

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) weeks shall lose his/her union seniority. If he/she returns to the bargaining unit at a later date, his/her seniority will start on that return date.

# SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement. Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The ninety (90) day period referred to in this section may be extended if the Company encounters a delay in the US Marshals Service performing background checks and granting written authorization on newly hired Employees.

# SECTION 2.6 TERMINATION OF SENIORITY

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The seniority of an Employee shall be terminated for any of the following reasons:

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- a) the Employee quits or retires;
- b) the Employee is discharged;
- c) a settlement with an Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- d) the Employee is laid off for a continuous period of one hundred eighty (180) days; or the Government terminates the Employee's credentials as a Special Deputy Marshal, or the Employee is otherwise asked to be removed from working under the Employer's contract with the Government.
- e) Employee is permanently transferred out of the bargaining unit.

# **ARTICLE 3**

# JOB OPPORTUNITIES

## SECTION 3.1 FILLING VACANCIES

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays). Shared position Employees at the site where an opening occurs will be notified in writing at their last known address. The Site Supervisor will notify the Union President in writing of such openings. The Union President will then verify that all shared position CSOs have been notified. When a vacancy occurs, the Employer will fill the position with the senior-most Employee, who will be trained if required to fill any necessary qualifications for the new position.

Should the filling of a vacancy under this Article create a second vacancy, that vacancy will be filled under this Article as well. Any Employee who wishes to apply for the open position shall do so in writing. Vacancy postings and vacancy notifications will be site specific, however union posting at other sites in the Local is permitted, i.e., only Employees at the site where the vacancy occurs will be required to be notified.

### SECTION 3.2 SHARED POSITION EMPLOYEES

The company is obligated, under it's contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed, and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part-time schedule, as necessary at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action. Shared position Employees will be required to sign the Akal Shared Officer Agreement (see Appendix B).

#### SECTION 3.3 LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority. Recall of Employees will be accomplished by calling the last laid off Employee first and so on.

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# SECTION 3.4 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement; to the extent feasible the assignment shall be a voluntary selection based on seniority. In the absence of volunteers, assignments shall be made on a reverse seniority basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this Agreement.

Due to the changing work environment, all Employees are subject to assignment anywhere within the district on an as-needed basis from present on-duty personnel. Failure to comply with the aforementioned schedule changes may lead to disciplinary action up to and including dismissal.

# SECTION 3.5 APPOINTMENT OF LEAD CSOs

The US Government in its contract with the Company creates specific guidelines for the selection of Lead CSOS. Based on these criteria, all appointments of Lead CSOs will be made on the basis of ability. Ability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail.

## ARTICLE 4

#### MANAGEMENT RIGHTS

Except as limited by the specific undertakings expressed in this Agreement, the Company shall continue to have the right to take any action it deems appropriate in the management of the business in accordance with its judgment.

# ARTICLE 5

#### **GRIEVANCE PROCEDURE**

# SECTION 5.1 INTENT

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement or the challenge of any unjust disciplinary action taken against an Employee, except that this grievance procedure shall not be used for any disciplinary action directed by the US Marshals Service or by Judicial personnel. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties. In addition, the grievance procedures outlined herein shall not apply to any situation where the Company is acting under the directives of the US Marshals Service or any member of the judiciary. In any such situation, however, the Employee will be provided with copies of any

written complaints or existing transcripts of verbal complaints that require the Company to take any form of disciplinary action towards the Employee, if the Employee requests such materials. The term "days" shall not include Saturdays, Sundays, or holidays when used in this Article

# SECTION 5.2 GENERAL PROVISIONS

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The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance.

#### SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

**Informal Step** - Both the Company and the Union agree that the Employee will first discuss his/her complaint with his/her immediate supervisor within five working (5) days of the incident being grieved to start the informal procedure. If the informal procedure is not invoked within five working days of Employee's knowledge of a grieveable issue, then is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in. If the complaint is not satisfactorily adjusted within three (3) working days of the informal discussion, it may be submitted in writing to the Contract Manager or his/her designee in accordance with Step One.

Step One - If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the steward, and shall be submitted to the Contract Manager or his/her designee. The Contract Manager or his/her designee shall have ten (10) days from the date the grievance was presented to him/her to return his/her decision in writing with a copy to the aggrieved Employee and the steward.

Step Two - If the grievance is not settled in Step One, the grievance may be appealed in writing to the Director of Human Resources or his/her designee not later than ten (10) days from the denial by the Contract Manager or his/her designee. The Director of Human Resources or his/her designee will have ten (10) days from the date the grievance was presented to him/her, to return his/her decision, in writing, with a copy to the aggrieved Employee and the Steward.

Grievance for Discipline - Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or his/her designee within ten (10) days after the occurrence of the facts giving rise to the grievance.

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# SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Akal Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the

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requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

**Pre-Arbitration Hearing** - The parties agree to hold a pre-arbitration hearing requiring a senior manager of the Company and Union President (or designee) to make a final effort to settle the grievance before arbitration.

Selection of an Arbitrator - Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet or telephonically jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the Federal Mediation and Conciliation Service (FMCS) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the FMCS by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.

**Decision of the Arbitrator** - The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement.

Arbitration Expense - The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred in bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.

Time Limits - The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

# SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

# SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

# **ARTICLE 6**

### DISCIPLINE

# SECTION 6.1 GROUNDS FOR DISMISSAL

After completion of the probationary period, no Employee shall be dismissed or suspended without just cause, unless the Employee is ordered by the Government to be removed from working under the Employer's contract with the Government, or if the Employee's credentials are denied or terminated by the Marshals Service. The Company's contract with the US Government sets out performance standards for CSOs and all employees are required to comply with these standards.

### **ARTICLE 7**

#### HOURS OF WORK AND OVERTIME

# SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Employees working a minimum of eight (8) consecutive hours shall normally receive an unpaid lunch period of at least thirty (30) minutes unless work conditions preclude scheduling of this period. Shifts shall be scheduled at the discretion of the Employee to fulfill the needs of the Government. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

# SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours actually worked in excess of forty (40) hours in a work week.

## SECTION 7.3 OVERTIME REQUIREMENT

If requested to work overtime (i.e. over forty (40) hours in a workweek) or extra hours, and the seniority system is not invoked due to shortness of notice, the Employee shall be required to do so unless the Employee is excused for good cause.

## SECTION 7.4 OVERTIME DISTRIBUTION

Overtime will be distributed as equitably and fairly as practicable among Employees regularly assigned to the particular work location (including shared position Employees), subject to the direction of the judges and/or Marshals Service. Seniority shall be used in the assignment of overtime, except when the Employer is directed by the US Marshals Service or judges, or in situations dictated by availability of personnel and amount of notice given for overtime.

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Excluding: Site Supervisors cannot be assigned to cover CSO overtime positions or posts except in emergency situations, or when directed by the US Marshal Service or judiciary, or in situations dictated by availability of personnel and amount of notice given for overtime. The Company will permit Site Supervisors to work overtime assignments only when there is no bargaining unit member available or in situations described above due to the rapidly changing court environment. The Employer will attempt to rectify overtime inequalities through the future scheduling of overtime work. Overtime records will be made available to the Union by the Company upon request.

## SECTION 7.5 SHARED POSITION EMPLOYEES

Hours of work for shared position Employees shall be determined by the Employer, to insure the orderly and efficient operation of court security services. Shared position Employees shall be required to work all scheduled work hours, unless the Employee is excused for good cause. Shared position Employees will be required to sign the Akal Shared Officer Agreement.

### SECTION 7.6 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods when properly relieved and one (1) thirty (30) minute unpaid lunch for each eight (8) hour shift. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional authorized work requirements, Employees may have to work through their unpaid lunch breaks, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to provide regularly scheduled breaks. It is not the intent of the Company to deny, avoid, or abuse this requirement.

# SECTION 7.7 CALL-IN PAY AND SHOW UP PAY

An Employee called in or scheduled to work will be guaranteed a minimum of three (3) hours of work or pay.

#### SECTION 7.8 SHIFT BIDDING

Once each year, full-time Employees and shared position Employees at each site may bid their shift schedules among designated full-time assignments or shared assignments in order of seniority. Shift bidding may not lead to any change in status from full-time to shared position or vice versa. Specific assignments may be excluded upon written directive from the USMS and/or Judicial personnel.

#### ARTICLE 8

#### WAGES

# SECTION 8.1 WAGE SCHEDULE

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this agreement.

# **ECTION 8.2 PAYDAY**

Payday for all hourly Employees will be after 11 a.m. on Friday following the pay period ending on Saturday, subject to change by mutual agreement.

# SECTION 8.3 UNDISPUTED ERROR

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error involving eight (8) hours of pay or more will be corrected and paid as soon as possible, but no later than the next paycheck.

# SECTION 8.4 LEAD CSO RATES

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid the base rate set out above in Section 8.1, based on the location.

## ARTICLE 9

# HOLIDAYS

# SECTION 9.1. HOLIDAYS DEFINED

Whenever the term "holiday" is used, it shall mean New Year's Day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Day after Thanksgiving, and Christmas Day.

### SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

A. A full-time Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift or premium for that holiday. The Employee will be paid holiday pay only if the Employee is not laid off, or on an unpaid leave of absence.

B. Any full-time Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked and in addition shall receive eight (8) hours holiday pay at the straight time rate, providing the Employee meets the requirements above in Section 9.2A.

C. Any shared position Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked plus prorated holiday pay up to 8 hours based on their average weekly hours for the previous four weeks' work.

D. Holiday pay for shared position Employees who do not work on a holiday and meet the eligibility requirements set out in Section 9.2A above shall be paid a proration of the full-time benefit based on their average weekly hours for the previous four weeks' work.

# **ARTICLE 10**

#### VACATIONS

# SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Eligible full-time Employees shall be entitled to annual vacation pay, based on their continuous years of service with the Employer at their individual hourly rate at the time payment is made in accordance with the following schedule:

| Upon completion of one (1) year of service:      | 80 hours  |
|--|-----------|
| Upon completion of five (5) years of service:    | 120 hours |
| Upon completion of ten (10) years of service:    | 160 hours |
| Upon completion of twenty (20) years of service: | 200 hours |

# SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees who work a regular half-time schedule shall be entitled to one-half the full-time vacation benefit at their individual hourly rate.
- B. Eligible shared position Employees who work other than a regular part-time schedule shall be entitled to a prorated vacation pay at their individual hourly rate based on the number of hours worked in the previous contract year.

# SECTION 10.3 SCHEDULING VACATIONS

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Vacations, insofar as reasonably possible, shall be granted at the times most desired by the Employee, after the Employee's anniversary date. Employee must give their supervisor a written request at least ten (10) days prior to the requested vacation time.

The Employer will recognize site specific union seniority when scheduling Employees for vacation in accordance with Section 2.1. The Employer will allow the maximum amount of personnel off at any one time for vacation that allows the Company to maintain efficient operations. The final allocation of vacation periods shall rest exclusively with the Employer in order to insure orderly and efficient operations and meet Government contract requirements.

### SECTION 10.4 PAY OPTIONS

Earned vacation pay shall be paid on the pay day following the Employee's return to the job after his/her vacation.

## SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (i.e. anniversary date of employment) shall be paid to the Employee.

# SECTION 10.6 PAY IN LIEU OF VACATION LEAVE

Any time during the year, Employees may request in writing to be paid for earned vacation pay in lieu of taking actual vacation leave.

### SECTION 10.7 TERMINATING EMPLOYEES

Upon termination of employment, Employee will be paid at their individual hourly rate for any legally accrued but unused vacation time, as entitled by the Service Contract Act.

# SECTION 10.8 VACATION - LAID OFF EMPLOYEES

Length of service with the Employer shall not accrue for the purposes of vacation benefits while an Employee is on laid-off status.

# SECTION 10.9 VACATION INCREMENTS

Vacation days may be used in one (1) day increments, if so desired by the Employees and approved by the Employer.

# **ARTICLE 11**

# LEAVES OF ABSENCE

# SECTION 11.1 LIMITATIONS

Personal leaves of absence for non-medical emergencies may be granted at the discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless approved by the Employer. Employee on any unpaid leave of absence may be required to use available vacation or personal leave time. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence.

# SECTION 11.2 MEDICAL LEAVE

An Employee shall be granted an unpaid medical leave of absence for a specified period not to exceed 16 weeks within a 12-month period beginning after the use of any vacation time or personal leave time. Employee's disability must be made known to the Employer in accordance with the provisions of this Article, and be supported by a doctor's certificate showing the nature of the illness and the estimated length of time the Employee will be unable to perform his/her job.

The 16-week period may be extended at the discretion of the Employer. During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employer

with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work.

## SECTION 11.3 MILITARY LEAVE

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

### SECTION 11.4 UNION LEAVE

A Union officer or delegate will be granted an unpaid leave of absence upon written request for the purpose of attending Union conventions or other meetings of vital interest to the bargaining unit. The maximum number of days given for union leave is not to exceed five (5) days per contract year and the maximum number of union officers or delegates to be granted leave of absence is not to exceed two (2) Employees.

# SECTION 11.5 FAMILY MEDICAL LEAVE

The Family and Medical Leave Act of 1993 is incorporated herein by reference.

# SECTION 11.6 PERSONAL LEAVE

Each full-time seniority Employee shall be eligible to use a maximum of six (6) days of personal leave (forty-eight hours) per 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based upon the following rate (see Personal Leave Eligibility Table below):

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| Personal Leave Eligibility Table |  |                 |  |
|----------------------------------|--|-----------------|--|
| START DATE                       | RATE OF PERSONAL LEAVE ELIGIBLE TO USE |                 |  |
| (Date Employee begins            | FULL-TIME                              | SHARED POSITION |  |
| working on the contract, based   |  |                 |  |
| on an October 1 contract start   |  |                 |  |
| date.)                           |  |                 |  |
| October 1-31                     | 48 hours                               | 24 hours        |  |
| November 1-30                    | 44 hours                               | 22 hours        |  |
| December 1-31                    | 40 hours                               | 20 hours        |  |
| January 1-31                     | 36 hours                               | 18 hours        |  |
| February 1-29                    | 32 hours                               | 16 hours        |  |
| March 1-31                       | 28 hours                               | 14 hours        |  |
| April 1-30                       | 24 hours                               | 12 hours        |  |
| May 1-31                         | 20 hours                               | 10 hours        |  |
| June 1-30                        | 16 hours                               | 8 hours         |  |
| July 1-31                        | 12 hours                               | 6 hours         |  |
| August 1-31                      | 8 hours                                | 4 hours         |  |
| September 1-30                   | 4 hours                                | 2 hours         |  |

A. Personal days shall be used in not less than four-hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.

B. Shared position Employees will receive one- half the full-time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1,040 hours) will receive additional prorated personal leave based upon the number of actual hours Employee worked during that contract year. Therefore, for each additional 87 hours worked over 1,040 hours during the contract year, Employee will receive an additional 2 hours of personal leave, up to a possible maximum of 48 hours total personal leave for the contract year.

C. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave pay will be paid to Employee at the end of the contract year.

D. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of actual hours Employee worked during that contract year. (Example: An Employee who terminates work after six months at the fulltime rate during the current contract year and earns three (3) days personal leave, but only uses two (2) days, would be eligible upon termination to be paid for the third, unused personal day.) If the Employee has used more personal days upon termination than he/she earned based upon time worked on the contract (4 hours per full month worked), the amount of the overage will be deducted from the Employee's final paycheck. (Example: If Employee works only six months and therefore earns three days (24 hours) personal leave, but actually uses four days personal leave, the extra 8 hours' pay will be deducted from Employee's final paycheck.)

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E. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her regular shift in order to be eligible for paid personal leave benefits. Disciplinary action may result from excessive, unapproved absenteeism.

# SECTION 11.7 PROCESSING LEAVES OF ABSENCE

A leave of absence must be processed in the following manner:

- A. All requests for any unpaid leaves of absence shall be submitted in writing to the Site Supervisor at least ten (10) calendar days prior to the date that the leave will take effect, except in cases of emergencies, and shall include:
  - 1. The reasons for such leave;
  - 2. The effective dates of such leave;
  - 3. The estimated date of return to work.
- B. The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval.
- C. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- D. Extensions of the leave of absence may be granted at the discretion of the Employer upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence when feasible. Extensions when granted shall not total more than thirty (30) days.

# SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay minus any pay received from the courts for up to five (5) days spent on jury duty.

### SECTION 11.9 BEREAVEMENT LEAVE

All non-probationary Employees shall be entitled to five days -paid bereavement leave per full Government contract year for purposes of attending, on a day normally scheduled to work, the funeral of a parent, parent-in-law, spouse, child, sibling, or sibling-in-law. Employee will notify Lead CSO, whenever possible, of the need for bereavement leave.

# SECTION 11.10 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of Article 2 of this Agreement.

# ARTICLE 12

# HEALTH, WELFARE AND UNIFORM ALLOWANCES

# SECTION 12.1 PAYMENTS

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week and up to a total of 2080 hours per contract year in accordance with the prevailing Wage Determination as of October 1<sup>st</sup> every contract year.

#### SECTION 12.2 MINIMUM BENEFITS

The amounts required by Section 12.1 shall serve as the minimum health and welfare benefits for Employees.

# **SECTION 12.3 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401(k) plans, and any other plan mentioned in this Agreement.

#### SECTION 12.4 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour the employee is paid, up to 40 hours per week, for uniform maintenance as described in Appendix A. Shoes will be provided by the Company as part of the required uniform.

# SECTION 12.5 GROUP DISABILITY INSURANCE

The Company will remit all such deductions to the International Secretary/Treasurer within 72 hours from the date the deduction was made via direct deposit unless it is technically impossible to do so. All costs related to direct deposit would be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the International Secretary/Treasurer with a deduction list, setting forth the name and amount of fees, and any other costs for this insurance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions commenced by an

CBA (AKAL & PACSO-2004-2007)

-1-8---

Employee against the Company, and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Union. Errors made by the Company in the deduction or remittance of moneys shall not be considered by the Union as a violation of this provision, providing such errors are corrective and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Union and the Employees, shall be the official Union Authorization for insurance deductions. The Company shall accept no other form unless the parties mutually agree to a substitute.

# ARTICLE 13

#### MISCELLANEOUS PROVISIONS

### SECTION 13.1 BULLETIN BOARDS

The Employer will make its best effort to obtain a space from the government for the use of the CSOs to locate a Union-provided bulletin board that will be used by the Union for posting notices pertaining to Union affairs. The providing of these facilities is the prerogative of the US Government.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

The Employer shall pay for all physical/medical examinations and all follow ups that are required by the US Government at Employer designated clinic(s) or physicians.

Physical/medical exams may be required by operation of the government contract or should the Employer have concerns regarding an Employee's fitness for duty. The Employer is required by contract with the US Government to designate the physician or clinic. Employer shall pay Employee up to four hours for time spent taking an employer-requested medical examination.

A copy of any physical exam requirement shall be furnished to the Employees as soon as possible after they are issued by the USMS.

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#### SECTION 13.3 TRAVEL EXPENSES

The Company will provide advance payments for approved travel expenses if requested by an Employee. Any hours to include travel over twelve (12) hours will require the Employee to stay overnight and the appropriate per diem will be paid. All hours in travel will be counted as work hours with the appropriate overtime wages provided for under Article 7 of this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day Employer receives the travel voucher and all required receipts.

## SECTION 13.4 BREAK ROOMS

The Employer will make its best effort to obtain from the government break rooms for CSOs for breaks and lunch without management using the room as an office and will make its best effort to have the government equip the room with water. The providing of these facilities is the prerogative of the US Government.

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CBA (AKAL & PACSO-2004-2007)

# SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the government for the use of the CSOS. The providing of these facilities is the prerogative of the US Government.

# SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances to the extent such work time activity is specifically allowed by the Employer.

### ARTICLE 14

# 401(k) PLAN

# SECTION 14.1 401(k) PLAN

The Company shall provide a 401(k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. Employees shall be subject to the eligibility requirements and rules of the Plan.

# ARTICLE 15

#### TRAINING

### SECTION 15.1 TRAINING

The Company will make its best effort to implement the mandatory 8-hour annual training program to enhance the professional capabilities of the Employees. Actual scheduling of training is subject to approval by the US Government and may be subject to funding by the US Government.

### **ARTICLE 16**

#### SAFETY

# SECTION 16.1 SAFETY POLICY

It is the policy of the Company to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings.

# SECTION 16.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any government provided CSO work stations and break rooms.

# ARTICLE 17

# CONTINUITY OF OPERATIONS

### SECTION 17.1 NO STRIKES

Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns or secondary boycotts during the term of this Agreement and that the Union will not cause, nor permit its members to cause, nor will any member of the Union take part in, any strike, including a sympathy strike, slowdown, stoppage of work, planned inefficiency or any other curtailment of work or restriction or interference with the Employer's or Government's operations for any reason whatsoever. Nor will the Union authorize or sanction the same.

Upon hearing of any unauthorized strike, slowdown, stoppage or work, planned inefficiency or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such activity to a prompt termination. Any Employee who violates this provision may be immediately discharged. Furthermore, it is agreed and understood that in addition to other remedies, the provisions of this Article may be judicially enforced including specific performance by way of injunctive relief.

### SECTION 17.2 LOCKOUTS

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

### ARTICLE 18

# SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or government statutes so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

CBA (AKAL & PACSO-2004-2007)

# ARTICLE 19

# SERVICE CONTRACT PROCEDURES AND OBLIGATIONS

The parties recognize that they are providing a service to the United States Government. Therefore, the terms of this agreement are subject to the directives of the Government, and, except as provided herein, there shall be no recourse against the Employer with regard to its actions taken to comply with those directives. In the event a directive necessitates a deviation from the obligations or procedures contained in this Agreement, the Union may request that the parties hereto meet and confer with regard to the effects, if any, of the deviation necessitated by the Government's directive. A copy of a written directive covered by this provision shall be provided to the Union president upon request.

# **ARTICLE 20**

#### ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and all understandings and agreements reach by the parties are set forth in this Agreement. Therefore, the Company and the Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

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# **ARTICLE 21**

# DURATION

This Agreement shall be effective from July 15, 2004 through September 30, 2007 and supersedes any and all prior agreements or understandings between the parties.

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IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by this agreement.

FOR:

# FOR:

PROFESSIONAL ASSOCIATION OF COURT SECURITY OFFICERS BY: TITLE: 04 DATE:

AKAL SECURITY, INC.

AKAL SECURITY, INC.

BY: 2 TITI DATE:

FOR: .

FOR:

PROFESSIONAL ASSOCIATION OF COURT SECURITY OFFICERS

| BY:    |  |
|--------|--|
| TITLE: |  |

DATE:

| * · · · · · | · · · · |  |
|-------------|---------|--|
| BY:         |         |  |
|             |         |  |
| TITLE:      |         |  |
| DATE:       |         |  |

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Southern District of Texas, Professional Association of Court Security Officers:

| a) Base    | Wages   | ļ           | SITE:                             | Laredo, Brownsville, McAllen   |
|------------|---|-------------|-----------------------------------|--|
|            |   | Cı          | irrent:                           |  |
|            | Court Security Officers;<br>Lead Court Security Officer;<br>Health & Welfare Allowance;<br>Uniform Allowance; | \$ \$ \$    | 16.48<br>17.23<br>2.36<br>0.10625 | / hour<br>/ hour<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40     |
|            |   | Ef          | fective C                         | October 1, 2004:   |
|            | Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$          | 2.59                              | / hour**<br>/ hour**<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40 |
|            | •   | Ĕf          | fective C                         | October 1, 2005:   |
| $\bigcirc$ | Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | 59 59 59 59 | *                                 | / hour**<br>/ hour**<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40 |
|            |   | Efi         | fective C                         | october 1, 2006:   |
|            | Court Security Officers;<br>Lead Court Security Officer:<br>Health & Welfare Allowance;<br>Uniform Allowance; | \$ \$ \$ \$ | *                                 | / hour**<br>/ hour**<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40 |

• The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement. If the parties fall to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* A shift differential of three and a half percent (3.5%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

Professional Association of Court Security Officers

Signature Date

Akal-Security, Inc. Signature

Professional Association of Court Security Officers

Date

| -     | ·   |    |     | <br>- | · |
|-------|-----|----|-----|-------|---|
| Signa | atı | JF | e - |       |   |
|       |     |    | -   |       |   |

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Southern District of Texas, Professional Association of Court Security Officers:

| a) Base | Wages   | SITE:   | Corpus Christi, Victoria   |
|---------|---|---|--|
|         |   | Current:                                      |  |
|         | Court Security Officers:<br>Lead Court Security Officer.<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$ 20.57<br>\$ 21.32<br>\$ 2.36<br>\$ 0.10625 | / hour<br>/ hour<br>/ regular hour worked up to 40<br>5 / regular hour worked up to 40   |
|         | ÷   | Effective                                     | October 1, 2004:   |
| •       | Court Security Officers:<br>Lead Court Security Officer.<br>Health & Welfare Allowance;<br>Uniform Allowance; | \$20.98<br>\$21.73<br>\$2.59<br>\$0.1062      | / hour   |
|         |   | Effective                                     | October 1, 2005:   |
| 0       | Court Security Officers:<br>Lead Court Security Officer;<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$<br>\$<br>\$<br>\$ 0.1062                   | <ul> <li>/ hour**</li> <li>* / hour**</li> <li>* / regular hour worked up to 40</li> <li>5 / regular hour worked up to 40</li> </ul> |
|         |   | Effective                                     | e October 1, 2006:   |
|         | Court Security Officers:<br>Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$<br>\$<br>\$<br>\$ 0.1062                   | * / hour**<br>* / hour**<br>* / regular hour worked up to 40<br>5 / regular hour worked up to 40                                     |
|         |   |   | -  |

\* The parties egree that either party may reopen negotiations for emendments to Appandix "A" Wages and Health & Welfare Allowance at any time after May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shell be incorporated into the terms of this agreement. If the parties fail to reach egreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this agreement.

\*\* A shift differential of three and a half percent (3,5%) of the employee's regular hourly rate shall be paid for all hours worked between 8 P.M. and 6 A.M.

Signati

Professional Association of Court Security Officers

Akal-Security, Inc.

Signature

Signature \_\_\_

Professional Association of Court Security Officers

Date

Date

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Southern District of Texas, Professional Association of Court Security Officers:

| a) Base | vvages   |                                | SITE:         | Houston, Gaiveston   |
|---------|--|--------------------------------|---------------|--|
|         |  | c                              | urrent:       |  |
|         | Court Security Officers:<br>Lead Court Security Officer:<br>Senior Lead Court Security Officer:<br>Health & Welfare Allowance;<br>Uniform Allowance; |                                | 20.38<br>2.36 |  |
|         |  | E                              | ffective (    | October 1, 2004:   |
|         | Court Security Officers:<br>Lead Court Security Officer:<br>Senior Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$\$ {\$ <del>}</del> {\$} {\$ | 2.59          | / regular hour   |
|         |  | E                              | ffective (    | October 1, 2005:   |
|         | Court Security Officers;<br>Lead Court Security Officer:<br>Senior Lead Court Security Officer:<br>Health & Welfare Allowance:<br>Uniform Allowance: | \$\$ \$\$ \$\$ \$\$            | 5<br>•<br>•   | / hour**<br>/ hour**<br>/ regular hour<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40 |
|         |  | E                              | ffective (    | October 1, 2006:   |
|         | Court Security Officers:<br>Lead Court Security Officer.<br>Senior Lead Court Security Officer.<br>Health & Welfare Allowance:<br>Uniform Allowance: | 44 69 69 69 69                 | *             | / hour**<br>/ hour**<br>/ regular hour<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40 |

\* The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health & Walfare Allowance at any time after May 1 and before <u>lune 1</u>, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporated into the terms of this agreement.—If the parties fail\_\_\_\_\_\_ to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 15, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this egreement.

\*\* A shift differential of three and a half percent (3,5%) of the employee's regular hourly rate shall be paid for all hours worked between 6 P.M. and 6 A.M.

Professional Association of Court Security Officers

Sighature Date

Akal-Security, Inc. Signature Date 2

Professional Association of Court Security Officers

Signature

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. . .

Date

JUL 14 '05 15:35 FR USM. eva.

# Appendix A

# WAGE SCHEDULE

SITE:

Listed below are the Wages and Benefits for the employees at the 6th Circuit for the Southern District of Texas, Professional Association of Court Security Officers:

JOMMEND CENTER

a) Base Wages

|  | Current:             |  |
|--|----------------------|--|
| Court Security Officers:<br>Lead Court Security Officer:<br>Sentor Lead Court Security Officer:<br>Health & Welfara Allowance: | \$ 20.19<br>\$ 20,76 | / hour<br>/ hour<br>/ hour<br>/ regular hour paid up to 40 |

# Effective October 1, 2005:

Houston, Galveston

| Court Security Officers:            | 8   | 20.02 | / hour                       |
|-------------------------------------|-----|-------|------------------------------|
| Lead Court Security Officer:        | \$  | 20.77 | / hour                       |
| Senior Lead Court Security Officer: | \$  | 21.34 | / hour                       |
| Health & Welfare Allowance:         | - 8 | 2.87  | / regular hour paid up to 40 |

This offer is good will 2:00 p.m. Mountain Time on Wednesday, July 13, 2005. After that, it may be withdrawn as business conditions will have changed. Please fax the signed agreement to (505) 747-8438.

Professional Association of Court Security Officers Akat Security, Inc. Date Signati Signature

#### JUL 28 '25 10:12

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# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Southern District of Texas, Professional Association of Court Security Officers:

a) Base Wages

#### SITE: Corpus Christi, Victoria

Current: S

2

\$

Court Security Officers: Lead Court Security Officer. Health & Welfare Allowance: 20.98 / hour 21.73 / hour

2,59 / regular hour paid up to 40

# Effective October 1, 2005:

Court Security Officers: Lead Court Security Officer: Health & Welfare Allowance:

| \$ | 21.61 | / hour                       |
|----|-------|------------------------------|
| \$ | 22.36 | / hour                       |
| 5  | 2,87  | / regular hour paid up to 40 |
|    |       |                              |

This offer is good until 3:00 p.m. Mountain Time on Wednesday, July 13, 2005. After that, it may be withdrawn as business conditions will have changed. Please fax the signed agreement to (505) 747-8498.

Professional Association of Court Security Officers

Data Sia

Akal Security, Inc. Signatu

#### JUL 08 '05 10:12

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# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5th Circuit for the Southern District of Texas, Professional Association of Court Security Officers;

a) Base Wages SITE: Laredo, Brownsville, McAllen Current: Court Security Officers: \$ 16.81 / hour Lead Court Security Officer: 17.56 / hour Health & Welfare Allowanca: ¢ 2.59 / regular hour peid up to 40 Effective October 1, 2005:

Court Security Officers: Lead Court Security Officer: Health & Welfare Allowance:

#### 17.31 / hour

- 18.06 /hour
  - 2.87 / regular hour paid up to 40

This offer is good until 3:00 p.m. Mountain Time on Wednesday, July 13, 2005. After that, it may be withdrawn as business conditions will have changed. Please fait the signed agreement to (505) 747-8438.

Professional Association of Court Security Officers

Aical Security, Inc. Date Signature

# JUL 08 '05 10:12

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# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees in the 5th Circuit, Southern District of Texas, Professional Association of Court Security Officers:

> SITE: Houston, Galveston

#### Current

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\$

5

\$

Special Security Officer. Lead Special Security Officer: Senior Lead Special Security Officer; Health & Welfare Allowance:

| 20.02 / hour |  |
|--------------|--|
| 20 77 / hour |  |

\$ ?? / hour \$

2,87 / regular hour paid up to 40/week

#### Effective October 1, 2006:

Special Security Officer: Lead Special Security Officer:

Senior Lead Special Security Officer: Health & Welfare Allowance:

- 21.00 / hour 22.00 / hour
- \$ 23.00 / hour \$
  - 3.01 / regular hour paid up to 40/week

Professional Association of Court Security Officers Akal Security, Inc. 1/06 8 7-12 Signature Date Signature
# Appendix A

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees in the 5th Circuit, Southern District of Texas, Professional Association of Court Security Officers:

· SITE: Laredo, Brownsville, McAllen

2.67 / regular hour paid up to 40/week

#### Current:

S

\$

\$

Court Security Officer: Lead Court Security Officer: Health & Welfare Allowance:

Court Security Officers: Lead Court Security Officer: Health & Welfare Allowance:

- Effective October 1, 2006:
- \$ 17.83 / hour

17,31 / hour

18.08 / hour

- \$ 18.58 / hour \$ 3.10 / regula
  - 3.10 / regular hour paid up to 40/week

Professional Association of Court Security Officers

12-01

Date

Signature

Akal Security, Ing 8/1/06 Signature

# Appendix A

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees in the 5th Circuit, Southern District of Texas, Professional Association of Court Security Officers:

> SITE: Corpus Christi, Victoria

#### Current:

Special Security Officer: Lead Special Security Officer. Health & Welfare Allowance:

- \$ 21,61 / hour 5 5
  - 22.38 / hour
  - 2.67 / regular hour paid up to 40/week

## Effective October 1, 2006:

Special Security Officer: Lead Special Security Officer. Health & Welfare Allowance:

|    | 0010 00000   |
|----|--------------|
|    |              |
| \$ | 22.00 / hour |
| -  |              |
| 3  | 22.90 / hour |

\$

22.80 / hour

3.01 / regular hour paid up to 40/week

Professional Association of Court Security Officers

Alcal.Securit <u>1-12-06</u> Signature Dete

Signature

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Northern Texas

| REGISTER OF WAGE I<br>THE SERVICE CONT<br>By direction of<br>of Labor |                                    | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|---|------------------------------------|--|
| William W.Gross<br>Director   | Division of<br>Wage Determinations | <br> <br>  Wage Determination No.: CBA-2006-316<br>  Revision No.: 1<br>  Date Of Last Revision: 11/7/2006         |
| State: Texas<br>Area: Dallas  |                                    | l  |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers, effective 9/30/2006 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor |                                    | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210<br> |
|---|------------------------------------|---|
| William W.Gross<br>Director   | Division of<br>Wage Determinations | <pre>        Wage Determination No.: CBA-2006-317   Revision No.: 1   Date Of Last Revision: 11/7/2006</pre>                |
| State: Texas<br>Area: Randall   |                                    | 1   |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers, effective 9/30/2006 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor |      |                | WAGE AND  | STANDA<br>HOUR D | RDS ADM            | INISTRATION  |
|---|------|----------------|-----------|------------------|--------------------|--------------|
| William W.Gross   |      | Division of    | Wage Dete |                  | on No.:<br>on No.: | CBA-2006-318 |
| Director  | Wage | Determinations | Date Of   | Last Re          | vision:            | 11/7/2006    |

Area: Tarrant

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, and union: Professional Association of Court Security Officers, effective 9/30/2002 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| THE SERVICE CON<br>By direction of | the Secretary      | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION |
|------------------------------------|--------------------|---|
| of Labor                           |                    | WASHINGTON D.C. 20210   |
|                                    |                    |   |
|                                    |                    | <br>  Wass Determination No. , CBA-2006-219   |
| William W.Gross                    | Division of        | Wage Determination No.: CBA-2006-319<br>  Revision No.: 1                                 |
| Director                           | Wage Determination | s! Date Of Last Revision: 11/7/2006   |
| State: Texas                       | · ·                |   |

Area: Lubbock

Employed on United States Marshals Service contract for Court Security Officer.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers, effective 9/30/2006 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE<br>THE SERVICE CON<br>By direction of |                     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION |
|--|---------------------|---|
| of Labor   | -                   | WASHINGTON D.C. 20210   |
|  |                     | • • • • • • • • • • • • • • • • • • •   |
|  |                     | Wage Determination No.: CBA-2006-320  |
| William W.Gross  | Division of         | Revision No.: 1   |
| Director   | Wage Determinations | Date Of Last Revision: 11/7/2006  |
| State: Texas   |                     | · · · · · · · · · · · · · · · ·   |

Area: Taylor

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers, effective 9/30/2006 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE<br>THE SERVICE CON<br>By direction of<br>of Labor | TRACT ACI<br>the Seci | ]                       | U.S.<br>EMPLOYM<br>WAGE | ient<br>And | STAN<br>HOUR | DARDS<br>DIVI | ADM:<br>SION | OR<br>INISTRA<br>20210 |  |
|--|-----------------------|-------------------------|-------------------------|-------------|--------------|---------------|--------------|------------------------|--|
| William W.Gross<br>Director  |                       | vision of<br>terminatio | <br>-                   |             | Revi         | sion          | No.:         | _                      |  |
| State: Texas   |                       |                         |                         |             |              |               |              |                        |  |

Area: Tom Green

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Professional Association of Court Security Officers, effective 9/30/2006 through 9/30/2008 and amended on 7/21/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

# AGREEMENT

## between

# AKAL SECURITY, INCORPORATED

and the

# PROFESSIONAL ASSOCIATION OF COURT SECUTITY OFFICERS NORTHERN DISTRICT OF TEXAS, INCORPORATED (PACSONDT)

## PREAMBLE

THIS AGREEMENT is made and entered by and between AKAL SECURITY, INCORPATED, a New Mexico corporation, and its successors, Partners and Assigns hereinafter referred to as the "Employer" or "Company", and. PROFESSIONAL ASSOCIATION OF COURT SECURITY OFFICERS NORTHERN DISTRICT OF TEXAS, INCORPORATED (PACSONDT) hereinafter referred to as the "Association"

#### ARTICLE I

### GENERAL PROVISIONS

#### SECTION 1.1 RECOGNITION-BARGAINING UNIT

- A. The employer hereby recognizes the Association as the sole and exclusive bargaining agent for the purpose of collective bargaining with respect to rates of pay, wages, hours, overtime, leaves of absence, uniform allowances and any and all other conditions of employment for all full-time and regular part-time court security officers and lead court security officers assigned to the federal courthouses within the jurisdictional boundaries of the United States District Court for the Northern District of Texas, employed by the Company.
- B. The term "employee" when used in this Agreement shall refer to any and all Bargaining Unit members of the Company who are employed as Special Deputy United States Marshals in the capacity of the Federal Court Security Officers in any and all facilities or places that the employees are assigned to perform their functions within the Northern District of Texas. Such employees shall be a part of the Bargaining Unit described in Article 1, Section 1.1 of this Agreement.
- C. The Company shall recognize Union Representatives special status as defined by the National Labor Relations Act and all the Agency's decisions and interpretations and any other protections provided by Federal Law. The Association recognizes these protections do not relieve the Association from good business practices and the common respect normally given in business relations. The Company recognizes the Association representatives have the right to, and may from time to time contact Government representatives at any time during grievances and representing the employee.

#### SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Association to represent the Employees in collective bargaining negotiations.

#### SECTION 1.3 STEWARD SYSTEM

The Company agrees to recognize a Steward system should the Association desire to establish such system. The Association shall designate from the Bargaining Unit such representatives as it deems appropriate to serve as Shift Stewards and alternates at work sites. The Association agrees that the representatives will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. The Association shall notify the Site Supervisor of the names of those so elected or appointed.

In the event any disciplinary action, whether written or verbal, is to be taken against any

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Bargaining Unit Member if the employee requests, the Company will call for an Association representative prior to any disciplinary action taken. The representative will be released by the supervisor to return back to work if his presence is declined by the employee.

### SECTION 1.4 SUPERVISORS AND SALARIED PERSONNEL

Supervisory and salaried employees shall not perform the duties of the employees in the Bargaining Unit, except as necessary to fulfill the work under the US Marshals Service contract.

### SECTION 1.5 DUES CHECK-OFF

The Company agrees to deduct initiation fees, monthly dues, and lawful assessments designated by the Association from the first paycheck of each month of each member of the Association. These deductions will be made only upon receipt of written authorization from the Employee on a form provided by supervision or the Association. Such authorization may be revoked by the employee upon 30 days' written notice served upon the Company and the Association. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Association, as to what the Association membership dues, initiation fees, and service fees are.

The Company will remit all such deductions to the Association Secretary/Treasurer the day the deduction was made via direct deposit unless it is impossible to do so. The Association agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Secretary/Treasurer of the Association with a deduction list, setting forth the name and amount of dues and initiation fees within seven (7) days of each remittance. The Association agrees to hold the Company harmless from any action growing out of these deductions commenced by an Employee and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Association. Errors made by the Company in the deduction or remittance of moneys shall not be considered by the Association as a violation of this provision, providing such errors are corrective and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Association and the employees, shall be the official Association Authorization for Check-Off Dues. No other form shall be accepted by the Company unless the substitute is mutually agreed to by the parties.

#### SECTION 1.6 INTENT OF PARTIES

The Association and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient operation and sound labor relations. The Association and the Company agree that they will use their best efforts to cause the Bargaining Unit employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce or

discriminate in any manner against any person in its employ. Neither the company nor the Association will discriminate against any employee by reason of his/her membership and activity or non-membership or non-activity in the Association. Neither the Company nor the Association will discriminate against any employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status or disability.

The Company and the Association recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Association philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

## **ARTICLE 2**

## SENIORITY

#### SECTION 2.1 SENIORITY DEFINED

Seniority shall be length of continuous service from the employee's (share-time or full-time) original date of hire as a Special Deputy US Marshal Court Security Officer for the Employer, past or present and/or any predecessor Employer. Seniority shall be used in applying various aspects of this Agreement, including, but not limited to, share-time to full-time, wages, vacation, sick leave, leaves of absence, transfers, order of lay-off and recall, and filling of vacant positions as provided for in this Agreement.

#### SECTION 2.2 SENIORITY LISTS

Seniority Lists shall be furnished by the Association to the proper Company officials within a reasonable time, each March and September of each contract year. The request for these lists must be made to the Association in writing by the Company. The updated and current Seniority List shall be posted and maintained by the Association at each work location. An Employee's standing on the posted Seniority List will be final unless protested in writing to the Association President no later than thirty (30) calendar days after the list has been posted.

#### SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address or phone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

### SECTION 2.4 TRANSFER OUT OF UNIT

Any Bargaining Unit employee who is promoted to a non-Bargaining Unit position for more than one hundred eighty (180) days shall lose his/her seniority. If he/she returns to the Bargaining Unit at a later date his/her seniority will start on that return date.

SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary employees will be considered probationary for a one hundred eighty (180) day period after their hire date. The Association will still represent Probationary employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, layoffs or discharge of Probationary employees without recourse to the grievance procedure. Probationary employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The one hundred eighty (180) day period referred to in this section may be extended if the Company encounters a delay in the US Marshals Service performing background checks and granting written authorization on newly hired Employees.

#### ARTICLE 3

#### JOB OPPORTUNITIES

#### SECTION 3.1 FILLING VACANCIES

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays). Time-share employees will be notified in writing at their last known address of positions available. Should such notification be done telephonically, the Association President will verify that the Site Supervisor made the call of notification. Should the filling of a vacancy under this Article create a second vacancy, that vacancy will be filled under this Article as well. Any employee who wishes to apply for the open position shall do so in writing. Vacancies will be filled by seniority as described in Article 2. Vacancy postings and vacancy notifications will be site specific, i.e., only employees at the site where the vacancy occurs will be required to be notified.

#### SECTION 3.1A SHARE-TIME EMPLOYEES/PART-TIME EMPLOYEES

Share-time employees/Part-time employees positions will be filled by seniority as described in Section 3.1

SECTION 3.1B LAYOFF AND RECALL

If Layoffs are required as a result of budgetary cutbacks or changes in services, the criteria for determining the ranking of layoffs shall be based on reverse seniority (newest employee laid off first, etc.), and not on any appointed position or performance system. Recall of employees will be accomplished by calling the last laid off employee first and so on.

### SECTION 3.2. TEMPORARY VACANCIES

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3.

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#### **ARTICLE 4**

#### MANAGEMENT RIGHTS

#### SECTION 4.1

The company reserves all rights which it heretofore had except to the extent that those rights are expressly limited by the provisions of this Agreement Without limiting the foregoing reservation of rights, the parties consider it to be desirable, in order to avoid unnecessary misunderstandings or grievances in the future, to specify by way of illustration some of the rights reserved to the Company, which it may solely exercise in its discretion and which might otherwise be sources of potential controversy, these rights being:

- 1. The right to determine, direct and change the work operations and work force of the Company;
- 2. The right to increase or decrease the work force, to eliminate or combine job classifications in whole or in part, and to establish new job classifications for such new classifications; not to be used to erode the Bargaining Unit;
- 3. The right to contract out any or all work of whatever kind, so long as such contracting out is not for the retaliatory purpose of reducing the Bargaining Unit;
- 4. The right to determine and change the location and operations of all Company projects and facilities;
- 5. The right to determine the type of services to be rendered, and the manner in which such services are to be performed;
- 6. The right to determine the type and quantity of machines, equipment and supplies to be used and the purchase, control and use of all materials, equipment and supplies that are purchased, used or handled by the Company;
- 7. The right to sell, lease, shut down or otherwise dispose of all or any part of the Company's assets or business operations;
- 8. The right to introduce changes in methods of operation, jobs or facilities, including the right to automate, totally or partially, any or all of its business operations;
- 9. The right to establish job descriptions and classifications and to require any Employee covered by this Agreement to perform any job or task deemed necessary by the Company, regardless of whether it is related to his/her principal duties. These job descriptions will be provided to the Association and the Association given the chance to comment before implementations;
- 10. The right to schedule all work and hours of work, to determine the need for and amount of overtime, and to assign work;
- 11. The right to make and enforce reasonable work rules not inconsistent with the express provisions of this Agreement. No work rule(s) will be implemented by the Company until fifteen (15) calendar days after it has been provided to the Association, during which time the Association may comment to the Company on such work rule(s). An exception to this policy is implementation of work rules in compliance with government requirements. The Company agrees to provide copies of the Government Regulations in a timely fashion.
- 12. The right to hire, promote, transfer, lay off and discharge Employees for cause covered by this Agreement and to determine the requirements and criteria prerequisite to being hired, promoted, transferred, laid off or discharged for cause in accordance with the terms of the

#### Labor Agreement.

#### SECTION 4.2 MEMBER RIGHTS

#### 1. Definition of Discipline

Discipline is defined as any action taken against a member by the Employer that may affect working conditions, hours or wages, including written reprimands. A copy of all disciplinary actions taken shall be forwarded to the Association at the location the action is taken.

#### 2. Application of Discipline

When the Employer decides from known and obvious facts, minor infractions such as uniform or personal appearance violations are observed, then on-the-spot admonishments are warranted. When it becomes necessary for the Employer to initiate disciplinary actions against any member for just cause, such actions shall be administered in a fair and impartial manner, with due regard for the circumstances of the individual case.

#### 3. Criminal Investigations

AKAL has the authority to authorize a criminal investigation on any employee. It is not the intent of this Article to prohibit AKAL's authority in these matters. The Employer/Employee relationship shall be nonexistent and this Article shall not apply until a conclusion of said investigation is reached. If upon reaching a conclusion of the criminal investigation the company determines that the possibility of discipline in an Employer/Employee relationship may exist, AKAL may conduct an Administrative Investigation. Should the company determine that discipline is necessary; the decision shall be based on the Administrative investigation.

#### 4. Personnel Files

A member shall have the right to examine his/her own personnel file or files and to make copies of any document contained therein. At the time any material is placed in the member's personnel file or files by the Employer, a copy shall be forwarded to the member unless originated by the member. Each employee shall receive one copy of any document placed in the file, upon their request.

#### **ARTICLE 5**

#### **GRIEVANCE PROCEDURE**

#### SECTION 5.1 INTENT

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation or misapplication of any provision of this Agreement or the challenge of any disciplinary action taken against a Association Member, except that this grievance procedure shall not be used for any disciplinary action directed by the US Marshals Service or by judicial personnel. In addition, the grievance procedures outlined herein shall not apply to any situation where the Company is acting under the directives of the US Marshals Service or any member of the judiciary. The Company shall provide to the Association in writing the name or names of the person or persons ordering and issuing the directives, and the reason for issuing the directives. The term "days"

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shall not include Saturdays, Sundays or holidays when used in this Article.

## SECTION 5.2 GENERAL PROVISIONS

The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance.

If the association investigates a grievance, and requests information from the Company to support claims of the investigation, the grievance time table shall stop until the information is received by the association. The Company shall take no more than ten (10) days to provide the information.

Any grievance involving discharge or other discipline may be commenced at Step 2.

#### SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

#### A. Step One

Both the Company and the Association agree that the employee will first discuss his/her complaint with the Site Supervisor. If during the course of this discussion either the employee or the supervisor deems it desirable, a Steward or other Association representative will be called in. If the complaint is not satisfactorily adjusted within five (5) days of the informational discussion, it may be submitted in writing to the Contract Manager in accordance with Step 2. The Site supervisor must advised the Association of his/her decision.

#### B. Step Two

If the matter is not resolved, the grievance shall, not later than fifteen (15) days after the informal discussion with the site supervisor, be reduced to writing, setting forth the facts in detail, and specifying the Article and paragraph allegedly violated, and signed by the aggrieved employee and the Association representative, and shall be submitted to the Contract Manager or his/her designee. The Contract Manager or his/her designee shall have fifteen (15) days from the date the grievance was presented to him/her, to return his/her decision, in writing, with a copy to the aggrieved employee and the Steward. The Association and the Contract Manager, personally or telephonically, may meet to resolve the grievance.

#### C. Step Three

Grievances processed in accordance with this section that remain unsettled may be processed to arbitration by the association giving the companies Director of Human Resources or his/her designee, written notice of its desire to proceed to arbitration no later than 15 days after final rejection of the grievance. The parties agree to hold a pre-arbitration hearing requiring a senior manager of the company, not a subject of the grievance, and the Association President or designee to make a final effort to settle the grievance before arbitration. The parties agree to meet telephonically.

### SECTION 5.4 ARBITRATION PROCEDURE

Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

#### A. Selection of an Arbitrator

Within fifteen (15) days of receipt of the Association's written notice to proceed with arbitration, the Company and the Association will meet or telephonically jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Association will request the Federal Mediation and Conciliation Service (FMCS) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the FMCS by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.

#### B. Decision of the Arbitrator

The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement.

#### C. Arbitration Expense

The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Employee. Each party to the arbitration will be responsible for its own expenses and compensation incurred in bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.

#### D. Time Limits

The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

#### SECTION 5.5 CLASS ACTION

The Association shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at Step 2 of the grievance procedure.

#### SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

### **ARTICLE 6**

### DISCIPLINE

No Employee shall be dismissed or suspended without just cause. The Association has the right to grieve or arbitrate all employees that are disciplined except for cases when the Company is acting under the directive of the US Marshals Service or any member of the judiciary. The Company must provide to the Association in writing that the action taken is under the direction of the US Marshals Service or any member of the pudiciary and who is the person or persons taking such action, and the reason for taking such action.

#### **ARTICLE 7**

#### HOURS OF WORK AND OVERTIME

#### SECTION 7.1 WORKDAY AND WORKWEEK

For the purpose of this Article, eight (8) consecutive hours of service excluding an unpaid paid thirty (30) minute lunch period shall constitute a normal working day for full-time employees. The normal work week for full-time employees shall be five (5) work days (Monday-Friday) within a consecutive seven (7) day work week. Shifts shall be scheduled at the discretion of the Employer.

#### SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an employee's base rate of pay shall be paid for all hours worked in excess of forty (40) hours in a work week.

#### SECTION 7.3 OVERTIME DISTRIBUTION

Seniority shall be used in the assignment of overtime. Overtime will be distributed on a rotation basis as equitably and fairly as practicable among employees regularly assigned to the particular work location (including: time-share employees/part-time employees. Excluding: Supervisors cannot do CSO overtime positions/posts except in emergency situations, when directed by the US Marshal Service or judiciary, or in situations dictated by availability of personnel and amount of notice given for overtime). The Company will not permit supervisors to work overtime assignments when there is a Bargaining Unit member available. The Employer will attempt to rectify overtime inequalities through the future scheduling of overtime work. Overtime records will be made available to the Association by the Company upon request.

#### SECTION 7.4TIME SHARE EMPLOYEES

Hours of work for the time-share employees shall be determined by the Employer, to insure the

orderly and efficient operation of court security services.

## SECTION 7.5 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch for each six (6) hour shift. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to work requirements, Employees may have to work through these rest periods and they will be compensated for hours worked at their regular hourly rate.

#### SECTION 7.6 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four (4) hours of work or pay.

#### SECTION 7.7 SHIFT BIDDING (Dallas only)

In the event shift bidding is implemented, it will take place during the first week of January and will be implemented at the beginning of the first pay period in February. At least once each year, full-time Employees and Shared Employees at each location may bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. It is understood by both parties that this Section will not apply to US Marshals Service or judicial assignments and all bidding will be conditional upon US Marshals Service acceptance. Employees assigned to judiciary assignments will not be eligible to bid shifts.

#### SECTION 7.8 SHIFT ROTATION

All assignments will be conducted on a rotating basis unless otherwise directed by the US Marshals Service. Shift rotation will be determined by the Site Supervisor based upon the needs of the US Marshall Service.

#### **ARTICLE 8**

#### WAGES

#### SECTION 8.1 WAGE SCHEDULE

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this agreement.

#### SECTION 8.2 PAYDAY

Payday for all hourly Employees will be after 11 am. on Friday following the pay period ending on Saturday, subject to change by mutual agreement.

#### SECTION 8.3 UNDISPUTED ERROR

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In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made from the date the error occurred.

#### ARTICLE 9

#### HOLIDAYS

#### SECTION 9.1. HOLIDAYS DEFINED

Whenever the term "holiday" is used it shall mean; New Year's Day, Martin Luther King Jr. Day, President's Day, Memorial Day, Independence Day, Labor Day, Columbus Day, Veteran's Day, Thanksgiving Day, Christmas Day, Employee birth day to be used in the month the Birthday occurs and one floating holiday to be used with 7 days written notice from the employee. Any day declared by the President of the United States or the United States Congress as a permanent national holiday.

#### SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

- A. A full-time employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift or premium for that holiday.
- B. Any full-time employee who is called in to work on a holiday shall receive the employee's straight time rate for all hours worked and in addition shall receive eight (8) hours holiday pay at the straight time rate.
- C. Any regular shared-time employee who works as scheduled on a holiday shall receive the employee's straight time rate for all hours worked and in addition shall receive four (4) hours of holiday pay or pay based on hours paid the week prior, or whichever is greater.
- D. Time-share employees who are not required to work on a holiday shall receive four (4) hours of holiday pay or pay based on hours paid the week prior, or whichever is greater.
- E. Time-share employees regularly working 40 hours per week will receive full benefits while the situation continues.

#### ARTICLE 10

#### VACATIONS

#### SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Eligible full-time employees shall be entitled to annual vacation pay, based on their continuous years of service with the employer at their individual hourly rate at the time payment is made in accordance with the following schedule.

| Upon completion of 1 year of service:   | 104 hours |
|---|-----------|
| Upon completion of 3 years of service:  | 144 hours |
| Upon completion of 10 years of service: | 184 hours |
| Upon completion of 15 years of service: | 200 hours |

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#### SECTION 10.1a AN ELIGIBLE PART-TIME EMPLOYEES

Eligible part-time employees shall be entitled to vacation pay at their individual hourly rate. They will be entitled to one-half the amount of a full-time employee or prorated vacation per the schedule contained in Section 10.1, based on: their individual hourly rate the number of hours paid in the previous year, and the employee's anniversary date or whichever is greater. In instances where only one employee is filling a time share position that employee shall receive the full amount of vacation days or pay.

## SECTION 10.2 SCHEDULING VACATIONS

A. On or before January 1st of each calendar year, a seniority list will be provided by the LCSO at each site. This list will be posted in a location accessible to all employees. The Association will coordinate the vacation scheduling process.

B. In order of seniority, each employee shall schedule his first choice of vacation dates by January 15th ("First Round Schedule"). Upon completion of the First Round Schedule each employee shall schedule his second choice of vacation dates by February 1st ("Second Round Schedule"). Upon completion of the Second Round Schedule, each employee shall schedule his third choice of vacations dated by February 15th ("Final Round Schedule").

C. Any subsequent changes will be by mutual consent of employees whose vacation schedules are affected. No employee shall be entitled to 'bump' another employee from his scheduled vacation for any reason.

- D. Any employee who does not schedule by the deadline for a particular round of scheduling will lose his/her choice for that round.
- E. Vacation will be bid in 8 hour increments.

#### SECTION 10.3 PAY OPTIONS

Earned vacation pay shall be paid on the pay day following the employee's return to the job after his/her vacation.

#### SECTION 10.4 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (i e. anniversary, date of employment) or upon termination of employment will be cashed out and paid to the employee within 10 days of anniversary date or date of termination.

#### SECTION 10.5 VACATION-LAID OFF EMPLOYEES

Length of service with the employer shall not accrue for the purposes of vacation benefits while an employee is on laid-off status.

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#### SECTION 10.6 VACATION INCREMENTS:

With 30 days or less notice, vacation hours may be used in one (1) hour increments.

## ARTICLE 11

## LEAVES

## SECTION 11.1 LIMITATIONS

Personal leaves of absence not to exceed thirty (30) calendar days may be granted at the discretion of the employer without loss of seniority to the employee.

#### SECTION 11.2 MEDICAL LEAVE

An employee shall be granted a medical leave of absence for a specified period not to exceed three (3) months, provided the employee's disability is made known to the employer in accordance with the provisions of this Article, is supported by a doctor's certificate showing the nature of the illness and the estimated length of time the employee will be unable to perform his/her job. This period may be extended beyond the three (3) months at the discretion of the employer. During such leave, the employee shall be required to furnish a similar report from the doctor when requested periodically by the employer. Upon the expiration of said leave, the employee shall furnish the employer with a statement, signed by the doctor, which establishes the fitness of the employee to return to the employee's previously held work.

#### SECTION 11.3 MILITARY LEAVE

An employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted a military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

#### SECTION 11.4 ASSOCIATION LEAVE

An Association officer or delegate will be granted a leave of absence upon written request for the purpose of attending Association conventions or other meetings of vital interest to the Association. The maximum number of days given for Association leave is not to exceed five (5) days per contract year. And the maximum number of Association officers or delegates to be granted leave of absence is not to exceed three (3).

## SECTION 11.5 BEREAVEMENT LEAVE

When a death occurs in an employee's immediate family the Company will grant five (5) days paid funeral leave to the employee for the purpose of attending the funeral if the employee requests such leave from his/her supervisor.

The period of funeral leave granted is five full-time or shared-time working days Immediate family is defined as an employee's spouse, son, daughter, father, mother, brother, sister, mother-in-law, father-in-law, brother-in-law, sister-in-law, foster parent, legal guardian, grandparent, grandchild or in loco parents.

## SECTION 11.6 FAMILY MEDICAL LEAVE

The Family and Medical Leave Act of 1993 are incorporated herein by reference.

## SECTION 11.7 PERSONAL LEAVE

Each full-time employee shall be eligible to earn a maximum of six (6) days of personal leave per full contract year. Personal days shall be paid when taken by the employee. Personal days may be used in one (1) hour increments with advance notice to the contractor. Unused personal hours shall be cashed out and paid by the employer within 10 days of the end of the contract year at the employee's individual hourly rate.

## SECTION 11.8 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of Article 2 of this Agreement.

## SECTION 11.9 PROCESSING LEAVE OF ABSENCE

A leave of absence may be processed in the following manner;

A. Any request for a leave of absence shall be submitted in writing at least ten (10) calendar days, whenever possible, prior to the date that the leave will take effect, except in cases of emergencies, and shall include:

- 1. The reason for such leave;
- 2. The effective date of such leave;
- 3. The estimated date of return to work.

B. The written request for leave of absence shall be submitted to the Site Supervisor for final disposition.

C. If the request for the leave of absence is approved, a copy of the approved leave of absence will be given to the employee involved.

D. Extensions of the leave of absence may be granted at the discretion of the employer upon written request by the employee within ten (10) calendar days, except in case of emergency, prior to the expiration of the leave of absence. Extensions, when granted, shall not total more than thirty (30) days.

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#### SECTION 11.10 JURY DUTY

The company will comply with all Sate and Federal regulations regarding employees' service for jury duty. Employees will receive their regular rate of pay minus any pay received from the courts for up to five (5) days spent on jury duty.

#### 11.11 LEAVE WITHOUT PAY

An employee with no personal leave in the bank may be granted, upon written request (local form) up to 2 days leave without pay with the approval of the site supervisor. Approval may be obtained telephonically by a LCSO or Acting LCSO.

### ARTICLE 12

#### HEALTH, WELFARE AND UNIFORM ALLOWANCES

#### SECTION 12.1 PAYMENTS

For the life of this Agreement, the employer will make health and welfare payments to employees in cash on all hours paid up to forty (40) hours per week and up to a total of 2080 hours per contract year in accordance with the prevailing Wage Determination as of October 1st every contract year.

#### SECTION 12.2 MINIMUM BENEFITS

The amounts required by Section 12.1 shall serve as the minimum health and welfare benefits for employees.

SECTION 12.3 OTHER BENEFITS

The employer will offer employees the opportunity to participate in other fringe benefit programs made available to all Court Security Officers employed by the Company. These programs include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401(k) plans, and any other plan mentioned in this Agreement.

SECTION 12.4 UNIFORM MAINTENANCE

Please see appendix A

SECTION 12.5 LIFE INSURANCE/ACCIDENTAL DEATH AND DISMEMBERMENT

The Company shall pay \$.04 per hour worked (excluding overtime) into an Association insurance trust fund ("Fund"). The Association agrees to provide information for payment of such

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contributions to this Fund or to a third party administrator no later than three (3) months of the date of this Agreement. The Association agrees to indemnify and hold harmless the Company from any acts or omissions in the administration of this fund. This shall be effective through the life of the contract.

#### SECTION 12.6 DISABILITY INSURANCE

Group Disability Insurance shall be offered to and costs borne by those employees of the Company who desire it through a group plan of an insurance company of the Company's choice, subject to plan minimum participation requirements. This shall be made available within the first three (3) months.

## ARTICLE 13

## MISCELLANEOUS PROVISIONS

#### SECTION 13.1 BULLETIN BOARDS

The Company will provide a bulletin board which will be used by the Association for posting notices of meetings, elections, appointments, recreational and social affairs, and other Association notices.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

The Employer shall pay for all physical examinations in full that are required by the Company, for time spent taking the exam and for travel expenses to and from the exam (such as mileage at the current mileage rate). The Employer shall also pay for all follow-up physical examinations required by the Company or the US Marshals Service. All expenses for follow-up exams exceeding four hundred dollars (\$400) must be pre-approved by the Company.

#### SECTION 13.3 TRAVEL EXPENSE

The Company will provide travel expenses up-front if requested by an employee. Any hours to include travel over twelve (12) hours, will require the employee stay overnight and the appropriate per diem will be paid. All hours, in travel will be counted as work hours with the appropriate overtime wages provided for this Agreement employees will be reimbursed for all expenditures of any travel within ten (10) days from the day employee submits travel voucher to Employer.

#### Per Diem

Government travel rates for the local will apply.

#### SECTION 13.4 BREAK ROOMS

The Employer will make its best effort to obtain from the government break rooms for CSOs for breaks and lunch without management using the room as an office and will make its best effort to have the government equip the room with water.

SECTION 13, 5 LOCKERS

The Employer will make its best effort to obtain lockers from the government for the use of the CSOs.

## ARTICLE 14

## 401(k) PLAN

SECTION 14.1 401(k) PLAN

The company shall provide a 401(k) plan for Court Security Officers, whether Association or Non-Association employees shall be subject to eligibility requirements and rules of the Plan.

## ARTICLE 15

# TRAINING

SECTION 15.1 TRAINING

The Company will make its best effort to implement its advanced CSO training program to enhance the professional capabilities of the employees.

#### **ARTICLE 16**

SAFETY

SECTION 16.1 SAFETY POLICY

It is the policy of the Company to provide employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. The Company agrees to permit one (1) Bargaining Unit member selected by the Association to participate in any scheduled safety meetings.

SECTION 16.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any government provided CSO work stations and break rooms.

#### ARTICLE 17

# CONTINUITY OF OPERATIONS

Both the Company and the Association agree that continuity of operations is of utmost

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importance to the Companies operations; therefore, the Association and the Company agree that there will be no strikes; lockouts; work stoppages; illegal picket lines, slowdowns or secondary boycotts during the term of this Agreement.

#### ARTICLE 18

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or government statutes so long as they shall remain legally effective It is the express intention of the parties hereto that all other provisions not declared invalid remain in full force and effective.

#### ARTICLE 19

#### ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and all understandings and agreements reach by the parties are set forth in this Agreement.

Therefore, the Company and the Association shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement except as-specifically provided for in other provisions of this Agreement

#### ARTICLE 20

#### DURATION

This Agreement shall be effective upon its execution by both parties and supersedes any and all prior agreements or understandings between the parties. The agreement shall commence at 11:45 p.m. on September 30, 2006 and shall remain in force until 2400 hours on September 30, 2008.

IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR: FOR: PROFESSIONAL ASSOCIATION AKAL SECURITY, INC. OF COURT SECURITY OFFICERS NORTHERN DISTRICT OF TEXAS BY: BY: joon ĸ TITLE: TITLE 7-21-06 DATE: DATE FOR: FOR: PROFESSIONAL ASSOCIATION AKAL SECURITY, INC. OF COURT SECURITY OFFICERS NORTHERN DISTRICT OF DEXAS ΒŶ ₿Y Relations Mai TITLE: TITLE: Ø 2006 DATE DATE ΒY BY Securior Site TITLE TITLE: 7-2106 DATE:\_ DATE:

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## APPENDIX A

## WAGE SCHEDULE

Listed below are the Wages and Benefits for the employees at the 5<sup>th</sup> Circuit for Professional Association of Court Security Officers, Northern District of Texas, (PACSONDT).

Base Wages

Site: Fort Worth, Dallas TX

Current:

Court Security Officers: Lead Court Security Officer: Sr. Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance:

Effective October 1, 2006

Court Security Officers: Lead Court Security Officer: Sr. Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance:

Effective October 1, 2007

Court Security Officers: Lead Court Security Officer: Sr. Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance: \$21.03/hour
\$22.03/hour
\$22.28/hour
\$2.87/regular hour paid up to 40
\$0.11/regular hour worked up to 40

\$22.18/hour
\$23.38/hour
\$23.63/hour
\$3.30/regular hour paid up to 40
\$0.16/regular hour worked up to 40

\$\*\*/hour
\$\*\*/hour
\$\*\*/hour
\$\*\*/hour
\$\*\*/regular hour paid up to 40
\$0.16/regular hour worked up to 40

Northern District of Texas CBA 2006 - 2008

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Site: Amarillo, Lubbock, Abilene, San Angelo, TX

Current:

Court Security Officers: Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance:

Effective October 1, 2006

Court Security Officers: Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance: \$16.92/hour\$17.67/hour\$2.87/regular hour paid up to 40\$0.11/regular hour worked up to 40

\$17.87/hour\$19.07/hour\$3.30/regular hour paid up to 40\$0.16/regular hour worked up to 40

Effective October 1, 2007

Court Security Officers: Lead Court Security Officer: Health and Welfare Allowance: Uniform Allowance: \$\*\*/hour
\$\*\*/hour
\$\*\*/regular hour paid up to 40
\$0.16/regular hour worked up to 40

\*\*(A) The parties agree that either party may reopen negotiations for amendments to Appendix A, Health & Welfare Allowance and Wages at any time after April 1, and before April 21, by giving written notice to the other party. The Company and the Association shall meet as often as needed in an attempt to agree on Health & Welfare and Wage increases. Any final agreement resulting from said negotiations before May 1 shall be incorporated into the terms of the CBA for the following contract year. If the parties fail to reach agreement after May 1, the existing dispute shall be submitted to Expedited Arbitration in accordance with the terms of the following section (B) below. All provisions of this Agreement shall remain in force during the terms of the negotiations and any resulting arbitration for the remainder of the terms of this Agreement.

(B) Expedited Arbitration Process for Wage and Health & Welfare Reopen.

- 1) Either party shall give written notice to the other by May 16 of it's intent to invoke Expedited Arbitration.
- 2) The parties shall submit, by May 21, to the Federal Mediation and Conciliation Service (FMCS) a joint Request for Arbitration Panel (Form R-43) indicating "Expedited Arbitration". The Office Arbitration Services (OAS) will then refer a panel of arbitrators.

Northern District of Texas CBA 2006 - 2008

Page 2 of 3

- 3) The parties shall mutually agree upon an arbitrator by June 1. If the parties are unable to agree on an arbitrator by June 1, the parties consent to a direct appointment by the OAS of an arbitrator not on the original panel.
- 4) The parties and the arbitrator will schedule a hearing to be held no later than July 1. The hearing will be conducted within one (1) day.
- 5) The decision of the arbitrator will be final and binding, and will be incorporated into the Agreement within five (5) days of the decision.
- 6) In accordance with Article 5 of this agreement, any additional arbitrator's fees and expenses for Expedited Arbitration shall be shared equally between the Company and the Association.

AKAL Security, Inc.

Labor Relations Mgr Date: Date:

2006

Professional Association of Court Security Officers Northern District of Texas, Inc. (PACSONDT)

Date: Date

## Northern District of Texas CBA 2006 - 2008

Page 3 of 3

Southern Mississippi

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| REGISTER OF WAGE<br>THE SERVICE CON<br>By direction of |                     | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION |
|--|---------------------|--|
| of Labor   | the secretary       | WASHINGTON D.C. 20210  |
| 01 20001   |                     |  |
|  |                     | ) Wage Determination No.: CBA-2006-312   |
| William W.Gross  | Division of         | Revision No.: 1  |
| Director   | Wage Determinations | B Date Of Last Revision: 11/7/2006   |
| State: Mississippi                                     |                     | - I <u></u>  |

Area: Hinds

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Court Security Officers of the Southern District of Mississippi, effective 10/1/2006 through 9/30/2009 and amended on 8/8/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

# Master Collective Bargaining Agreement

Between

# AKAL SECURITY, INCORPORATED

and the

# Court Security Officers of the Southern District of Mississippi Facilities

Jackson, Mississippi

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# PREAMBLE

THIS AGREEMENT is made and entered by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and Court Security Officers of the Southern District of Mississippi Facilities, Jackson, MS, hereinafter referred to as the "Union".

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CBA (Akal/SMS-Jackson 2002 - 2006)

#### ARTICLE J

### GENERAL PROVISIONS

#### SECTION 1.1 RECOGNITION-BARGAINING UNIT

- A. The Employer hereby recognizes the Union as the sole and exclusive bargaining agent for the purpose of collective bargaining as outlined in this Agreement, with respect to wages, hours, overtime, leaves of absence, uniform allowances and any and all other conditions of employment for all full-time and regular shared position USMS credentialed court security officers (CSOs), special security officers, lead court security officers, and special lead court security officers assigned to the federal courthouses and other United States Justice Department related office buildings pursuant to the Employer's contract(s) with the United States Marshals Service for security within the jurisdictional boundaries of the Southern District of Mississippi, in the city of Jackson, MS, excluding all managers, supervisors as defined by the NLRB, office and/or clerical Employees, temporarily assigned Employees and substitute Employees and all other Employees of the Employer.
- B. The term "Employee" when used in this Agreement shall refer to the Employees in the bargaining unit described in Article 1, Section 1.1 of this Agreement.

## SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

#### SECTION 1.3 STEWARD SYSTEM

The Company agrees to recognize a steward system.

The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in Article 5 of this Agreement.

If the Employee requests, the Company will call for a steward prior to any disciplinary action taken whether it be written or verbal. The supervisor at the request of the Employee will release the steward as soon as possible. The Company will not be responsible for paying the steward for time spent in this regard.

SECTION 1.4 MANAGERS AND SALARIED PERSONNEL.

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except as necessary to fulfill the work under the US Marshals Service contract.

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CBA (Akal/SMS-Jackson 2002 - 2006)

#### SECTION 1.5 DUES CHECK-OFF

The Company agrees to deduct monthly dues and lawful assessments as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon receipt of written authorization from the Employee on a form provided by supervision or the Union. The Employee upon 30 days' written notice served upon the Company and the Union may revoke such authorization. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to what the Union membership dues are.

The Company will remit all such deductions to the Financial Secretary/Treasurer within 72 hours from the date the deduction was made via direct deposit unless it is technically impossible to do so. All costs related to direct deposit will be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues and initiation fees within seven (7) days of each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions commenced by an Employee against the Company, and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Union. Errors made by the Company in the deduction or remittance of moneys shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Union and the Employees, shall be the official Union Authorization for Check-Off Dues. The Company shall accept no other form unless the parties mutually agree to the substitution.

#### SECTION 1.6 INTENT OF PARTIES

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient operation. The Union and the Company agree that they will use their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union. Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, or disability.

The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

## ARTICLE 2

#### SENIORITY

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CBA (Akal/SMS-Jackson 2002 - 2006)

## SECTION 2.1 SENIORITY DEFINED

Union seniority shall be the length of continuous service from the Employee's last date of hire or transfer to all sites within the jurisdictional boundaries of Jackson, MS, as a Special Deputy US Marshal Court Security Officer for the Employer, past or present and/or any predecessor Employer. Seniority shall not accrue until the employee has successfully completed his/her probationary period. Seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work and other matters as provided for in this Agreement.

Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose his/her union seniority as it applies to the order of layoff and recall, shift bidding, vacation schedules, extra work and other matters as provided for in this Agreement.

## SECTION 2.2 SENIORITY LISTS

Seniority Lists shall be furnished by the Company to the proper Union officials within a reasonable time, upon written request by the Union, each March and September of each contract year. The Union President or the President's designated representative must make the request for these lists to the Company in writing. The updated and current Seniority List shall be posted and maintained by the Company at each work location. An Employee's standing on the posted Seniority List will be final unless protested in writing to the Site Supervisor or Contract Manager in districts where a "Site. Supervisor" is not authorized, no later than thirty (30) calendar days after the list has been posted.

#### SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

### SECTION 2.4 TRANSFER OUT OF UNIT

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) weeks shall lose his/her union seniority. If he/she returns to the bargaining unit at a later date, his/her seniority will start on that return.date,

#### SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement. Probationary Employees do not have seniority until the completion of the probationary period, at which time senicrity dates back to the date of hire. The ninety (90) day period referred to in this section may be extended if the Company encounters a delay in the US Marshals Service performing background checks and granting written authorization on newly hired Employees.

#### CBA (Akal/SMS-Jackson 2002 - 2006) ·

# SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- a) the Employee quits or retires;
- b) the Employee is discharged;
- c) a settlement with an Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- d) the Employee is laid off for a continuous period of one hundred eighty (180) days; or the Government terminates the Employee's credentials as a Special Deputy Marshal, or the Employee is otherwise asked to be removed from working under the Employer's contract with the Government.
- c) Employee is permanently transferred out of the bargaining unit.

## ARTICLE 3

#### JOB OPPORTUNITIES

### SECTION 3.1 FILLING VACANCIES

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays). Shared position Employees at the site where an opening occurs will be notified in writing at their last known address. The Site Supervisor will notify the Union President in writing of such openings. The Union President will then verify that all shared position CSOs have been notified. When a vacancy occurs, the Employer will fill the position with the senior-most Employee, who will be trained if required to fill any necessary qualifications for the new position.

Should the filling of a vacancy under this Article create a second vacancy, that vacancy will be filled under this Article as well. Any Employee who wishes to apply for the open position shall do so in writing. Vacancy postings and vacancy notifications will be site specific, i.e., only Employees at the site where the vacancy occurs will be required to be notified.

### SECTION 3.1A SHARED POSITION EMPLOYEES

Shared positions will be filled as described in Section 3.1

#### SECTION 3.1B LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority. Recall of Employees will be accomplished by calling the last laid off Employee first and so on.

CBA (Akal/SMS-Jackson 2002 - 2006)

# SECTION 3.2 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement; to the extent feasible the assignment shall be a voluntary selection based on seniority. In the absence of volunteers, assignments shall be made on a reverse seniority basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this Agreement.

Due to the changing work environment, all Employees are subject to assignment anywhere within the district on an as-needed basis from present on-duty personnel. Failure to comply with the aforementioned schedule changes may lead to disciplinary action up to and including dismissal.

# SECTION 3.3 APPOINTMENT OF LEAD CSOs

The US Government in its contract with the Company creates specific guidelines for the selection of Lead CSOs. Based on these criteria, all appointments of Lead CSOs will be made on the basis of ability. Ability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail.

#### ARTICLE 4

#### MANAGEMENT RIGHTS

Except as limited by the specific undertakings expressed in this Agreement, the Company shall continue to have the right to take any action it deems appropriate in the management of the business in accordance with its judgement.

#### ARTICLE 5

#### GRIEVANCE PROCEDURE

#### SECTION 5.1 INTENT

For purposes of this Agreement, a grievauce shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement or the challenge of any disciplinary action taken against a Union Employce, except that this grievance procedure shall not be used for any disciplinary action directed by the US Marshals Service or by Judicial personnel. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties. In addition, the grievance procedures outlined herein shall not apply to any situation where the Company is acting under the directives of the US Marshals Service or any member of the judiciary. The term "days" shall not include Saturdays, Sundays, or holidays when used in this Article

CBA (Akal/SMS-Jackson 2002 - 2006)

# SECTION 5.2 GENERAL PROVISIONS

The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance.

## SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

Informal Step - Both the Company and the Union agree that the Employee will first discuss his/her complaint with his/her inunediate supervisor not in the bargaining unit within five working (5) days of the incident being grieved to start the informal procedure. If the informal procedure is not invoked within five working days of Employee's knowledge of a grieveable issue, then is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in. If the complaint is not satisfactorily adjusted within three (3) working days of the informal discussion, it may be submitted in writing to the Contract Manager or his/her designee in accordance with Step One.

Step One - If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the steward, and shall be submitted to the Contract Manager or his/ber designee. The Contract Manager or his/ber designee shall have ten (10) days from the date the grievance was presented to him/her to return his/ber decision in writing with a copy to the aggrieved Employee and the steward.

Step Two - If the grievance is not settled in Step One, the grievance may be appealed in writing to the Director of Human Resources or his/her designee not later than ten (10) days from the denial by the Contract Manager or his/her designee. The Director of Human Resources or his/her designee will have ten (10) days from the date the grievance was presented to him/her, to return his/her decision, in writing, with a copy to the aggrieved Employee and the Steward.

Grievance for Discipline - Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or his/her designee within ten (10) days after the occurrence of the facts giving rise to the grievance.

### SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Akal Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

CBA (Akal/SMS-Jackson 2002 - 2006)

Pre-Arbitration Hearing - The parties agree to hold a pre-arbitration hearing requiring a senior manager of the Company and Union President (or designee) to make a final effort to settle the grievance before arbitration.

Selection of an Arbitrator - Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet or telephonically jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the Federal Mediation and Conciliation Service (FMCS) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the FMCS by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.

Decision of the Arbitrator - The arbitrator shall commence the hearing at the carliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement.

Arbitration Expense - The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred in bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.

Time Limits - The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

#### SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

# SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

#### ARTICLE 6

#### DISCIPLINE

#### SECTION 6.1 GROUNDS FOR DISMISSAL

After completion of the probationary period, no Employee shall be dismissed or suspended without just cause, unless the Employee is ordered by the Government to be removed from working under the Employer's contract with the Government, or if the Employee's credentials are denied or

CBA (Akal/SMS-Jackson 2002 - 2006)

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terminated by the Marshals Service. The Company's contract with the US Government sets out performance standards for CSOs and all employees are required to comply with these standards.

# ARTICLE 7

### HOURS OF WORK AND OVERTIME

#### SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Employees working a minimum of eight (8) consecutive hours shall normally receive an unpaid lunch period of at least thirty (30) minutes unless work conditions preclude scheduling of this period. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the Government. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

### SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours actually worked in excess of forty (40) hours in a work week.

#### SECTION 7.3 OVERTIME REQUIREMENT

If requested to work overtime (i.e. over forty [40] hours in a workweek) or extra hours, and the seniority system is not invoked due to shortness of notice, the Employee shall be required to do so unless the Employee is excused for good cause.

## SECTION 7.4 OVERTIME DISTRIBUTION

Overtime will be distributed as equitably and fairly as practicable among Employees regularly assigned to the particular work location (including shared position Employees), subject to the direction of the judges and/or Marshals Service. Seniority shall be used in the assignment of overtime, except when the Employer is directed by the US Marshals Service or judges, or in situations dictated by availability of personnel and amount of notice given for overtime.

Excluding: Site Supervisors cannot be assigned to cover CSO overtime positions or posts except in emergency situations, or when directed by the US Marshal Service or judiciary, or in situations dictated by availability of personnel and amount of notice given for overtime. The Company will permit Site Supervisors to work overtime assignments only when there is no bargaining unit member available or in situations described above due to the rapidly changing court environment. The Employer will attempt to rectify overtime inequalities through the future scheduling of overtime work. Overtime records will be made available to the Union by the Company upon request.

CBA (Akal/SMS-Jackson 2002 - 2006)

# SECTION 7.5 SHARED POSITION EMPLOYEES

Hours of work for shared position Employees shall be determined by the Employer, to insure the orderly and efficient operation of court security services. Shared position Employees shall be required to work all scheduled work hours, unless the Employee is excused for good cause. Shared position Employees will be required to sign the Akal Shared Officer Agreement.

### SECTION 7.6 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods when properly relieved and one (1) thirty (30) minute unpaid lunch for each eight (8) hour shift. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional authorized work requirements, Employees may have to work through their unpaid lunch breaks, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to provide regularly scheduled breaks. It is not the intent of the Company to deny, avoid, or abuse this requirement.

## SECTION 7.7 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of three (3) hours of work or pay.

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SECTION 7.8 SHIFT BIDDING

At least once each year, full-time Employees and shared position Employees at each location may bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift Bidding may not lead to any change in status from full time to shared time position or vice versa. Both parties understand that this Section will not apply to US Marshal Service or judicial assignments and all bidding will be conditional upon US Marshal Service acceptance.

#### **ARTICLE 8**

#### WAGES

#### SECTION 8.1 WAGE SCHEDULE

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement.

# SECTION 8.2 PAYDAY

Payday for all hourly Employees will be after 11 a.m. on Friday following the pay period ending on Saturday, subject to change by mutual agreement.

CBA (Akal/SMS-Jackson 2002 - 2006)

## SECTION 8.3 UNDISPUTED ERROR

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made from the date the error occurred.

#### SECTION 8.4 LEAD CSO RATES

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid a premium to the current CSO rate. The amount of premium to be paid a new Lead CSO will be negotiated between the Company and the Union at the time of promotion or determination of the position.

#### **ARTICLE 9**

#### HOLDAYS

### SECTION 9.1. HOLIDAYS DEFINED

Whenever the term "holiday" is used, it shall mean the following:

New Year's Day President's Day Independence Day Columbus Day Thanksgiving Day Martin Luther King Jr's Birthday Memorial Day Labor Day Veteran's Day Christmas Day

# SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

A. A full-time Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift or premium for that holiday. The Employee will be paid holiday pay only if the Employee is not laid off, or on an unpaid leave of absence.

B. Any full-time Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked and in addition shall receive eight (8) hours holiday pay at the straight time rate, providing the Employee meets the requirements above in Section 9.2A.

C. Any shared position Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked plus prorated holiday pay up to 8 hours based on their average weekly hours for the previous four weeks' work.

D. Holiday pay for shared position Employees who do not work on a holiday and meet the eligibility requirements set out in Section 9.2A above shall be paid a protation of the full-time benefit based on their average weekly hours for the previous four weeks' work.

CBA (Akal/SMS-Jackson 2002-2006)

## ARTICLE 10

# VACATIONS

### SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Eligible full-time Employees shall be entitled to annual vacation pay, based on their continuous years of service with the Employer at their individual hourly rate at the time payment is made in accordance with the following schedule:

| Upon completion of one (1) year of service:      | 80 hours  |
|--|-----------|
| Upon completion of five (5) years of service:    | 120 hours |
| Upon completion of twelve (12) years of service: | 160 hours |

## SECTION 10.1a ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees who work a regular half-time schedule shall be entitled to one-half the full-time vacation benefit at their individual bourly rate.
- B. Eligible shared position Employees who work other than a regular part-time schedule shall be entitled to a prorated vacation pay at their individual hourly rate based on the number of hours worked in the previous contract year.

## SECTION 10.2 SCHEDULING VACATIONS

Each Employee who qualifies for a vacation in accordance with the provisions of this Article shall notify his/her Lead CSO, in writing, prior to April 1st of each year of his or her first and second choice for desired vacation periods, if any. If vacation time is required to be used differently than as per requested prior to April 1, Employee must give their immediate supervisor a written request at least seven (7) days prior to the requested vacation time.

The Employer will recognize union seniority when scheduling Employees for vacation in accordance with Section 2.1. The Employer will allow the maximum amount of personnel off at any one time for vacation that allows the Company to maintain efficient operations. The final allocation of vacation periods shall rest exclusively with the Employer in order to insure orderly and efficient operations and meet Government contract requirements.

#### SECTION 10.3 PAY OPTIONS

Earned vacation pay shall be paid on the pay day following the Employee's return to the job after his/her vacation.

## SECTION 10.4 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (i.e. anniversary date of employment) shall be paid to the Employee.

CBA (Akal/SMS-Jackson 2002 - 2006)

# SECTION 10.5 PAY IN LIEU OF VACATION LEAVE

Any time during the year, Employees may request in writing to be paid for earned vacation pay in lieu of taking actual vacation leave.

#### SECTION 10.6 TERMINATING EMPLOYEES

Upon termination of employment, Employee will be paid at their individual hourly rate for any legally accrued but unused vacation time, as entitled by the Service Contract Act.

## SECTION 10.7 VACATION - LAID OFF EMPLOYEES

Length of service with the Employer shall not accrue for the purposes of vacation benefits while an Employee is on laid-off status.

# SECTION 10.8 VACATION INCREMENTS

Vacation days may be used in one (1) day increments, if so desired by the Employees and approved by the Employer.

#### **ARTICLE 11**

## LEAVES OF ABSENCE

#### SECTION 11.1 LIMITATIONS

Personal leaves of absence for non-medical emergencies may be granted at the discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless approved by the Employer. Employee on any unpaid leave of absence may be required to use available vacation or personal leave time. Length of service with the Employer shall not accue for purposes of vacation, holiday, or other accued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory-unpaid leave of absence.

## SECTION 11.2 MEDICAL LEAVE

An Employee shall be granted an unpaid medical leave of absence for a specified period not to exceed 16 weeks within a 12-month period. Employee's disability must be made known to the Employer in accordance with the provisions of this Article, and be supported by a doctor's certificate showing the nature of the illness and the estimated length of time the Employee will be unable to perform his/her job.

The 16-week period may be extended at the discretion of the Employer. During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Employee will be required to use accrued vacation or personal leave time during the medical leave. Upon the expiration of said leave, the Employee shall furnish the Employer with a

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CBA (Akal/SMS-Jackson 2002 - 2006)

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statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work.

## SECTION 11.3 MILITARY LEAVE

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

## SECTION 11.4 UNION LEAVE

A Union officer or delegate will be granted an unpaid leave of absence upon written request for the purpose of attending Union conventions or other meetings of vital interest to the United Government Security Officers of America. The maximum number of days given for union leave is not to exceed five (5) days per contract year and the maximum number of union officers or delegates to be granted leave of absence is not to exceed two (2) Employees per Local Union.

#### SECTION 11.5 FAMILY MEDICAL LEAVE

The Family and Medical Leave Act of 1993 is incorporated herein by reference.

### SECTION 11.6 PERSONAL LEAVE

í

Each full-time seniority Employee shall be eligible to use a maximum of six (6) days of personal leave (forty-eight hours) per 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based upon the following rate (see Personal Leave Eligibility Table next page):

-----

| Personal Leave Eligibility Table   |                    |                      |  |  |
|--|--------------------|----------------------|--|--|
| START DATE   | RATE OF PERSONAL L | EAVE ELIGIBLE TO USE |  |  |
| (Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date.) | FUI.L-TIME         | SHARED POSITION      |  |  |
| October 1-31   | 48 hours           | 24 hours             |  |  |
| November 1-30  | 44 hours           | 22 hours             |  |  |
| December 1-31  | 40 hours           | 20 hours             |  |  |
| January 1-31   | 36 hours           | 18 hours             |  |  |
| February 1-29  | 32 hours           | 16 hours             |  |  |
| March 1-31   | 28 hours           | 14 hours             |  |  |
| April 1-30   | 24 hours           | 12 hours             |  |  |
| May 1-31   | 20 hours           | 10 hours             |  |  |
| June 1-30  | 16 hours           | 8 hours              |  |  |
| July 1-31  | 12 hours           | 6 hours              |  |  |
| August 1-31  | 8 hours            | 4 hours              |  |  |
| September 1-30   | 4 hours            | 2 hours              |  |  |

A. Personal days shall be used in not less than four-hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.

B. Shared position Employees will receive one-half the full-time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1,040 hours) will receive additional prorated personal leave based upon the number of actual hours Employee worked during that contract year. Therefore, for each additional 87 hours worked over 1,040 hours during the contract year, Employee will receive an additional 2 hours of personal leave, up to a possible maximum of 48 hours total personal leave for the contract year.

C. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave pay will be paid to Employee at the end of the contract year.

D. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of actual hours Employee worked during that contract year. (Example: An Employee who terminates work after six months at the full-time rate during the current contract year and earns three (3) days personal leave, but only uses two (2) days, would be eligible upon termination to be paid for the third, unused personal day.) If the Employee has used more personal days upon termination than he/she earned based upon time worked on the contract (4 hours per full month worked), the amount of the overage will be deducted from the Employee's final paycheck. (Example: If Employee works only six months and therefore earns three days (24 hours) personal leave, but actually uses four days personal leave, the extra 8 hours' pay will be deducted from Employee's final paycheck.)

CBA (Akal/SMS-Jackson 2002 - 2006)

E. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her regular shift in order to be eligible for paid personal leave benefits. Disciplinary action may result from excessive, unapproved absenteeism.

## SECTION 11.7 PROCESSING LEAVES OF ABSENCE

A leave of absence must be processed in the following manner:

A. All requests for any unpaid leaves of absence shall be submitted in writing to the Site Supervisor at least ten (10) calendar days prior to the date that the leave will take effect, except in cases of emergencies, and shall include:

- 1. The reasons for such leave;
- 2. The effective dates of such leave;
- 3. The estimated date of return to work.

B. The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval.

C. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.

D. Extensions of the leave of absence may be granted at the discretion of the Employer upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence when feasible. Extensions when granted shall not total more than thirty (30) days.

## SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay minus any pay received from the courts for up to three (3) days spent on jury duty.

SECTION 11.9 BEREAVEMENT LEAVE

All non-probationary Employces shall be entitled to three (3) days paid bereavement leave per full Government contract year for purposes of attending, on a day normally scheduled to work, the funeral of a parent, parent-in-law, spouse, child, sibling, or sibling-in-law. Employee will notify Lead CSO, whenever possible, of the need for bereavement leave.

## SECTION 11.10 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of Article 2 of this Agreement.

CBA (Akal/SMS-Jackson 2002 - 2006)

## ARTICLE 12

# HEALTH, WELFARE AND UNIFORM ALLOWANCES

#### SECTION 12.1 PAYMENTS

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix  $\Lambda$ .

# SECTION 12.2 MINIMUM BENEFITS

The amounts required by Section 12.1 shall serve as the minimum health and welfare benefits for Employees.

# SECTION 12.3 OTHER BENEFTIS

The Employer will offer Employees the opportunity to participate in other Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401(k) plans, and any other plan mentioned in this Agreement.

#### SECTION 12.4 UNIFORM MAINTENANCE

The Employer will pay the Employee \$.10625 per hour worked up to 40 hours per week for uniform maintenance allowance. A shoe allowance of \$62.50 per contract year will be sent with uniforms annually for the purchase of USMS-required CSO uniform shoes.

## SECTION 12.5 GROUP DISABILITY INSURANCE

The Company agrees to deduct any fees or premium payments and lawful assessments designated by the Union for a Group Disability Insurance plan set up by and administered by the Union from the first paycheck of each month of each member of the Union. These deductions will be made only upon receipt of written authorization from the Employee on a form provided by supervision or the Union. Such authorization may be revoked by the Employee upon-30 days' written notice served upon the Company and the Union. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to amount of fees, and any other costs for this insurance.

The Company will remit all such deductions to the International Secretary/Treasurer within 72 hours from the date the deduction was made via direct deposit unless it is technically impossible to do so. All costs related to direct deposit would be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the International Secretary/Treasurer with a deduction list, setting forth the name and amount of fees, and any other costs for this insurance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions commenced by an Employee against the Company, and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Union. Errors made by the Company in the deduction or remittance of moneys shall not be

CBA (Akal/SMS-Jackson 2002 - 2006)

considered by the Union as a violation of this provision, providing such errors are corrective and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Union and the Employees, shall be the official Union Authorization for insurance deductions. The Company shall accept no other form unless the parties mutually agree to a substitute.

## ARTICLE 13

## MISCELLANEOUS PROVISIONS

### SECTION 13.1 BULLETIN BOARDS

The Employer will make its best effort to obtain a space from the government for the use of the CSOs to locate a Union-provided bulletin board that will be used by the Union for posting notices of meetings, elections, appointments, recreational and social affairs, and other Union notices. The providing of these facilities is the prerogative of the US Government.

## SECTION 13.2 PHYSICAL EXAMINATIONS

The Employer shall pay for all physical/medical examinations that are required by the Employer at Employer designated clinic(s) or physicians.

Physical/inedical exams may be required by operation of the government contract or should the Employer have concerns regarding an Employee's fitness for duty. The Employer may designate the physician or clinic, at its discretion. Employer shall pay Employee up to two hours for time spent taking an employer-requested medical examination.

## SECTION 13.3 TRAVEL EXPENSES

The Company will provide advance payments for approved travel expenses if requested by an Employee. Any hours to include travel over twelve (12) hours will require the Employee to stay overnight and the appropriate per diem will be paid. All hours in travel will be counted as work hours with the appropriate overtime wages provided for under Article 7 of this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day Employer receives the travel voucher and all required receipts.

#### SECTION 13.4 BREAK ROOMS

The Employer will make its best effort to obtain from the government break rooms for CSOs for breaks and lunch without management using the room as an office and will make its best effort to have the government equip the room with water. The providing of these facilities is the prerogative of the US Government.

CBA (AkaVSMS-Jackson 2002 - 2006)

# SECTION 13. 5 LOCKERS

The Employer will make its best effort to obtain lockers from the government for the use of the CSOs. The providing of these facilities is the prerogative of the US Government.

### SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances to the extent such work time activity is specifically allowed by the Employer.

# ARTICLE 14

# 401 (k) PLAN

### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401(k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. Employees shall be subject to the eligibility requirements and rules of the Plan.

#### ARTICLE 15

#### TRAINING

#### SECTION 15.1 TRAINING

The Company will make its best effort to implement its advanced CSO training program to enhance the professional capabilities of the Employees. Actual scheduling of training is subject to approval by the US Government and may be subject to funding by the US Government.

#### ARTICLE 16

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#### SAFETY

#### SECTION 16.1 SAFETY POLICY

It is the policy of the Company to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health bazards. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings.

CBA (Akal/SMS-Jackson 2002 - 2006)

# SECTION 16.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any government provided CSO work stations and break rooms.

## ARTICLE 17

## CONTINUITY OF OPERATIONS

# SECTION 17.1 NO STRIKES

Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns or secondary boycotts during the term of this Agreement and that the Union will not cause, nor permit its members to cause, nor will any member of the Union take part in, any strike, including a sympathy strike, slowdown, stoppage of work, planned inefficiency or any other curtailment of work or restriction or interference with the Employer's or Government's operations for any reason whatsoever. Nor will the Union authorize or sanction the same.

Upon hearing of any unauthorized strike, slowdown, stoppage or work, planned inefficiency or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such activity to a prompt termination. Any Employee who violates this provision may be immediately discharged. Furthermore, it is agreed and understood that in addition to other remedies, the provisions of this Article may be judicially enforced including specific performance by way of injunctive relief.

### **SECTION 17.2 LOCKOUTS**

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

## ARTICLE 18

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or government statutes so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

CBA (Akal/SMS-Jackson 2002 - 2006)

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## ARTICLE 19

## SERVICE CONTRACT PROCEDURES AND OBLIGATIONS

The parties recognize that they are providing a service to the Unites States Government. Therefore, the terms of this agreement are subject to the directives of the Government, and, except as provided herein, there shall be no recourse against the Employer with regard to its actions taken to comply with those directives. In the event a directive necessitates a deviation from the obligations or procedures contained in this Agreement, the Union may request that the parties hereto meet and confer with regard to the effects, if any, of the deviation necessitated by the Government's directive. A copy of a written directive covered by this provision shall be provided to the International UGSOA president upon request.

## ARTICLE 20

# ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and all understandings and agreements reach by the parties are set forth in this Agreement. Therefore, the Company and the Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement except as specifically provided for in other provisions of this Agreement.

## ARTICLE 21

#### DURATION

This Agreement shall be effective from 11:45 pm September 30, 2002 through September 30, 2006 and supersedes any and all prior agreements or understandings between the parties.

CBA (Akal/SMS-Jackson 2002 - 2006)

IN WITNESS WHEREOF, the parties liave caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

# FOR:

COURT SECURITY OFFICERS OF THE SOUTHERN DISTRICT OF MISSISSIPPI PACILIFILES Jackson, Mississippi

BY: Shilly Runsiel

DATE: 1-24-02

FOR: AKAL SECURITY, INC.

AKAL SECURITY, INC.

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FOR:

ML-Dev Suroop Whatsa Brarats operations Officen TITLE: DATE:

FOR:

COURT SECURITY OFFICERS OF THE SOUTHERN DISTRICT OF MISSISSIPPI FACILITIES

BY: \_\_\_\_\_

TTTLE:

DATE:

| ВУ:    |  |
|--------|--|
| TITLE: |  |
| DATE:  |  |

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CBA (Akal/SMS-Jackson 2002 - 2006)

# APPENDIX "A"

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the Employees in the 5<sup>th</sup> Circuit for the Southern District of Mississippi, Court Security Officers of the Southern District of Mississippi Facilities in Jackson, Mississippi

# Base Wages & Benefits:

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(1) Current until September 30, 2002:

| b)<br>c)<br>d)       | Court Scenity Officers:<br>Lead Court Security Officer # 1:<br>Lead Court Security Officer # 2;<br>Health & Welfare Allowance:<br>Uniform Allowance:                                |  |        |
|----------------------|---|--|--------|
| (2) H                | ffective October 1, 2002:   |  |        |
| b)<br>c)<br>d)       | Court Security Officers:<br>Lead Court Security Officer # 1:<br>Lead Court Security Officer # 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:                               | \$14.39<br>\$15.79<br>\$15.00<br>per WD as of 10/1/02<br>\$0.10625 |        |
| (3) I                | Effective October 1, 2003:  |  |        |
| b)<br>c)<br>d)<br>c) | Court Security Officers:<br>Lead Court Security Officer # 1:<br>Lead Court Security Officer # 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:<br>Effective October 1, 2004: | \$14.89<br>\$16.34<br>\$15.53<br>per WD as of 10/1/03<br>\$0.10625 |        |
| (b<br>c)<br>d)       | Court Security Officers:<br>Lead Court Security Officer # 1:<br>Lead Court Security Officer # 2:<br>Health & Welfare Allowance:<br>Uniform Allowance:                               | \$15.34<br>\$16.83<br>\$16.00<br>per WD as of 10/1/04<br>\$0.10625 | -<br>- |

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# WAGE SCHEDULE (Continued)

(5) Effective October 1, 2005:

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| ь)<br>с) | Court Security Officers:<br>Lead Court Security Officer # 1:<br>Lead Court Security Officer # 2:<br>Health & Welfare Allowance: | \$15.80<br>\$17.33<br>\$16.48<br>per WD as of 10/1/05 | -<br> | . • | · . | • |
|----------|---|---|-------|-----|-----|---|
|          | Health & Welfare Allowance:<br>Uniform Allowance:   | \$0.10625   | •     |     |     |   |

Court Security Officers of the Southern District of Mississippi Facilities, Jackson, Mississippi

n Runsing 7-24-02 3

Akal Security, Inc.

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### LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreement between Akal Security, Inc. and Court Security Officers of the Southern District of Mississippi Facilities (Jackson, MS).

The health & welfare rate effective 10/1/02 through 9/30/03 is \$2.15 per hour.

The health & welfare rate for the remaining years of this agreement to be effective 10/1/03 - 9/30/04, 10/1/04 - 9/30/05 and 10/1/05 - 9/30/06 shall be subject to a renegotiation to be conducted between June 1 and July 31 of each corresponding government contract year through the end of this agreement.

kal Security, Inc. Dry Gump Kla mustin Converte 6/13

Court Security Officer of the Southern District of Mississippi Facilities

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# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Sceurity, Inc. and the Court Security Officers of Mississippi Facilities A & G (Jackson).

The bealth and welfare rate effective 10/1/03 through 9/30/04 is \$2.36 per hour.

Court Security Officers of Mississippi Facilities A & G (Jackson)

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Akal Security, Inc.

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5-SMS- Jackson

# LETTER OF UNDERSTANDING

# June 1, 2004

Akal Security's new, revised proposal for the health and welfare rates is \$2.69 per regular hour paid up to 40 hours per week for the fiscal year starting October 1, 2004 and ending September 30, 2005.

. Beginning October 1, 2004, in replacement of the shoe allowance, Akai Security will be issuing regulation shoes to each CSO as part of the required uniform.

This offer is good until Wednesday, June 9, 2004. After that time, this offer will be withdrawn due to the fact that our business circumstances will have changed.

If in agreement, please sign below and return by fax if necessary to (505) 747-0382 before 3 p.m. Mountain Standard Time on June 9, 2004.

CSO's of the Southern District of Mississippi Facilities

Mgnature

Title Date

Akal Security Inc.

Signature

64 16 Title

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and the Court Security Officers of the Southern District of Mississippi Facilities:

The Health and Welfare rate effective 10/1/2005 through 9/30/2006 is \$2.87 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

Court Security Officers of the Southern District of Mississippi Facilities

Akal Security, Incorporated tions Maware

# Appendix A

# WAGE SCHEDULE

Listed be ow are the Weges and Benefits for the employees in the 5th Circott, Southern District of Dessission Court Security C 5 cers of the Southern District of Mississippi

SITE: Jackson

#### Current:

|                                 | Effective October 1, 2006: |        | October 1, 2006;                 |
|---------------------------------|----------------------------|--------|----------------------------------|
| Health o Wetters Allowance:     | S                          | 2.87   | Trecular hour paid up to 40/week |
| Lead Court Security Office: #2: | 5                          | 16.48  | / hour                           |
| Lead Court Security Officer #11 | 5                          | 17 33  | / hour                           |
| Court Security Officer          | S                          | 15, 50 | 7 haur                           |
|                                 |                            |        |                                  |

| Court Security Officer           | s  | 1E 43 | 1 אונסה                         |
|----------------------------------|----|-------|---------------------------------|
| Lend Court Security Officer \$1. | \$ | 18.02 | 7 hour                          |
| Laud Court Security Officer #7   | 2  | 17.14 | 1 1001                          |
| Health & Welfare Allowance       | 5  | 3.10  | Tregular hour paid up to 40wees |

#### Effective October 1, 2007:

| Court Security Officer         | 1 | 17.09 / hour                      |
|--------------------------------|---|-----------------------------------|
| Lead Court Security Officer #1 | 2 | 15.74 / nou:                      |
| Lead Court Security Officer #2 | 2 | 17.62 / hour                      |
| Health & Welfale Allowance     | ş | 17 regular hour paid up to 40week |

#### Effective October 1, 2008:

| Court Security Officer         | \$ 17.77 / hour                     |
|--------------------------------|-------------------------------------|
| Ener Court Security Office: #1 | \$ 12.49 / nour                     |
| East Court Security Officer #2 | \$ 15.54 Lnour                      |
| Fleath: & Eveltare Aliowance   | 7 / regular hour paid up to 40/weak |

"The parties agree that either party may reopen negotiations for Amendments to "Health and Welfare Allownace", at anytime after May 1st, and before June 1st, by giving written notice to the other pany Any Final agreement resulting from said negotiations shall be incorporated into the terms of the agreement. If the parties fail to reach agreement, the suspute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this agreement, shall remain in force during the terms of the negotiations. and any resulting arbitration, and for the remainder of the agreement.

7/31/06 CSO of the Southern District of Mississippi Anal Security.)Inc. Osie Renature Det# Signature It-n.

IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR

COURT SECURITY OFFICERS OF THE SOUTHERN DISTRICT OF MISSISSIPPI FACILITIES

Jackson, Mississippi ΒY 150 TITLE:

DATE 7-18-06

FOR: AKAL SECURITY, INC.

AKAL SECURITY, INC.

FOR:

BY: TITLE 31 D DATE:

FOR:

COURT SECURITY OFFICERS OF THE SOUTHERN DISTRICT OF MISSISSIPPI FACILITIES

BY:

TITLE:

DATE:\_\_\_\_\_

| BY:    |  |
|--------|--|
| TITLE: |  |
| DATE:  |  |

CBA (Akal/SMS-Jackson 2006 - 2009)

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# LETTER OF UNDERSTANDING

IT IS AGREED between Akal Security, Inc. and the Southern District of Mississippi Federal Court Security Officers Association that:

The parties agree that all other terms and conditions of the existing collective burgaining agreement shall remain in full force and effect through September 30, 2009.

Southern District of Mississippi, Federal Court Security Officers Association Signature

JAMES PAGE, Name - COLLEG FRUE BRAGADING AGENT

CSO Title

8-8-06

Date

Aka ecun Signature ngelin Labor Relations Manger Title 8-8-04

Northern Mississippi

| REGISTER OF WAGE E<br>THE SERVICE CONT<br>By direction of<br>of Labor | •                                     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|---|---------------------------------------|--|
|   |                                       |  |
|   |                                       | Wage Determination No.: CBA-2006-309   |
| William W.Gross   | Division of                           | Revision No.: 1  |
| Director  | Wage Determination:                   | Date Of Last Revision: 11/7/2006   |
| State: Mississippi  | · · · · · · · · · · · · · · · · · · · | l <u></u>  |
|   |                                       |  |
| Area: Lafayette   |                                       | -  |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Court Security Officers of the District of No. Mississippi, effective 9/30/2006 through 10/1/2009 and amended on 8/22/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE D<br>THE SERVICE CONT<br>By direction of<br>of Labor |                     | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210 |
|---|---------------------|---|
|   |                     | į   |
|   |                     | <br>  Wage Determination No.: CBA-2006-310  |
| William W.Gross   | Division of         | Revision No.: 1   |
| Director  | Wage Determinations | Date Of Last Revision: 11/7/2006  |
|   |                     |   |
| State: Mississippi  |                     |   |
| Area: Washington  |                     |   |

Employed on United States Marshals Services contract for Court Security Officer.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Court Security Officers of the District of No. Mississippi, effective 10/1/2002 through 9/30/2006 and amended on 8/22/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

| REGISTER OF WAGE DETERMINATION UNDER<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor |                     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|---|---------------------|--|
|   |                     | <br> <br>  Hana Determination No. ( CDA 2006 211   |
| William W.Gross   | Division of         | Wage Determination No.: CBA-2006-311<br>  Revision No.: 1  |
| WIIIIAM W.Gross   | DIVISION OF         | Revision No.: 1  |
| Director  | Wage Determinations | Date Of Last Revision: 11/7/2006   |
| State: Mississippi  | · · · · · ·         | ··   |
| Area: Monroe  |                     |  |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: Court Security Officers of the District of No. Mississippi, effective 9/30/2006 through 10/1/2009 and amended on 8/22/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

Collective Bargaining Agreement

Between

# AKAL SECURITY, INCORPORATED

and the

# NORTH MISSISSIPPI COURT SECURITY OFFICERS ASSOCIATION

Court Security Officers of the District of Northern Mississippi

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# PREAMBLE

THIS AGREEMENT is made and entered by and between Akal Security, Incorporated, a New Mexico corporation, and its successors, hereinafter referred to as the "Employer" or "Company", and the North Mississippi Court Security Officers Association, hereinafter referred to as the "Association."

CBA (Akal & Northern Mississippi Court Security Officers Association -2006 -2009)

# MISSION STATEMENT COURT SECURITY OFFICER

- Ensure the safety of US Federal Courts, Protected Government facilities and their employees against unauthorized, illegal and potentially life threatening activities.
- Cadres of Qualified and highly skilled officers perform this mission.

# CSO GOAL & VISION

# GOAL

To conduct ourselves in a manner as to bring upon the Court Security Officer and Special Security Officer program and the United States Marshal Service at all times.

# VISION

To be alert to all situations and events that take place and take necessary measures to prevent dangerous situations from happening.

### **ARTICLE 1**

### GENERAL PROVISIONS

### SECTION 1.1 RECOGNITION-BARGAINING UNIT

- A. The employer hereby recognizes the Association as the sole and exclusive bargaining agent for the purpose of collective bargaining with respect to rates of pay, wages, hours, overtime, leaves of absence, uniform allowances and any and all other conditions of employment for all full-time and regular shared position and Lead Court Security Officers assigned to the Federal Courthouses within the jurisdictional boundaries of the United States Court for Northern District of Mississippi, employed by the Company.
- B. The term "Employee' when used in this agreement shall refer to any and all Bargaining Unit members of the Company who are employed as Special Deputy United States Marshals in the capacity of Federal Court Security Officers in an and all facilities or places that the employees are assigned to perform their functions within the Northern District of Mississippi. Such employee shall be part of the Bargaining Unit described in Article 1 Section 1.1 of this agreement.
- C. The Company shall recognize Union representatives special status as defined by the National Labor Relations Act and all the Agency's decisions and interpretations and any other protections provided by Federal Law. The association recognizes these protection to not relieve the Association for good business practices and the common respect normally given in business relations.

# **SECTION 1.2 NEGOTIATING COMMITTEE**

The Company agrees to recognize a Negotiating Committee composed of three members and one alternate selected by the Association to represent the Employees in collective bargaining negotiations.

### **SECTION 1.3 STEWARD SYSTEM**

The Company agrees to recognize a steward system.

The Association agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in Article 5 of this Agreement.

If the Employee requests, the Company will call for a steward prior to any disciplinary action taken whether it be written or verbal. The supervisor at the request of the Employee will release the steward as soon as possible. The Company will pay a single steward for up to one half hour (1/2 hour) for time spent in this regard.

### SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except as necessary to fulfill the work under the US Marshals Service contract.

# **SECTION 1.5 DUES CHECK-OFF**

The Company agrees to deduct monthly dues and lawful assessments as designated by the Association on a monthly basis from the paycheck of each member. of the Association. These deductions will be made only upon receipt of written authorization from the Employee on a form provided by supervision or the Association. The Employee upon 30 days' written notice served upon the Company and the Association may revoke such authorization. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Association, as to what the Association membership dues are.

The Company will remit all such deductions to the Financial Secretary/Treasurer within 72 hours from the date the deduction was made via direct deposit unless it is technically impossible to do so. All costs related to direct deposit will be borne by the Association. The Association agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues and initiation fees within seven (7) days of each remittance. The Association agrees to hold the Company harmless from any action or actions growing out of these deductions commenced by an Employee against the Company, and assumes full responsibility for the disposition of the funds so deducted once they are paid over to the Association. Errors made by the Company in the deduction or remittance of moneys shall not be considered by the Association as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

The Check-off Authorization Card to be executed and furnished to the Company by the Association and the Employees, shall be the official Association Authorization for Check-Off Dues. The Company shall accept no other form unless the parties mutually agree to the substitution.

# **SECTION 1.6 INTENT OF PARTIES**

The Association and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient operation. The Association and the Company agree that they will use their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Association. Neither the Company nor the Association will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, or disability.

The Company and the Association recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Association philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

# **ARTICLE 2**

### SENIORITY

### **SECTION 2.1 SENIORITY DEFINED**

Association seniority shall be the length of continuous service from the Employee's last date of hire or transfer to all sites within the District of Northern Mississippi as a Special Deputy US Marshal Court Security Officer for the Employer, past or present and/or any predecessor Employer. Seniority shall not accrue until the employee has successfully completed his/her probationary period. Seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work and other matters as provided for in this Agreement.

Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose his/her Association seniority as it applies to the order of layoff and recall, shift bidding, vacation schedules, extra work and other matters as provided for in this Agreement.

### **SECTION 2.2 SENIORITY LISTS**

Seniority Lists shall be furnished by the Company to the proper Association officials within a reasonable time, upon written request by the Association, each March and September of each contract year. The Association President or the President's designated representative must make the request for these lists to the Company in writing. The updated and current Seniority List shall be posted and maintained by the Company at each work location. An Employee's standing on the posted Seniority List will be final unless protested in writing to the Site Supervisor or Contract Manager in districts where a "Site Supervisor" is not authorized, no later than thirty (30) calendar days after the list has been posted.

# **SECTION 2.3 PERSONAL DATA**

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

### **SECTION 2.4 TRANSFER OUT OF UNIT**

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than one hundred and eighty (180) days shall lose his/her Association seniority. If

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he/she returns to the bargaining unit at a later date, his/her seniority will start on that return date.

### SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) day period after their hire date. The Association will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement. Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The ninety (90) day period referred to in this section may be extended if the Company encounters a delay in the US Marshals Service performing background checks and granting written authorization on newly hired Employees.

# SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- a) the Employee quits or retires;
- b) the Employee is discharged;
- c) a settlement with an Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- d) the Employee is laid off for a continuous period of one hundred eighty (180) days; the Government terminates the Employee's credentials as a Special Deputy Marshal, or the Employee is otherwise asked to be removed from working under the Employer's contract with the Government.
- e) Employee is permanently transferred out of the bargaining unit.

### **ARTICLE 3**

### **JOB OPPORTUNITIES**

### **SECTION 3.1 FILLING VACANCIES**

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays). Shared position Employees at the site where an opening occurs will be notified in writing at their last known address. The Site Supervisor will notify the Association President in writing of such openings. The Association President will then verify that all shared position CSOs have been notified. When a vacancy occurs, the Employer will fill the position with the senior-most Employee, who will be trained if required to fill any necessary qualifications for the new position.

CBA (Akal & Northern Mississippi Court Security Officers Association -2006 -2009)

Should the filling of a vacancy under this Article create a second vacancy, that vacancy will be filled under this Article as well. Any Employee who wishes to apply for the open position shall do so in writing. Vacancy postings and vacancy notifications will be site specific, i.e., only Employees at the site where the vacancy occurs will be required to be notified.

# SECTION 3-1A SHARED POSITION EMPLOYEES

Shared positions will be filled as described in Section 3.1

### SECTION 3.IB LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority. Recall of Employees will be accomplished by calling the last laid off Employee first and so on.

# SECTION 3.2 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement; to the extent feasible the assignment shall be a voluntary selection based on seniority. In the absence of volunteers, assignments shall be made on a reverse seniority basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this Agreement.

Due to the changing work environment, all Employees are subject to assignment anywhere within the district on an as-needed basis from present on-duty personnel. Failure to comply with the aforementioned schedule changes may lead to disciplinary action up to and including dismissal.

### SECTION 3.3 APPOINTMENT OF LEAD CSOs

The US Government in its contract with the Company creates specific guidelines for the selection of Lead CSOS. Based on these criteria, all appointments of Lead CSOs will be made on the basis of ability. Ability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail.

### ARTICLE 4

### MANAGEMENT RIGHTS

CBA (Akal & Northern Mississippi Court Security Officers Association -2006 -2009)

Except as limited by the specific undertakings expressed in this Agreement, the Company shall continue to have the right to take any action it deems appropriate in the management of the business in accordance with its judgment.

### ARTICLE 5

### GRIEVANCE PROCEDURE

### SECTION 5.1 INTENT

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement or the challenge of any disciplinary action taken against a Association Employee, except that this grievance procedure shall not be used for any disciplinary action directed by the US Marshals Service or by Judicial personnel. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties. In addition, the grievance procedures outlined herein shall not apply to any situation where the Company is acting under the directives of the US Marshals Service or any member of the judiciary. The term "days" shall not include Saturdays, Sundays, or holidays when used in this Article

# SECTION 5.2 GENERAL PROVISIONS

The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance.

# SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

**Informal Step** - Both the Company and the Association agree that the Employee will first discuss his/her complaint with his/her immediate supervisor not in the bargaining unit within five working (5) days of the incident being, grieved to start the informal procedure. If the informal procedure is not invoked within five working days of Employee's knowledge of a grievable issue, then is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Association representative will be called in. If the complaint is not satisfactorily adjusted within three (3) working days of the informal discussion, it may be submitted in writing to the Contract Manager or his/her designee in accordance with Step One.

Step One - If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the steward, and shall be submitted to the Contract Manager or his/her designee. The Contract Manager or his/her designee shall have ten

(10) days from the date the grievance was presented to him/her to return his/her decision in writing with a copy to the aggrieved Employee and the steward.

**Step Two** - If the grievance is not settled in Step One, the grievance may be appealed in writing to the Director of Human Resources or his/her designee not later than ten (10) days from the denial by the Contract Manager or his/her designee. The Director of Human Resources or his/her designee will have ten (10) days from the date the grievance was presented to him/her, to return his/her decision, in writing, with a copy to the aggrieved Employee and the Steward.

**Grievance for Discipline** - Any grievances involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or his/her designee within eighteen (18) days after the occurrence of the facts giving rise to the grievance.

# SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Association, giving the Akal Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

**Pre-Arbitration Hearing** - The parties agree to hold a pre-arbitration hearing requiring a senior manager of the Company and Association President (or designee) to make a final effort to settle the grievance before arbitration.

Selection of an Arbitrator - Within fifteen (15) days of receipt of the Association's written notice to proceed with arbitration, the Company and the Association will meet or telephonically jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Association will request the Federal Mediation and Conciliation Service (FMCS) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the FMCS by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.

**Decision of the Arbitrator** - The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify- any of the terms of this Agreement.

Arbitration Expense - The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Association. Each party to the arbitration will be responsible for its own expenses and compensation incurred in bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.

Time Limits - The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

### SECTION 5.5 CLASS ACTION

The Association shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

# SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

# **ARTICLE 6**

### DISCIPLINE

### SECTION 6.1 GROUNDS FOR DISMISSAL

After completion of the probationary period, no Employee shall be dismissed or suspended without just cause, unless the Employee is ordered by the Government to be removed from working under the Employer's contract with the Government, or if the Employee's credentials are denied or terminated by the Marshals Service. The Company's contract with the US Government sets out performance standards for CSOs and all employees are required to comply with these standards.

# **ARTICLE 7**

### HOURS OF WORK.AND OVERTIME

### SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time work-week for full-time Employees. Employees working a minimum of eight (8) consecutive hours shall normally receive an unpaid lunch period of at least thirty (30) minutes unless work conditions preclude scheduling of this period. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the Government. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

### **SECTION 7.2 OVERTIME**

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours actually worked in excess of forty (40) hours in a work week.

### SECTION 7.3 OVERTIME REQUIREMENT

If requested to work overtime (i.e. over forty [40] hours in a work-week) or extra hours, and the seniority system is not invoked due to shortness of notice, the Employee shall be required to do so unless the Employee is excused for good cause.

# SECTION 7.4 OVERTIME DISTRIBUTION

Over-time will be distributed as equitably and fairly as practicable among Employees regularly assigned to the particular work location (including shared position Employees), subject to the direction of the judges and/or Marshals Service. Seniority shall be used in the assignment of overtime, except when the Employer is directed by the US Marshals Service or judges, or in situations dictated by availability of personnel and amount of notice given for overtime.

**Excluding:** Site Supervisors cannot be assigned to cover CSO overtime positions or posts except in emergency situations, or when directed by the US Marshal Service or judiciary, or in situations dictated by availability of personnel and amount of notice given for overtime. The Company will permit Site Supervisors to work overtime assignments only when there is no bargaining unit member available or in situations described above due to the rapidly changing court environment. The Employer will attempt to rectify overtime inequalities through the future scheduling of overtime work. Overtime records will be made available to the Association by the Company upon request.

# **SECTION 7.5 SHARED POSITION EMPLOYEES**

Hours of work for shared position Employees shall be determined by the Employer, to insure the orderly and efficient operation of court security services. Shared position Employees shall be required to work all scheduled work hours, unless the Employee is excused for good cause. Shared position Employees will be required to sign the Akal Shared Officer Agreement.

# **SECTION 7.6 REST PERIODS**

There shall be two (2) fifteen (15) minute paid rest periods when properly relieved and one (1) unpaid lunch of up to sixty (60) minutes for each eight (8) hour shift. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional authorized work requirements, Employees may have to work through their unpaid lunch breaks, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to provide regularly scheduled breaks. It is not the intent of the Company to deny, avoid, or abuse this requirement.

### SECTION 7.7 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four(4) hours of work or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours of time.

### **SECTION 7.8 SHIFT BIDDING**

At least once each year, full-time Employees and shared position Employees at each location may bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared position or vice-versa. Both parties understand that this Section will not apply to US Marshal Service or judicial assignments and all bidding will be conditional upon US Marshal Service acceptance.

### ARTICLE 8

### WAGES

### SECTION 8.1 WAGE SCHEDULE

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this agreement.

# SECTION 8.2 PAYDAY

Payday for all hourly Employees will be after 11 a.m. on Friday following the pay period ending on Saturday, subject to change by mutual agreement.

### **SECTION 8.3 UNDISPUTED ERROR**

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made from the date the error occurred. Any error involving eight (8) hours pay or more will be paid with in three (3) days.

### **SECTION 8.4 LEAD CSO RATES**

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid a premium to the current CSO rate. The amount of premium to be paid a new Lead CSO will be negotiated between the Company and the Association at the time of promotion or determination of the position.

### **ARTICLE 9**

### HOLIDAYS

### **SECTION 9.1. HOLIDAYS DEFINED**

CBA (Akal & Northern Mississippi Court Security Officers Association -2006 -2009)

Whenever the term "holiday" is used, it shall mean

| New Year's Day,   | Martin Luther King Jr. Day, |  |  |
|---|-----------------------------|--|--|
| President's Day,  | Memorial Day,               |  |  |
| Independence Day,   | Labor Day,                  |  |  |
| Columbus Day,   | Veteran's Day,              |  |  |
| Thanksgiving Day,   | Christmas Day,              |  |  |
| Floating Holiday (to be taken with 7 days written notice) |                             |  |  |

Any day designated by the president of the U.S. as a permanent National Holiday.

### SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

- A. A full-time Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift or premium for that holiday. The Employee will be paid holiday pay only if the Employee is not laid off, or on an unpaid leave of absence.
- B. Any full-time Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked and in addition shall receive eight (8) hours holiday pay at the straight time rate, providing the Employee meets the requirements above in Section 9.2A.A minimum of four (4) hours is guaranteed to share time employees.
- C. Any shared position Employee who works as scheduled on a holiday shall receive the Employee's straight time rate for all hours worked plus prorated holiday pay up to 8 hours based on their average weekly hours for the previous four weeks' work.
- D. Holiday pay for shared position Employees who do not work on a holiday and meet the eligibility requirements set out in Section 9.2A above shall be paid a proration of the full-time benefit based on their average weekly hours for the previous four weeks' work. A shared time employee shall be granted a minimum of 4 hours of holiday pay.

### ARTICLE 10

### VACATIONS

### **SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES**

Eligible full-time Employees shall be entitled to annual vacation pay, based on their continuous years of service with the Employer at their individual hourly rate at the time payment is made in accordance with the following schedule:

| Upon completion of one year of service:   | 80 hours  |
|---|-----------|
| Upon completion of five years of service: | 120 hours |
| Upon completion of ten years of service:  | 160 hours |
| Upon completion of 15 years of service:   | 200 hours |

# SECTION 10.1a ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees who work a regular half-time schedule shall be entitled to one-half the full-time vacation benefit at their individual hourly rate.
- B. Eligible shared position Employees who work other than a regular part-time schedule shall be entitled to a prorated vacation pay at their individual hourly rate based on the number of hours worked in the previous contract year.

# **SECTION 10.2 SCHEDULING VACATIONS**

Each Employee who qualifies for a vacation in accordance with the provisions of this Article shall notify his/her Lead CSO, in writing, prior to April 1st of each year of his or her first and second choice for desired vacation periods, if any. If vacation time is required to be used differently than as per requested prior to April 1, Employee must give their immediate supervisor a written request at least seven (7) days prior to the requested vacation time.

The Employer will recognize Association seniority when scheduling Employees for vacation in accordance with Section 2. 1. The Employer will allow the maximum amount of personnel off at any one time for vacation that allows the Company to maintain efficient operations. The final allocation of vacation periods shall rest exclusively with the Employer in order to insure orderly and efficient operations and meet Government contract requirements.

### **SECTION 10.3 PAY OPTIONS**

Earned vacation pay shall be paid on the pay day following the Employee's return to the job after his/her vacation.

# SECTION 10.4 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (i.e. anniversary date of employment) shall be paid to the Employee.

# SECTION 10.5 PAY IN LIEU OF VACATION LEAVE

Any time during the year, Employees may request in writing to be paid for earned vacation pay in lieu of taking actual vacation leave.

# SECTION 10.6 TERMINATING EMPLOYEES

Upon termination of employment, Employee will be paid at their individual hourly rate for any legally accrued but unused vacation time, as entitled by the Service Contract Act.

# SECTION 10.7 VACATION - LAID OFF EMPLOYEES

Length of service with the Employer shall not accrue for the purposes of vacation benefits while an Employee is on laid-off status.

# SECTION 10.8 VACATION INCREMENTS

Vacation days may be used in one (1) day increments, if so desired by the Employees and approved by the Employer.

### ARTICLE 11

### LEAVES OF ABSENCE

### **SECTION 11. 1 LIMITATIONS**

Personal leaves of absence for non-medical emergencies may be granted at the discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless approved by the Employer. Employee on any unpaid leave of absence may be required to use available vacation or personal leave time. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence.

### **SECTION 11.2 MEDICAL LEAVE**

An Employee shall be granted an unpaid medical leave of absence for a specified period not to exceed 16 weeks within a 12-month period. Employee's disability must be made known to the Employer in accordance with the provisions of this Article, and be supported by a doctor's certificate showing the nature of the illness and the estimated length of time the Employee will be unable to perform his/her job.

The 16-week period may be extended at the discretion of the Employer. During, medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Employee will be required to use accrued vacation or personal leave time during the medical leave. Upon the expiration of said leave, the Employee shall furnish the Employer with a statement, signed by the doctor. which establishes the fitness of the Employee to return to the Employee's Previously held work.

### **SECTION 11.3 MILITARY LEAVE**

An Employee of the Company who is activated or. drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave

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shall be determined in accordance with applicable federal laws in effect at the time of such leave.

## SECTION 11.4 ASSOCIATION LEAVE

A Association officer or delegate will be granted an unpaid leave of absence upon written request for the purpose of attending Association conventions or other meetings of vital interest to the Northern Mississippi Court Security Officers Association. The maximum number of days given for Association leave is not to exceed five (5) days per contract year and the maximum number of Association officers or delegates to be granted leave of absence is not to exceed three (3) Employees.

# SECTION 11.5 FAMILY MEDICAL LEAVE

The Family and Medical Leave Act of 1993 is incorporated herein by reference.

### SECTION 11.6 PERSONAL LEAVE

Each full-time seniority Employee shall be eligible to use a maximum of six (6) days of personal leave (forty-eight hours) per 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based upon the following rate (see **Personal Leave Eligibility Table** below):

| Personal Leave Eligibility Table |  |                 |  |  |  |
|----------------------------------|--|-----------------|--|--|--|
| START DATE                       | RATE OF PERSONAL LEAVE ELIGIBLE TO USE |                 |  |  |  |
| (Date Employee begins            | FULL-TIME                              | SHARED POSITION |  |  |  |
| working on the contract, based   |  |                 |  |  |  |
| on an October 1 contract start   |  |                 |  |  |  |
| date.)                           |  |                 |  |  |  |
| October 1-31                     | 48 hours                               | 24 hours        |  |  |  |
| November 1-30                    | 44 hours                               | 22 hours        |  |  |  |
| December 1-31                    | 40 hours                               | 20 hours        |  |  |  |
| January 1-31                     | 36 hours                               | 18 hours        |  |  |  |
| February 1-29                    | 32 hours                               | 16 hours        |  |  |  |
| March 1-31                       | 28 hours                               | 14 hours        |  |  |  |
| April 1-30                       | 24 hours                               | 12 hours        |  |  |  |
| May 1-31                         | 20 hours                               | 10 hours        |  |  |  |
| June 1-30                        | 16 hours                               | 8 hours         |  |  |  |
| July 1-31                        | 12 hours                               | 6 hours         |  |  |  |
| August 1-31                      | 8 hours                                | 4 hours         |  |  |  |
| September 1-30                   | 4 hours                                | 2 hours         |  |  |  |

- A. Personal days shall be used in not less than four-hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.
- B. Shared position Employees will receive one-half' the full-time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1,040 hours) will receive additional prorated personal leave based upon the number of actual hours Employee worked during that contract year. Therefore, for each additional 87 hours worked over 1,040 hours during the contract year, Employee will receive an additional 2 hours of personal leave, up to a possible maximum of 48 hours total personal leave for the contract year.
- C. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave pay will be paid to Employee at the end of the contract year.
- D. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of actual hours Employee worked during that contract year. (Example: An Employee who terminates work after six months at the full time rate during the current contract year and earns three (3) days personal leave, but only uses two (2) days, would be eligible upon termination to be paid for the third, unused personal day.) If the Employee has used more personal days upon- termination than he/she earned based upon time worked on the contract (4 hours per full month worked), the amount of the overage will be deducted from the Employee's final paycheck. (Example: If Employee works only six months and therefore earns three days (24 hours) personal leave, but actually uses four days personal leave, the extra 8 hours' pay will be deducted from Employee's final paycheck.)
- E. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her regular shift in order to be eligible for paid personal leave benefits. Disciplinary action may result from excessive, unapproved absenteeism.

### SECTION 11.7 PROCESSING LEAVES OF ABSENCE

A leave of absence must be processed in the following manner:

- A. All requests for any unpaid leaves of absence shall be submitted in writing to the Site Supervisor at least ten (10) calendar days prior to the date that the leave will take effect, except in cases of emergencies, and shall include:
  - 1. The reasons for such leave;
  - 2. The effective dates of such leave;
  - 3. The estimated date of return to work.
- B. The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval.

- C. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of a absence will be given to the Employee involved.
- D. Extensions of the leave of absence may be granted at the discretion of the Employer upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence when feasible. Extensions when granted shall not total more than thirty (30) days.

### SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay minus any pay received from the courts for up to three (3) days on current contract spent on jury duty per year.

### SECTION 11.9 BEREAVEMENT LEAVE

All non-probationary Employees shall be entitled to three (3) days paid bereavement leave per occurrence for purposes of attending, on a day normally scheduled to work, the funeral of a parent, parent-in-law, spouse, child, sibling, sibling-in-law, foster parent, legal guardian, grandparent, or grandchild. Employee will notify Lead CSO, whenever possible, of the need for bereavement leave.

### SECTION 11.10 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of Article 2 of this Agreement.

### **ARTICLE 12**

### HEALTH, WELFARE AND UNIFORM ALLOWANCES

# **SECTION 12.1 PAYMENTS**

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week and up to a total of 2080 hours per contract year in accordance with the prevailing Wage Determination as of October 1<sup>st</sup> every contract year.

### SECTION 12.2 MINIMUM BENEFITS

The amounts required by Section 12.1 shall serve as the minimum health and welfare benefits for Employees.

### **SECTION 12.3 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

### SECTION 12.4 UNIFORM MAINTENANCE

The Employer will pay the Employee \$.10625 per hour worked up to 40 hours per week for uniform maintenance allowance. Shoes will be provided per contract year and will be sent with uniforms annually.

### **ARTICLE 13**

### MISCELLANEOUS PROVISIONS

### **SECTION 13.1 BULLETIN BOARDS**

The Employer will make its best effort to obtain a space from the government for the use of the CSOs to locate a Association-provided bulletin board that will be used by the Association for posting notices of meetings, elections, appointments, recreational and social affairs, and other Association notices. The providing of these facilities is the prerogative of the US Government.

### **SECTION 13.2 PHYSICAL EXAMINATIONS**

The Employer shall pay for all physical/medical examinations that are required by the Employer at Employer designated clinic(s) or physicians. In those selected areas where there is not a designated clinic or physician, the Employer will provide an allowance to the Employee of up to a maximum of eighty dollars (\$80) per examination. Receipts must be furnished by Employee in order to process reimbursement.

Physical/medical exams may be required by operation of the government contract or should the Employer have concerns regarding an Employee's fitness for duty. The Employer may designate the physician or clinic, at its discretion. Employer shall pay Employee up to two hours for time spent taking an employer-requested medical examination.

### **SECTION 13.3 TRAVEL EXPENSES**

The Company will provide advance payments for approved travel expenses if requested by an Employee. Any hours to include travel over twelve (12) hours will require the Employee to stay overnight and the appropriate per diem will be paid. All hours in travel will be counted as work hours with the appropriate overtime wages provided for under Article 7 of this Agreement. Employees will be reimbursed for all authorized

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expenditures of any authorized travel within twenty (20) days from the day Employer receives the travel voucher and all required receipts.

# **SECTION 13.4 BREAK ROOMS**

The Employer will make its best effort to obtain from the government break rooms for CSOs for breaks and lunch without management using the room as an office and will make its best effort to have the government equip the room with water. The providing of these facilities is the prerogative of the US Government.

### SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the government for the use of the CSOS. The providing of these facilities is the prerogative of the US Government.

### **13.6 ASSOCIATION MEETINGS**

Neither Association officials nor Association members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Association business, or conduct any Association activity other than the handling of grievances to the extent such work time activity is specifically allowed by the Employer.

# **ARTICLE 14**

### 401 (k) PLAN

### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401(k) plan to which Court Security Officers are eligible to contribute, whether Association or Non-Association. Employees shall be subject to the eligibility requirements and rules of the Plan.

### ARTICLE 15

### TRAINING

### SECTION 15.1 TRAINING

The Company will make its best effort to implement its advanced CSO training program to enhance the professional capabilities of the Employees. Actual scheduling of training is subject to approval by the US Government and may be subject to funding by the US Government.

### **ARTICLE 16**

### SAFETY

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# SECTION 16.1 SAFETY POLICY

It is the policy of the Company to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. The Company agrees to permit one (1) bargaining unit member selected by the Association to participate in any locally scheduled safety meetings.

# SECTION 16.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any government provided CSO work stations and break rooms.

### ARTICLE 17

### CONTINUITY OF OPERATIONS

### **SECTION 17.1 NO STRIKES**

Both the Company and the Association agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Association and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns or secondary boycotts during the term of this Agreement and that the Association will not cause, nor permit its members to cause, nor will any member of the Association take part in, any strike, including a sympathy strike, slowdown, stoppage of work, planned inefficiency or any other curtailment of work or restriction or interference with the Employer's or Government's operations for any reason whatsoever. Nor will the Association authorize or sanction the same.

Upon hearing of any unauthorized strike, slowdown, stoppage or work, planned inefficiency or any curtailment of work or restriction or interference with the operation of the Employer, the Association shall take affirmative action to avert or bring such activity to a prompt termination. Any Employee who violates this provision may be immediately discharged. Furthermore, it is agreed and understood that in addition to other remedies, the provisions of this Article may be judicially enforced including specific performance by way of injunctive relief.

### SECTION 17.2 LOCKOUTS

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

### **ARTICLE 18**

### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or government statutes so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

# ARTICLE 19

## SERVICE CONTRACT PROCEDURES AND OBLIGATIONS

The parties recognize that they are providing a service to the Unites States Government. Therefore, the ten-ns of this agreement are subject to the directives of the Government, and, except as provided herein, there shall be no recourse against the Employer with regard to its actions taken to comply with those directives. In the event a directive necessitates a deviation from the obligations or procedures contained in this Agreement, the Association may request that the parties hereto meet and confer with regard to the effects, if any, of the deviation necessitated by the Government's directive. A copy of a written directive covered by this provision shall be provided to the NMCSOA president upon request.

### ARTICLE 20

### **ENTIRE AGREEMENT**

The parties acknowledge that during the negotiation which resulted in the Agreement, each had the unlimited right and opportunity to make demands and proposals with respect to any subject or matter not removed by law from the area of collective bargaining, and all understandings and agreements reach by the parties are set forth in this Agreement. Therefore, the Company and the Association shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the tenure of this Agreement except as specifically provided for in other provisions of this Agreement.

### ARTICLE 21

### **DURATION**

This Agreement shall be effective from September 30, 2006 through October 1, 2009 and supersedes any and all prior agreements or understandings between the parties.

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IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by this agreement.

FOR:

NORTHERN MISSISSIPPI COURT SECURITY OFFICERS

BY: Cali

TITLE:

DATE: 08-22-06

FOR:

AKAL SECURITY, INC.



FOR: AKAL SECURITY, INC. BY:\_\_\_\_\_\_ TITLE: \_\_\_\_\_\_ DATE: \_\_\_\_\_

FOR: NORTHERN MISSISSIPPI COURT SECURITY OFFICERS

BY: 7 1.Cassa a w

TITLE: DATE: 08/22/06

CBA (Aksi & Northern Mississippi Court Security Officers Association -2006 -2009)

# APPENDIX "A"

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the Employees in the 5<sup>th</sup> Circuit for the Northern District of Mississippi, Northern Mississippi Security Officers Association.

# **BASE WAGES & BENEFITS:**

### Current

(a) Court Security Officers:

- (b) Lead Court Security Officer 1:
- (c) Health and Welfare:
- (d) Uniform Allowance:

# Effective October 1, 2006

- (e) Court Security Officers:
- (f) Lead Court Security Officer 1:
- (g) Lead Court Security Officer 1:
  (g) Lead Court Security Officer 2:
  (h) Health and Welfare:
  (i) Uniform Allowance:

# Effective October 1, 2007

- (j) Court Security Officers:
- (k) Lead Court Security Officer 1: (l) Lead Court Security Officer 2:
- (m)Health and Welfare:
- (n) Uniform Allowance:

# Effective October 1, 2008

- (o) Court Security Officers:
- (p) Lead Court Security Officer1:
- (q) Lead Court Security Officer 2: (r) Health and Welfare:
- (s) Uniform Allowance:

Effective October 1, 2009 (a) Court Security Officers:

- (b) Lead Court Security Officer 1:
- (c) Lead Court Security Officer 2:(d) Health and Welfare:
- (e) Uniform Allowance:

\$18.67 \$19.42 per hour paid up to 40 per week \$2.87 \$0.10625 per hour worked up to 40 per week

- \$19.70
- \$20.95
- \$21.20
- \$3.15 per hour paid up to 40 per week
- \$0.10625 per hour worked up to 40 per week
- \$20.49 \$21.74

\$21.99

\$\*\* per hour paid up to 40 per week

\$0.10625 per hour worked up to 40 per week

- \$21.26
- \$22.51
- \$22,76 \$\*\* per hour paid up to 40 per week
- \$0.10625 per hour worked up to 40 per week

\$22.00 \$23.25

\$23.50

\$\*\* per hour paid up to 40 per week

\$0.10625 per hour worked up to 40 per week

\*\* the parties agree reopen negotiations to discuss the Health and Welfare amounts each year between May 1 and June 15<sup>th</sup> to determine a new rate.

# Middle

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| REGISTER OF WAGE D<br>THE SERVICE CONT<br>By direction of<br>of Labor | TRACT ACT                          | U.S. DEPARTMENT OF LAB<br>EMPLOYMENT STANDARDS ADM<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. | INISTRATION |
|---|------------------------------------|---|-------------|
| William W.Gross<br>Director   | Division of<br>Wage Determinations | Wage Determination No.:<br>  Revision No.:<br>  Date Of Last Revision:                          | 0           |
| State: Louisiana<br>Area: East Baton Ro                               | ouge                               | ۱ <u> </u>  |             |

Employed on United States Marshals Service contract for Court Security Officer.

Collective Bargaining Agreement between contractor: AKAL Security Inc., and union: United Government Security Officers of America Local 111, effective 10/1/2002 through 9/30/2007 and amended on 7/7/2005.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

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Collective Bargaining Agreement

Between

# AKAL SECURITY, INCORPORATED

and the

United Government Security Officers of America (UGSOA) Local # 110

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CBA (Aka) & UGSOA Local #110-2002 - 2007)

# PREAMBLE

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THIS AGREEMENT is made and entered by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and UGSOA International Union, on behalf of its Local #110, hereinafter referred to as the "Union".

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# ARTICLE 1

# GENERAL PROVISIONS

# **SECTION 1.1 BARGAINING UNIT**

A. This agreement is entered between Akal Security, Inc., United Government Security Officers of America (UGSOA), and UGSOA Local #110 (hereinafter referred to as the Union). The Company recognizes the Union as the sole and exclusive bargaining representative for the purpose of collective bargaining as defined in the National Labor Relations Act.

The unit is defined as all full-time and shared position Federal Court Security Officers (CSOs), Lead Federal Court Security Officers (LCSOs), Special Security Officers (SSOs), and Lead Special Security Officers (LSSOs) employed by the Company in the 5<sup>th</sup> Circuit in the Middle District of Louisiana excluding all other employees including office clerical employees and professional employees as defined in the National Labor Relations Act.

This agreement shall be binding upon both parties, their successors and assigns. In the event of a sale or transfer of the business of the employer, or any part thereof, the purchaser or transferee shall be bound by this agreement.

# SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

# SECTION 1.3 STEWARD SYSTEM

- A. The Company agrees to recognize a steward system.
- **B.** The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. Aggrieved employees will be paid their regular rate of pay in the conduct of Company Union business during scheduled working hours.
- C. If the Employee requests, the Company will call for a steward prior to any disciplinary action taken, whether it be written or verbal. The supervisor, at the request of the Employee, will release the steward as soon as possible. The Union Steward will be paid for up to thirty (30) minutes of that time, upon receiving Supervisor approval of relief from duty.

# SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except in an emergency.

# SECTION 1.5 UNION SECURITY

A. An Employee who is a member of the Union at the time this Agreement becomes effective shall continue membership in the Union for the duration of this Agreement, to the extent of tendering the membership dues uniformly required as a condition of retaining membership in the Union.

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- **B.** An Employee who is not a member of this Union at the time that this Agreement becomes effective shall, within ten (10) days after the 30th day following the effective date of this Agreement or date of hire, either:
  - 1) Become a member of the Union and remain a member.

2) Pay the Union a service fee. The amount of this service fee shall be equal to that paid by regular Union members to include regular and usual initiation fees. The service fee will not include any assessments, special or otherwise. Such payments shall commence on the 30th day after the date of hire.

- 2(a) Employees who are members of, and adhere to the established and traditional tenets of a bona-fide religion, body, or sect, which has historically held conscientious objections to joining or financially supporting labor organizations, shall, instead of the above, be allowed to make payments in amounts equal to the agency fee required above, to a tax-exempt organization (under Section 501(c)(3) of the IRS Code). The Union shall have the right to charge any Employee exercising this option, the reasonable cost of using the arbitration procedure of this Agreement on the Employee's individual behalf. Further, any Employee who exercises this option shall twice a year submit to the Union proof that the charitable contributions have been made.
- **C.** Before any termination of employment pursuant to this Section becomes effective, the employee involved shall first be given notice in writing by the Union to pay the prescribed initiation fee and/or delinquent dues. If the employee fails to pay the initiation fee and/or delinquent dues, and if such fee and/or dues are tendered within 48 hours after the employee receives this notification from the Company, his/her dismissal under here shall not be required. If termination is administered under this provision, the reasons will be given in writing. Termination will not occur if there is an ongoing dispute between the effected employee and the Union.
  - 1) The obligations set forth in this Article shall only be effective to the extent permitted by controlling law, including, but not limited to, any Executive Orders permitting or restricting Union security rights. If there is a legal challenge to any provision of this Article, the Employer may suspend its obligations under this Article for the duration of the dispute after conferring on the matter with the Union.
  - 2) The Union, including its International, agrees to save and hold the Employer harmless from any and all claims, actions, suits, damages, or costs, including any attorneys fees incurred by the Employer, on account of any matter relating to the terms of this Article, including, but not limited to any claims by any Employee(s) and compliance with the law.

# SECTION 1.6 DUES CHECKOFF

A. The Company agrees to deduct dues as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon written authorization from the Employee on a form provided by the Union. The Employee, upon thirty (30) days written notice served upon the Company and the Union, may revoke such

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authorization. It is understood that such deductions will b inade only so long as the Company may legally do so. The Company will, be advised in writing, by the Union, as to the dollar amount of the Union membership dues.

**B.** The Company will remit all such deductions to the Financial Secretary/Treasurer within five (5) business days from the date that the deduction was made, via direct deposit, if possible. All costs related to direct deposit will be borne by the Union. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues, within three (3) business days of each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions initiated by an Employee against the Company, and assumes full responsibility of the dispositions of the funds so deducted, once they are paid over to the Union. Errors made by the Company in the deduction or remittance of monies shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

# **SECTION 1.7 INTENT OF PARTIES**

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient security operations. The Union and the best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce, or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union. Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, or disability. The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

### **ARTICLE 2**

# SENIORITY

### **SECTION 2.1 SENIORITY DEFINED**

- A. Union seniority shall be the length of continuous service from the Employee's last date of hire as a CSO or LCSO for the Employer, past or present and/or any predecessor Employer. Seniority shall not accrue until the Employee has successfully completed the probationary period. Seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work, transfers, and other matters as provided for in this Agreement.
- **B.** For the purposes of shift bidding, vacation schedules and extra work, union seniority shall be defined as seniority within the work site.
- **C.** Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose their Union seniority as it applies to the order of layoff and recall, shift

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bidding, vacation schedules, extra work, and other matters as 1 vided for in this Agreement.

# SECTION 2.2 SENIORITY LISTS

The Company will provide a seniority list (last date of hire as a CSO, within the bargaining unit, as designated by the Union and approved by the Company) to the Local Union President twice each year. (See Appendix B for local list specifications)

# **SECTION 2.3 PERSONAL DATA**

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

# **SECTION 2.4 TRANSFER OUT OF UNIT**

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) weeks shall lose their Union seniority. If they return to the bargaining unit at a later date their seniority will start on that return date.

# **SECTION 2.5 PROBATIONARY EMPLOYEES**

Probationary Employees will be considered probationary for a one hundred twenty (120) calendar day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs, or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement.

Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The Probationary period can be extended by mutual agreement between the Company and the Union.

### SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- a) the Employee guits or retires;
- b) the Employee is permanently discharged;
- c) a settlement with the Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- d) the Employee is laid off for a continuous period of one hundred eighty (180) calendar days;
- e) the U.S. Government revokes the Employee's credentials as a CSO;
- f) the Employee is permanently transferred out of the bargaining unit.

# **ARTICLE 3**

### **JOB OPPORTUNITIES**

# **SECTION 3.1 FILLING VACANCIES**

If a vacancy occurs in a regular position covered by this Agreement, and the Employer chooses to fill that vacancy, the job will be posted for a period of three (3) working days (excluding CBA (Akal & UGSOA Local #110-2002 - 2007) 6

Saturdays, Sundays and holidays). All shared position Employees who have notified the Site Supervisor, in writing of their intent to apply for a Full-Time position and who are not scheduled to work during that three (3) day period at the site where an opening occurs, and any Employees on vacation or on other approved leave will be notified by the Company. When a vacancy occurs, the Employer will fill the position with the most senior Employee who has applied for the position in writing, who has been trained (if required) to fill any necessary special qualifications for the new position. No more than two (2) shifts will be filled under this procedure as a result of that vacancy.

# **SECTION 3.1(a) SHARED POSITION EMPLOYEES**

The Company is obligated under its contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part time schedule, as necessary, at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action.

# SECTION 3.1(b) LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority. Recall of Employees will be accomplished by recalling the last laid off Employee first, and so on.

# SECTION 3.2 TEMPORARY ASSIGNMENTS

A. In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, or assign an Employee to a position that is part of a temporary security assignment directed by the USMS, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement. To the extent feasible the assignment shall be a voluntary selection based on seniority and qualification absence of volunteers, assignments shall be made on a reverse seniority and qualifications basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this agreement ,whichever is greater.

# SECTION 3.3 APPOINTMENT OF LEAD CSOs

The U.S. Government in its contract with the Company creates specific guidelines for the job duties and qualifications of Lead CSOs. Based on these guidelines, all appointments of Lead CSOs will be made on the basis of suitability as evaluated by the Company. Suitability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail. Lead CSOs will not perform supervisory duties as described by the National Labor Relations Act. The job of the Lead CSO is described in Section C-5(c) of Contract # MS-02-D-0001, or its successor, between the US Marshals Service and the Company.

# SECTION 4 MANAGEMENT'S RETAINED RIGHTS

### SECTION 4(a)

Management of the business and direction of the security force are exclusively the right of management. These rights include the right to:

- A. Hire;
- B. Assign work;
- C. Promote, Demote;
- D. Discharge, discipline, or suspend based on Article 6;
- E. Require Employees to observe reasonable Employer rules and regulations;
- F. Determine when overtime shall be worked;
- G. Determine the qualifications of an Employee to perform work.

# SECTION 4(b)

Any of the rights, power or authority the Company had prior to the signing of this Agreement are retained by the Company, except those specifically abridged or modified by this Agreement and any supplemental Agreements that may hereafter be made. The Company's failure to exercise any function reserved to it shall not be deemed a waiver of any such rights.

# ARTICLE 5

### **GRIEVANCE PROCEDURE**

# **SECTION 5.1 INTENT**

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement, or the challenge of any disciplinary action taken against a Union Employee, except that this grievance procedure shall not be used for any action or order of removal of an Employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract # MS-02-D-0001 or its successor between the US Marshals Service and the Company. Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government.

# SECTION 5.2 GENERAL PROVISIONS

A. The number of days outlined in Sections 5.3 and 5.4 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance. The term "days" shall not include Saturdays, Sundays or holidays when used in this Article.

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B. Should either the Company, the Union, or the aggrieved employee fail to comply with the time limits as set forth in this Article, the party who failed to comply with the time limits shall forfeit the grievance.

# SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

- A. Informal Step The parties shall make their best efforts to resolve any dispute on an informal basis. Both the Company and the Union agree that the Employee will first discuss the complaint with their immediate supervisor (not in the bargaining unit), within five (5) working days of the incident being grieved, to start the informal procedure. If the informal procedure is not invoked within five working days of Employee's knowledge of a grievable issue, then it is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in. If the complaint is not satisfactorily adjusted within three (3) working days of the inception of the informal discussion, it may be submitted in writing to the Contract Manager or designee in accordance with Step One.
- **B.** Step One If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the union representative, and shall be submitted to the Contract Manager or designee with a copy to the Company's HR Director. The Contract Manager or designee shall have ten (10) days from the date the grievance was presented to return a decision in writing with a copy to the aggrieved Employee and the union representative.
- **C.** Step Two If the grievance is not settled in Step One, the grievance may be appealed in writing to the Company's Director of Human Resources or designee not later than ten (10) days from the denial by the Contract Manager or designee. The Director of Human Resources or designee will have ten (10) days from the date the grievance was presented to return a decision, in writing, with a copy to the aggrieved Employee and the union representative.
- **D.** Grievance for Discipline Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or designee within ten (10) days after the occurrence of the facts giving rise to the Grievance.

# SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Company's Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

- A. Selection of an Arburator Within fifteen (15) days of  $rece_{1_F}$ , of the Union's written notice to proceed with arbitration, the Company and the Union will meet telephonically to jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the American Arbitration Association (AAA) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the AAA by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.
- **B.** Decision of the Arbitrator The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement. If the decision of the Arbitrator is not complied with within fifteen (15) days of the decision the losing side shall be liable for attorney and court costs to enforce compliance including through the courts, absent an order from the U.S. Marshals Service or unless the Company files a written request for clarification, then the Company will comply within fifteen (15) days of receiving the clarification.
- **C.** Arbitration Expense The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.
- **D.** Time Limits The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

# SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

# SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

### ARTICLE 6

### DISCIPLINE

### SECTION 6.1 GROUNDS FOR DISCIPLINE AND DISMISSAL

### SECTION 6.1 (a)

After completion of the probationary period, as specified in Section 2.5, no Employee shall be dismissed or suspended without just cause. Just cause shall include any action or order of removal of an employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract # MS-02-D-0001 or its successor between the US Marshals Service and the Company.

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Any temporary or permanent removal of an employee by determination. \_\_\_\_\_\_\_ the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government, and the Employer shall be held harmless by the Union and the employee for any further claims made after this final determination. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties.

The Company's contract with the U.S. Government sets out performance standards for the CSOs in Section C of the Contract between the Company and the USMS, and all Employees are required to comply with these standards. Failure to do so may lead to disciplinary action. These performance standards, the USMS Deadly Force Standards and the US Title 18 Domestic Abuse and Violence policy will be issued to each Employee and must be signed, acknowledging receipt, by the Employee and may be updated by the Company each year. Employees agree to comply with any express non-disciplinary directive issued by the Government.

#### SECTION 6.1 (b)

The Company may discipline Employees when necessary and discharge those who fail to uphold U.S. Government or Company standards as described in 6.1 (a) and 6.1 (b) above. It is recognized by parties to this Agreement that progressive discipline generally shall be applied in dealing with Employees. However, it is also recognized that offenses may occur for which progress discipline is not applicable (e.g. fraud, gross misconduct, theft, etc.). Disciplinary measures vary depending on the seriousness of the matter and the past record of the Employee. All discipline shall be subject to the grievance and arbitration procedures, except for those issues involving the USMS rights under Section H-3(b) of Contract # MS-02-D-0001 or its successor as referenced in Sections 5.1 and 6.1(a).

#### **ARTICLE 7**

#### HOURS OF WORK AND OVERTIME

#### SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the U.S. Government. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

#### SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours actually worked in excess of forty (40) hours in a work week. The Company will comply with all State and Federal Laws concerning overtime.

# SECTION 7.3 OVERTIME REQUIREMENT

If directed to work overtime (i.e. over forty [40] hours in a workweek) or extra hours, and the seniority system is not invoked due to shortness of notice to the Company, the Employee shall be required to do the work, unless the Employee is excused by the Company for good cause.

## SECTION 7.4 OVERTIME DISTRIBUTION

- **A.** Overtime will be offered by Seniority on a rotating basis. Overtime will be distributed as equitably and fairly as practicable among Employees.
- **B.** Exclusion: Managers cannot be assigned to cover CSO overtime positions or posts except in emergency situations.

## SECTION 7.5 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch period for each eight (8) hour shift. These rest periods require that the Employee be properly relieved before leaving their post. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional work requirements, Employees may have to work through their unpaid lunch breaks and/or paid rest periods, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to make its best efforts to provide regularly scheduled breaks. It is not the intent of the Company to avoid this requirement.

# **ARTICLE 8**

#### WORK SHIFTS AND PAYMENT POLICIES

#### SECTION 8.1 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four (4) hours of work, or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours time.

## SECTION 8.2 SHIFT BIDDING, HOURS OF WORK, & SENIORITY

Once each year, full-time Employees and shared position Employees at each location may bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared time position or vice versa.

#### **SECTION 8.3 WAGE SCHEDULE**

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement:

## **SECTION 8.4 PAYDAY**

Payday for all hourly Employees will be after 11 a.m. on Friday following the two (2) week pay period ending on Saturday, subject to change by mutual agreement. The Company will make its best effort to make direct deposit available.

## **SECTION 8.5 UNDISPUTED ERROR**

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error, involving eight (8) hours of pay or more, will be corrected and paid within three (3) working days.

# **SECTION 8.6 LEAD CSO RATES**

If additional Lead CSOs are added to the contract any time after this Agreement goes in, they will be paid the LCSO wage. In the case where there are multiple LCSO wages, the additional LCSO will be paid at the lowest LCSO wage for the site or location where they are assigned.

# ARTICLE 9

## HOLIDAYS

## SECTION 9.1 HOLIDAYS DEFINED

Whenever the term "holiday" is used, it shall mean:

| New Years Day  | Independence Day                  |  |
|--|-----------------------------------|--|
| Veterans Day   | Columbus Day                      |  |
| Christmas Day  | Labor Day                         |  |
| Thanksgiving Day   | Martin Luther King Jr.'s Birthday |  |
| Memorial Day   | Presidents Day                    |  |
| Any day designated by the President of the United States as a permanent national holiday |                                   |  |

## SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

- A. A full-time position Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift premium for that holiday.
- **B.** Any full-time position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition, shall receive eight (8) hours holiday pay at the straight time rate as described in A above.
- **C.** A shared position Employee who does not work on a holiday shall receive prorated holiday pay based on the number of actual hours the Employee worked during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a minimum of four (4) hours per holiday with the exception of anyone on extended leave. Shared position holiday proration shall be based on total non-holiday work days in the pay period.
- **D.** Any shared position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition shall receive prorated holiday pay based on the number of actual hours the Employee worked during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a

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minimum of four (4) hours per holiday with the exception of any one on extended leave. Shared position holiday proration shall be based on total non-holiday work days in the pay period.

**E.** In the event that the Holiday falls on a weekend, the term "holiday" will refer to the day that the U.S. Government designates as the Holiday.

## **ARTICLE 10**

#### VACATIONS

#### SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Eligibility for vacation benefits shall be based on Department of Labor (DOL) rules under Service Contract Act. Eligible full-time Employees shall be entitled to annual vacation based on their continuous years of service with the Employer (based on the Employee's anniversary date of employment) at their individual hourly rate of pay at the time payment is made in accordance with the following schedule:

Upon completion of one (1) year of service: eighty (80) hours Upon completion of five (5) year of service: one-hundred and twenty (120) hours Upon completion of twelve (12) year of service: one-hundred and sixty (160) hours

# SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees shall be entitled to pro-rated vacation per the schedule contained in Section 10.1, based on: their individual hourly rate, the number of hours worked in the previous year, and the Employee's anniversary date.
- **B.** Any Employee who works a full anniversary year, in part as a full-time position Employee and in part as a shared position Employee, shall receive prorated vacation benefits for that year as calculated in SECTION 10.2, part A (per the Service Contract Act).

#### **SECTION 10.3 SCHEDULING VACATIONS**

Vacations, insofar as reasonably possible, shall be granted at the times most desired by the Employee, after the Employee's anniversary date.

#### **SECTION 10.4 PAY OPTIONS**

Earned vacation pay may be requested at anytime and will be paid in the next pay cycle.

#### SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (based on Employee's anniversary date of employment) shall be paid to the Employee.

# SECTION 10.6 PAY IN LILU OF VACATION LEAVE

At any time during the year, Employees may request in writing to be paid for earned vacation, pay in lieu of taking actual vacation leave.

## SECTION 10.7 TERMINATING EMPLOYEES

Upon termination of employment, Employees will be paid at their individual hourly rate vacation time earned as of their last anniversary date, but not used, as entitled by the Service Contract Act. (Example: An Employee who terminates one month into the next anniversary year is entitled to any of the previous year's earned accrued vacation not already used, and not to the additional month accrued in the new anniversary period).

## **SECTION 10.8 VACATION - LAID OFF EMPLOYEES**

Length of service with the Employer shall accrue for the purposes of vacation benefits while an Employee is on laid-off status for up to one (1) year. Employees will only be paid vacation benefits when they are working.

# SECTION 10.9 VACATION INCREMENTS

Consistent with Employer approval, efficiency, and economy of operations, Employees may take their vacation in segments of less than one (1) week each but not less than one (1) day.

# **ARTICLE 11**

# LEAVES OF ABSENCE

# SECTION 11.1 LIMITATIONS

Personal leaves of absence for non-medical emergencies may be granted at the sole discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless a special extension is approved by the Employer. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence. It is acknowledged by the Union that under USMS CSO contract, the Employer is not permitted to hire additional (reserve) or temporary Employees to provide work coverage during Employee absences. Unpaid leaves of absence may be taken only with written approval of the Employer, or in a case of verified personal emergency. Failure to report for scheduled shifts without Employer permission will lead to disciplinary action.

# SECTION 11.2 MEDICAL LEAVE

- A. The Family and Medical Leave Act of 1993 (FMLA) is incorporated herein.
- B. The Company agrees to honor the FMLA for all Employees.
- **C.** During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employer with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work. Any Employee who is not able to return to work with a medical clearance from a licensed physician at the end of a maximum medical leave shall be terminated from Employment.

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- D. If the Employee files for medical leave on false pretext or wor... for another employer without pre-authorization from the company, the Employee will be removed from the CSO program and from employment with Employer.
- E. In the event of injury or illness that is deemed non communicable, the Employee may be granted "light duty" status at the approval of the COTR in writing to the Company as long as it does not interfere with the business of the Court. The injury or illness for light duty status must be temporary and cannot be more than thirty (30) days.

# SECTION 11.3 MILITARY LEAVE

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

# SECTION 11.4 UNION LEAVE

A Union President and one delegate will be granted an unpaid leave of absence no more than once a year for a maximum of five days upon written request for the purpose of attending Union conventions or other meetings of vital interest to the Union as long as staffing requirements permit. More time will be granted upon mutual agreement between the Company and the Union.

# SECTION 11.5 PERSONAL/SICK LEAVE

Each full-time seniority Employee shall be eligible to use a maximum of six (6) days of personal leave (48 hours) per 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based upon the following rate (see **Personal Leave Eligibility Table** below):

| Personal Leave Eligibility Table |  |                 |  |
|----------------------------------|--|-----------------|--|
| START DATE                       | RATE OF PERSONAL LEAVE ELIGIBLE TO USE |                 |  |
| (Date Employee begins working    | FULL-TIME                              | SHARED POSITION |  |
| on the contract, based on an     |  |                 |  |
| October 1 contract start date.)  |  |                 |  |
| October 1-31                     | 48 hours                               | 24 hours        |  |
| November 1-30                    | 44 hours                               | 22 hours        |  |
| December 1-31                    | 40 hours                               | 20 hours        |  |
| January 1-31                     | 36 hours                               | 18 hours        |  |
| February 1-29                    | 32 hours                               | 16 hours        |  |
| March 1-31                       | 28 hours                               | 14 hours        |  |
| April 1-30                       | 24 hours                               | 12 hours        |  |
| May 1-31                         | 20 hours                               | 10 hours        |  |
| June 1-30                        | 16 hours                               | 8 hours         |  |
| July 1-31                        | 12 hours                               | 6 hours         |  |
| August 1-31                      | 8 hours                                | 4 hours         |  |
| September 1-30                   | 4 hours                                | 2 hours         |  |

- A. Personal days shall be used in not less than four-hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.
- B. Shared position Employees will receive one- half the full-time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half

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the full-time hours (1,040 hours) will receive additional prorated pe — al leave based upon the number of actual hours Employee worked during that contract year. Therefore, for each additional 87 hours worked over 1,040 hours during the contract year, Employee will receive an additional 2 hours of personal leave, up to a possible maximum of 48 hours total personal leave for the contract year.

- C. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave pay will be paid to Employee at the end of the contract year.
- D. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of actual hours Employee worked during that contract year. (Example: An Employee who terminates work after six months at the fulltime rate during the current contract year and earns three (3) days personal leave, but only uses two (2) days, would be eligible upon termination to be paid for the third, unused personal day.) If the Employee has used more personal days upon termination than he/she earned based upon time worked on the contract (4 hours per full month worked), the amount of the overage will be deducted from the Employee's final paycheck. (Example: If Employee works only six months and therefore earns three days (24 hours) personal leave, but actually uses four days personal leave, the extra 8 hours' pay will be deducted from Employee's final paycheck.)
- E. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her regular shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

# SECTION 11.6 PROCESSING UNPAID LEAVES OF ABSENCE

The Employer will consider requests for unpaid leaves of absence and may grant them at its sole discretion. An unpaid leave of absence must be processed in the following manner:

- **A.** All requests for unpaid leaves of absence shall be submitted in writing to the Lead CSO, Site Supervisor or Contract Manager at least ten (10) calendar days prior to the
- date the leave will take effect, except in cases of verified personal emergencies, and include:
  - 1. The reasons for such leave;
  - 2. The effective dates of such leave;
  - 3. The estimated date of return to work.

The Company will respond to the request within four (4) working days.

- **B.** The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- C. Extensions of the leave of absence may be granted at the sole discretion of the Employer, upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence. Extensions when granted shall not total more than thirty (30) days.

## SECTION 11.7 GENERAL . ROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of this Agreement.

#### **SECTION 11.8 JURY DUTY**

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty (See Appendix for Local Specifics). Employees will receive their regular rate of pay minus any pay received from the courts for up to three (3) days spent on jury duty.

# **SECTION 11.9 BEREAVEMENT LEAVE**

- A. Effective October 1, 2002. If it is necessary for an Employee to lose time from work because of a death in the immediate family, whether the family member lives in or out of their local state, the Employee shall be entitled to three (3) days paid leave of absence per contract year at their straight rate of pay. Shared position employees will receive one half of the full time leave. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including legally adopted children and/or step children), father in-law, mother-in-law, grandparents, and grandchildren.
- B. The Employer may require proof of the death for which an Employee requests a paid leave.

## ARTICLE 12

# HEALTH, WELFARE AND UNIFORM ALLOWANCES

### **SECTION 12.1 PAYMENTS**

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix A per Department of Labor Wage Determination.

# **SECTION 12.2 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other available Employee paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs may include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

# SECTION 12.3 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour worked, up to 40 hours per week, for uniform maintenance as described in Appendix A. A shoe allowance of \$62.50 per contract year will be provided annually for the purchase of USMS-required CSO uniform shoes.

#### **SECTION 12.4 INCLEMENT WEATHER GEAR**

The Employer will make its best effort to assist in obtaining appropriate and adequate weather gear through the appropriate channels.

CBA (Akal & UGSOA Local #110-2002 - 2007)

#### **ARTICLE 13**

#### MISCELLANEOUS PROVISIONS

## **SECTION 13.1 BULLETIN BOARDS**

The Employer will make its best effort to obtain a space from the U.S. Government for Union to locate a Union-provided bulletin board that will be used by the Union for post--notices of meetings. elections, appointments, recreational and social affairs, and other Union notices. The provision of these facilities is the prerogative of the U.S. Government, who owns and controls all worksite facilities.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

- A. The Employer shall pay for any physical/medical examination that is required by the Employer and the U.S. Government. The Employer has the right to choose the physician who will perform the physical exam.
- **B.** Physical/medical exams may be required by the U.S. Government contract, or should the Employer have concerns regarding an Employee's fitness for duty. The Employer may designate the physician or clinic, at its discretion. Physical fitness is an important job requirement. Employees must pass the physical exam prescribed by the Employer's contract with the U.S. Government in order to be employed and to maintain employment. Should an Employee fail the exam, or be officially deemed medically unqualified by the U.S. Government, they may be re-examined once at the Employee's expense.
- C. The Employer will pay for the time required for the employee to take required physical exams. Time for any exams requiring more than two (2) hours must be pre-approved by the Site Supervisor. If, when the appointment is going to exceed two (2) hours, the Employee will call into Site Supervisor or designee to inform them of the delay and request approval for additional time.

### **SECTION 13.3 TRAVEL EXPENSES**

The Company will provide advance payments for Company authorized and approved travel expenses if requested by an Employee. Any workday that includes travel and totals over twelve (12) hours may require the Employee to stay overnight, and the appropriate per diem will be paid. All hours in travel up to a maximum of eight (8) per day will be counted as work hours, with the appropriate overtime wages provided for under this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day Employer receives the properly completed travel voucher and all required receipts.

#### **SECTION 13.4 BREAK ROOMS**

The Employer will make its best effort to obtain from the U.S. Government break rooms for CSOs for breaks and lunch, without management using the room as an office, and will make its best prerogative of the U.S. Government effort to have the U.S. Government equip the room with water. The providing of these facilities is the prerogative of the U.S. Government

#### SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the U.S. Government for the use of the CSOs. The Employer agrees to make its best effort to support any Union request for separate Locker/Changing facilities. The providing of these facilities is the prerogative of the U.S. Government.

## SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances as described in this Agreement. No Employee may leave their post without permission from the Employer under any circumstances. No Employee may be at the worksite at any time unless on duty at that time, unless there is appropriate Government permission granted.

# ARTICLE 14

#### 401 (k) PLAN

#### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401 (k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. At the direction of the individual Employee, the Company may deposit the Health & Welfare payment to the Employee's 401 (k) account. Employees shall be subject to the eligibility requirements and rules of the Plan.

#### SECTION 14.2 UGSOA 401(a) Plan

The Company agrees to make deductions for Union members in good standing for the UGSOA 401(a) Plan, if the Union members so choose. At the direction of the individual Union member, the Company may contribute the Health & Welfare and /or Pension payments into the Union members' UGSOA 401(a) plan. Union members shall be subject to the eligibility requirements and rules of the Plan.

The Company agrees to send the deductions / contributions to UGSOA International Union, the Administrator of the UGSOA 401(a) Plan, no later than the Tenth (10<sup>th</sup>) of the Month following the Month the deductions were made.

The Company's sole responsibility is to make the payroll deductions and send said deductions to the Plan Administrator with a record of name, social security number and amount of deduction for each source of money (i.e. Health & Welfare, Pension and/or after-tax deduction). The Company is in no way responsible for any other aspect of the plan.

No local and its members will be eligible for both the Company's 401 (k) Plan and the Union's 401 (a) Plan. All Employees in a local will be eligible for only one of the plans.

#### **ARTICLE 15**

#### SAFETY

## SECTION 15.1 SAFETY POLICY

It is the policy of the Company to make its best efforts to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. Under this Agreement all worksites and facilities are the property of the U.S. Government, who is responsible for the condition and safety of the worksite. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings by the Company. The Company agrees to make its best effort to seek approval to include one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meeting by the US Government.

#### **SECTION 15.2 OSHA STANDARDS**

The Company will report any safety violations observed or reported to the Company in any U.S. Government-provided CSO workstations and break rooms.

#### **ARTICLE 16**

## **CONTINUITY OF OPERATIONS**

#### SECTION 16.1 NO STRIKES

- A. Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns, or secondary boycotts during the term of this Agreement.
- **B.** Upon hearing of an unauthorized strike, slowdown, stoppage of work, planned inefficiency, or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such or bring such activity to prompt termination.

# **SECTION 16.2 LOCKOUTS**

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

#### **ARTICLE 17**

# SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through U.S. Government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the decree or U.S. Government statutes, so long as they shall remain legally effective. It is the

CBA (Akal & UGSOA Local #110-2002 - 2007)

express intention of the parties hereto that all other provisions not dec. d invalid shall remain in full force and effect.

## ARTICLE 18

## ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, the unlimited right and opportunity to make demands and proposals with respect to any or matter not removed by law from the area of collective bargaining, and all understand agreements reach by the parties are set forth in this Agreement. Therefore, the Company Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

#### ARTICLE 19

#### **DURATION**

This Agreement shall be effective from 11:45 pm September 30, 2002 through September 30, 2007 and supersedes any and all prior agreements or understandings between the parties.

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IN WITNESS WHEREOF, the parties have caused their representative -> sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR: United Government Security Officers of America, Middle District of Louisiana Local #110

BY: TITLE: DATE: 2

FOR: United Government Security Officers Of America, International Office

BY TITLE: DATE: O

FOR: Akal Security, Inc.

BY: impuate apuations officer TITLE: DATE:

# APPENDIX "A"

# WAGE SCHEDULE

Listed below are the Wages and Benefits for the Employees in the 5<sup>th</sup> Circuit for the Middle District of Louisiana, Local # 110 of UGSOA.

# A. Base Wages & Benefits:

(1) Current until September 30, 2002:

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| <ul> <li>a) Court Security Officers:</li> <li>b) Lead Court Security Officer # 1:</li> <li>c) Lead Court Security Officer # 2:</li> <li>d) Health &amp; Welfare Allowance:</li> <li>e) Uniform Allowance:</li> </ul> | \$13.46<br>\$14.77<br>\$14.04<br>\$2.00<br>\$0.10625   |
|--|--|
| (2) Effective October 1, 2002:   |  |
| <ul> <li>a) Court Security Officers:</li> <li>b) Lead Court Security Officer # 1:</li> <li>c) Lead Court Security Officer # 2:</li> <li>d) Health &amp; Welfare Allowance:</li> <li>e) Uniform Allowance:</li> </ul> | \$13.86<br>\$15.36 (777 Florida St.*)<br>\$14.61 (707 Florida St. **)<br>per WD as of 10/1/02<br>\$0.10625 |
| (3) Effective October 1, 2003:   |  |
| <ul> <li>a) Court Security Officers:</li> <li>b) Lead Court Security Officer # 1:</li> <li>c) Lead Court Security Officer # 2:</li> <li>d) Health &amp; Welfare Allowance:</li> <li>e) Umform Allowance:</li> </ul>  | \$14.28<br>\$15.78 (777 Florida St.*)<br>\$15.03 (707 Florida St. **)<br>per WD as of 10/1/03<br>\$0.10625 |
| (4) Effective October 1, 2004:   |  |
| <ul> <li>a) Court Security Officers:</li> <li>b) Lead Court Security Officer # 1:</li> <li>c) Lead Court Security Officer # 2:</li> <li>d) Health &amp; Welfare Allowance:</li> <li>e) Uniform Allowance:</li> </ul> | \$14.71<br>\$16.21 (777 Florida St.*)<br>\$15.46 (707 Florida St.**)<br>per WD as of 10/1/04<br>\$0.10625  |

# WAGE SCHEDULE (Continued)

(5) Effective October 1, 2005:

| <ul> <li>a) Court Security Officers:</li> <li>b) Lead Court Security Officer # 1:</li> <li>c) Lead Court Security Officer # 2:</li> <li>d) Health &amp; Welfare Allowance:</li> <li>e) Uniform Allowance:</li> </ul> | \$15.15<br>\$16.65 (777 Florida St.*)<br>\$15.90 (707 Florida St. **)<br>per WD as of 10/1/05<br>\$0.10625 |
|--|--|
| (6) Effective October 1, 2006:   |  |
| a) Court Security Officers:  | \$15.60  |
| b) Lead Court Security Officer # 1:  | \$17.10 (777 Florida St.*)   |
| c) Lead Court Security Officer # 2:  | \$16.35 (707 Florida St. **)   |
| d) Health & Welfare Allowance:   | per WD as of 10/1/06   |
| e) Uniform Allowance:  | \$0.10625  |

\* 8 total positions or more at the site as of the signing date of the CBA

\*\*7 total positions or fewer at the site as of the signing date of the CBA

## **B. Shift Differential:**

Employees assigned to sites that operate 24 hours per day/ 7 days per week and who work between the hours of 1800 (6:00PM) to 0600 (6:00AM) shall receive an additional 3.5% of their base hourly rate.

United Government Security Officers of America

-30-02 103

Middle District of Louisiana Local # 110, United Government Security Officers of America

LCSON 120 CAL#110 PRESIDENT

Alcal Security, Inc. <u>Khala</u> = 1/22/12

# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreement.

# SECTION 1.1 RECOGNITION- BARGAINING UNIT

This is to add, the "Fiscal Year, 2002 Temporary CSO Program," to this Collective Bargaining Agreement. If these positions continue beyond Fiscal Year 2002, CSOs filling these positions will be covered by all economic provisions; all provisions involving Employer disciplinary actions and the Union's right to grieve those actions; and the Union Security and / or Dues Check-Off provisions. Should these positions become permanent, all provisions, including Seniority, would apply as of that date.

Akal Security Incorporated

International Union, United Government Security Officers of America

30-02

All United Government Security of America Locals, Enployed by Akal Security, Inc.

LLSO John Kendoll PRESIDENT/LOCAL#/10

# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security, Incorporated and United Government Security Officers of America, International Union and its Locals 57, 67, 71, 74, 76, 81, 118, 128, 133, 134, 155, 63, 79, 113, 152, 154, 157, 158, 159, 85, 86, 106, 109, 110 and 111.

Both Akal Security, Incorporated and the United Government Security Officers of America, International Union agree that all non-economic portions of this agreement are in effect as of the signing of this collective Bargaining Agreement. All economic portions become effective on October 1, 2002.

Akal\_Security, Inc.

United Government Security Officers of America, International Union 6-02

## EAX No. 505 747 0382

P. 096

Middle District of Louisiana Local # 110

#### LETTER OF UNDERSTANDING (5 year agmus)

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Goveniment Security Officers of America, International Union and its Locals #106, #109 and #110.

The health & welfare rate effective 10/1/02 through 9/30/03 is \$2.15 per hour.

The health & welfare rate for the remaining years of this agreement to be effective 10/1/03 - 9/30/04, 10/1/04 - 9/30/05, 10/1/05 - 9/30/06 and 10/1/06 - 9/30/07 shall be subject to a renegotiation to be conducted between June 1 and July 31 of each corresponding government contract year through the end of this agreement.

kal Security. Inc avate Dellahu

United Government Security Officers of America, International Union

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hour.

FAX No. 505-747-0382

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# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security. Inc. and United Government Security Officers of America, International Union and its Locals #113, #57, #76, # 81, #64, #118, #128, #133, #134, #159, #63, #67, #71, #111, #152, #154, #155, #105, #109, #110.

The health and welfare rate effective 10/1/03 through 9/30/04 is \$2.36 per

and a second second

· United Government Security Officers · · + of America, International Union

-Alcal Security, Inc. -- --.. ..

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #111, #109, #110, and #106 in the 5<sup>th</sup> Circuit.

The Health and Welfare rate effective 10/1/2004 through 9/30/2005 is \$2.69 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers Of America, International Union

Date

Akal Security, Incorporated

Date

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #111, #109, #110, and #106 in the 5<sup>th</sup> Circuit.

As of October 1, 2004 Akal will be providing shoes rather than shoe allowance.

United government Security Officers Of America, International Union/

Name

Dai

Akal Sccurity, Incorporated

TName R 4 Title Date

5th MLA 110

# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals #87, 88, 90, 92, 94, 96, 85, 86, 106, 109, 110, 111, 56, 68, 114, 127, 157, 142, 143, 63, 79, 113, 157, **27**, 152, 158, 161, 167, 220, 57, 64, 67, 71, 76, 81, 118, 124, 128, 133, 134, 155, 155, 164, 53, 66, 130, 154, 125, 131, 132, and 135.

In the event of a building closure due to increment weather or an emergency situation, CSOs will have the option of either taking accrued paid leave (vacation and/or personal leave), or using Leave Without Pay.

This agreement does not change any existing policies regarding Leave Without Pay in other circumstances.

United government Security Officers Of America, International Union, //

Int'l Director CSO Dive

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Akal Security, incorporated

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# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals 53, 56, 57, 66, 68, 76, 79, 81, 85, 86, 87, 88, 90, 92, 94, 96, 106, 109, 110, 113, 114, 118, 127, 128, 130, 131, 133, 134, 135, 137, 142, 143, 154, 157, 158, 161 and 220.

The Health and Welfare rate effective 10/1/2005 through 9/30/2006 is \$2.87 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers Of Argerica, International Union,

Int'l Director CSO Div.

Tilla Date

United government Security Officers Of America, Local #\_\_\_\_\_ Mana \_\_\_\_\_\_ Int'l Director CSO Div.

Tale Dala

Akal Security, Incorporated elations Manager Tide Dale

Eastern Texas

| RÉGISTER OF WAGE DE<br>THE SERVICE CONTE<br>By direction of t | RACT ACT            | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION |
|---|---------------------|--|
| of Labor  | -                   | WASHINGTON D.C. 20210  |
|   |                     |  |
|   |                     |  |
|   |                     |  |
|   |                     | Wage Determination No.: CBA-2006-322   |
| William W.Gross   | Division of         | Revision No.: 1  |
| Director  | Wage Determinations | Date Of Last Revision: 11/7/2006   |
| State: Texas  |                     | ·I   |

Area: Smith

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| REGISTER OF WAGE D<br>THE SERVICE CONT<br>By direction of |                     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION |
|---|---------------------|---|
| of Labor  |                     | WASHINGTON D.C. 20210   |
|   |                     | <br> <br>   |
|   |                     | Wage Determination No.: CBA-2006-323  |
| William W.Gross   | Division of         | Revision No.: 1   |
| Director  | Wage Determinations | Date Of Last Revision: 11/7/2006  |
|   |                     | I   |

State: Texas

Area: Jefferson

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officer of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| REGISTER OF WAGE<br>THE SERVICE CON<br>By direction of<br>of Labor | the Secretary      | U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210 |
|--|--------------------|---|
|  |                    |   |
|  |                    | Wage Determination No.: CBA-2006-324  |
| William W.Gross  | Division of        | Revision No.: 1   |
| Director   | Wage Determination | s  Date Of Last Revision: 11/7/2006   |
| State: Texas   |                    | _ '   |
| Area: Bowie  |                    |   |

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| REGISTER OF WAGE DETERMINATION UNDE<br>THE SERVICE CONTRACT ACT<br>By direction of the Secretary<br>of Labor | R   U.S. DEPARTMENT OF LABOR<br>[EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210<br> |
|--|---|
| William W.Gross Division of  | Wage Determination No.: CBA-2006-325  |
| Director Wage Determinati  | Revision No.: 1   |
| State: Texas   | Ons Date Of Last Revision: 11/7/2006  |

Area: Grayson

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| THE SERVICE CON | f the Secretary     | U.S. DEPARTMENT OF LABOR<br>EMPLOYMENT STANDARDS ADMINISTRATION<br>WAGE AND HOUR DIVISION<br>WASHINGTON D.C. 20210 |
|-----------------|---------------------|--|
|                 |                     | <br> <br>  Wage Determination No.: CBA-2006-326  |
| William W.Gross | Division of         | Revision No.: 1  |
| Director        | Wage Determination; | s  Date Of Last Revision: 11/7/2006  |

Area: Angelina

Employed on United States Marshals Service contract for Court Security Officers.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| REGISTER OF WAGE | DETERMINATION UNDER | U.S. DEPARTMENT OF LABOR             |
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| THE SERVICE CO   | NTRACT ACT          | EMPLOYMENT STANDARDS ADMINISTRATION  |
| By direction o   | f the Secretary     | WAGE AND HOUR DIVISION               |
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|                  |                     | Wage Determination No.: CBA-2006-327 |
| William W.Gross  | Division of         | Revision No.: 1                      |
| Director         | Wage Determination  | s! Date Of Last Revision: 11/7/2006  |
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Area: Collin

Employed on United States Marshals Service contract for Court Security Officers.

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Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

| MENT STANDARDS ADMINISTRATION<br>AND HOUR DIVISION<br>WASHINGTON D.C. 20210       |
|---|
| Determination No.: CBA-2006-328<br>Revision No.: 1<br>Of Last Revision: 11/7/2006 |
|   |

Area: Harrison

Employed on United States Marshals Service contract for Court Security Officer.

Collective Bargaining Agreement between contractor: USProtect, Inc., and union: United Government Security Officers of America Local 86, effective 10/1/2006 through 9/30/2009 and amended on 8/1/2006.

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Collective Bargaining Agreement

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Between

# AKAL SECURITY, INCORPORATED

and the

# UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA, LOCAL #86

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# PREAMBLE

THIS AGREEMENT is made and entered into by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and UGSOA International Union, on behalf of its Local #86, hereinafter referred to as the "Union".

CBA (Akal & UGSOA Local #86 2003 - 2006)

# MISSION STATEMENT COURT SECURITY OFFICER

- Ensure the safety of US Federal Courts, Protected Government facilities and their employees against unauthorized, illegal and potentially life-threatening activities.
- Cadres of qualified and highly skilled officers perform this mission.

# CSO Goal & Vision

# Goal

To conduct ourselves in a manner as to bring credit upon the Court Security Officer and Special Security Officer program and the United States Marshal Service at all times.

# Vision

To be alert to all situations and events that take place and take necessary measures to prevent dangerous situations from happening.

#### **ARTICLE 1**

#### GENERAL PROVISIONS

#### SECTION 1.1 BARGAINING UNIT

- A. This agreement is entered between Akal Security, Inc., United Government Security Officers of America (UGSOA), and UGSOA Local #86 (hereinafter referred to as the Union). The Company recognizes the Union as the sole and exclusive bargaining representative for the purpose of collective bargaining as defined in the National Labor Relations Act.
- B. The unit is defined as all full-time and shared position Federal Court Security Officers (CSOs), Federal Special Security Officers (SSOs), Lead Federal Court Security Officers (LCSOs) and Lead Federal Special Security Officers (LSSOs) employed by the Company in the 5<sup>th</sup> Circuit consisting of UGSOA Local #86, in the Eastern District of Texas, excluding all other employees including office clerical employees and professional employees as defined in the National Labor Relations Act.
- C. This agreement shall be binding upon both parties, their successors and assigns. In the event of a sale or transfer of the business of the employer, or any part thereof, the purchaser or transferee shall be bound by this agreement.

#### SECTION 1.2 NEGOTIATING COMMITTEE

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

#### SECTION 1.3 STEWARD SYSTEM

- A. The Company agrees to recognize a steward system.
- **B.** The Union agrees that the stewards will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. Aggrieved employees will be paid their regular rate of pay in the conduct of Company Union business during scheduled working hours.
- C. If the Employee requests, the Company will call for a steward prior to any disciplinary action taken, whether it be written or verbal. The supervisor, at the request of the Employee, will release the steward as soon as possible. The Union Steward will be paid for up to one-half hour upon receiving Supervisor approval of relief from duty.

CBA (Akal & UGSOA Local #86 2003 - 2006)

# SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except in an emergency.

#### SECTION 1.5 UNION SECURITY

- A. An Employee who is a member of the Union at the time this Agreement becomes effective shall continue membership in the Union for the duration of this Agreement, to the extent of tendering the membership dues uniformly required as a condition of retaining membership in the Union.
- B. An Employee who is not a member of this Union at the time that this Agreement becomes effective shall, within ten (10) days after the 30th day following the effective date of this Agreement or date of hire either:
  - 1. Become a member of the Union and remain a member

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- 2. Pay the Union a service fee. The amount of this service fee shall be equal to that paid by regular Union members to include regular and usual initiation fees. The service fee will not include any assessments, special or otherwise. Such payments shall commence on the 30th day after the date of hire.
  - a) Employees who are members of, and adhere to the established and traditional tenets of a bona-fide religion, body, or sect, which has historically held conscientious objections to joining or financially supporting labor organizations, shall, instead of the above, be allowed to make payments in amounts equal to the agency fee required above, to a tax-exempt organization (under Section 501(c)(3) of the IRS Code). The Union shall have the right to charge any Employee exercising this option, the reasonable cost of using the arbitration procedure of this Agreement on the Employee's individual behalf. Further, any Employee who exercises this option shall twice a year submit to the Union proof that the charitable contributions have been made.
- C. Before any termination of employment pursuant to this Section becomes effective, the employee involved shall first be given notice in writing by the Union to pay the prescribed initiation fee and/or delinquent dues. If the employee fails to pay the initiation fee and/or delinquent dues, and if such fee and/or dues are tendered within 48 hours after the employee receives this notification from the Company, his/her dismissal under here shall not be required. If termination is administered under this provision, the reasons will be given in writing. Termination will not occur if there is an ongoing dispute between the effected employee and the Union.

CBA (Akal & UGSOA Local #86 2003 - 2006)

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- **D.** The obligations set forth in this Article shall only be effective to the extent permitted by controlling law. All employees regularly employed at any federal enclave who are not members of the Union shall pay the Union a service fee. If there is a legal challenge to any provision of this Article, the Employer may suspend its obligations under this Article for the duration of the dispute after conferring on the matter with the Union.
- E. The Union, including its International, agrees to save and hold the Employer harmless from any and all claims, actions, suits, damages, or costs, including any attorneys fees incurred by the Employer, on account of any matter relating to the terms of this Article, including, but not limited to, any claims by any Employee(s) and compliance with the law.

#### SECTION 1.6 DUES CHECKOFF

- A. The Company agrees to deduct dues as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon written authorization from the Employee on a form provided by the Union. The Employee, upon written notice served upon the Company and the Union, may revoke such authorization as provided in the Employee Check-Off Authorization Card. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to the dollar amount of the Union membership dues.
- **B.** The Company will remit all such deductions to the Financial Secretary/Treasurer within three (3) business days from the date that the deduction was made. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues with each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions initiated by an Employee against the Company, and assumes full responsibility of the dispositions of the funds so deducted, once they are paid over to the Union. Errors made by the Company in the deduction or remittance of monies shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

#### SECTION 1.7 INTENT OF PARTIES

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient security operations. The Union and the Company will put forth their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce, or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union.

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# SECTION 1.8 ANTI-DISCRIMINATION

Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, disability or other protected reason. The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

#### ARTICLE 2

#### UNION SENIORITY

#### **SECTION 2.1 UNION SENIORITY DEFINED**

- A. Union seniority shall be the length of continuous service, within the Local, from the Employee's last date of hire as a CSO or LCSO for the Employer, past or present and/or any predecessor Employer. Union seniority shall not accrue until the Employee has successfully completed the probationary period. Union seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work, transfers within the Local, and other matters as provided for in this Agreement.
- **B.** For the purposes of shift bidding, vacation schedules and extra work, union seniority shall be defined as seniority within the work site. (See Appendix B for the definition of the work site.)
- **C.** Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose their Union seniority as it applies to the order of layoff and recall, shift bidding, vacation schedules, extra work, and other matters as provided for in this Agreement.

#### SECTION 2.2 SENIORITY LISTS .

The Company shall provide an employee list (last date of hire as a CSO), to the Local Union each year on October 1. The Union will respond, within thirty (30) days, with a "Union Seniority" list to the Company. This list shall be posted on all Union bulletin boards. (See Appendix B for local list specifications.)

#### SECTION 2.3 PERSONAL DATA

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

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# SECTION 2.4 TRANSFER OUT OF UNIT

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Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) consecutive weeks shall lose their Union seniority. If they return to the bargaining unit at a later date their seniority will start on that return date.

#### SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) calendar day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs, or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement.

Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The Probationary period can be extended by mutual agreement between the Company and the Union.

#### SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- A. the Employee quits or retires;
- B. the Employee is discharged;
- C. a settlement with the Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- D. the Employee is laid off for a continuous period of one hundred eighty (180) calendar days;
- E. the U.S. Government revokes the Employee's credentials as a CSO;
- F. the Employee is permanently transferred out of the bargaining unit.

#### ARTICLE 3

#### **JOB OPPORTUNITIES**

#### SECTION 3.1 FILLING VACANCIES

If a vacancy occurs in a regular position covered by this Agreement or a new position is added and the company chooses to fill the position, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays) within the Local as defined in Appendix B. All shared position Employees who have notified the Site Supervisor, in writing of their intent to apply for a Full-Time position and who are not scheduled to work during that three (3) day period at the site where an opening occurs, and any Employees on vacation or on other approved leave will be notified by the Company. When a vacancy occurs, the Employer will fill the position with the most senior Employee (see Appendix B) who has applied for the position in writing, who has been trained (if required) to fill any necessary special qualifications for the new position. No more than two (2) shifts will be filled under this procedure as a result of that vacancy.

# SECTION 3.2 SHARED POSITION EMPLOYEES

The Company is obligated under its contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part time schedule, as necessary, at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for weekly work schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action.

# SECTION 3.3 LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority within the Local. The Company will notify the Union, in writing, of required reduction. The Union will respond, in writing, within three (3) business days (excluding Saturday, Sunday & Holidays), with the name or names of the least senior employee or employees. Recall of Employees will be accomplished by recalling the last laid off Employee first, and so on.

# SECTION 3.4 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, or assign an Employee to a position that is part of a temporary security assignment directed by the USMS, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement. To the extent feasible the assignment shall be a voluntary selection based on seniority and qualification. In the absence of volunteers, assignments shall be made on a reverse seniority and qualifications basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this agreement, whichever is greater.

# SECTION 3.5 APPOINTMENT OF LEAD CSOs

The U.S. Government in its contract with the Company creates specific guidelines for the job duties and qualifications of Lead CSOs. Based on these guidelines, all appointments of Lead CSOs will be made on the basis of suitability as evaluated by the Company. Suitability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail. Lead CSOs will not perform supervisory duties, as described by the National Labor Relations Act.

#### MANAGEMENT'S RETAINED RIGHTS

#### **SECTION 4.1**

Management of the business and direction of the security force are exclusively the right of management. These rights include the right to:

- A. Hire;
- B. Assign work;
- C. Promote, Demote;
- D. Discharge, discipline, or suspend based on Article 6;
- E. Require Employees to observe reasonable Employer rules and regulations;
- F. Determine when overtime shall be worked;
- G. Determine the qualifications of an Employee to perform work.

#### **SECTION 4.2**

Management shall not implement any changes to subjects covered in the mandatory bargaining list as provided for in the NLRA Section 8(d).

#### **SECTION 4.3**

Any rights, power or authority the Company had prior to the signing if this Agreement are retained by the Company, except those specifically abridged or modified by this Agreement and any supplemental Agreements that may hereafter be made. The Company's failure to exercise any function reserved to it shall not be deemed a waiver of any such rights.

#### **ARTICLE 5**

#### **GRIEVANCE PROCEDURE**

#### **SECTION 5.1 INTENT**

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement, or the challenge of any disciplinary action taken against a Union Employee, except that this grievance procedure shall not be used for any action or order of removal of an Employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of Contract MS-02-D-0001 between the US Marshals Service and Akal Security, Inc. Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the

Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government.

#### SECTION 5.2 GENERAL PROVISIONS

- A. The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance. The term "days" shall not include Saturdays, Sundays or holidays when used in this Article.
- **B.** Should either the Company, the Union, or the aggrieved employee fail to comply with the time limits as set forth in this Article, the party who failed to comply within the time limits shall forfeit the grievance.

#### SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

- A. Informal Step The parties shall make their best efforts to resolve any dispute on an informal basis. Both the Company and the Union agree that the Employee will first discuss the complaint with their immediate supervisor (not in the bargaining unit), within eight (8) working days of the incident being grieved, to start the informal procedure. If the informal procedure is not invoked within eight working days of Employee's knowledge of a grievable issue, then it is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in.
- **B.** Step One If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the union representative, and shall be submitted to the Contract Manager or designee with a copy to the Company's HR Director. The Contract Manager or designee shall have ten (10) days from the date the grievance was presented to return a decision in writing with a copy to the aggrieved Employee and the union representative.
- C. Step Two If the grievance is not settled in Step One, the grievance may be appealed in writing to the Company's Director of Human Resources or designee not later than ten (10) days from the denial by the Contract Manager or designee. The Director of Human Resources or designee will have ten (10) days from the date the grievance was presented to return a decision, in writing, with a copy to the aggrieved Employee and the union representative.

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**D.** Grievance for Discipline - Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or designee within eighteen (18) days after the occurrence of the facts giving rise to the Grievance.

#### SECTION 5.4 ARBITRATION PROCEDURE

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Company's Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

- A. Selection of an Arbitrator Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet telephonically to jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the American Arbitration Association (AAA) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the AAA by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.
- B. Decision of the Arbitrator The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement. If the decision of the Arbitrator is not complied with within fifteen (15) days of the decision, the losing side shall be liable for attorney and court costs to enforce compliance including through the courts, absent an order from the U.S. Marshals Service or unless the Company files a written request for clarification, then the Company will comply within fifteen (15) days of receiving the clarification.
- C. Arbitration Expense The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.
- **D.** Time Limits The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

#### SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

#### SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

#### **ARTICLE 6**

#### DISCIPLINE

#### SECTION 6.1 GROUNDS FOR DISCIPLINE AND DISMISSAL

#### SECTION 6.1 (a)

After completion of the probationary period, as specified in Section 2.5, no Employee shall be dismissed or suspended without just cause. Just cause shall include any action or order of removal of an employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the Removal of Contractor Employee provision in Section H-3 of Contract MS-02-D-0001 between the US Marshals Service and Akal Security, Inc.

Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3 of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government, and the Employer shall be held harmless by the Union and the employee for any further claims made after this final determination. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties.

The Company's contract with the U.S. Government sets out performance standards for the CSOs in Section C of the Contract between the Company and the USMS, and all Employees are required to comply with these standards. Failure to do so may lead to disciplinary action. These performance standards, the USMS Deadly Force Standards and the US Title 18 Domestic Abuse and Violence policy will be issued to each Employee and must be signed, acknowledging receipt, by the Employee and may be updated by the Company each year. Employees agree to comply with any express non-disciplinary directive issued by the Government.

# SECTION 6.1 (b)

The Company may discipline Employees when necessary and discharge those who fail to uphold U.S. Government or Company standards as described in 6.1 (a) and 6.1 (b) above. It is recognized by parties to this Agreement that progressive discipline generally shall be applied in dealing with Employees. However, it is also recognized that offenses may occur for which progress discipline is not applicable (e.g. fraud, gross misconduct, theft, etc.). Disciplinary measures vary depending on the seriousness of the matter and the past record of the Employee. All discipline shall be subject to the grievance and arbitration procedures, except for those issues involving the USMS rights under Section H-3 of Contract MS-02-D-0001 as referenced in Sections 5.1 and 6.1(a). The Employee may request, in writing, to the Site Supervisor, that any disciplinary action not resulting in suspension may be considered for removal from the Employee's file after 2 months, provided that no violations of the same type have occurred and that no more than one violation of any type has occurred.

#### **ARTICLE 7**

#### HOURS OF WORK AND OVERTIME

#### SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the U.S. Government. Per the National Labor Relations Act, changes in shifts must be negotiated with the Union prior to implementation of any such changes. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

#### SECTION 7.2 OVERTIME

An overtime rate of time and one-half (1 1/2) of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours **actually worked** in excess of forty (40) hours in a work week.

#### SECTION 7.3 OVERTIME REQUIREMENT

If directed to work overtime or extra hours, and the seniority system is not invoked due to shortness of notice to the Company, the Employee shall be required to do the work, unless the Employee is excused by the Company for good cause.

#### SECTION 7.4 OVERTIME DISTRIBUTION

A. Overtime will be offered by Seniority (within the worksite) on a rotating basis. Overtime will be distributed as equitably and fairly as practicable among Employees.

- **B.** Exclusion: Managers cannot be assigned to cover CSO overtime positions or posts except in emergency situations.
- C. The Company will not adjust an Employee's schedule during a weekly period to reduce overtime.

#### SECTION 7.5 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch period for each eight (8) hour shift. These rest periods require that the Employee be properly relieved before leaving their post. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional work requirements, Employees may have to work through their unpaid lunch breaks and/or paid rest periods, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to make its best efforts to provide regularly scheduled breaks. It is not the intent of the Company to avoid this requirement.

#### **ARTICLE 8**

#### WORK SHIFTS AND PAYMENT POLICIES

#### SECTION 8.1 CALL-IN PAY

An Employee called in to work will be guaranteed a minimum of four (4) hours of work, or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours time. Call in is defined as anytime a CSO is required to report to duty for any business related function.

### SECTION 8.2 SHIFT BIDDING, HOURS OF WORK, & SENIORITY

Once each year, full-time Employees and shared position Employees at each location shall bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared position or vice versa.

#### SECTION 8.3 WAGE SCHEDULE

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement.

#### SECTION 8.4 PAYDAY

Payday for all hourly Employees will be after 11 a.m. on Friday following the two (2) week pay period ending on Saturday, subject to change by mutual agreement. The Company will make its best effort to make direct deposit available and to list available personal leave and vacation in each Employee's paycheck.

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#### SECTION 8.5 UNDISPUTED ERROR

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In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error, involving eight (8) hours of pay or more, will be corrected and paid within three (3) working days.

#### SECTION 8.7 LEAD CSO RATES

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid the LCSO wage. In the case where there are multiple LCSO wages, the additional LCSO will be paid at the lowest LCSO wage for the site or location where they are assigned.

#### **ARTICLE 9**

#### HOLDAYS

#### **SECTION 9.1 HOLIDAYS DEFINED**

Whenever the term "holiday" is used, it shall mean:

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| ed States as a permanent National holiday. |
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#### SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS

- A. A full-time position Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift premium for that holiday.
- **B.** Any full-time position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition, shall receive eight (8) hours holiday pay at the straight time rate as described in Section 9.2a above.
- C. A shared position Employee who does not work on a holiday shall receive prorated holiday pay based on the number of actual hours the Employee is eligible for pay during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a minimum of four (4) hours pay per holiday. Shared position holiday prorating shall be based upon total non-holiday work days in the pay period.

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- **D.** Any shared position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition shall receive prorated holiday pay as described above in Section 9.2c.
- E. In the event that the Holiday falls on a weekend, the term "holiday" will refer to the day that the U.S. Government designates as the Holiday.

#### VACATIONS

#### SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Full-time Employees shall be entitled to annual vacation based on their continuous years of service with the Employer (based on the Employee's anniversary date of employment) at their individual hourly rate of pay at the time payment is made in accordance with the following schedule:

| Upon completion of one (1) year of service:       | 80 hours  |
|---|-----------|
| Upon completion of five (5) years of service:     | 120 hours |
| Upon completion of ten (10) years of service:     | 160 hours |
| Upon completion of fifteen (15) years of service: | 200 hours |

#### SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees shall be entitled to pro-rated vacation per the schedule contained in Section 10.1, based on their individual hourly rate, the number of hours paid in the previous year, and the Employee's anniversary date. A minimum of one-half the full-time benefit is guaranteed for Employees who have been paid for at least 1040 hours in the previous year.
- **B.** Any Employee who works a full anniversary year, in part as a full-time position Employee and in part as a shared position Employee, shall receive prorated vacation benefits for that year as calculated in SECTION 10.2, part A (per the Service Contract Act).

#### SECTION 10.3 SCHEDULING VACATIONS

Vacations, insofar as reasonably possible, shall be granted at the times most desired by the Employee, after the Employee's anniversary date. Vacation schedules shall be posted.

#### SECTION 10.4 PAY OPTIONS

Earned vacation pay may be requested at anytime and will be paid in the next pay cycle. Earned vacation time will remain available without pay.

# SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (based on the Employee's anniversary date of employment) shall be paid to the Employee.

#### SECTION 10.6 TERMINATING EMPLOYEES

Upon termination of employment, Employees will be paid at their individual hourly rate vacation time earned as of their last anniversary date, but not used, as entitled by the Service Contract Act. (Example: An Employee who terminates one month into the next anniversary year is entitled to any of the previous year's earned accrued vacation not already used, and not to the additional month accrued in the new anniversary period).

#### SECTION 10.7 VACATION - LAID OFF EMPLOYEES

Length of service with the Employer shall accrue for the purposes of vacation benefits while an Employee is on laid-off status for up to one (1) year. Employees will only be paid vacation benefits when they are working.

### SECTION 10.8 VACATION INCREMENTS

Consistent with Employer approval, efficiency, and economy of operations, Employees may take their vacation in segments of less than one (1) week each, but not less than eight (8) hour increments.

#### ARTICLE 11

#### LEAVES OF ABSENCE

#### SECTION 11.1 LIMITATIONS

Personal leaves of absence for non-medical emergencies may be granted at the sole discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless a special extension is approved by the Employer. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence. It is acknowledged by the Union that under USMS CSO contract, the Employer is not permitted to hire additional (reserve) or temporary Employees to provide work coverage during Employee absences. Unpaid leaves of absence may be taken only with written approval of the Employer, or in a case of verified personal emergency. Failure to report for scheduled shifts without Employer permission will lead to disciplinary action.

Any Employee in an unpaid status at the time a holiday occurs shall not be entitled to any holiday pay. Note "unpaid status" does not include regular scheduled days off, vacation or personal leave.

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#### SECTION 11.2 MEDICAL LEAVE

- A. The Family and Medical Leave Act of 1993 (FMLA) is incorporated herein.
- B. The Company agrees to honor the FMLA for all Employees.
- C. During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employer with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work. Any Employee who is not able to return to work with a medical clearance from a licensed physician at the end of a maximum medical leave shall be terminated from Employment.
- **D.** If the Employee files for medical leave on false pretext or works for another employer without pre-authorization from the company, the Employee will be removed from the CSO program and from employment with the Employer.

#### SECTION 11.3 MILITARY LEAVE

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

#### SECTION 11.4 UNION LEAVE

A Union President and one delegate will be granted an unpaid leave of absence no more than once a year for a maximum of seven (7) days upon written request for the purpose of attending Union conventions or other meetings of vital interest to the Union as long as staffing requirements permit. More time will be granted upon mutual agreement between the Company and the Union.

# SECTION 11.5 PERSONAL/SICK LEAVE

| START DATE   | RATE OF<br>PERSONAL/SICK LEAVE<br>ELIGIBLE<br>TO USE |                 |
|--|--|-----------------|
| Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date. | FULL-TIME  | SHARED POSITION |
| October 1 - 31   | 48 hours   | 24 hours        |
| November 1 - 30  | 44 hours   | 22 hours        |
| December 1 -31   | 40 hours   | 20 hours        |
| January 1 - 31   | 36 hours   | 18 hours        |
| February 1 - 29  | 32 hours   | 16 hours        |
| March 1 - 31   | 28 hours   | 14 hours        |
| April 1 - 30   | 24 hours   | 12 hours        |
| May 1 - 31   | 20 hours   | 10 hours        |
| June 1 - 30  | 16 hours   | 8 hours         |
| July 1 - 31  | 12 hours   | 6 hours         |
| August 1 - 31  | 8 hours  | 4 hours         |
| September 1 - 30   | 4 hours  | 2 hours         |

#### PERSONAL / SICK LEAVE TABLE

- A. Each full-time Employee shall be eligible to use a maximum of six (6) days personal leave at the beginning of each 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based on the above Personal/Sick Leave Table.
- B. Personal leave may be taken in not less than four (4) hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.
- C. Shared position Employees will receive one-half the full time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1040 hours) will receive additional prorated personal leave based on the number of hours the Employee was paid during that contract year.
- **D.** Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave will be paid to the Employee at the end of the contract year.
- E. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of hours the Employee was paid during that contract year. If the Employee has used more personal leave than

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CBA (Akai & UGSOA Local #86 2003 - 2006)

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he/she earned based upon time paid on the contract, the amount of the overage will be deducted from the Employee's final paycheck.

F. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

#### SECTION 11.6 PROCESSING UNPAID LEAVES OF ABSENCE

The Employer will consider requests for unpaid leaves of absence and may grant them at its sole discretion. An unpaid leave of absence must be processed in the following manner:

- A. All requests for unpaid leaves of absence shall be submitted in writing to the Lead CSO, Site Supervisor or Contract Manager at least ten (10) calendar days prior to the date the leave will take effect, except in cases of verified personal emergencies, and include:
  - a. The reasons for such leave;
  - b. The effective dates of such leave;
  - c. The estimated date of return to work.
- B. The Company will respond to the request within five (5) working days.
- C. The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- **D.** Extensions of the leave of absence may be granted at the sole discretion of the Employer, upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence. Extensions, when granted, shall not total more than thirty (30) days.

#### SECTION 11.7 GENERAL PROVISIONS

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of this Agreement.

#### SECTION 11.8 JURY DUTY

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay for up to three (3) days minus any pay received from the courts for time spent on jury duty.

### SECTION 11.9 BEREAVEMENT LEAVE

- A. If it is necessary for a full-time Employee to lose time from work because of a death in the immediate family, the Employee shall be entitled to five (5) days paid leave of absence per Government contract year at their straight-time rate of pay.
- B. Shared position Employees will receive one-half of the full-time leave.
- C. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including legally adopted children and/or stepchildren), father-in-law, mother-in-law, grandparents, grandchildren, sister-in-law, brother-in-law, daughter-in-law and son-in-law.
- **D.** The Employer may require proof of the death for which an Employee requests a paid leave.

#### ARTICLE 12

#### HEALTH, WELFARE AND UNIFORM ALLOWANCES

#### SECTION 12.1 PAYMENTS

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix A.

#### **SECTION 12.2 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other available Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs may include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

#### SECTION 12.3 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour worked, up to 40 hours per week, for uniform maintenance as described in Appendix A. A shoe allowance of \$62.50 per contract year will be provided annually for the purchase of USMS-required CSO uniform shoes. The Employer will provide all foul weather gear for each employee as is authorized and funded by the USMS. The Company shall make its best effort to issue uniforms by December 31<sup>st</sup> and uniforms shall be gender-proper.

#### MISCELLANEOUS PROVISIONS

#### SECTION 13.1 BULLETIN BOARDS

The Employer will make its best effort to obtain a space from the U.S. Government for Union to locate a Union-provided bulletin board that will be used by the Union for posting notices of meetings, elections, appointments, recreational and social affairs, and other Union notices. The provision of these facilities is the prerogative of the U.S. Government, who owns and controls all worksite facilities.

#### SECTION 13.2 PHYSICAL EXAMINATIONS

- A. The Employer shall pay for any physical/medical examinations and additional testing that is required by the Employer and/or the U.S. Government. The Employer has the right to choose the physician who will perform the physical exam and pre-approve any expenses.
- **B.** Employees must pass the physical exam prescribed by the Employer's contract with the U.S. Government in order to be employed and to maintain employment.
- C. The Employer will pay for the time required for the Employee to take required physical exams and additional testing. Time for any exams requiring more than two (2) hours must be pre-approved by the Site Supervisor. If, when the appointment is going to exceed two (2) hours, the Employee will call into the Site Supervisor or designee to inform them of the delay and request approval for additional time.

#### SECTION 13.3 TRAVEL EXPENSES

The Company will provide advance payments for Company authorized and approved travel expenses if requested by an Employee. Any workday that includes travel and totals over twelve (12) hours may require the Employee to stay overnight, and the appropriate per diem will be paid. All hours in travel up to a maximum of eight (8) per day will be counted as work hours, with the appropriate overtime wages provided for under this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day the Employer receives the properly completed travel voucher and all required receipts.

#### SECTION 13.4 BREAK ROOMS

The Employer will make its best effort to obtain from the U.S. Government break rooms for CSOs for breaks and lunch, without management using the room as an office, and will make its best effort to have the U.S. Government equip the room with water. The providing of these facilities is the prerogative of the U.S. Government.

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# SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the U.S. Government for the use of the CSOs. The Employer agrees to make its best effort to support any Union request for separate Locker/Changing facilities. The providing of these facilities is the prerogative of the U.S. Government.

#### SECTION 13.6 UNION MEETINGS

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances as described in this Agreement. No Employee may leave their post without permission from the Employer under any circumstances, unless there is appropriate Government permission granted.

#### **ARTICLE 14**

#### 401 (k) PLAN

#### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401 (k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. At the direction of the individual Employee, the Company may deposit the Health & Welfare payment to the Employee's 401 (k) account. Employees shall be subject to the eligibility requirements and rules of the Plan.

#### SECTION 14.2 UGSOA 401(a) Plan

The Company agrees to make deductions for Union members in good standing for the UGSOA 401(a) Plan, if the Union members so choose. At the direction of the individual Union member, the Company may contribute the Health & Welfare and /or Pension payments into the Union members' UGSOA 401(a) plan. Union members shall be subject to the eligibility requirements and rules of the Plan.

The Company agrees to send the deductions / contributions to UGSOA International Union, the Administrator of the UGSOA 401(a) Plan, no later than the Tenth  $(10^{th})$  of the Month following the Month the deductions were made.

The Company's sole responsibility is to make the payroll deductions and send said deductions to the Plan Administrator with a record of name, social security number and amount of deduction for each source of money (i.e. Health & Welfare, Pension and/or after-tax deduction). The Company is in no way responsible for any other aspect of the plan.

No local and its members will be eligible for both the Company's 401 (k) Plan and the Union's 401 (a) Plan. All Employees in a local will be eligible for only one of the plans.

#### SAFETY

#### SECTION 15.1 SAFETY POLICY

It is the policy of the Company to make its best efforts to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. Under this Agreement, all worksites and facilities are the property of the U.S. Government, who is responsible for the condition and safety of the worksite. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings.

#### SECTION 15.2 OSHA STANDARDS

The Company will report any safety violations observed or reported to the Company in any U.S. Government-provided CSO workstations and break rooms.

#### **ARTICLE 16**

#### CONTINUITY OF OPERATIONS

#### SECTION 16.1 NO STRIKES

- A. Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns, or secondary boycotts during the term of this Agreement.
- **B.** Upon hearing of an unauthorized strike, slowdown, stoppage of work, planned inefficiency, or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such activity to prompt termination.

#### SECTION 16.2 LOCKOUTS

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through Government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the Government decree or statutes, so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

#### ARTICLE 18

#### ENTIRE AGREEMENT

The parties acknowledge that during the negotiation which resulted in the Agreement, the unlimited right and opportunity to make demands and proposals with respect to any matter not removed by law from the area of collective bargaining, and all understand agreements reached by the parties are set forth in this Agreement. Therefore, the Company and the Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including, but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

#### ARTICLE 19

#### **TERMINATION OF AGREEMENT**

Should either party desire to terminate this Agreement or any provision thereof, it shall give written notice to the other party of not less than sixty (60) days and not more than one hundred and eighty (180) days prior to the expiration. In the event such notice is given, the existing Agreement may be continued by mutual consent of both parties until a new Agreement is reached. This Agreement may also be changed or amended by agreement of both parties.

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# **DURATION**

This Agreement shall be effective from June 13, 2003 through September 30, 2006 and supersedes any and all prior agreements or understandings between the parties.

This Collective Bargaining Agreement is a follow-on to the Agreement dated May 1, 1998 between Akal and its employees covered by the Agreement. All terms and conditions of the previous Collective Bargaining Agreement, economic and non-economic, remain in effect other than as specifically revised in this follow-on Agreement.

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# Appendix A

# WAGE SCHEDULE

Listed below are the Wagas and Benefits. Characteristic and the semployeet at the 5th Chault for the Eastern District of Texas, United Government Security Officers of America Local # 88:

| z) Đ:          | ase Wages                      |          | SITE:     | Marshall, Lufikin, Beaumont,<br>and Texarkana | -                                     |
|----------------|--------------------------------|----------|-----------|---|---------------------------------------|
|                |                                | ' C      | urrent:   |   | **                                    |
|                | Court Security Officers:       | 14.68 S  | 14.6B     | / hour  | •                                     |
|                | Lead Court Security Officer 1: | 16.18 \$ | 16,18     | / hour  |                                       |
|                | Load Court Security Officer 2: | 15.43 \$ | 15.43     | / regular hour                                |                                       |
|                | Health & Welfare Allowarde     | 5        | 215       | / regular hour worked up to 40                |                                       |
|                |                                |          | 0.10625   | / regular hour worked up to 40                | I I I I I I I I I I I I I I I I I I I |
|                | • • .                          | ·        | fective ( | october 1, 2003;                              |                                       |
|                | Court Security Officers:       | \$       | 15.44     | /how**  | 001 - 50 R.1                          |
|                | Lead Court Security Officer 1: | 1694 \$  |           | Thours  | (1)                                   |
| e fres ryf i d | Land Court Security Officer 2: | 16.44 \$ | 19.44     | 7 regular hiom                                | ···· · · ····                         |
| •              | Health & Welfare Allowance:    | 2.36 \$  | 2.36      | 7 regular from worked up to 40"               | attle                                 |
| ·              | Uniform Allowance:             | \$       | 0,11      | / regular hour worked up to 40                |                                       |
|                |                                | Ef       | factive C | ciobar 1, 2004:                               |                                       |
| ,              | Court Security Officers,       | S        |           | / hour**                                      |                                       |
|                | Lead Court Security Officer 1: | Š        | · •       | / hour**                                      |                                       |
|                | Lead Court Security Officer 2: | 5        | •         | / neguliar incluir                            |                                       |
| •*             | Health & Welture Altowance     |          | •         | / regular hour worked up to 40                | •                                     |
| •              | Uniform Aliowance:             | 5        |           | regular hour worked up to 40                  | •                                     |
|                |                                | Efi      | iective D | ctober 1, 2005:                               |                                       |
| •              | Court Security Officers:       | \$       | -         | /hcus   | •                                     |
|                | Lead Court Security Officer 1: | S.       |           | / hour  | -                                     |
|                | Lead Court Security Officer 2: | \$ 1     | •         | / regular hour                                |                                       |
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# Appendix A

# WAGE SCHEDULE

Listed below are the Wages and Benofits **distinguishing the second and** for the amployees at the 5th Circuit for the Eastern District of Texas, United Government Security Officers of America Local #86;

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|---|--------------------------------------|--|
| · · · · · ·   | Current:                             | · · · · ·  |
| Court Security Officers:<br>Lead Court Security Officer:<br>Senior Lead Court Security Officer:<br>Health & Welfere Allowance:<br>Unitions Allowance: | \$ . 18.17<br>\$ . 18.92<br>\$ .2.15 | / hour<br>/ bour<br>/ regular hour<br>/ regular hour worked up to 40<br>/ regular hour worked up to 40   |
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The perfect agree that either party may reason negotiations for emendments to Appendix "A" Wages and Hottly & Wattere Allowance at any time after May 1 and patere june 1, for all years governed by this contract, by giving within notice to the other party. Any final agreement, the dispute shall be converted to a statute to incorporated into the terms of this agreement. If the parties tail to reach agreement, the dispute shall be converted to activation in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to Article 16, shall be may him to be before out of the agreement. All provisions of this Agreement, including, but not limited to Article 16, shall remain in force during the terms of the negotiations and any resulting estimation, and for the remainder of the terms of the source of the source of the terms of the remainder of the terms of the source of the terms of the t

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# Appendix B

# Local UGSOA 86

### Section 2.1 Definition of a Work Site:

For the purposes of this Collective Bargaining Agreement, a work site shall be defined as: (check one)

the entire local

#### Section 2.2 Seniority Lists Addendum

The company will provide a seniority master list to the Local # 79 president including full time and shared employees by date of entry on duty.

Section 3.1 Filling Vacancies Addendum

For the purposes of this Collective Bargaining Agreement, job vacancies within the local shall be posted: (check one)

throughout the entire local

 $\Box$  within the specific building in which the job vacancy occurs



# United Government Security Officers of America Local 86 Appendix B

Section 2.1 Definition of a Work Site:

For the purpose of this Collective Bargaining Agreement, work sites shall be Tyler Texas, Shennan Texas, Plano Texas, Marshall Texas, Lufkin Texas, Beaumont Texas and Texarkana Texas. Work sites shall be building specific.

Section 2.2 Seniority List Addendum.

The Company will provide a seniority master list to the Local 86 president including full time and shared employees by date of entry on duty.

Section 3.1 Filling Vacancies Addendum

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For the Purpose of this Collective Bargaining Agreement, job vacancies within the local shall be posted throughout the entire local.

Replace "Shall" with "may"

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# AMENDMENT TO COLLECTIVE BARGAINING AGREEMENT BETWEEN AKAL SECURITY, INC. AND THE UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA LOCAL #86

This Amendment to the Collective Bargaining Agreement between Akal Security, Inc. ("Company") and the United Government Security Officers of America, Local #86 ("Union") is entered into this 1<sup>st</sup> day of July, 2004, as an amendment to the Collective Bargaining Agreement between Akal Security, Inc. and the United Government Security Officers of America, Local #86 in effoot form October. 1, 2003 till September 30, 2006.

The following wages are in effect from October 1, 2004 through September 30, 2005 in Plano, Sherman and Tyler, TX

| Court Security Officers:        | \$18.58/ hour**                       |
|---------------------------------|---------------------------------------|
| Lead Court Security Officer:    | \$19.58/ hour**                       |
| Sr Lead Court Security Officer: | \$20.08/ hour**                       |
| Health & Welfare Allowance:     | \$ 2.59/ regular hour paid up to 40   |
| Uniform Allowance:              | \$ 0.11/ regular hour worked up to 40 |

The following wages are in effect from October 1, 2004 through September 30, 2005 in Lufkin, Beaumont, Marshall and Texarkana, TX

Court Security Officers:\$16.21/hour\*\*Lead Court Security Officer 1:\$17.71/hour\*\*Lead Court Security Officer 2:\$17.21/hour\*\*Health & Welfare Allowance:\$ 2.59/regular hour worked up to 40Uniform Allowance:\$ 0.11/regular hour worked up to 40

Beginning October 1, 2004, in replacement of the shoe allowance, Akal Security will be issuing regulation shoes to each CSO as part of the required uniform.

All other provisions, terms and conditions of the Agreement, except as provided herein, shall continue in full force and effect,

UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA. LO Date

AKAL SECURITY, INC.

By Date

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# LETTER OF UNDERSTANDING

Side Bar to the Collective Bargaining Agreements between Alcal Security, Inc. and United Government Security Officers of America, International Union and its Locals #\$7, 88, 90, 92, 94, 96, 85, 86, 106, 109, 110, 111, 56; 68, 114, 127, 137, 142, 143, 63, 79, 113, 157, 2, 152, 158, 161, 167, 220, 57, 64, 67, 71, 76, 81, 118, 124, 128, 133, 134, 155, 159, 164, 53, 66, 130, 154, 125, 131, 132, and 135.

In the event of a building closure due to increment weather or an emergency -situation, CSOs will have the option of either taking accrued paid leave (vacation and/or personal leave), or using Leave Without Pay.

This agreement does not change any existing policies regarding Leave Without Pay in other circumstances.

United government Security Officers Of Americe, International Union,

Int'l Director

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Anal Security, Incorporated

# Letter of Understanding

Side Bar to the Collective Bargaining Agreements between Akal Security, Inc. and United Government Security Officers of America, International Union and its Locals 53, 56, 57, 66, 68, 76, 79, 81, 85, 86, 87, 88, 90, 92, 94, 96, 106, 109, 110, 113, 114, 118, 127, 128, 130, 131, 133, 134, 135, 137, 142, 143, 154, 157, 158, 161 and 220.

The Health and Welfare rate effective 10/1/2005 through 9/30/2006 is \$2.87 per hour paid, up to 40 hours per week. This includes all paid leave taken, but not leave that is cashed out.

United government Security Officers OF America, International Union.

SO Div. Int'l Director Tille

United government Security Officers Of America, Local # 86

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# Appendix A

# WAGE SCHEDULE

Listed below are the Wages and Bonefits for the employaes at the 5th Circuit for the Eastern District of Tusse, United Government Security Officers of America Local # 85:

Luffán, Bésuipont, Marshall & Texericina, TX a) Base Wages SITE: Carront **Court Security Officants:** \$ 16,21 / hours / hours Least Court Security Officer 1; Loss Court Security Officer 2; Health & Welfast Alexanocc 17.71 5 / hours 17.21 Ş / regular hour paid up to 40 ż 2.59 Effective October 1, 2005: Genet Security Officers: Lead Court Becuity Officer 1: Lead Court Security Officer 2: Health & Welfare Allowance: 17,18 / Hourse \$ 18,66 /hour" 18,95 / hours \$ / regular hour paid up to 40 5 ..... Rinderl Course 4 Security Officienty of Am Alat Socurity, I 105 7 tionaka Da ions Maining 4 d Gaw curity Officers of America AAA

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# Appendix A

# WAGE SCHEDULE

Listed below are the Wages and Benefit's for the employees at the Bh Circuit for the Eastern Circuit of Texas, Unlist Government Security Officiens of America Local # 85:

| a) Base Wagaw  | <b>STE</b>   | Plano, Shoman, & Tyler, TX                                       |
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# **Appendix A**

# Addendum to Collective Bargaining Agreement

Whereas, Akal Security Inc. (hereinafter referred to as "the Company") and UGSOA Local 86 (hereinafter referred to as "the Union") entered into an Agreement effective July 13, 2003,

Whereas, the Union has been duly designated by the Company's non-supervisory employees per Article 1.1 of the Collective Bargaining Agreement

Whereas, the aforementioned Agreement provides for the Company and the Union to negotiate wages and fringe benefits for each facility covered thereby and to enter in to an Addendum setting forth those economic terms.

Now therefore, it is hereby agreed as follows:

It is hereby AGREED that all terms and conditions of the current Collective Bargaining Agreement, which was set to expire on September 30, 2006, shall remain in full force and effect through September 30, 2009 with the following changes:

# WAGES

The Employer agrees to pay employees covered by this agreement at the following rates per hour:

| <u>Current</u> | Beaumont<br>Lufkin<br>Marshall<br>Texarkana | Plano<br>Sherman<br>Tyler |
|----------------|---|---------------------------|
| CSO            | \$17.18/hour**                              | \$19.14/hour**            |
| LCSO1          | \$18.66/hour**                              | \$20.14/hour**            |
| LCSO2          | \$18.18/hour**                              | n/a                       |
| SLCSO          | n/a   | \$20.84/hour**            |

Effective 10/1/06

| CSO   | \$17.87/hour** | \$19.71/hour** |
|-------|----------------|----------------|
| LCSO1 | \$19.35/hour** | \$20.71/hour** |
| LCSO2 | \$18.87/hour** | n/a            |
| SLCSO | n/a            | \$21.41/hour** |

Appendix A - Akal and UGSOA Local 86 (2006-2009)

#### Effective 10/1/07

| CSO   | \$/hour** | \$/hour** |
|-------|-----------|-----------|
| LCSO1 | \$/hour** | \$/hour** |
| LCSO2 | \$/hour** | n/a       |
| SLCSO | n/a       | \$/hour** |

#### Effective 10/1/08

| CSO   | \$/hour** | \$/hour** |
|-------|-----------|-----------|
| LCSO1 | \$/hour** | \$/hour** |
| LCSO2 | \$/hour** | n/a       |
| SLCSO | n/a       | \$/hour** |

#### **HEALTH & WELFARE**

The Employer agrees to pay employees covered by this agreement the following H&W per hour:

#### Current

All Employees \$2.87/regular hour paid up to 40 per week

#### Effective 10/1/06

All Employees \$3.10/regular hour paid up to 40 per week

#### Effective 10/1/07

All Employees \*/regular hour paid up to 40 per week

Effective 10/1/08

All Employees \*/regular hour paid up to 40 per week

\*The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health and Welfare allowance at any time between May 1 and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporate into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this Agreement.

\*\*Employees assigned to work between the hours of 1800 (6:00 p.m.) to 0600 (6:00 a.m.) shall receive an additional 4% of their base hourly rate.

Appendix A - Akal and UGSOA Local 86 (2006-2009)

#### UNIFORM ALLOWANCE

#### CURRENT AND CONTINUING:

All Employees: \$0.11/regular hour worked up to 40 per week

# VACATION

The Employer agrees to pay employees covered by this agreement at the following Vacation allowances per year:

#### CURRENT AND CONTINUING:

| Upon completion of 1 year of service:   | 80 hours  |
|---|-----------|
| Upon completion of 5 years of service:  | 120 hours |
| Upon completion of 10 years of service: | 160 hours |
| Upon completion of 15 years of service: | 200 hours |

#### HOLIDAYS

#### CURRENT AND CONTINUING:

New Year's Day Independence Day Veterans Day Columbus Day Christmas Day Thanksgiving Day Martin Luther King Jr. Birthday Memorial Day President's Day Labor Day Employee's Birthday

### **BEREAVEMENT LEAVE**

#### CURRENT AND CONTINUING:

- A. If it is necessary for a full-time Employee to lose time from work because of a death in the immediate family, the employee shall be entitled to five (5) days paid leave of absence per Government contract year at their straight-time rate of pay.
- B. Shared position Employees will receive one-half of the full-time leave.
- C. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including legally adopted children and/or stepchildren), father-in-law, mother-in-law, grandparents, grandchildren, sister-in-law, brother-in-law, daughter-in-law and son-in-law.

Appendix A – Akal and UGSOA Local 86 (2006-2009)

D. The Employer may require proof of the death for which an Employee requests paid leave.

# JURY DUTY

#### CURRENT AND CONTINUING:

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty. Employees will receive their regular rate of pay for up to three (3) days minus any pay received from the courts for time spent on jury duty.

# PERSONAL / SICK LEAVE

#### CURRENT AND CONTINUING:

| START DATE   | RATE OF<br>PERSONAL/SICK LEAVE<br>ELIGIBLE<br>TO USE |                 |
|--|--|-----------------|
| Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date. | FULL-TIME  | SHARED POSITION |
| October 1 – 31   | 48.00  | 24.00           |
| November 1 – 30  |  | 22.00           |
| December 1 -31   | 40.00  | 20.00           |
| January 1 – 31   | 36.00  | 18.00           |
| February 1 – 29  | 32.00  | 16.00           |
| March 1 – 31   | 28.00  | 14.00           |
| April 1 – 30   | 24.00  | 12.00           |
| May 1 – 31   | . 20.00  | 10.00           |
| June 1 – 30  | 16.00  | 8.00            |
| July 1 – 31  | 12.00  | 6.00            |
| August 1 – 31  | 8.00   | 4.00            |
| September 1 – 30   | 4.00   | 2.00            |

#### PERSONAL / SICK LEAVE TABLE

- A. Each full-time Employee shall be eligible to use a maximum of six (6) days personal leave at the beginning of each 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based on the above Personal/Sick Leave Table.
- **B.** Personal leave may be taken in not less than four (4) hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor.

Appendix A - Akal and UGSOA Local 86 (2006-2009)

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- C. Shared position Employees will receive one-half the full time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who worked more than half the full-time hours (1040 hours) will receive additional prorated personal leave based on the number of hours the Employee was paid during that contract year.
- D. Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave will be paid to the Employee at the end of the contract year.
- E. Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of hours the Employee was paid during that contract year. If the Employee has used more personal leave than he/she earned based upon time paid on the contract, the amount of the overage will be deducted from the Employee's final paycheck.
- F. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

**UGSOA Local 86** Rν

Dated: 08-01-04

By:\_\_\_\_

Dated:

Akal Security Inc. Bv:

Dated:

Appendix A - Akal and UGSOA Local 86 (2006-2009)



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|---|---|
|   | I U.S. DEPARTMENT OF LABOR<br> EMPLOYMENT STANDARDS ADMINISTRATION<br>  WAGE AND HOUR DIVISION<br>  WASHINGTON D.C. 20210<br> |
| William W.Gross Division of<br>Director Wage Determinations | Wage Determination No.: CBA-2006-34<br>Revision No.: 0<br>Date Of Last Revision: 1/25/2006                                    |
| State: Louisiana<br>Area: Orleans                           |   |

Employed on United States Marshals Service contract for Court Security Officer Services.

Collective Bargaining Agreement between contractor: Akal Security, Inc., and union: United Government Security Officers of America Local 111, effective 10/1/2002 through 9/30/2006.

In accordance with Section 2(a) and 4(c) of the Service Contract Act, as amended, employees employed by the contractor(s) in performing services covered by the Collective Bargaining Agreement(s) are to be paid wage rates and fringe benefits set forth in the current collective bargaining agreement and modified extension agreement(s).

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1/25/2006

Page 1 of 1

Collective Bargaining Agreement

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Between

# AKAL SECURITY, INCORPORATED

and the

# UNITED GOVERNMENT SECURITY OFFICERS OF AMERICA, LOCAL 111

## PREAMBLE

THIS AGREEMENT is made and entered into by and between AKAL SECURITY, INCORPORATED, a New Mexico corporation, hereinafter referred to as the "Employer" or "Company," and UGSOA International Union, on behalf of its Local 111, hereinafter referred to as the "Union".

# MISSION STATEMENT COURT SECURITY OFFICER

- Ensure the safety of US Federal Courts, Protected Government facilities and their employees against unauthorized, illegal and potentially life-threatening activities.
- Cadres of qualified and highly skilled officers perform this mission.

# CSO Goal & Vision

# Goal

To conduct ourselves in a manner as to bring credit upon the Court Security Officer and Special Security Officer program and the United States Marshal Service at all times.

# Vision

To be alert to all situations and events that take place and take necessary measures to prevent dangerous situations from happening.

## ARTICLE 1

## **GENERAL PROVISIONS**

## **SECTION 1.1 BARGAINING UNIT**

- A. This agreement is entered between Akal Security, Inc., United Government Security Officers of America (UGSOA), and UGSOA Local 111 (hereinafter referred to as the Union). The Company recognizes the Union as the sole and exclusive bargaining representative for the purpose of collective bargaining as defined in the National Labor Relations Act.
- **B.** The unit is defined as all full-time and shared position Federal Court Security Officers (CSOs), Federal Special Security Officers (SSOs), Lead Federal Court Security Officers (LCSOs) and Lead Federal Special Security Officers (LSSOs) employed by the Company in the 5<sup>th</sup> Circuit consisting of UGSOA Local 111, in district of Eastern Louisiana, the state of Louisiana, excluding all other employees including office clerical employees and professional employees as defined in the National Labor Relations Act.
- **C.** This agreement shall be binding upon both parties, their successors and assigns. In the event of a sale or transfer of the business of the employer, or any part thereof, the purchaser or transferee shall be bound by this agreement.

### **SECTION 1.2 NEGOTIATING COMMITTEE**

The Company agrees to recognize a Negotiating Committee composed of up to three members and one alternate selected by the Union to represent the Employees in collective bargaining negotiations.

#### **SECTION 1.3 STEWARD SYSTEM**

- A. The Company agrees to recognize a steward system.
- **B.** The Union agrees that the union representatives will work at their regular jobs at all times except when they are relieved to attend to all the business of the Grievance Procedure as outlined in this Agreement. Aggrieved employees will be paid their regular rate of pay in the conduct of Company Union business during scheduled working hours.
- **C.** If the Employee requests, the Company will call for a union representative prior to any disciplinary action taken, whether it be written or verbal. The supervisor, at the request of the Employee, will release the union representative as soon as possible. The union representative will be paid for up to one-half hour upon receiving Supervisor approval of relief from duty.

#### SECTION 1.4 MANAGERS AND SALARIED PERSONNEL

Managerial and salaried Employees shall not perform the duties of the Employees in the bargaining unit, except in an emergency.

#### SECTION 1.5 UNION SECURITY

- A. An Employee who is a member of the Union at the time this Agreement becomes effective shall continue membership in the Union for the duration of this Agreement, to the extent of tendering the membership dues uniformly required as a condition of retaining membership in the Union.
- **B.** An Employee who is not a member of this Union at the time that this Agreement becomes effective shall, within ten (10) days after the 30th day following the effective date of this Agreement or date of hire either:
  - 1. Become a member of the Union and remain a member

or

- Pay the Union a service fee. The amount of this service fee shall be equal to that paid by regular Union members to include regular and usual initiation fees. The service fee will not include any assessments, special or otherwise. Such payments shall commence on the 30th day after the date of hire.
  - a) Employees who are members of, and adhere to the established and traditional tenets of a bona-fide religion, body, or sect, which has historically held conscientious objections to joining or financially supporting labor organizations, shall, instead of the above, be allowed to make payments in amounts equal to the agency fee required above, to a tax-exempt organization (under Section 501(c)(3) of the IRS Code). The Union shall have the right to charge any Employee exercising this option, the reasonable cost of using the arbitration procedure of this Agreement on the Employee's individual behalf. Further, any Employee who exercises this option shall twice a year submit to the Union proof that the charitable contributions have been made.
- C. Before any termination of employment pursuant to this Section becomes effective, the employee involved shall first be given notice in writing by the Union to pay the prescribed initiation fee and/or delinquent dues. If the employee fails to pay the initiation fee and/or delinquent dues, and if such fee and/or dues are tendered within 48 hours after the employee receives this notification from the Company, his/her dismissal under here shall not be required. If termination is administered under this provision, the reasons will be given in writing. Termination will not occur if there is an ongoing dispute between the effected employee and the Union.

- **D.** The obligations set forth in this Article shall only be effective to the extent permitted by controlling law. All employees regularly employed at any federal enclave who are not members of the Union shall pay the Union a service fee. If there is a legal challenge to any provision of this Article, the Employer may suspend its obligations under this Article for the duration of the dispute after conferring on the matter with the Union.
- E. The Union, including its International, agrees to save and hold the Employer harmless from any and all claims, actions, suits, damages, or costs, including any attorneys fees incurred by the Employer, on account of any matter relating to the terms of this Article, including, but not limited to, any claims by any Employee(s) and compliance with the law.

## **SECTION 1.6 DUES CHECKOFF**

- A. The Company agrees to deduct dues as designated by the Union on a monthly basis from the paycheck of each member of the Union. These deductions will be made only upon written authorization from the Employee on a form provided by the Union. The Employee, upon written notice served upon the Company and the Union, may revoke such authorization as provided in the Employee Check-Off Authorization Card. It is understood that such deductions will be made only so long as the Company may legally do so. The Company will be advised in writing, by the Union, as to the dollar amount of the Union membership dues.
- **B.** The Company will remit all such deductions to the Financial Secretary/Treasurer within three (3) business days from the date that the deduction was made. The Union agrees to furnish the Company with the current routing number for direct deposit. The Company shall furnish the Financial Secretary/Treasurer with a deduction list, setting forth the name and amount of dues with each remittance. The Union agrees to hold the Company harmless from any action or actions growing out of these deductions initiated by an Employee against the Company, and assumes full responsibility of the dispositions of the funds so deducted, once they are paid over to the Union. Errors made by the Company in the deduction or remittance of monies shall not be considered by the Union as a violation of this provision, providing such errors are unintentional and corrected when brought to the Company's attention.

## **SECTION 1.7 INTENT OF PARTIES**

The Union and the Company agree to work sincerely and wholeheartedly to the end that the provisions of this Agreement will be applied and interpreted fairly, conscientiously, and in the best interest of efficient security operations. The Union and the Company will put forth their best efforts to cause the Bargaining Unit Employees, individually and collectively, to perform and render loyal and efficient work and services on behalf of the Company, and that neither their representatives nor their members will intimidate, coerce, or discriminate in any manner against any person in its employ by reason of his/her membership and activity or non-membership or non-activity in the Union.

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## SECTION 1.8 ANTI-DISCRIMINATION

Neither the Company nor the Union will discriminate against any Employee because of race, color, religion, sex, age, national origin, Vietnam Era Veterans status, disability or other protected reason. The Company and the Union recognize that the objective of providing equal employment opportunities for all people is consistent with Company and Union philosophy, and the parties agree to work sincerely and wholeheartedly toward the accomplishment of this objective.

## ARTICLE 2

#### **UNION SENIORITY**

## SECTION 2.1 UNION SENIORITY DEFINED

- A. Union seniority shall be the length of continuous service, within the Local, from the Employee's last date of hire as a CSO or LCSO for the Employer, past or present and/or any predecessor Employer. Union seniority shall not accrue until the Employee has successfully completed the probationary period. Union seniority shall be applicable in determining the order of layoff and recall, shift bidding, vacation schedules, extra work, transfers within the Local, and other matters as provided for in this Agreement.
- **B.** For the purposes of shift bidding, vacation schedules and extra work, union seniority shall be defined as seniority within the work site. (See Appendix B for the definition of the work site.)
- C. Any Employee permanently transferred out of the designated Local Bargaining Unit for any reason shall lose their Union seniority as it applies to the order of layoff and recall, shift bidding, vacation schedules, extra work, and other matters as provided for in this Agreement.

## **SECTION 2.2 SENIORITY LISTS**

The Company shall provide an employee list (last date of hire as a CSO), to the Local Union each year on October 1. The Union will respond, within thirty (30) days, with a "Union Seniority" list to the Company. This list shall be posted on all Union bulletin boards. (See Appendix B for local list specifications.)

## **SECTION 2.3 PERSONAL DATA**

Employees shall notify the Employer in writing, on the company provided form, of their proper mailing address and telephone number or of any change of name, address, or telephone number. The Company shall be entitled to rely upon the last known address in the Employer's official records.

#### **SECTION 2.4 TRANSFER OUT OF UNIT**

Any Bargaining Unit Employee who is promoted to a non-bargaining unit position for more than four (4) consecutive weeks shall lose their Union seniority. If they return to the bargaining unit at a later date their seniority will start on that return date.

#### SECTION 2.5 PROBATIONARY EMPLOYEES

Probationary Employees will be considered probationary for a ninety (90) calendar day period after their hire date. The Union will still represent Probationary Employees for problems concerning wages, hours and working conditions, but the Company reserves the right to decide questions relating to transfers, suspensions, discipline, layoffs, or discharge of Probationary Employees without recourse to the grievance procedure contained in this Agreement.

Probationary Employees do not have seniority until the completion of the probationary period, at which time seniority dates back to the date of hire. The Probationary period can be extended by mutual agreement between the Company and the Union.

## SECTION 2.6 TERMINATION OF SENIORITY

The seniority of an Employee shall be terminated for any of the following reasons:

- A. the Employee quits or retires;
- B. the Employee is discharged;
- **C.** a settlement with the Employee has been made for total disability, or for any other reason if the settlement waives further employment rights with the Employer;
- **D.** the Employee is laid off for a continuous period of one hundred eighty (180) calendar days;
- E. the U.S. Government revokes the Employee's credentials as a CSO;
- F. the Employee is permanently transferred out of the bargaining unit.

and;

G. An employee returned to work after overturning a medical disqualification shall regain their seniority back to the original date of hire.

## **ARTICLE 3**

## **JOB OPPORTUNITIES**

#### **SECTION 3.1 FILLING VACANCIES**

If a vacancy occurs in a regular position covered by this Agreement or a new position is added and the company chooses to fill the position, the job will be posted for a period of three (3) working days (excluding Saturdays, Sundays and holidays) within the Local as defined in Appendix B. All shared position Employees who have notified the Site Supervisor, in writing of their intent to apply for a Full-Time position and who are not scheduled to work during that three (3) day period at the

CBA (Akal & UGSOA Local #111 2005 - 2007)

site where an opening occurs, and any Employees on vacation or on other approved leave will be notified by the Company. When a vacancy occurs, the Employer will fill the position with the most senior Employee (see Appendix B) who has applied for the position in writing, who will be trained (if required) to fill any necessary special qualifications for the new position. No more than two (2) shifts will be filled under this procedure as a result of that vacancy.

## **SECTION 3.2 SHARED POSITION EMPLOYEES**

The Company is obligated under its contract with the USMS, to fill a designated number of shared positions in order to provide full staffing level coverage, increase security levels as needed and avoid unnecessary overtime. A shared position Employee may be scheduled to work more than a part time schedule, as necessary, at the Company's discretion. The Company will give the shared position Employee the maximum possible notice for weekly work schedule changes. Failure to report to work when so scheduled or called to work may result in disciplinary action.

## SECTION 3.3 LAYOFF AND RECALL

In the event of layoff or recall, when full-time or shared positions are being reduced, probationary Employees will be laid off first. Should it be necessary to further reduce the work force, Employees will be retained on the basis of seniority within the Local. The Company will notify the Union, in writing, of required reduction. The Union will respond, in writing, within three (3) business days (excluding Saturday, Sunday & Holidays), with the name or names of the least senior employee or employees. Recall of Employees will be accomplished by recalling the last laid off Employee first, and so on.

#### SECTION 3.4 TEMPORARY ASSIGNMENTS

In the interest of maintaining continuous operations, the Employer may temporarily assign an Employee to a vacant or new position until the job is filled in accordance with Articles 2 and 3, or assign an Employee to a position that is part of a temporary security assignment directed by the USMS, including temporarily assigning an Employee to a work site within or outside of the area defined by this Agreement. To the extent feasible the assignment shall be a voluntary selection based on seniority and qualification. In the absence of volunteers, assignments shall be made on a reverse seniority and qualifications basis. Employees so assigned will receive the higher of the base hourly wage available to Employees regularly assigned to the site to which they are being transferred, or their regular hourly wage they receive at their regular site under this agreement, whichever is greater.

#### **SECTION 3.5 APPOINTMENT OF LEAD CSOs**

The U.S. Government in its contract with the Company creates specific guidelines for the job duties and qualifications of Lead CSOs. Based on these guidelines, all appointments of Lead CSOs will be made on the basis of suitability as evaluated by the Company. Suitability shall include an Employee's skills, experience, past performance, capabilities, and the needs of the operation. If, in the Employer's determination, Employees are equally qualified, seniority will prevail. Lead CSOs will not perform supervisory duties, as described by the National Labor Relations Act.

## **ARTICLE 4**

#### MANAGEMENT'S RETAINED RIGHTS

#### **SECTION 4.1**

Management of the business and direction of the security force are exclusively the right of management. These rights include the right to:

- A. Hire;
- B. Assign work;
- C. Promote, Demote;
- D. Discharge, discipline, or suspend based on Article 6;
- E. Require Employees to observe reasonable Employer rules and regulations;
- F. Determine when overtime shall be worked;
- G. Determine the qualifications of an Employee to perform work.

## **SECTION 4.2**

Management shall not implement any changes to subjects covered in the mandatory bargaining list as provided for in the NLRA Section 8(d).

## **SECTION 4.3**

Any rights, power or authority the Company had prior to the signing if this Agreement are retained by the Company, except those specifically abridged or modified by this Agreement and any supplemental Agreements that may hereafter be made. The Company's failure to exercise any function reserved to it shall not be deemed a waiver of any such rights.

#### ARTICLE 5

#### **GRIEVANCE PROCEDURE**

## **SECTION 5.1 INTENT**

For purposes of this Agreement, a grievance shall mean a claimed violation, misinterpretation, or misapplication of any provision of this Agreement, or the challenge of any disciplinary action taken against a Union Employee, except that this grievance procedure shall not be used for any action or order of removal of an Employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the removal of Contractor employee provision in Section H-3 of the 5<sup>th</sup> Circuit Contract between the US Marshals Service and Akal Security, Inc. Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3(b) of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the

CBA (Akal & UGSOA Local #111 2005 - 2007)

Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government.

## **SECTION 5.2 GENERAL PROVISIONS**

- A. The number of days outlined in Section 5.3 in the processing and presentation of grievances shall establish the maximum time allowed for the presentation and processing of a grievance. The term "days" shall not include Saturdays, Sundays or holidays when used in this Article.
- **B.** Should either the Company, the Union, or the aggrieved employee fail to comply with the time limits as set forth in this Article, the party who failed to comply within the time limits shall pay the full cost of the Arbitrator and the meeting room.

## SECTION 5.3 GRIEVANCE PROCEDURE

All grievances shall be presented and processed in accordance with the following procedures:

- A. Informal Step The parties shall make their best efforts to resolve any dispute on an informal basis. Both the Company and the Union agree that the Employee will first discuss the complaint with their immediate supervisor (not in the bargaining unit), within eight (8) working days of the incident being grieved, to start the informal procedure. If the informal procedure is not invoked within eight working days of Employee's knowledge of a grievable issue, then it is agreed by both parties that no further action can be taken. If, during the course of this discussion either the Employee or the supervisor deems it desirable, a steward or other Union representative will be called in.
- **B.** Step One If the matter is not resolved informally, the Employee shall, not later than ten (10) days after the informal discussion with the immediate supervisor, set forth the facts in writing, specifying the Article and paragraph allegedly violated. This shall be signed by the aggrieved Employee and the union representative, and shall be submitted to the Contract Manager or designee with a copy to the Company's HR Director. The Contract Manager or designee shall have ten (10) days from the date the grievance was presented to return a decision in writing with a copy to the aggrieved Employee and the union representative.
- C. Step Two If the grievance is not settled in Step One, the grievance may be appealed in writing to the Company's Director of Human Resources or designee not later than ten (10) days from the denial by the Contract Manager or designee. The Director of Human Resources or designee will have ten (10) days from the date the grievance was presented to return a decision, in writing, with a copy to the aggrieved Employee and the union representative.

**D.** Grievance for Discipline - Any grievance involving discharge or other discipline may be commenced at Step One of this procedure. The written grievance shall be presented to the Contract Manager through the Site Supervisor or designee within eighteen (18) days after the occurrence of the facts giving rise to the Grievance.

## **SECTION 5.4 ARBITRATION PROCEDURE**

Grievances processed in accordance with the requirements of Section 5.3 that remain unsettled may be processed to arbitration by the Union, giving the Company's Director of Human Resources written notice of its desire to proceed to arbitration not later than fifteen (15) days after rejection of the grievance in Step Two. Grievances which have been processed in accordance with the requirements of Section 5.3 which remain unsettled shall be processed in accordance with the following procedures and limitations:

- A. Selection of an Arbitrator Within fifteen (15) days of receipt of the Union's written notice to proceed with arbitration, the Company and the Union will meet telephonically to jointly attempt to agree upon the selection of a neutral arbitrator. If, within fifteen (15) days, the parties fail to agree upon the selection of an arbitrator, the Union will request the American Arbitration Association (AAA) to supply a list of seven (7) arbitrators. An arbitrator will be selected from the list supplied by the AAA by parties alternately striking from the list until one (1) name remains, and this individual shall be the arbitrator to hear the grievance.
- **B.** Decision of the Arbitrator The arbitrator shall commence the hearing at the earliest possible date. The decision of the arbitrator shall be final and binding upon the parties to the Agreement. Any decision shall be complied with, without undue delay after the decision is rendered. It is understood and agreed between the parties that the arbitrator shall have no power to add to, subtract from, or modify any of the terms of this Agreement. If the decision of the Arbitrator is not complied with within fifteen (15) days of the decision, the losing side shall be liable for attorney and court costs to enforce compliance including through the courts, absent an order from the U.S. Marshals Service or unless the Company files a written request for clarification, then the Company will comply within fifteen (15) days of receiving the clarification.
- **C.** Arbitration Expense The arbitrator's fees and expenses, including the cost of any hearing room, shall be shared equally between the Company and the Union. Each party to the arbitration will be responsible for its own expenses and compensation incurred bringing any of its witnesses or other participants to the arbitration. Any other expenses, including transcript costs, shall be borne by the party incurring such expenses.
- **D.** Time Limits The decision of the arbitrator shall be rendered as soon as possible after the dispute has been submitted to him/her.

## SECTION 5.5 CLASS ACTION

The Union shall have the right to file a group grievance (class action) or grievances involving more than one (1) Employee at the Informal Step of the grievance procedure.

## SECTION 5.6 INDIVIDUAL GRIEVANCES

No individual may move a grievance to arbitration.

## **ARTICLE 6**

#### DISCIPLINE

## SECTION 6.1 GROUNDS FOR DISCIPLINE AND DISMISSAL

After completion of the probationary period, as specified in Section 2.5, no Employee shall be dismissed or suspended without just cause. Just cause shall include any action or order of removal of an employee from working under the contract by the U.S. Government, or revocation of required CSO credentials by the USMS under the Removal of Contractor Employee provision in Section H-3 of the 5<sup>th</sup> Circuit contract between the US Marshals Service and Akal Security, Inc.

Any temporary or permanent removal of an employee by determination of the Government as described in Section H-3 of the Contract shall not become permanent without requisite notice to the employee and the opportunity provided for the employee to respond to the Government's action within fifteen (15) days of the determination. Upon written request, the Company will provide the Union, in a timely manner, with all information concerning the removal that they may legally release, and will provide the Union with any relevant information concerning the proper Government point of contact and their contact data. The "final decision" on the employee's removal shall be determined by the Government, and the Employer shall be held harmless by the Union and the employee for any further claims made after this final determination. This provision is not intended to limit or prohibit the rights of any party to seek relief from other parties.

The Company's contract with the U.S. Government sets out performance standards for the CSOs in Section C of the Contract between the Company and the USMS, and all Employees are required to comply with these standards. Failure to do so may lead to disciplinary action. These performance standards, the USMS Deadly Force Standards and the US Title 18 Domestic Abuse and Violence policy will be issued to each Employee and must be signed, acknowledging receipt, by the Employee and may be updated by the Company each year. Employees agree to comply with any express non-disciplinary directive issued by the Government.

The Company may discipline Employees when necessary and discharge those who fail to uphold U.S. Government or Company standards as described in above. It is recognized by parties to this Agreement that progressive discipline generally shall be applied in dealing with Employees. However, it is also recognized that offenses may occur for which progress discipline is not applicable (e.g. fraud, gross misconduct, theft, etc.). Disciplinary measures vary depending on the

seriousness of the matter and the past record of the Employee. All discipline shall be subject to the grievance and arbitration procedures, except for those issues involving the USMS rights under Section H-3 of the contract as referenced in Sections 5.1 and 6.1. The Employee may request, in writing, to the Site Supervisor, that any disciplinary action not resulting in suspension may be considered for removal from the Employee's file after 2 months, provided that no violations of the same type have occurred and that no more than one violation of any type has occurred.

## **ARTICLE 7**

## HOURS OF WORK AND OVERTIME

## SECTION 7.1 WORKDAY AND WORKWEEK

For the purposes of this Article, a regular workweek of forty (40) hours of work, excluding lunch periods, shall constitute a normal full-time workweek for full-time Employees. Shifts shall be scheduled at the discretion of the Employer to fulfill the needs of the U.S. Government. Per the National Labor Relations Act, changes in shifts must be negotiated with the Union prior to implementation of any such changes. Nothing contained herein shall guarantee to any Employee any number of hours of work per day or week.

## **SECTION 7.2 OVERTIME**

An overtime rate of time and one-half  $(1 \ 1/2)$  of an Employee's base rate of pay (exclusive of health and welfare and other fringe additions to pay) shall be paid for all hours **actually worked** in excess of forty (40) hours in a work week.

#### SECTION 7.3 OVERTIME REQUIREMENT

If directed to work overtime or extra hours, and the seniority system is not invoked due to shortness . of notice to the Company, the Employee shall be required to do the work, unless the Employee is excused by the Company for good cause.

## SECTION 7.4 OVERTIME DISTRIBUTION

- A. Overtime will be offered by Seniority (within the worksite) on a rotating basis. Overtime will be distributed as equitably and fairly as practicable among Employees.
- B. Exclusion: Managers cannot be assigned to cover CSO overtime positions or posts except in emergency situations.

## SECTION 7.5 REST PERIODS

There shall be two (2) fifteen (15) minute paid rest periods and one (1) thirty (30) minute unpaid lunch period for each eight (8) hour shift. These rest periods require that the Employee be properly relieved before leaving their post. One rest period shall be in the first half of the shift and the second rest period shall be in the last half of the shift. On occasion, due to exceptional work requirements, Employees may have to work through their unpaid lunch breaks and/or paid rest periods, and, if so, they will be compensated at the appropriate rate of pay. The Company recognizes the requirement to make its best efforts to provide regularly scheduled breaks. It is not the intent of the Company to avoid this requirement.

## **ARTICLE 8**

## WORK SHIFTS AND PAYMENT POLICIES

## **SECTION 8.1 CALL-IN PAY**

An Employee called in to work will be guaranteed a minimum of four (4) hours of work, or if four (4) hours of work is not available, will be paid for a minimum of four (4) hours time. Call in is defined as anytime a CSO is required to report to duty for any business related function.

## SECTION 8.2 SHIFT BIDDING, HOURS OF WORK, & SENIORITY

Once each year, full-time Employees and shared position Employees at each location shall, at the request of the local, bid their shift schedules among designated full-time assignments or shared assignments in the order of seniority. Shift bidding may not lead to any change in status from full-time to shared position or vice versa.

#### **SECTION 8.3 WAGE SCHEDULE**

The base rate of pay for Court Security Officers and Lead CSOs in all locations are described in Appendix A of this Agreement.

#### SECTION 8.4 PAYDAY

Payday for all hourly Employees will be after 11 a.m. on Friday following the two (2) week pay period ending on Saturday, subject to change by mutual agreement. The Company will make its best effort to make direct deposit available and to list available personal leave and vacation in each Employee's paycheck.

#### **SECTION 8.5 UNDISPUTED ERROR**

In case of an undisputed error on the part of the company as to an Employee's rate of pay, proper adjustment will be made in the next paycheck after the error has been brought in written form to the Company's attention. Any error, involving eight (8) hours of pay or more, will be corrected and paid within three (3) working days.

## SECTION 8.6 LEAD CSO RATES

If additional Lead CSOs are added to the contract any time after this Agreement goes into effect, they will be paid the LCSO wage. In the case where there are multiple LCSO wages, the additional LCSO will be paid at the lowest LCSO wage for the site or location where they are assigned.

## SECTION 8.7 COURTHOUSE CLOSURE

The employer recognizes the fact that there are times when inclement weather, a natural disaster, or any other planned or unplanned event may close a Courthouse or Government Building where its employees are assigned. In the event that a closing occurs, employees will be excused and may use personal leave, vacation leave, or leave without pay.

## **ARTICLE 9**

## HOLIDAYS

## **SECTION 9.1 HOLIDAYS DEFINED**

Whenever the term "holiday" is used, it shall mean:

See Appendix "A" for list of holidays.

## **SECTION 9.2 MISCELLANEOUS HOLIDAY PROVISIONS**

- A. A full-time position Employee who is not required to work on a holiday shall be paid eight (8) hours straight time, exclusive of any shift premium for that holiday.
- **B.** Any full-time position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition, shall receive eight (8) hours holiday pay at the straight time rate as described in Section 9.2a above.
- **C.** A shared position Employee who does not work on a holiday shall receive prorated holiday pay based on the number of actual hours the Employee is eligible for pay during the two (2) week pay period in which the holiday occurs. A shared position Employee shall be granted a minimum of four (4) hours pay per holiday. Shared position holiday prorating shall be based upon total non-holiday work days in the pay period.
- **D.** Any shared position Employee who works as scheduled on a holiday shall receive the Employee's appropriate rate of pay for all hours worked, and in addition shall receive prorated holiday pay as described above in Section 9.2c.
- **E.** In the event that the Holiday falls on a weekend, the term "holiday" will refer to the day that the U.S. Government designates as the Holiday.

## ARTICLE 10

## VACATIONS

## SECTION 10.1 ELIGIBLE FULL-TIME EMPLOYEES

Full-time Employees shall be entitled to annual vacation based on their continuous years of service with the Employer (based on the Employee's anniversary date of employment) at their individual hourly rate of pay at the time payment is made in accordance with the following schedule:

See Appendix "A" for vacation schedule.

## SECTION 10.2 ELIGIBLE SHARED POSITION EMPLOYEES

- A. Eligible shared position Employees shall be entitled to pro-rated vacation per the schedule contained in Section 10.1, based on their individual hourly rate, the number of hours paid in the previous year, and the Employee's anniversary date. A minimum of one-half the full-time benefit is guaranteed for Employees who have been paid for at least 1040 hours in the previous year.
- **B.** Any Employee who works a full anniversary year, in part as a full-time position Employee and in part as a shared position Employee, shall receive prorated vacation benefits for that year as calculated in SECTION 10.2, part A (per the Service Contract Act).

## SECTION 10.3 SCHEDULING VACATIONS

Vacations, insofar as reasonably possible, shall be granted at the times most desired hy the Employee, after the Employee's anniversary date. Vacation schedules shall be posted and approved in writing by January 31 and available on a first-come-first-served basis thereafter. If the Company requires an Employee to a cancel a vacation after it is approved, the Company will pay the employee the *mitigated expenses* that the employee has actually incurred. Mitigated expenses are those that have actually been paid to a third party (e.g. prepaid vacation expenses, such as airline tickets, cruise tickets, etc.) by the Employee prior to the Company canceling the Employee's vacation *and* for which the employee has sought the maximum refund, credit, or other cost reduction possible. Proof of actual expenses incurred and efforts to mitigate expenses may be required.

#### **SECTION 10.4 PAY OPTIONS**

Earned vacation pay may be requested at any time and will be paid in the next pay cycle.

#### SECTION 10.5 UNUSED VACATION

Vacations shall not be cumulative from one year to the next. Any earned but unused vacation time remaining at the end of a year of service (based on the Employee's anniversary date of employment) shall be paid to the Employee.

#### **SECTION 10.6 PAY IN LIEU OF VACATION LEAVE**

At any time during the year, Employees may request in writing to be paid for earned vacation, pay in lieu of taking actual vacation leave.

## SECTION 10.7 TERMINATING EMPLOYEES

Upon termination of employment, Employees will be paid at their individual hourly rate vacation time earned as of their last anniversary date, but not used, as entitled by the Service Contract Act. (Example: An Employee who terminates one month into the next anniversary year is entitled to any of the previous year's earned accrued vacation not already used, and not to the additional month accrued in the new anniversary period).

## **SECTION 10.8 VACATION - LAID OFF EMPLOYEES**

Length of service with the Employer shall accrue for the purposes of vacation benefits while an Employee is on laid-off status for up to one (1) year. Employees will only be paid vacation benefits when they are working.

## **SECTION 10.9 VACATION INCREMENTS**

Consistent with Employer approval, efficiency, and economy of operations, Employees may take their vacation in segments of less than one (1) week each, but not less than eight (8) hour increments.

## **ARTICLE 11**

#### LEAVES OF ABSENCE

#### **SECTION 11.1 LIMITATIONS**

Personal leaves of absence for non-medical emergencies may be granted at the sole discretion of the Employer without loss of seniority to the Employee. Such leaves, if granted, are not to exceed 30 days, unless a special extension is approved by the Employer. Length of service with the Employer shall not accrue for purposes of vacation, holiday, or other accrued benefits for any unpaid leave of absence over 30 days. The Employer will make every reasonable effort to maintain an Employee's position while on a non-statutory unpaid leave of absence. It is acknowledged by the Union that under USMS CSO contract, the Employer is not permitted to hire additional (reserve) or temporary Employees to provide work coverage during Employee absences. Unpaid leaves of absence may be taken only with written approval of the Employer, or in a case of verified personal emergency.

Any Employee in an unpaid status at the time a holiday occurs shall not be entitled to any holiday pay. Note "unpaid status" does not include regular scheduled days off, vacation or personal leave.

## **SECTION 11.2 MEDICAL LEAVE**

- A. The Family and Medical Leave Act of 1993 (FMLA) is incorporated herein.
- **B.** The Company agrees to honor the FMLA for all Employees.
- C. During medical leave, the Employee shall be required to furnish a report from the doctor when requested periodically by the Employer. Upon the expiration of said leave, the Employee shall furnish the Employer with a statement, signed by the doctor, which establishes the fitness of the Employee to return to the Employee's previously held work. Any Employee who is not able to return to work with a medical clearance from a licensed physician at the end of a maximum medical leave shall be terminated from Employment.
- **D.** If the Employee files for medical leave on false pretext or works for another employer without pre-authorization from the company, the Employee will be removed from the CSO program and from employment with the Employer.
- E. Employee's must use all paid personal leave prior to beginning approved FMLA leave.

## **SECTION 11.3 MILITARY LEAVE**

An Employee of the Company who is activated or drafted into any branch of the armed forces of the United States under the provisions of the Selective Service Act or the Reserve Forces Act shall be granted an unpaid military leave of absence, as required under the federal law, for the time spent in full-time active duty. The period of such leave shall be determined in accordance with applicable federal laws in effect at the time of such leave.

## SECTION 11.4 UNION LEAVE

Union delegates (up to a maximum of 3) will be granted an unpaid leave of absence no more than once a year for a maximum of seven (7) days upon written request for the purpose of attending Union conventions or other meetings of vital interest to the Union as long as staffing requirements permit. More time will be granted upon mutual agreement between the Company and the Union.

## SECTION 11.5 PERSONAL/SICK LEAVE

|            | RATE OF             |  |
|------------|---------------------|--|
|            | PERSONAL/SICK LEAVE |  |
|            | ELIGIBLE            |  |
| START DATE | TO USE              |  |

## PERSONAL / SICK LEAVE TABLE

| Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date. | FULL-TIME | SHARED POSITION |
|--|-----------|-----------------|
| October 1 - 31   | 48.00     | 24.00           |
| November 1 - 30  | 44.00     | 22.00           |
| December 1 -31   | 40.00     | 20.00           |
| January 1 - 31   | 36.00     | 18.00           |
| February 1 - 29  | 32.00     | 16.00           |
| March 1 - 31   | 28.00     | 14.00           |
| April 1 - 30   | 24.00     | 12.00           |
| May 1 - 31   | 20.00     | 10.00           |
| June 1 - 30  | 16.00     | 8.00            |
| July 1 - 31  | 12.00     | 6.00            |
| August 1 - 31  | 8.00      | 4.00            |
| September 1 - 30   | 4.00      | 2.00            |

- A. Each full-time Employee shall be eligible to use a maximum of 6 days personal leave at the beginning of each 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based on the above Personal/Sick Leave Table.
- **B.** Personal leave may be taken in not less than 4 hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor. Up to eight (8) hours of personal leave may be used in two (2) hour increments.
- **C.** Shared position Employees will receive one-half the full time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who was paid more than half the full-time hours (1040 hours) will receive additional prorated personal leave based on the number of hours the Employee was paid during that contract year.
- **D.** Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave will be paid to the Employee at the end of the contract year.
- **E.** Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of hours the Employee was paid during that contract year. If the Employee has used more personal leave than he/she earned based upon time paid on the contract, the amount of the overage will be deducted from the Employee's final paycheck.
- F. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her shift in order to be eligible for paid personal leave benefits. Proof of illness may be required.

## SECTION 11.6 PROCESSING UNPAID LEAVES OF ABSENCE

The Employer will consider requests for unpaid leaves of absence and may grant them at its sole discretion. An unpaid leave of absence must be processed in the following manner:

- A. All requests for unpaid leaves of absence shall be submitted in writing to the Lead CSO, Site Supervisor or Contract Manager at least ten (10) calendar days prior to the date the leave will take effect, except in cases of verified personal emergencies, and include:
  - a. The reasons for such leave;
  - b. The effective dates of such leave;
  - c. The estimated date of return to work.
- B. The Company will respond to the request within five (5) working days.
- **C.** The written request for leave of absence shall be submitted to the Contract Manager by the Site Supervisor for final approval. If the request for the leave of absence is approved by the Contract Manager, a copy of the approved leave of absence will be given to the Employee involved.
- **D.** Extensions of the leave of absence may be granted at the sole discretion of the Employer, upon written request by the Employee within ten (10) calendar days prior to the expiration of the leave of absence. Extensions, when granted, shall not total more than thirty (30) days.

#### **SECTION 11.7 GENERAL PROVISIONS**

Seniority shall accumulate during the period of any approved leave of absence subject to the provisions of this Agreement.

## **SECTION 11.8 JURY DUTY**

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty.

#### **SECTION 11.9 BEREAVEMENT LEAVE**

A. If it is necessary for an Employee to lose time from work because of a death in the immediate family, whether the family member lives in or out of their local state, the Employee shall be entitled to three (3) paid days leave a maximum of 3 times of absence per Government contract year at their straight-time rate of pay. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including foster children, legally adopted children and/or stepchildren), father-in-law,

mother-in-law, grandparents, grandchildren, sister-in-law, brother-in-law, daughter-in-law and son-in-law.

**B.** The Employer may require proof of the death for which an Employee requests a paid leave.

#### ARTICLE 12

#### HEALTH, WELFARE AND UNIFORM ALLOWANCES

## **SECTION 12.1 PAYMENTS**

For the life of this Agreement, the Employer will make health and welfare payments to Employees on all hours paid up to forty (40) hours per week, and up to a total of 2080 hours per contract year, as described in Appendix A.

#### **SECTION 12.2 OTHER BENEFITS**

The Employer will offer Employees the opportunity to participate in other available Employee-paid fringe benefit programs made available to all Court Security Officers employed by the Company. These programs may include cafeteria plans, payroll deduction plans, retirement plans, insurance plans, 401 (k) plans, and any other plan mentioned in this Agreement.

## SECTION 12.3 UNIFORM MAINTENANCE

The Employer will pay the Employee an allowance for each hour worked, up to 40 hours per week, for uniform maintenance as described in Appendix A. The Employer will provide shoes to all CSO's. Should the Company provided Shoes wear out from normal wear and tear, they will be replaced. The Employer will provide all foul weather gear for each employee as is authorized and funded by the USMS. The Company shall make its best effort to issue uniforms by December 31<sup>st</sup> and uniforms shall be gender-proper,

#### ARTICLE 13

#### MISCELLANEOUS PROVISIONS

#### **SECTION 13.1 BULLETIN BOARDS**

The Employer will make its best effort to obtain a space from the U.S. Government for Union to locate a Union-provided bulletin board that will be used by the Union for posting notices of meetings, elections, appointments, recreational and social affairs, and other Union notices. The provision of these facilities is the prerogative of the U.S. Government, who owns and controls all worksite facilities.

## SECTION 13.2 PHYSICAL EXAMINATIONS

CBA (Akal & UGSOA Local #111 - 2005 - 2007)

- A. The Employer shall pay for any physical/medical examinations and additional testing that is required by the Employer and/or the U.S. Government. The Employer has the right to choose the physician who will perform the physical exam and pre-approve any expenses.
- **B.** Employees must pass the physical exam prescribed by the Employer's contract with the U.S. Government in order to be employed and to maintain employment.
- **C.** The Employer will pay for the time required for the Employee to take required physical exams. Time for any exams requiring more than two (2) hours must be pre-approved by the Site Supervisor. If, when the appointment is going to exceed two (2) hours, the Employee will call into the Site Supervisor or designee to inform them of the delay and request approval for additional time.

## SECTION 13.3 TRAVEL EXPENSES

The Company will provide advance payments for Company authorized and approved travel expenses if requested by an Employee. Any workday that includes travel and totals over twelve (12) hours may require the Employee to stay overnight, and the appropriate per diem will be paid. All hours in travel up to a maximum of eight (8) per day will be counted as work hours, with the appropriate overtime wages provided for under this Agreement. Employees will be reimbursed for all authorized expenditures of any authorized travel within twenty (20) days from the day the Employer receives the properly completed travel voucher and all required receipts.

## **SECTION 13.4 BREAK ROOMS**

The Employer will make its best effort to obtain from the U.S. Government break rooms for CSOs for breaks and lunch, without management using the room as an office, and will make its best effort to have the U.S. Government equip the room with water. The providing of these facilities is the prerogative of the U.S. Government.

#### SECTION 13.5 LOCKERS

The Employer will make its best effort to obtain lockers from the U.S. Government for the use of the CSOs. The Employer agrees to make its best effort to support any Union request for separate Locker/Changing facilities. The providing of these facilities is the prerogative of the U.S. Government.

## **SECTION 13.6 UNION MEETINGS**

Neither Union officials nor Union members shall, during working time (excluding break and lunch periods), solicit membership, receive applications, hold meetings of any kind for the transaction of Union business, or conduct any Union activity other than the handling of grievances as described in this Agreement. No Employee may leave their post without permission from the Employer under any circumstances, unless there is appropriate Government permission granted.

## **ARTICLE 14**

## 401 (k) PLAN

#### SECTION 14.1 401 (K) PLAN

The Company shall provide a 401 (k) plan to which Court Security Officers are eligible to contribute, whether Union or Non-Union. At the direction of the individual Employee, the Company may deposit the Health & Welfare payment to the Employee's 401 (k) account. Employees shall be subject to the eligibility requirements and rules of the Plan.

## SECTION 14.2 UGSOA 401(a) Plan

The Company agrees to make deductions for Union members in good standing for the UGSOA 401(a) Plan, if the Union members so choose. At the direction of the individual Union member, the Company may contribute the Health & Welfare and /or Pension payments into the Union members' UGSOA 401(a) plan. Union members shall be subject to the eligibility requirements and rules of the Plan.

The Company agrees to send the deductions / contributions to UGSOA International Union, the Administrator of the UGSOA 401(a) Plan, no later than the Tenth  $(10^{th})$  of the Month following the Month the deductions were made.

The Company's sole responsibility is to make the payroll deductions and send said deductions to the Plan Administrator with a record of name, social security number and amount of deduction for each source of money (i.e. Health & Welfare, Pension and/or after-tax deduction). The Company is in no way responsible for any other aspect of the plan.

No local and its members will be eligible for both the Company's 401 (k) Plan and the Union's 401 (a) Plan. All Employees in a local will be eligible for only one of the plans.

#### **ARTICLE 15**

#### SAFETY

#### SECTION 15.1 SAFETY POLICY

It is the policy of the Company to make its best efforts to provide Employees with places and conditions of employment that are free from or protected against occupational safety and health hazards. Under this Agreement, all worksites and facilities are the property of the U.S. Government, who is responsible for the condition and safety of the worksite. The Company agrees to permit one (1) bargaining unit member selected by the Union to participate in any locally scheduled safety meetings.

#### **SECTION 15.2 OSHA STANDARDS**

The Company will report any safety violations observed or reported to the Company in any U.S. Government-provided CSO workstations and break rooms.

#### **ARTICLE 16**

#### **CONTINUITY OF OPERATIONS**

#### **SECTION 16.1 NO STRIKES**

- A. Both the Company and the Union agree that continuity of operations is of utmost importance to the Company's security operations. Therefore, so long as this Agreement is in effect, the Union and the Company agree that there will be no strikes, lockouts, work stoppages, illegal picket lines, slowdowns, or secondary boycotts during the term of this Agreement.
- **B.** Upon hearing of an unauthorized strike, slowdown, stoppage of work, planned inefficiency, or any curtailment of work or restriction or interference with the operation of the Employer, the Union shall take affirmative action to avert or bring such activity to prompt termination.

## **SECTION 16.2 LOCKOUTS**

During the life of this Agreement, the Employer shall not lockout any Employees covered in this Agreement.

## ARTICLE 17

#### SEPARABILITY OF CONTRACT

In the event that any provision of this Agreement shall at any time be declared invalid by any court of competent jurisdiction or through U.S. Government regulations or decree, such parties hereto agree to renegotiate such provision or provisions of this Agreement for the purpose of making them conform to the U.S. Government decree or statutes, so long as they shall remain legally effective. It is the express intention of the parties hereto that all other provisions not declared invalid shall remain in full force and effect.

#### ARTICLE 18

## **ENTIRE AGREEMENT**

CBA (Akal & UGSOA Local #111 - 2005 - 2007)

The parties acknowledge that during the negotiation which resulted in the Agreement, the unlimited right and opportunity to make demands and proposals with respect to any matter not removed by law from the area of collective bargaining, and all understand agreements reached by the parties are set forth in this Agreement. Therefore, the Company and the Union shall not be obligated to bargain collectively on any matter pertaining to conditions of employment, including, but not limited to, rates of pay, wages, hours of work, disciplinary actions, training requirements, etc., during the term of this Agreement, except as specifically provided for in other provisions of this Agreement.

## **ARTICLE 19**

## **TERMINATION OF AGREEMENT**

Should either party desire to terminate this Agreement or any provision thereof, it shall give written notice to the other party of not less than sixty (60) days and not more than one hundred and eighty (180) days prior to the expiration. In the event such notice is given, the existing Agreement may be continued by mutual consent of both parties until a new Agreement is reached. This Agreement may also be changed or amended by agreement of both parties.

#### **ARTICLE 20**

#### DURATION

This Agreement shall be effective from August 1, 2005 through September 30, 2007 and supersedes any and all prior agreements or understandings between the parties.

IN WITNESS WHEREOF, the parties have caused their representatives to sign this Agreement as full acknowledgment of their intention to be bound by the Agreement.

FOR: United Government Scenrity Officers of America, Local 111

BY: Grune lu Hullen

TITLE: PRESIDENT

DATE: 7-11-05

FOR: United Government Security Officers Of America, International Office

BY: 60 TITLE: DATE:

FOR: Akal Security, Inc. BY: Relations Manager TITLE: DATE

CBA (Akal & UGSOA Local #111 2005 - 2007)

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\*\* TOTAL PAGE, 02 \*\*

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# Appendix A

# Addendum to Collective Bargaining Agreement

Whereas, Akal Security Inc. (hereinafter referred to as "the Company") and UGSOA Local 111 (hereinafter referred to as "the Union") entered into an Agreement effective August 1, 2005,

Whereas, the Union has been duly designated by the Company's non-supervisory employees per Article 1.1 of the Collective Bargaining Agreement

Whereas, the aforementioned Agreement provides for the Company and the Union to negotiate wages and fringe benefits for each facility covered thereby and to enter in to an Addendum setting forth those economic terms.

Now therefore, it is hereby agreed as follows:

## WAGES

The Employer agrees to pay employees covered by this agreement at the following rates per hour:

Current

| CSO  | \$15.15/hour** |
|------|----------------|
| LCSO | \$16.65/hour** |

Effective 10/1/05

| CSO  | \$15.91/hour** |
|------|----------------|
| LCSO | \$17.41/hour** |

Effective 10/1/06

| CSO  | */hour** |
|------|----------|
| LCSO | */hour** |

## **HEALTH & WELFARE**

The Employer agrees to pay employees covered by this agreement the following H&W per hour:

Current

All Employees \$2.69/regular hour paid up to 40 per week

Appendix A – Akal & UGSOA Local 111 2005-2007

#### Effective 10/1/05

All Employees \$2.87/regular hour paid up to 40 per week

#### Effective 10/1/06

All Employees \*/regular hour paid up to 40 per week

\*The parties agree that either party may reopen negotiations for amendments to Appendix "A" Wages and Health and Welfare allowance at any time between May I and before June 1, for all years governed by this contract, by giving written notice to the other party. Any final agreement resulting from said negotiation shall be incorporate into the terms of this agreement. If the parties fail to reach agreement, the dispute shall be submitted to arbitration in accordance with Article 5 of this agreement. All provisions of this Agreement, including, but not limited to, Article 16, shall remain in force during the terms of the negotiations and any resulting arbitration, and for the remainder of the terms of this Agreement.

\*\*Employees assigned to sites that operate 24 hours per day/7days per week and who work between the hours of 1800 (6:00 p.m.) to 0600 (6:00 a.m.) shall receive an additional 3.5% of their base hourly rate.

## **UNIFORM ALLOWANCE**

#### CURRENT AND CONTINUING:

All Employees: \$0.10625/regular hour worked up to 40 per week

# VACATION

The Employer agrees to pay employees covered by this agreement at the following Vacation allowances per year:

#### CURRENT AND CONTINUING:

| Upon completion of 1 year of service:   | 80 hours  |
|---|-----------|
| Upon completion of 5 years of service:  | 120 hours |
| Upon completion of 10 years of service: | 160 hours |
| Upon completion of 15 years of service: | 200 hours |

## HOLIDAYS

#### CURRENT AND CONTINUING:

New Year's Day Martin Luther King Jr.'s Birthday President's Day Memorial Day Independence Day Labor Day

Appendix A - Akal & UGSOA Local 111 2005-2007

Columbus Day Veteran's Day Thanksgiving Day Christmas Day Mardi Gras Any day designated by the President of the United States as a permanent national holiday.

## BEREAVEMENT LEAVE

#### CURRENT AND CONTINUING:

As stated in Section 11.9 of the CBA:

- A. If it is necessary for an Employee to lose time from work because of a death in the immediate family, whether the family member lives in or out of their local state, the Employee shall be entitled to three (3) paid days leave a maximum of 3 times of absence per Government contract year at their straight-time rate of pay. Immediate family is defined to mean an Employee's spouse, father, mother, brother, sister, children (including foster children, legally adopted children and/or stepchildren), father-in-law, mother-in-law, grandparents, grandchildren, sister-in-law, brother-in-law, daughter-in-law and son-in-law.
- **B.** The Employer may require proof of the death for which an Employee requests a paid leave.

# JURY DUTY

## CURRENT AND CONTINUING:

As stated in Section 11.8 of the CBA:

The Company will comply with all State and Federal regulations regarding Employees' service for jury duty.

## PERSONAL / SICK LEAVE

#### CURRENT AND CONTINUING:

As stated in Section 11.5 of the CBA:

Appendix A - Akal & UGSOA Local 111 2005-2007

| START DATE   | RATE OF<br>PERSONAL/SICK LEAVE<br>ELIGIBLE<br>TO USE |                 |
|--|--|-----------------|
| Date Employee begins working<br>on the contract, based on an<br>October 1 contract start date. | . FULL-TIME  | SHARED POSITION |
| October 1 - 31   | 48.00  | 24.00           |
| November 1 - 30  | 44.00  | 22.00           |
| December 1 -31   | 40.00  | 20.00           |
| January 1 - 31   | 36.00  | 18.00           |
| February 1 - 29  | 32.00  | 16.00           |
| March 1 - 31   | 28.00  | 14.00           |
| April 1 - 30   | · 24.00  | 12.00           |
| May 1 - 31   | 20.00  | 10.00           |
| June 1 - 30  | 16.00  | 8.00            |
| July 1 - 31  | 12.00  | 6.00            |
| August 1 - 31  | 8.00   | 4.00            |
| September 1 - 30   | 4.00   | 2.00            |

- A. Each full-time Employee shall be eligible to use a maximum of 6 days personal leave at the beginning of each 12-month Government contract year worked. Employees who begin employment after the inception of the contract year will be eligible to use a prorated amount of personal leave, based on the above Personal/Sick Leave Table.
- **B.** Personal leave may be taken in not less than 4 hour increments and shall be paid when taken by the Employee as approved in advance by the Site Supervisor or District Supervisor. Up to eight (8) hours of personal leave may be used in two (2) hour increments.
- C. Shared position Employees will receive one-half the full time personal leave per full contract year worked. At the end of the contract year, any shared position Employee who was paid more than half the full-time hours (1040 hours) will receive additional prorated personal leave based on the number of hours the Employee was paid during that contract year.
- **D.** Unused personal days shall not be cumulative from year to year. Any unused, earned personal leave will be paid to the Employee at the end of the contract year.
- **E.** Upon termination of employment, Employee will be paid at their individual hourly rate for any unused, earned personal leave, based upon the number of hours the Employee was paid during that contract year. If the Employee has used more personal leave than

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he/she earned based upon time paid on the contract, the amount of the overage will be deducted from the Employee's final paycheck.

F. Personal leave (and vacation) days may be used to cover absences caused by illness. Any Employee who is unable to report to work because of sickness must notify the Employer at least two (2) hours prior to the beginning of his/her shift in order to be eligible for paid personal leave benefits. Proof of illness may be required. Disciplinary action may result from excessive, unapproved absenteeism.

Dated:

UGSOA Local 111

By

Alcal Security Inc. Bν By:

12-05 Dated:

11-05

Dated:

Dated:

Appendix A - Akal & UGSOA Local 111 2005-2007

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Attachment 2

CSO Staffing Forms
## CONTRACTOR'S C\_JRT SECURITY OFFICER STAFFII .NOTIFICATION

|   | MONTH  | D  | NTE .  | YEAR .   |  |
|---|--|--|--|--|--|
| DATE SUBMITTED:<br>EFFECTIVE DATE OF THIS ACTION:   | MONTH  | D/   | WE   | YEAR   |  |
| CONTRACTOR'S NAME   |  | ingin an an ann an an an an an an an an an a                                 |  |  |  |
| CONTRACTOR'S ADDRESS  | STREET ADDRESS   |  |  |  |  |
|   | СПУ  | STATE  | •  | ZIP CODE   |  |
| INDIVIDUAL'S NAME: [When applicable, start with<br>information on the individual who will no longer be<br>performing for your company.]   | LAST   |  | · MIDDLE   |  |  |
| SOCIAL SECURITY NUMBER  | a statement of the stat | -  | <u>.</u>   | -  |  |
| BACKGROUND  | Cfficial Performance Date MM   | DD YY  |  | New Applicant  |  |
| CURRENT/TARGET POSITION   |  |  |  | T SECURITY OFFICER (LCSO)<br>STATUS [Relative to Military Reasons Only]  |  |
| CURRENT OR PROPOSED STATUS  |  | -  | FULL-TIME  | SHARED   |  |
| CURRENT OR PROPOSED DISTRICT<br>ASSIGNMENT  | DISTRICT'S N   |  |  | DISTRICT NUMBER  |  |
| ADDRESS OF FACILITY ASSIGNMENT  | STREET ADDRESS   | чна, адуреттер е ерграфиясын саласы сулардан көл                             | n <sub>ye</sub> n janungan manakan sida ya khana k <sup>ha</sup> la shi masa sa | iseden na investigation in the off off off of the second second second second second second second second second   |  |
| haddaran an a  | СПУ  | STATE  | ขุมประมาร์ได้มาระบุปรากระบุประกูษ ขุงหรือปรุงชุมรายอายุรรณาของมาย  | ZIP CODE   |  |
| TYPE OF NOTIFICATION<br>NOTE TO THE CONTRACTOR:<br>If the individual stated above is a new applicant,<br>you may only check the "Response to a new<br>contract position" box because the remaining<br>actions apply to incumbent CSOs only. |  | e to full-time<br>to part-time<br>anent status<br>visor<br>de the address of | Name Change     (Legal supporting document is required.)  of each facility location below.]  To:                 |  |  |
|   | Notification of Resignation  |  |  | n of Termination   |  |
|   | Government Performance F [Insert Date of Notice] Response to a new contra Notification of Death [Insert  | act position.<br>ert date below.]<br>to:                                     | Notification   | n of Absence due to:<br>al Situation<br>y Emergency Medical Leave Act (FEMLA)<br>y Duty [Attach copy of orders.]<br>n:/ /<br>::/ /<br>mation (Please explain.) |  |
|   | Failure of Medical Sta     Background Findings     Failure of Weapon Te     Failure to Provide Medical Statement   | st   | equired Informatio   | n.   |  |

PAGE 1 of 2

CSO FORM 001 (REV. 02/07) Section J - Attachment 2(A)

| IF THIS ACTION IS NOT A NEW CONTRACT PO   | DSITION, WILL THE ACTION RE                                    | SULT IN A VACANO        | CY OF A AN EX                         | STING POSITION                      | 1? 🗌 Yes [          | No           |      |
|---|--|-------------------------|---------------------------------------|-------------------------------------|---------------------|--------------|------|
| IF YES, WHAT TYPE OF VACANT POSITION W  | ILL RESULT FROM THIS ACTIO                                     | N?                      |                                       |                                     | -4                  |              |      |
| PERMANENT FULL-TIME   | PERMANENT SHARED   | TEMP                    | ORARY FULL-TIM                        | IE 🖸                                | TEMPORARY S         | HARED        |      |
| WILL AN INCUMBENT FILL THE VACANT POSITION  | ? Yes * No (SEE NOTE)  |                         |                                       | TO AN INCUMBENT                     |                     |              |      |
| [If yes, provide the incumbent's information in Section A CSO Package is due to OCS/PSB as a result of the vac        | below and indicate the date the new ant incumbent's position.] |                         | ITTED TO THE US                       | DD                                  |                     |              |      |
| WILL A NEW APPLICANT FILL THE VACANT POSITI   |  | THE VACANCY             | OCCURRED AND                          | PLACE THE VACAN<br>WILL BE SUBMITTE |                     |              |      |
| (If yes, indicate the date the new CSO package is due to<br>submit this form in its entirety with the new CSO package | OCS/PSB. Complete Section B and<br>le.]                        | INDICATED BEL           |                                       | DD                                  |                     | YY           |      |
| * ALL TRANSFERS MUST BE MADE WITHIN T   | HE FIRST 72 HOURS AFTER TH                                     | E VACANCY OCCI          | URS. THEREAF                          | TER, A NEW CSO                      | D PACKAGE I         | S REQUIR     | ED.  |
| SECTION A. THE VACANT POSITION WHICH IS AN  |  |                         | ILL BE FILLED BY                      |                                     | INCOMPANY           | alas a state |      |
| INCUMBENT'S NAME:   | LAST   | FIRST                   |                                       | MIDDLE                              | ,                   |              |      |
| INCUMBENT'S SOCIAL SECURITY NUMBER  |  |                         |                                       | -                                   |                     |              |      |
|   | DISTRICT'S   | NAME                    |                                       | DISTR                               | ICT NUMBER          |              |      |
| CURRENT DISTRICT ASSIGNMENT   | STREET ADDRESS   |                         |                                       |                                     |                     |              |      |
| ADDRESS OF FACILITY ASSIGNMENT  |  |                         |                                       |                                     |                     |              |      |
|   | CITY   | STATE                   |                                       | ZIP CODE                            |                     |              |      |
| FORMER STATUS   | PERMANENT S  |                         | TEMPO                                 | DRARY STATUS R                      | elative to Military | Reasons O    | nly] |
|   |  | SHARED                  | FULL-TI                               | VIE S                               | HARED               |              |      |
| NEW STATUS  | PERMANENT S  | T SHARED                |                                       | NGE                                 |                     |              |      |
| POSITION CHANGE   | FORMER POS   |                         |                                       |                                     | NEW POSITION        |              |      |
|   |  | LCSO                    | CSO                                   |                                     | CSO                 |              |      |
| SECTION B. THE VACANT POSITION; WHICH IS A<br>APPLICANT'S NAME:   | IN EXISTING POSITION UNDER THE<br>LAST                         | USMS CONTRACT,<br>FIRST | WILL BE FILLED                        | MIDDLE                              | IG NEW APPLIC       | ANT          |      |
| APPLICANT'S SOCIAL SECURITY NUMBER  |  | -                       |                                       | -                                   |                     |              |      |
|   | DISTRICT'S   | NAME                    |                                       | DISTRI                              | CT NUMBER           |              |      |
| LOCATION OF POSITION  | STREET ADDRESS   |                         |                                       |                                     |                     | w            |      |
| ADDRESS OF FACILITY ASSIGNMENT  | SINCETADDRESS  |                         |                                       |                                     |                     |              |      |
|   | CITY   | STATE                   |                                       | ZIP CODE                            |                     |              | 1    |
| THIS FORM WAS PREPARED BY: PRINT NAME   | ANDTITLE   | SIGNATURE               |                                       |                                     | MM                  | DD           | YY   |
|   |  |                         |                                       | ·                                   |                     |              |      |
| THIS SECTI  | ONUS TO BE COMPLETED BY T                                      | HE UNITED STATE         | S MARSHALS                            | SERVICEONLY                         | CONTRACTOR OF       | Town margare |      |
| REPLACEMENT / START-UP COST IS THE  | CONTRACTOR'S RESPONSIBILITY.                                   |                         |                                       |                                     |                     |              |      |
|   |  |                         |                                       |                                     |                     |              |      |
| REPLACEMENT / START-UP COST IS THE  | GOVERNMENT'S RESPONSIBILITY.                                   | THE FORMER CSO:         | :                                     |                                     |                     |              |      |
| HAD BEEN EMPLOYED BY THE CUR  | RENT CONTRACTOR AS A CSO CO                                    | NTINOUSLY FOR A M       | MINIMUM OF 18-M                       | IONTHS UNDER TH                     | E CONTRACT.         |              |      |
| WAS DISQUALIFIED AS A RESULT O  | F FINDINGS THAT ONLY COULD HA                                  | VE BEEN DISCOVER        | RED DURING THE                        | GOVERNMENT'S                        | BACKGROUND          | INVESTIGA    | TION |
| DIED  |  |                         |                                       |                                     |                     |              |      |
|   |  |                         | · · · · · · · · · · · · · · · · · · · |                                     |                     |              |      |
| THIS NOTIFICATION WAS REVIEWED AND FIN  | ALIZED BY:   | NAME AND TITL           |                                       |                                     | DAT                 |              |      |

PAGE 2 of 2

CSO FORM 001 (REV. 02/07) Section J - Attachment 2(A)

## ACKNOWLEDGEMENT OF CONDITIONS OF COURT SECURITY OFFICER ELIGIBILITY

| I,  | , understand that my       |
|---|----------------------------|
| (potential) employer  | (Insert the name of the    |
| company.), is under contract with the United States Marshals Se     | ervice (USMS) to provide   |
| security services. I also understand                                | (Insert                    |
| the name of the company.) has, or will hire me to work on their bel | half, as a Court Security  |
| Officer (CSO), for the purposes of fulfilling its contract response | nsibilities with the USMS. |
| I understand that I must not at any time, represent myself as a     | n employee of the USMS.    |

I acknowledge and understand that my eligibility to perform services under the contract will be determined by the USMS based upon meeting all CSO contract qualifications standards. These qualifications include successful completion of an initial and yearly medical examination; weapon qualification test; a background investigation; and, other CSO qualification standards noted in the contract.

I acknowledge and understand my suitability and eligibility to perform as a CSO under the contract will be an annual requirement, or as deemed necessary by the Government. I acknowledge and agree that if I fail, at anytime, to meet any of the CSO qualification standards, I will be prohibited from performing services under the USMS contract.

I fully understand and accept that if I am granted an "interim approval" to begin performing CSO services under the contract and subsequently fail to pass the medical standards, the weapons qualification standards or the background investigation, this approval will be revoked.

Name:

(Please Print)

Signature:

Date:

INSTRUCTIONS TO THE CONTRACTOR: Retain a copy of this form for your records and forward the original to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-1000.

CSO FORM 004 (REV 02/07) Section J - Attachment 2(B)

## COURT SECURITY OFFICER CONTRACTOR'S PRELIMINARY BACKGROUND CHECK FORM

ATTENTION CONTRACTOR: This form must be used to conduct preliminary background checks on those individuals proposed to perform on your company's behalf under the United States Marshals Service's contract for court security services. Incomplete forms will be considered unacceptable and will be returned for completeness. Type or legibly print all requested information. If the information is not legible, the form will be considered unacceptable and returned for correction. This form must be submitted in accordance with the time requirements stated in the applicable United State Marshals Service's contract.

| CONTRACTOR COMPANY'S NAME   | TELEPHONE NO.<br>   |
|---|---|
| CONTRACTOR COMPANY'S ADDRESS  |   |
| Street A  | Address   |
| City  | State Zip Code  |
| NAME OF THE PERSON CONDUCTING<br>THE PRELIMINARY BACKGROUND CHECK           | STATE THE DISTRICT, BUILDING,<br>AND FACILITY WHERE THE<br>APPLICANT WILL WORK. |
| Title   |   |
| 1. APPLICANT'S NAME   | First Middle  |
| 2. PREFERRED TITLE  Mr. Mr. 3. OTHER NAMES USED (Include nicknames, aliases |   |
| 4. SOCIAL SECURITY NUMBER   | ~   |
| 5. DATE OF BIRTH (MM/DD/YYYY)   |   |
| 6. PLACE OF BIRTH (City/State/County or Foreign                             | Country)  |
| 7. ARE YOU A CITIZEN OF THE UNITED STATES? (If                              | no, provide the following information) $\square$ YES $\square$ N                |
|   |   |
| Alien Registration Number   |   |
| Date and Place Issued   | · · · · · · · · · · · · · · · · · · ·   |
| If a Naturalization Citizen, provide the following                          | r information.  |
| Page 1 of 3   | CSO FORM 005 (REV. 02/07<br>Section J - Attachment 2(C                          |

| Nat  | aralization Number  |
|--|---|
|  | and Place Issued  |
| 8. DID YOU   | GRADUATE FROM HIGH SCHOOL? If you have a GED high school equivalency,   |
| answer ye.   |   |
|  | YES (If "YES," give month and year graduated.) (MM/DD/YY)   |
|  | NO  |
|  | HE NAME AND LOCATION ( <i>City and State</i> ) OF THE LAST HIGH SCHOOL YOU<br>ED OR WHERE YOU OBTAINED YOUR GED HIGH SCHOOL EQUIVALENCY.  |
| 9. CAN YO  | DU READ, WRITE, AND SPEAK THE ENGLISH LANGUAGE? 📋 YES 📋 NO  |
| 10. DO YO  | U HAVE A CURRENT DRIVER'S LICENSE? IF YES, FROM WHAT STATE?   |
| 🗆 YE   | S NO STATE  |
|  | S," HAVE YOU MAINTAINED A SAFE DRIVING RECORD FOR THE PAST 5<br>? (IF "NO," PLEASE PROVIDE AN EXPLANATION BELOW.)   |
|  |   |
|  | CAN YOU OBTAIN A VALID STATE DRIVER'S LICENSE? (IF NO, EXPLAIN<br>YES NO  |
| WHY.)  | YES       NO         YOU AT LEAST 3 CALENDAR YEARS OF VERIFIABLE EXPERIENCE AS A         FIED LAW ENFORCEMENT OFFICER OR ITS MILITARY EQUIVALENCY AND         APPOINTMENT AS A LAW ENFORCEMENT OFFICER INCLUDED GENERAL |
| WHY.)<br>11. HAVE<br>CERTI<br>YOUR<br>ARRES<br>12. DID YC<br>COUN<br>PROGI | YOU AT LEAST 3 CALENDAR YEARS OF VERIFIABLE EXPERIENCE AS A<br>FIED LAW ENFORCEMENT OFFICER OR ITS MILITARY EQUIVALENCY AND   |

| TO BE COMPLETED BY THE CONTRACTOR ONLY.   |        |
|---|--------|
| Was the applicant's employment history verified? 🗌 YES 📋 NO                                   |        |
| The applicant's employment history was verified through which agency or department representa | itive? |
| Immediate Supervisor Dersonnel Office Other (Provide the person's name and title be           | low.)  |
| Did you discuss the applicant's character, qualifications, and work record?  YES NO           |        |
| If possible, would the agency (ies) rehire the applicant? 📋 YES 🔲 NO                          |        |
| DITIONAL COMMENTS:  |        |
|   |        |
|   |        |
|   |        |
|   |        |
|   |        |

## 13. APPLICANT'S SIGNATURE, CERTIFICATION, AND RELEASE OF INFORMATION

I have completed this statement with the knowledge and understanding that any or all items contained herein may be subject to investigation and I consent to the release of information concerning my capacity and fitness by employers, educational institutions, law enforcement agencies, and other individuals and agencies, and other authorized employees of my potential employer, who is under contract with the Federal Government (United States Marshals Services) for that purpose.

**CERTIFICATION:** I certify that all of the statements made by me are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

## APPLICANT'S SIGNATURE

DATE (MM/DD/YY)

## 14. CONTRACTOR'S SIGNATURE AND CERTIFICATION STATEMENT

SIGNATURE OF CONTRACTOR'S REPRESENTATIVE

DATE (MM/DD/YY)

Page 3 of 3

**CSO FORM 005 (REV. 02/07)** Section J - Attachment 2(C)

## CERTIFICATION OF COURT SECURITY OFFICER PERFORMANCE STANDARDS

I, \_\_\_\_\_\_\_\_ (*Name of Certifier*), hereby certify that I have read, understand, and received a copy of the Court Security Officer Performance Standards outlined in the current contract between the United States Marshals Service and my employer, \_\_\_\_\_\_\_ (*Contractor's Name*). I also understand that any violations of the performance standards could result in temporary or permanent removal from performing under any United States Marshals Service's court security contract.

CSO's Name (Print)

Social Security Number

CSO's Signature

Witness' Signature (Contractor's Supervisory Representative)

District Assignment:

Date

Date

INSTRUCTIONS TO THE CONTRACTOR: Retain a copy of this form for your records and forward the original to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-1000.

**CSO FORM 006 (REV. 02/07)** Section J - Attachment 2(D)

## **CERTIFICATE OF COMPLIANCE**

## THE LAUTENBURG AMENDMENT, TITLE 18, SECTION 922(G)(9) OF THE UNITED STATES CODE

I, \_\_\_\_\_\_\_(*Name of Certifier*), hereby certify that I have been informed and understand that my position as a Court Security Officer is subject to the Lautenburg Amendment, Title 18, Section 922(g)(9) of the United States Code.

I certify that I have not been convicted in any court of a misdemeanor crime relative to domestic violence.

I also understand and accept that if I violate the Lautenburg Amendment, Title 18, Section 922(g)(9) of the United States Code, my eligibility to perform as a Court Security Officer under any United States Marshals Service's court security contract will be revoked.

CSO's Signature

Witness' Signature (Contractor's Supervisory Representative)

Date

Date

INSTRUCTIONS TO THE CONTRACTOR: Retain a copy of this form for your records and forward the original to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-1000.

CSO FORM 007 (REV 02/07) Section J - Attachment 2(E)

## **IN-DISTRICT (PHASE I) ORIENTATION CERTIFICATION**

I, \_\_\_\_\_\_ (Insert the name of the

Court Security Officer.) hereby certify that I have completed the In-District Orientation

(Phase I) at the United States Marshals Service's District of

office, on \_\_\_\_\_ (Insert applicable orientation date).

CSO's Signature

Witness' Signature (Contractor's Supervisory Representative)

Date

Date

INSTRUCTIONS TO THE CONTRACTOR: Retain a copy of this form for your records and forward a copy of the form to the COTR. Mail the original form to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-10000.

CSO FORM 008 (REV. 02/07) Section J - Attachment 2(F)

## **IN-DISTRICT (PHASE I) ORIENTATION CERTIFICATION**

I, \_\_\_\_\_ (Insert the name of the

Court Security Officer.) hereby certify that I have completed the In-District Orientation

(Phase I) at the United States Marshals Service's District of \_\_\_\_\_\_

office, on \_\_\_\_\_ (Insert applicable orientation date).

CSO's Signature

Witness' Signature (Contractor's Supervisory Representative)

Date

Date

INSTRUCTIONS TO THE CONTRACTOR: Retain a copy of this form for your records and forward a copy of the form to the COTR. Mail the original form to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-10000.

> CSO FORM 008 (REV. 02/07) Section J - Attachment 2(F)

## NOTIFICATION OF A COURT SECURITY OFFICER'S OFFICIAL PERFORMANCE DATE

| DATE  | MONTH                                    |  | DATE   |          | YEAR              |                 |
|---|--|--|--|----------|-------------------|-----------------|
| CONTRACTOR'S<br>INFORMATION                                       | NAME                                     |  |  |          |                   |                 |
| MPORMATION  | STREET ADDRESS                           |  |  |          |                   |                 |
|   | СІТҮ                                     |  | STATE  |          | ZIP CODE          |                 |
|   | TELEPHONE NO.                            |  |  |          |                   |                 |
| CSO'S NAME  | LAST NAME                                |  | FIRST NAME   |          | MIDDLE            | NAME            |
| SOCIAL SECURITY NO.   |  |  | •  |          | -                 |                 |
| DISTRICT ASSIGNMENT   |  |  | DISTRICT NO.   |          |                   |                 |
| FACILITY LOCATION   | STREET ADDRESS                           |  |  |          |                   |                 |
|   | СІТҮ                                     |  | STATE  |          | ZIP CODE          |                 |
| CSO'S STATUS  | PERMANENT STAT                           | US   | TEMPORARY  |          | STATUS (MILITARY) |                 |
| (Check the applicable box.)                                       | . 🛛 full-time 🛛 shai                     | RED  | I SHARED I FUL   |          | LL-TIME           | □ SHARED        |
| SUITABILITY<br>DETERMINATION DATE                                 | MONTH                                    |  | DATE   |          | YEAR              |                 |
| INITIAL WEAPON<br>QUALIFICATION DATE                              | MONTH                                    |  | DATE   |          | YEAR              |                 |
| IN-DISTRICT<br>ORIENTATION DATE                                   | MONTH                                    |  | DATE   |          | YEAR              |                 |
| OFFICIAL PERFORMANCE<br>DATE                                      | MONTH                                    |  | DATE   |          | YEAR              |                 |
| I hereby certify that the above information is true and accurate. |  |  | I hereby certify that the individual stated above has<br>fulfilled the In-District Orientation and the weapons<br>proficiency test requirements. |          |                   |                 |
| Court Security Officer's Name (Print)                             |  | Contractor's Supervisory Representative Name (Prin |  |          |                   | ve Name (Print) |
| Court Security Officer's Signatur                                 | Court Security Officer's Signature Contr |  |  | sory Rep | resentativ        | e Signature     |
|   |  |  | Date   |          |                   |                 |

**INSTRUCTIONS TO THE CONTRACTOR:** Retain a copy of this form for your records and forward the original to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Personnel Support Branch, Washington, DC 20530-1000, within 5 business days after the individual's official performance date.

CSO FORM 009 (EST. 02/07) Section J - Attachment 2(G)

# **Certificate of Medical Examination for Court Security Officers**

Date of Examination:

**Purpose of Examination:** 

• 🗌 New Applicant Exam

Annual Medical Exam

| Name:     | · |
|-----------|---|
| District: |   |

## SIGNATURE AND CERTIFICATION STATEMENT

Read the following carefully before signing this certification. A false answer to any question in this statement may be grounds for disqualification and may be punishable by fine or imprisonment (U.S. Code Title 18, Section 1001).

I have completed this statement with the knowledge and understanding that any or all items contained herein may be subject to investigation and I consent to the release of information concerning my capacity and fitness by employers, educational institutions, law enforcement agencies, and other individuals and agencies, to duly accredited investigators, and other authorized employees of the Federal Government for that purpose.

CERTIFICATION: I certify that all of the statements made by me are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

Signature (sign in ink)

Date

(Privacy Act Protected)

## **CSO-229 INSTRUCTIONS**

## CSO (INCUMBENTS)/APPLICANTS:

- -- Nothing to eat or drink (except water) for 12 hours prior to examination appointment time. Regularly scheduled medications may be taken, as directed, unless you are a diabetic. <u>Diabetics must contact their treating physician regarding the procedure they should follow for fasting before having laboratory tests performed.</u>
- ALL SHADED AREAS are to be completed in ink by contractor's employee/applicant and reviewed by Examining Physician. Use the Supplemental Information page (p. 8) if additional space is needed.
- -- Provide details where necessary. Do not leave any question blank. Do not use "white out" or correction tape. Additional information must be documented on the attached "Supplemental Information" sheet.
- -- Eyeglass (including "over the counter" reading glasses) and contact lens wearers: you must wear or bring your glasses/contacts with you to the examination.
- -- Hearing aid wearers: The hearing test must be performed without hearing aids.
- -- All certification statements must be signed and dated.

## EXAMINING CLINIC STAFF:

- Corrections/alterations to documentation must be legible and signed or initialed by staff member.
- -- "White out"/correction tape must not be used.
- All test results and report forms must be legible and clearly identified with the CSO's name and the date the test was performed.
- -- The designated physician must co-sign all examinations performed by Physician Assistants or Nurse Practitioners.

## CHECKLIST FOR CLINIC STAFF:

- Fasting Chemistry panel to include fasting glucose, electrolytes, liver & renal function tests.\* \* If specimen is hemolyzed, lab test.must be repeated.
- \_\_\_\_ Fasting Lipid Panel
- \_\_\_\_ CBC with WBC differential & Platelet Count\* \* If specimen is hemolyzed, lab test must be repeated.
- \_\_\_\_ Urinalysis (Test results for Specific Gravity, Glucose, Protein, & Blood in the urine must be documented)
- \_\_\_\_ 12 lead resting EKG (Lead placement must be verified by physician)
- Hearing test pure tone air conduction audiogram results (Attach printout or record results on page 10.) CSO must be positioned in such a way that he is unable to visually detect the administration of tones during testing.
- \_\_\_\_ Vision test results

## PART L COURT SECURITY OFFICER IDENTIFICATION

| NAME (Last, First, Middle-Type or print) | Soc. Sec. No.       | Sex          | Date of Birth |
|--|---------------------|--------------|---------------|
| Circuit District                         | Applicant Incumbent | Date of Hire | Date of Exam  |

## PART II. COURT SECURITY OFFICER MEDICAL RELEASE FORM

I, \_\_\_\_\_\_, authorize my employer and an examining physician, \_\_\_\_\_\_, to release my medical examination records to the United States Marshals Service (USMS) for employment consideration as a Court Security Officer, with the stipulation that the released information be kept confidential and used solely for the purposes of determining my medical qualification. In addition, I hereby grant the USMS permission to release my medical records to the reviewing medical officials designated by the USMS.

| SIGNATURE | DATE |
|-----------|------|
| WITNESS   | DATE |

## PART HL REPORT OF MEDICAL HISTORY

## STATEMENT OF MEDICATIONS CURRENTLY USED:

| Name of Medication | Diagnosis | Dosage | Taken Since |
|--------------------|-----------|--------|-------------|
|                    |           |        |             |
|                    |           |        |             |
|                    |           |        |             |
| ·                  |           |        |             |
|                    |           |        |             |

## STATEMENT OF HOSPITALIZATION HISTORY:

# NOTE: CHECK HERE IF NEVER HOSPITALIZED. Discrete Control of Cont

## STATEMENT OF SURGICAL HISTORY INCLUDING OUTPATIENT PROCEDURES: NOTE: CHECK HERE IF NO PRIOR HISTORY OF SURGERY

 Date of Surgery
 Diagnosis
 Type of Procedure
 Outcome

Check each of the following items YES or NO. Every item checked YES MUST be carefully explained in the blank space on the right. (Use the supplemental information page located at the end of the exam if additional space is needed)

| I. Have you been refused employment or been.<br>unable to hold a job or stay in school because of:  | <u>YE</u> \$ | <u>NO</u> | EXPLANATION |
|---|--------------|-----------|-------------|
| <ul><li>A. Sensitivity to chemicals, dust, sunlight, etc.</li><li>B. Inability to perform certain motions</li><li>C. Inability to assume certain positions</li><li>D. Other medical reasons (If yes, give reason)</li></ul> |              |           |             |
| II. Have you ever been denied life insurance?<br>(Give reason for denial and details.)  |              |           |             |
| III. Have you been advised to have any operations<br>not listed under "Surgical History" above? (Give<br>type of procedure and when recommended.)   |              |           |             |
| IV. Have you ever been rejected for, or discharged<br>from, military service because of physical, mental,<br>or other reasons? (Give date, reason, type of<br>discharge, if any.)   |              |           |             |
| V. Have you ever received or applied for disability<br>compensation or disability pension? (If yes, specify<br>what kind, granted by whom, amount, when and why.)   |              |           |             |

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## PART HL REPORT OF MEDICAL HISTORY, CONTINUED

Contractor's employee/applicant MUST PROVIDE an explanation in the **Comments** section below for each YES (current or past) item checked below.

All shaded areas MUST be completed by the Contractor's employee/applicant.

## **GENERAL CONDITION**

Have you now or have you ever had (check one box for each item in the shaded area)

|  | YES<br>NOW | YES<br>Past | NO |   |
|--|------------|-------------|----|---|
| 1. Recent gain/loss of weight                                    |            |             |    | No positive or pertinent negative finding we noted upon exam. |
| 2. Chronic or frequent colds                                     |            |             |    |   |
| 3. Skin diseases   |            |             |    | The following positive and/or negative find<br>were noted:    |
| 4. Anemia  |            |             |    |   |
| 5. Leg Cramps  |            |             |    |   |
| 6. Adverse reaction to serum drug, or medicine                   |            |             |    |   |
| 7. Turnor (Specify type/location in the Comments section below)  |            |             |    |   |
| 8. Growth (Specify type/location in the Comments section below)  |            |             |    | · · · · ·   |
| 9. Cyst (Specify type/location in the Comments section below)    |            |             |    |   |
| 10. Cancer (Specify type/location in the Comments section below) |            |             |    |   |
| 11. Diabetes or sugar in urine                                   |            |             |    |   |
| 12. Stutter or stammer habitually                                |            |             |    |   |
| 13. Excessive bleeding after injury or surgery                   |            |             |    |   |
| 14. Do you wear glasses or contact lenses?                       |            |             |    |   |
| 15. Blindness in one eye   |            |             |    |   |
| 16. Sleep apnea  |            | 1           |    |   |

**Comments:** (Use the Supplemental information page located on page 8 if additional space is needed) Contract Employee/Applicant **MUST** provide an explanation of all above YES answers. (See example below.)

| Item<br># | Diagnosis (if known) | Date<br>of<br>onset | Date of<br>recovery<br>(if<br>resolved) | Doctor's Comments:<br>Please review comments in the shaded area at left for<br>completeness and add any additional clarifications in the<br>unshaded area below. |
|-----------|----------------------|---------------------|---|--|
| 3         | Eczema (EXAMPLE)     | 1991                | still have                              |  |
|           |                      |                     |   |  |
|           |                      |                     |   |  |
|           |                      |                     |   |  |

## PARTIE. REPORT OF MEDICAL HISTORY, CONTINUED

## PART IV. PHYSICAL EXAM

#### YES NOW YES PAST NO

## HEAD, EYES, EARS, NOSE, THROAT (INCLUDING THYROID)

| 17. Eye trouble or surgery (RK, PR, Lasik, or other) |
|--|
| 18. Ear, nose, throat, sinus trouble                 |
| 19. Hearing loss                                     |
| 20. Severe tooth/gum trouble                         |
| 21, Hay Fever/Allergies                              |
| 22. Thyroid Trouble                                  |
| 23. Wear a hearing aid right ear left ear            |

\_\_\_\_ No positive or pertinent negative finding were noted upon exam.

\_\_\_\_ The following positive and/or negative findings were noted:

## CARDIORESPIRATORY

| 24. Scarlet or Rheumatic fever           |
|--|
| 25. Tuberculosis                         |
| 26. Exposed to someone with Tuberculosis |
| 27. Coughed up blood                     |
| 28. Asthma/emphysema/shortness of breath |
| 29. Chest pain or pressure               |
| 30. Chronic Cough/Bronchitis             |
| 31. Palpitation/Pounding Heart           |
| 32. Heart disease or trouble             |
| 33. High or low blood pressure           |
| 34. Disease of the arteries              |
| 35. Abnormal chest X-ray                 |
| <br>36. Increased Cholesterol level      |
| 37. Abnormal ECG or Stress test          |

\_\_\_\_ No positive or pertinent negative finding were noted upon exam.

\_\_\_\_ The following positive and/or negative findings were noted:

## GASTROINTESTINAL

| 38. Frequent Indigestion/Reflux            | No p      |
|--|-----------|
| 39. Gallbladder trouble/Stones             | noted upo |
| 40. Jaundice or hepatitis                  | The f     |
| 41. Hemorrhoids                            | were note |
| <br>42. Stomach, Liver, intestinal trouble |           |

\_\_\_\_ No positive or pertinent negative finding were noted upon exam.

\_\_\_\_ The following positive and/or negative findings were noted:

## **Comments:** (Use the Supplemental information page located on page 8 if additional space is needed) Contract Employee/Applicant MUST provide an explanation of all above YES answers. (See example below.)

| Item<br># | Diagnosis (if known)                  | Date<br>of<br>onset | Date of<br>recovery<br>(if<br>resolved) | Doctor's Comments:<br>Please review comments in the shaded area at left for<br>completeness and add any additional clarifications in the<br>unshaded area below. |
|-----------|---------------------------------------|---------------------|---|--|
| 3         | Eczema (EXAMPLE)                      | 1991                | still have                              |  |
|           | · · · · · · · · · · · · · · · · · · · |                     |   |  |
|           |                                       |                     |   |  |
|           | ·                                     |                     |   |  |
|           |                                       |                     |   |  |

## PART III. REPORT OF MEDICAL HISTORY, CONTINUED

## PART IV. PHYSICAL EXAM

#### YES NOW YES PAST NO

## **GENITOURINARY/HERNIAS**

| 43. Rupture/hernias                |
|------------------------------------|
| 44. Frequent or painful urination  |
| 45. Kidney Stone or blood in urine |
| 46. Protein in urine               |
| 47. Prostate trouble / surgery     |

\_\_\_\_ No positive or pertinent negative finding were noted upon exam

\_\_\_\_ The following positive and/or negative findings were noted:

## NERVOUS SYSTEM

| 48. Frequent or severe headache          |
|--|
| 49. Dizziness/vertigo                    |
| 50. Fainting Spells/Syncope              |
| 51. Head injury                          |
| 52. Stroke                               |
| 53. Neuritis                             |
| 54. Paralysis                            |
| 55. Epilepsy or seizures                 |
| 56. Frequent trouble sleeping            |
| 57. Depression, anxiety, excessive worry |
| 58. Loss of memory or amnesia            |
| 59. Periods of unconsciousness           |
| 60. Psychiatric counseling               |
| 61. Learning disability                  |
| 62. Treatment for mental condition       |
| 63. Attempted suicide                    |
|  |

64. Wear a brace or back support

67. Arthritis, bursitis, tendonitis

68. Bone/joint or other deformity

70. Painful or "trick" shoulder/elbow

65. Swollen or painful joints

66. Broken bones

72. Gout

69. Back pain / trouble

71. "Trick" or locked Knee

73. Loss of finger or toe 74. Foot pain or trouble \_\_\_\_ No positive or pertinent negative finding were noted upon exam

\_\_\_\_ The following positive and/or negative findings were noted:

## MUSCULOSKELETAL

\*\*Exam must include range of motion/spasm of lumbar spine, stability of both knees.\*\*

\_\_\_\_ No positive or pertinent negative finding were noted upon exam

\_\_\_\_ The following positive and/or negative findings were noted:

**Comments:** (Use the Supplemental information page located on page 8 if additional space is needed) Contract Employee/Applicant **MUST** provide an explanation of all above YES answers. (See example below.)

| Item<br># | Diagnosis (if known) | Date<br>of<br>onset | Date of<br>recovery<br>(if<br>resolved) | Doctor's Comments:<br>Please review comments in the shaded area at left for<br>completeness and add any additional clarifications in the<br>unshaded area below. |
|-----------|----------------------|---------------------|---|--|
| 3         | Eczema (EXAMPLE)     | 1991                | still have                              |  |
|           |                      |                     |   | ·  |
|           |                      |                     |   |  |
|           |                      |                     |   | · · · · · · · · · · · · · · · · · · ·  |

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## SUPPLEMENTAL INFORMATION PAGE



-8-

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## PART HL REPORT OF MEDICAL HISTORY, CONTINUED

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Is there any other medical disorder or physical impairment that has not been documented above which could interfere with the full performance of the duties listed on page 11?

| 🗌 YES (Explai   | in below)          |                     | NO                |                                      |                    |                     |                    |
|---|--------------------|---------------------|-------------------|--------------------------------------|--------------------|---------------------|--------------------|
|   | · ·                |                     |                   |                                      |                    |                     |                    |
| CERTIFICATI<br>knowledge and                              |                    |                     |                   | ments made by me are true, co<br>th. | mplete, and        | correct to th       | ne best of my      |
| PRINT (INCUM  | BENT) APPL         | JCANT NAM           | ne .              | SIGNATURE                            |                    |                     | DATE               |
|   |                    |                     |                   |                                      |                    |                     |                    |
| PART V. PHYSIC  | TAL MEA            | SUREM               | ENTS              |                                      |                    |                     |                    |
| All unshaded areas are                                    | e to be com        | pleted by the       | he Examin         | ing Physician or clinic staff.       |                    |                     |                    |
| <u>Vital signs</u>  |                    |                     |                   |                                      |                    |                     |                    |
| Weight  | Height             | FtI                 | Π,                | Blood Pressure/                      | Pulse              |                     |                    |
| <u>Vision-Acuity:</u><br>Uncorrected Near                 | <u>Both</u><br>20/ | <u>Right</u><br>20/ | <u>Leñ</u><br>20/ | Uncorrected Far                      | <u>Both</u><br>20/ | <u>Right</u><br>20/ | <u>Left</u><br>20/ |
| Corrected Near  | 20/                | 20/                 | 20/               | Corrected Far                        | 20/                | 20/                 | 20/                |
| Vision-Color:<br>Festing MUST be dom<br>nust be reported. | e with Ishi        | hara (or coi        | mparable)         | Pseudo-Isochromatic Plates. <u>A</u> | MINIMUN            | 1 of four           | TEEN PLATES        |
| Type of test: 🗌 ls  | hihara             | Other_              |                   | # of plates correct:                 | # o                | f plates test       | ed                 |
| Vision-Peripheral:<br>s the peripheral vision             | n normal? (        | Check one           | )                 | 🗋 yes 🗌 no                           |                    |                     |                    |
| Vision-Depth Percep<br>Results must be reco               | rded in sec        |                     |                   |                                      |                    |                     |                    |
| Type of test:   |                    |                     | Feete             | Seconds of                           |                    |                     |                    |

Hearing Testing must be done with an AUDIOMETER IN A SOUND CONTROLLED ROOM OR BOOTH. Each ear must be tested separately at 500, 1000, 2000, 3000, and 4000 Hz. HEARING AIDS MAY NOT BE USED DURING TESTING. Attach audiometer printout to this page.

| Results:  | 500 | 1000 | 2000 | 3000 | 4000 |
|-----------|-----|------|------|------|------|
| Right ear |     |      |      |      |      |
| Left ear  |     |      |      |      |      |

## PART VE EXAMINATION SUMMARY

## **DOCTOR PLEASE NOTE:**

Please be advised that the COURT SECURITY OFFICER IS A WEAPONS BEARING SECURITY POSITION. The range of duties that the officer must be constantly prepared to perform include INTENSE PHYSICAL EXERTION, USE OF FIREARMS AND PHYSICAL CONFRONTATION. Emergency situations requiring such activities MAY ARISE WITHOUT WARNING and require maximum performance with no opportunity for preparation. Any condition that can subtly or suddenly compromise the CSO's ability to perform the full range of duties may result in INJURY or DEATH to the officer, co-workers or members of the public.

Detailed description of the essential job functions of the Court Security Officer position may be found on page 11.

(Use the supplemental information page if additional space is needed)

| Problem List (past & present medical/surgical conditions):   | <b>Recommended Limitations</b><br>(Essential job functions are listed on page 12.) |
|--|--|
|  |  |
| Are there any contraindications to the performance of Check if supplemental information page is used   | aggressive security activities? 🗍 YES 🗍 NO   |
| <ul> <li>Doctor, please affirm the three following statements with a che for your assistance in this important clearance process:</li> <li>I have reviewed and understand the available materials de I have reviewed the attached ECG for adequacy and prop</li> <li>I have reviewed the currently available laboratory reports</li> </ul> | escribing the requirements of the CSO position.<br>er lead placement.              |
| EXAMINING PHYSICIAN'S NAME (Type or print)   | SIGNATURE  |
| ADDRESS (including ZIP code)   |  |
| OFFICE TELEPHONE NUMBER FACSIMILE NUMBER   |  |
| The following must be attached to this exam form:<br>Fasting Chemistry Panel to include liver and renal function test<br>Fasting Lipid Panel<br>CBC with WBC differential and platelet count.  | s, fasting glucose by venipuncture, and electrolytes.                              |

Urinalysis for specific gravity, Blood, Glucose and Protein.

12 Lead ECG (original) lead placement must be verified by the examiner.

Audiometer Printout or results recorded on page if printout is not available.

Vision test results (Test records may be attached to this form).

## **COURT SECURITY OFFICER'S JOB FUNCTIONS**

The primary functions of the Court Security Officer include physical security for federal court facilities and perimeters, checkpoint security for court facilities and courtroom entry points, courtroom monitoring, and a rapid response to emergencies and alarms within facility where federal court proceedings are held.

## Essential duties require Court Security Officers to be able to:

## Work Environment

- 1. Work extended hours
- 2. Work in adverse weather
- 3. Work alone while armed
- 4. Work under stress
- 5. Stop, question or detain individuals
- 6. Encounter individuals who display a violent or irrational temperament
- 7. Provide armed escort

## Weapons

- 8. Use handgun with weak (non-dominant) hand
- 9. Use handcuffs
- 10. Use handgun
- 11. Confiscate weapon from person in pat down

## Cardiovascular and Musculoskeletal

- 12. Must have the ability to physically subdue attacker(s)
- 13. Must have the ability to physically control violent or unruly crowds
- 14. Must have the ability to subdue after running in pursuit
- 15. Must have the ability to respond to emergency with unplanned strenuous physical activity
- 16. Must have the ability to climb stairs in pursuit or in emergency
- 17. Must have the ability to sit or stand in one position for at least 2 hours

## Vision

- 18. Use distant vision to monitor front checkpoint and to monitor courtroom
- 19. Use distant vision to monitor garage/vehicles
- 20. Use distant vision to detect if individual has weapon
- 21. Use near vision to read x-ray monitor
- 22. Recognize basic colors
- 23. Visually detect peripheral movement/ID threat

## Hearing

- 24. Comprehend speech during face-to-face conversations
- 25. Comprehend speech during telephone conversations
- 26. Comprehend speech during radio transmissions
- 27. Comprehend speech when you can't see another CSO
- 28. Hear sounds that require investigation
- 29. Determine location of sound

# **Personal Qualifications Statement (Court Security Officer)**

READ THE INFORMATION BELOW PRIOR TO COMPLETING.

## WHAT AUTHORITY DO WE HAVE TO ASK YOU FOR THE INFORMATION REQUESTED ON THIS FORM?

The U.S. Government is authorized to ask for this information under Section 3301 of Title 5 and Section 3101 of Title 44 of the U.S. Code. We ask for your Social Security number to keep our records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

## **HOW DO WE USE THIS FORM?**

Review the form in its entirety prior to answering any questions. Be sure that you understand the questions and your responses prior to completion of the form.

This form will be used in processing your application. We use the information from this form primarily as the basis for an initial background investigation that will be used to determine your qualifications (to include law enforcement qualifications), suitability and eligibility for a clearance to work for the U.S. Government under contract.

Asking you for this information is in compliance with the Privacy Act of 1974. The information you give us is for Official Use Only; is protected from unauthorized disclosure. The U.S. Marshals Service may share some information with Federal and other sources to get additional information about you. We may also give some of the information to Federal, State, and local agencies checking on law violations or for other lawful purposes.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your employment or clearance prospects to work for the U.S. Government under contract.

TYPE OR LEGIBLY PRINT YOUR ANSWERS. We cannot accept your form if it is not legible. All questions on this form must be answered. If no response is applicable, indicate this by entering "N/A." Follow all form instructions fully, or we cannot process your form.

STATE CODES. Use the State Codes (two letter abbreviations) used by the Post Office, if you cannot spell out the state. *Do not abbreviate names of cities.* 

USE 5 OR 9 - DIGIT ZIP CODES. If you do not know a ZIP Code, a ZIP Code directory is available at all Post Offices at www.usps.com. Please use them.

DATES. When providing dates, use YYMMDD. For example, June 8, 1988, would be 980608 and January 1988 would be 8801.

ADDITIONAL SHEETS. If there is not enough room on the sheets provided, please attach additional sheets so that you can provide as complete an answer as possible. Be sure to indicate the item number corresponding to the item being carried over to the additional sheet. Place your name and social security number on the additional sheet so that it can be readily identified if it should become separated from the form.

SIGNATURE AND DATE. Be sure to sign the forms in black or blue-black ink. Initial and date any changes you make to this form after you sign it.

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ANY FORMS THAT ARE RECEIVED INCOMPLETE WILL BE RETURNED. THIS WILL DELAY THE PROCESSING OF YOUR CASE AND COULD EVEN RESULT IN YOUR NOT BEING SELECTED.

(Cont'd.)

## HOW DO WE USE THIS FORM? (Cont'd.) .

DOCUMENTATION. Copies of documents that verify any significant claims or activities should be provided. For example: alien registration; naturalization certificate; originals or certified copies of college transcripts or degrees; high school diploma; professional license(s) or certificate(s); military discharge certificate(s) (DD Form 214); marriage certificate(s); divorce papers; tax returns; passport; and/or business licenses(s).

NAME CHANGES. If you have had a name change from that indicated on the form, you must provide a copy of the documentation of any legal name change. If the name you are currently using is not a legal name, please use your official name as indicated on your birth certificate or marriage license.

EMPLOYMENT. Ensure that you list any previous law enforcement related employment, including military (i.e. Military Police, Master at Arms, etc.). Provide this experience in Section 22-23 whenever it occurred. It does not have to be consecutive. Explain how this experience included general arrest authority in the remarks to this section.

## WHAT ARE THE PENALTIES FOR INACCURATE OR FALSE INFORMATION?

The U.S. Criminal Code provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$ 10,000, or 5 year imprisonment, or both. In addition, Federal agencies generally fire or disqualify individuals who have materially and deliberately falsified investigative forms, and this remains a part of our record for future use. Because the position for which you are being considered is a public trust position, your trustworthiness is a very important consideration in deciding your suitability or eligibility to perform under the contract.

## PERSONAL QUALIFICATIONS STATEMENT (Court Security Officer)

|  |   | :  |  |  |            |   |
|--|---|--|--|--|------------|---|
| 1. NAME  |   |  |  |  |            |   |
|  | ast   |  | First  | Middle   |            |   |
| 2. PREFERRED TITLE<br>(Check one)  | Mr. Mrs.  | 🗌 Miss   | Ms.  |  |            |   |
| 3. SOCIAL SECURITY N   | UMBER   |  |  |  |            |   |
| 4. OTHER NAMES USED  | ) (including nicknames,   | , aliases, ma  | iiden name, etc.)  |  |            | _ |
| 5. CURRENT ADDRESS<br>(No. Street, and Apt.  | . No if applicable)   |  |  |  |            | - |
|  | 5   |  |  |  |            |   |
|  |   |  | Code   |  |            |   |
| 6. CURRENT PHONE   |   |  |  |  |            |   |
| NUMBERS  | Home (Include Ar  | ea Code)   | Office (Include  | extension if applicable)                                   |            |   |
|  |   |  |  |  |            |   |
| 7. PLACE OF BIRTH (Cit   | y/state or Foreign Cou  | (n(ry)   | · · · · · · · · · · · · · · · · · · ·  |  |            | - |
|  |   |  |  |  |            |   |
| 8. DATE OF BIRTH (YYM  | MMDD)   |  |  |  |            |   |
|  |   |  |  |  | YES        | N |
|  |   | A TEOR /16   |  |  | <u>YES</u> | N |
| 9. ARE YOU A CITIZEN   | OF THE UNITED ST  | ATES? (If n  | o, provide the following   | information)   |            |   |
|  |   |  |  |  |            |   |
| 10. Availability Data: a. [  | Date (month year) you   | will be avai   | able to start work   |  |            |   |
| 10. Availability Data: a. [<br>b. ]  | Date (month year) you<br>Number of hours you w  | will be avail  | able to start work   | nonth  |            |   |
| 10. Availability Data: a. I<br>b. N<br>c. I  | Date (month year) you<br>Number of hours you w<br>Days of the week that y   | will be avail<br>vill be availa<br>you can worl  | able to start work   | nonth  |            |   |
| 10. Availability Data: a. I<br>b. N<br>c. I  | Date (month year) you<br>Number of hours you w<br>Days of the week that y   | will be avail<br>vill be availa<br>you can worl  | able to start work   | nonth  |            |   |
| 10. Availability Data: a. I<br>b. N<br>c. I  | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check  | will be avail<br>vill be availa<br>you can work<br>rform tempo<br>k one):  | able to start work<br>ble to start work each n<br><<br>prary guard duties in oth<br>Excellent  | nonth  |            |   |
| <ul> <li>10. Availability Data: a. I</li> <li>b. N</li> <li>c. I</li> <li>d. A</li> <li>11. CURRENT PHYSICAL</li> </ul>  | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check<br>provide detailed inform<br>can speak and/or read a  | will be avail<br>will be availated<br>you can work<br>rform tempor<br>k one):<br>nation in Ite<br>ny language  | able to start work<br>ble to start work each n<br>c<br>orary guard duties in oth<br>Excellent  | nonth<br>ner cities?<br>☐ Fair                             |            |   |
| <ul> <li>10. Availability Data: a. I.</li> <li>b. N.</li> <li>c. I.</li> <li>d. A</li> <li>11. CURRENT PHYSICAI<br/>(*Note: If answer is Poor, j.</li> <li>12. If you understand and compared to the stand to the stand and compared to the stand t</li></ul> | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check<br>provide detailed inform<br>can speak and/or read a  | will be avail<br>will be availated<br>you can work<br>rform tempor<br>k one):<br>nation in Ite<br>ny language  | able to start work<br>ble to start work each n<br>c<br>orary guard duties in oth<br>Excellent  | nonth<br>ner cities?<br>☐ Fair                             |            |   |
| <ul> <li>10. Availability Data: a. I</li> <li>b. N</li> <li>c. I</li> <li>d. A</li> <li>11. CURRENT PHYSICAI</li> <li>(*Note: If answer is Poor, j</li> <li>12. If you understand and c</li> <li>level of proficiency (<i>i.e. po</i></li> <li>13. Are you able to speak f</li> </ul>  | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check<br>provide detailed inform<br>can speak and/or read a<br>bor, average, good, flue  | will be availated will be availated will be availated availated at the second state of | able to start work<br>ble to start work each n<br>prary guard duties in oth<br>Excellent Good<br>m 18.)<br>to ther than English, ple | nonth<br>er cities?<br>Fair Poor*<br>ase list and indicate |            |   |
| <ul> <li>10. Availability Data: a. I</li> <li>b. N</li> <li>c. I</li> <li>d. A</li> <li>11. CURRENT PHYSICAI</li> <li>(*Note: If answer is Poor, j</li> <li>12. If you understand and c</li> <li>level of proficiency (i.e. po</li> </ul>  | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check<br>provide detailed inform<br>can speak and/or read a<br>bor, average, good, flue  | will be availated will be availated will be availated availated at the second state of | able to start work<br>ble to start work each n<br>prary guard duties in oth<br>Excellent Good<br>m 18.)<br>to ther than English, ple | nonth<br>er cities?<br>Fair Poor*<br>ase list and indicate |            |   |
| <ul> <li>10. Availability Data: a. I</li> <li>b. N</li> <li>c. I</li> <li>d. A</li> <li>11. CURRENT PHYSICAI</li> <li>(*Note: If answer is Poor, j</li> <li>12. If you understand and c</li> <li>level of proficiency (<i>i.e. po</i></li> <li>13. Are you able to speak f</li> </ul>  | Date (month year) you<br>Number of hours you w<br>Days of the week that y<br>Are you available to pe<br>L CONDITION (Check<br>provide detailed inform<br>can speak and/or read a<br>hor, average, good, flue<br>luently, read, and write<br>shals of the district whe<br>drivers license? | will be availated will be availated will be availated availated at the second state of | able to start work<br>ble to start work each n<br>prary guard duties in oth<br>Excellent Good<br>m 18.)<br>to ther than English, ple | nonth<br>er cities?<br>Fair Poor*<br>ase list and indicate |            |   |

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| 15. a. Do you have any physical or menta<br>ability to perform the work required (e<br>addictions, cataracts, heart (cardiovas)  | .g., epilepsy, diabetes, alcoholism, o  | irug  | <u>YES</u> | <u>NO</u> |
|--|---|---|------------|-----------|
| b. Have you ever used any narcotic, d<br>include LSD or PCP, or cannabis) (to<br>as prescribed by a licensed physician   | o include marijuana or hashish), exc  |   |            |           |
| c. Have you ever been involved in the any narcotic, depressant, stimulant, h   |   | e of  |            |           |
| d. Has your use of alcoholic beverage resulted in the loss of a job, arrest by   |   |   |            |           |
| e. Have you ever been a patient (when<br>institution primarily devoted to the tro<br>psychological, or personality disorder  | eatment of mental, emotional,   | лу  |            |           |
| NOTE: If the answer to Question 15 of Item 18, Prior to award of a contract, that the above condition will not inter-  | you will be required to provide a p   | hysician's signed statement                                       |            | ·         |
| 16. Are you now or have you ever been a<br>group, or combination of persons which a<br>government or which has adopted a polic<br>force or violence to deny other persons the<br>which seeks to alter the form of government | idvocates the overthrow of our consi<br>y of advocating or approving the co-<br>leir rights under the Constitution of the co- | titutional form of<br>mmission of acts of<br>the United States or |            |           |
| 17. To the best of your knowledge, have getther Federal, state, local, or private indu   |   |   |            |           |
| If your answer is Yes, provide the follow certification, if available:   | ing information and provide a copy  | of investigation  |            |           |
| Agency requiring<br>the clearance  | Type of Investigation<br>(i.e., NACI, LBI, BI, SBI)   | Date Clearance Issued/<br>Investigation Completed                 |            |           |
|  |   |   |            |           |
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18. Space for detailed answers and continuation of information:

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| QUESTION NO.                            | ANSV                    | VER/COMMENT                           |          |     |           |
|---|-------------------------|---------------------------------------|----------|-----|-----------|
|   |                         |                                       |          |     |           |
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| <u></u>                                 | <u> </u>                |                                       |          |     |           |
| PLOYMENT HISTORY                        |                         |                                       |          |     |           |
| 19. Current work status (check one):    | Employed Part Time      | Unemployed                            | Retired  |     |           |
|   |                         |                                       |          | YES | <u>NC</u> |
| 20. Have you ever been employed by the  | e Federal Government    | ?                                     |          |     |           |
| 21. Have you ever been employed by a s  | state or local governme | ent?                                  |          |     |           |
|   |                         |                                       |          |     |           |

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## 22. LAW ENFORCEMENT/FEDERAL EMPLOYMENT

List ALL law enforcement employment, whenever it occurred.

| Name and address of employer's organization |  | Dates emplo    | oyed (month &y   | ear)          | Avg. No. Hrs. per week       |
|---|--|----------------|------------------|---------------|------------------------------|
|   |  | From           | To               |               |                              |
|   |  | Salary or ea   | rnings           | I             |                              |
|   |  | Beginn         | ing \$           | per           |                              |
|   |  | Ending         | \$               | per           |                              |
| Exact Title of Your Position                | Name of Immed                          | liate Supervis | or Area Code     | Telephone     | No. No. Employees supervised |
| Kind of Business                            |  | 1              | f Federal Servic | e, give serie | s, grade or rank             |
| general arrest authority, and ac            | complishments in th                    | is job)        |                  |               |                              |
| · · · · · · · · · · · · · · · · · · ·       |  |                |                  |               |                              |
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## SIGNATURE AND CERTIFICATION STATEMENT

Read the following carefully before signing this certification. A false answer to any question in this statement may be grounds for disqualification and may be punishable by fine or imprisonment (U.S. Code Title 18, Section 1001).

I have completed this statement with the knowledge and understanding that any or all items contained herein may be subject to investigation and I consent to the release of information concerning my capacity and fitness by employers, educational institutions, law enforcement agencies, and other individuals and agencies, to duly accredited investigators, and other authorized employees of the Federal Government for that purpose.

CERTIFICATION: I certify that all of the statements made by me are true, complete, and correct to the best of my knowledge and belief, and are made in good faith.

Signature (sign in ink)

## **CONTINUATION SHEET**

## 23. <u>LAW ENFORCEMENT/FEDERAL EMPLOYMENT</u> Print additional pages as necessary.

|                                       | -                                      |                     |  |            |  |
|---------------------------------------|--|---------------------|--|------------|--|
| Name and address of employer's        | organization                           | Dates employe       | d (month &year)                        | Avg.       | No. Hrs. per week                      |
|                                       |  | From                | То                                     |            |  |
|                                       |  | Salary or earning   | ÷                                      | t          |  |
|                                       |  |                     | \$ p                                   |            |  |
|                                       |  | Ending              | \$p                                    |            |  |
| Exact Title of Your Position          | Name of Imme                           | -                   | Area Code Telepho                      |            | No. Employees<br>supervised            |
| Kind of Business                      | ·                                      | If Fe               | ederal Service, give se                | ries, grad | e or rank                              |
| Description of work (Describe ye      | our specific duties,                   | responsibilities, e | xperience conducting                   | felony ar  | rests, use of                          |
| general arrest authority, and ac      |  |                     |  |            |  |
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| Reason for leaving                    |  |                     |  |            |  |
| iceason for leaving                   |  |                     |  |            |  |
|                                       |  | ÷w                  |  |            |  |
| Space for detailed answers and co     | ontinuation of infor                   | mation (cont'd.):   |  |            |  |
| ·                                     |  |                     |  |            |  |
| QUESTION NO.                          |  | ANSWE               | R/COMMENT                              |            |  |
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# **CSO WEAPONS QUALIFICATION RECORD**

| 1. NAME (Last, First, MI) | 2. DISTRICT | 3. ASSIGNED FACILITY | 4. DATE COURSES FIRED<br>(mm/dd/yyyy) |
|---------------------------|-------------|----------------------|---------------------------------------|
|                           |             |                      |                                       |

## **QUALIFICATION RESULTS**

| 5a. WEAPON<br>TYPE | 5b. QUALIFICATION | 6. MAKE | 7. MODEL | 8. CALIBER | 9. BARREL<br>LENGTH | 10. PROPERTY<br>OF: | 11. SERIAL NUMBER |
|--------------------|-------------------|---------|----------|------------|---------------------|---------------------|-------------------|
| HANDGUN            | QUALIFICATION     |         |          |            |                     | USMS                |                   |

| (1                                 | 12. Ammunition Used<br>Brand, Caliber, Weight, Type [JHP, JSP, Etc.]  | 13. SCORE                    | 14. QUALIFICATION<br>LEVEL   | 15. SHOOTER'S<br>INIITIALS | 16. DATE OF<br>RETESTS<br>(If applicable) |
|------------------------------------|---|------------------------------|--|----------------------------|---|
| Qualification                      |   |                              |  |                            |   |
| 1" Retest                          |   |                              |  |                            |   |
| 2 <sup>nd</sup> Retest             |   |                              |  |                            |   |
| 17. <u>USE OF D</u>                | EADLY FORCE AND FIREARMS POLICY:  | 18. <u>CONTRAC</u>           | TOR'S FIREARMS INS   | TRUCTION CERT              | FICATION                                  |
|                                    | d understand the current DOJ Uniform Deadly Force<br>the contract requirements.   | and ammuniti                 | hat the course of fire, qu<br>on used are authorized a<br>ted above demonstrated                     | and indicated herei        | n, and that the                           |
| Signature:                         | Date:   | Signature:                   |  | Date:                      |   |
| The firearm dea<br>authorized Fire | INSPECTION:<br>scribed herein has been inspected by a contractor<br>arms Instructor (named in Block 18) and:<br>oes Not [ ] meet the contract requirements. | The holsters a holders) have | R INSPECTION:<br>nd accessory equipment<br>also been inspected and<br>Does Not [ ] meet the<br>lity. | :                          | -   |
| 21. VERIFIEI                       | <b>) BY:</b> (NOTE: THE WEAPONS QUALIFICATION TES   | T MUST BE VE                 | RIFIED BY A REPRES   | SENTATIVE OF TH            | HE USMS                                   |
| PRINTED NAI                        | ME:   | SIGNATURE:                   |  |                            |   |
| TITLE:                             | · · · · · · · · · · · · · · · · · · ·   | DATE:                        |  |                            |   |
|                                    |   |                              |  |                            |   |
| <u>REMARKS</u> :                   |   |                              |  |                            |   |
|                                    |   |                              |  |                            |   |

## INSTRUCTIONS FOR COMPLETING THE CSO WEAPONS QUALIFICATION FORM

- 1. Contractor's name.
- 2. District assignment (D/MA, S/NY, D/MD, E/VA, etc.).
- 3. Facility assignment.
- 4. The date on which the course of fire was performed.
- 5a. No additional information is necessary
- 5b. No additional information is necessary.
- 6. The name of the weapon's manufacturer.
- 7. The manufacturer's designation for the weapon.
- 8. The caliber of the weapon.
- 9. No additional information is necessary
- 10. No additional information is necessary
- 11. The weapons serial number.
- 12. The exact load shot during course of fire as designated from the current contract.
- 13. The score fired during the course of fire. If a retest is required, notesubsequent scores on the appropriate lines.
- 14. The ranking of the CSO's score (de, ex, ss, mm, dnq). See the chart below for the exact ranking for each course of fire.
- 15. The contractor initials the qualification record, thus indicating that the information provided is correct.
- 16. Dates of two allowed subsequent retests(*Provide only if applicable*.)
- 17. No additional information is necessary
- 18. Certification by the Contractor's Firearms Instructor
- 19. Verification that the weapon was inspected and meets the USMS standards.
- 20. Verification that the contractors equipment meets the USMS standards.
- 21. Verification by authorized USMS Representative that the contractoand the CSO met the USMS standards and contract requirements

| QUALIFICATION             | QUALIFICATION RANKINGS |  |  |  |
|---------------------------|------------------------|--|--|--|
| Distinguished Expert (DE) | 250                    |  |  |  |
| Expert (EX)               | 238 - 249              |  |  |  |
| Sharpshooter (SS)         | 213 - 237              |  |  |  |
| Marksman (MM)             | 175 - 212              |  |  |  |
| Did Not Qualify (DNQ)     | 174 or below           |  |  |  |



# SAMPLE - See ordering procedures.

## COURT SECURITY OFFICER CONTRACTOR'S MEDICAL PRACTIONER'S DATA SHEET

**ATTENTION CONTRACTOR:** Pursuant to your contract with the United States Marshals Service, this form must be completed and submitted for each designated licensed physician that you desire to perform and document medical examinations on behalf of your company. To obtain approval, this form must be submitted to the Judicial Security Division, Chief, Office of Court Security, Washington, DC 20530-1000. Incomplete forms will be considered unacceptable and will be returned for completeness. Type or legibly print all requested information. If the information is not legible, the form will be considered unacceptable and returned for correction. This form must be submitted in accordance with the time requirements stated in the applicable United State Marshals Service's contract.

| PHYSICIAN'S NAME  | PHYSICIAN'S   | S TELEPHONE NO |
|---|---------------|----------------|
|   | <u>()</u>     | <u>.</u>       |
| PHYSICIAN'S ADDRESS   |               |                |
| Street  | Address       |                |
|   |               |                |
| City  | State         | Zip Code       |
| PHYSICIAN'S SOCIAL SECURITY NUMBER  | DATE OI       | F BIRTH        |
| · · · · · · · · · · · · · · · · · · ·   |               | _              |
|   | •             |                |
|   | ·             |                |
|   | DOL ATTENDED: |                |
| NAME AND LOCATION OF THE MEDICAL SCH  | DOL ATTENDED: |                |
| NAME AND LOCATION OF THE MEDICAL SCH  | Address       |                |
| NAME AND LOCATION OF THE MEDICAL SCH  | Address State | Zip Code       |
| NAME AND LOCATION OF THE MEDICAL SCH  | Address State | •              |
| NAME AND LOCATION OF THE MEDICAL SCH Street City MONTH AND YEAR OF GRADUATION:  | Address State |                |
| NAME AND LOCATION OF THE MEDICAL SCH Street City MONTH AND YEAR OF GRADUATION:  | Address State |                |
| NAME AND LOCATION OF THE MEDICAL SCH Street City MONTH AND YEAR OF GRADUATION: LIST JOB RELATED LICENSES: DATE OF LATEST LICENSE: -                 | Address State |                |
| NAME AND LOCATION OF THE MEDICAL SCH Street City MONTH AND YEAR OF GRADUATION:  | Address       |                |
| NAME AND LOCATION OF THE MEDICAL SCH Street City MONTH AND YEAR OF GRADUATION: LIST JOB RELATED LICENSES: DATE OF LATEST LICENSE: STATE OF LICENSE: | Address       |                |

## COURT SECURITY OFFICER CONTRACTOR'S **REQUEST TO REEVALUATE AN INDIVIDUAL'S MEDICAL QUALIFICATION**

ATTENTION CONTRACTOR: Use of this form is required when a Court Security Officer is returning to perform security services after recovering from an injury, extended illness, and/or outpatient or inpatient surgery, including such procedures as LASIK. This form must be completed by the Court Security Officer's attending physician and submitted to the Office of Court Security for a gualification determination. A Court Security Officer may not resume security services until the Office of Court Security has provided written approval for the individual to resume performing under the applicable contract. Please fax and submit the completed form to the Office of Court Security at (202) 307-5217, Attention: Personnel Support Branch, Washington, DC 20530-1000.

COURT SECURITY OFFICER INFORMATION DISTRICT: DISTRICT NO:

NAME:

FIRST

SSN:

DATE OF INJURY, ILLNESS, AND/OR SURGERY: / /

GIVE A DESCRIPTION OF THE INDIVIDUAL'S INJURY, ILLNESS, AND/OR SURGERY, (PLEASE IDENTIFY THE AREA(S) OF THE BODY AFFECTED):

ATTENTION ATTENDING PHYSICIAN: The individual listed above is seeking to resume work as an armed Court Security Officer. It is imperative that you consider the individual's condition along with the life-threatening situation that a Court Security Officer may encounter. Court Security Officer duties may include physical exertion, use of firearms, and physical confrontation. These requirements may arise without warning and under adverse weather/environmental conditions. Inability to safely perform such duties may result in injury or death to the individual or others. Please review the Court Security Officer contract requirements listed on page four. consider your medical assessment of the individual's medical condition, and provide a written response to each of the questions listed below.

1. Diagnosis (include all associated conditions/symptoms detected):

LAST

2. Does the individual have any physical or mental restrictions or limitations? ☐ Yes □ No

a. If restrictions or limitations exist, please explain the circumstances in detail, including how long such challenges may exist.

b. Are the restrictions or limitations temporary or permanent?

Permanent

MIDDLE

CSO FORM 012 (REV. 02/07) Section J – Attachment 2(O)

- 3. Describe all treatments, including physical therapy or psychotherapy, and the duration of the treatment(s) provided to the individual. List all medications, including the name of the medication, the dosage prescribed as well as frequency, and any potential side effects the medication may cause. In addition, list any supportive devices, i.e., braces, crutches, hearing aids, etc., that the individual received.
- 4. Will the treatment affect the individual's ability to perform any of the Court Security Officer duties identified on page four? If yes, please explain in detail below. Yes No
- 5. Prognosis (Please address whether or not the individual's symptoms are likely to reoccur, progress, or be aggravated if the Court Security Officer performs any of the duties listed on page four.
- 6. Can the individual return to full, unrestricted security duties? \* 🗌 Yes 🔲 No (If no, please explain below.)

For instance, does the individual have the ability to:

- a. Safely use and retain a handgun?
   Image: Yes
   No

   b. Physically subdue an attacker(s)?
   Image: Yes
   No

   c. Physically control violent or unruly crowds?
   Image: Yes
   No

   d. Climb two flights of stairs in pursuit or in an emergency?
   Image: Yes
   No
- e. Sit or stand in one position for at least two hours?
- Please note that your response must indicate that the individual has the ability to meet all of the 29 CSO job functions listed on page four.

Yes

No

|                                     | I hereby certify that my assessment and responses to the and accurate to the best of my knowledge and ability. |
|-------------------------------------|--|
| ATTENDING PHYSICIAN'S NAME: (Print) |  |
| ATTENDING PHYSICIAN'S SIGNATURE:    |  |
| DATE:                               |  |
| MEDICAL FACILITY INFORMATION:       |  |
| NAME:                               |  |
| ADDRESS:                            |  |
|                                     |  |
| TELEPHONE NUMBER:                   |  |
|                                     | EAD, OR OFFICE STAMP ON THIS FORM AND RETURN THE FORM TO THE<br>CER'S EMPLOYER IN A SEALED ENVELOPE.           |

| CONTRACTOR'S CERTIFICATION: To the best of our knowledge, the subject individual is free of medical devices and impairments. Once the individual returns to work and it is observed that the individual's state reveals otherwise, we will report the condition to the USMS and prohibit the individual from performing CSO duties. In addition, the company certifies that this form has been reviewed and is considered complete. |   |  |  |  |
|---|---|--|--|--|
| NAME OF OFFICIAL REPRESENTATIVE:  |   |  |  |  |
| OFFICIAL REPRESENTATIVE'S SIGNATURE:  |   |  |  |  |
| DATE:   |   |  |  |  |
| CONTRACTOR'S INFORMATION:   |   |  |  |  |
| NAME:   |   |  |  |  |
| ADDRESS:  | · |  |  |  |
|   |   |  |  |  |
| TELEPHONE NUMBER:   |   |  |  |  |

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| DESIGNATED MEDICAL REVIEWING OFFICIAL'S CERTIFICATION:   |  |
|--|--|
| I agree / disagree with the attending physician's recommendation regarding the individual's ability to safely perform Court Security Officer duties. |  |
| Additional documentation regarding my decision is [] attached / [] not attached.   |  |
| PHYSICIAN'S NAME: (Please print.)  |  |
| PHYSICIAN'S SIGNATURE:   |  |
| DATE:  |  |
| TELEPHONE NUMBER:  |  |

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## COURT SECURITY OFFICERS JOB FUNCTIONS

The primary functions of the Court Security Officer include physical security for federal court facilities and perimeters, checkpoint security for court facilities and courtroom entry points, courtroom monitoring, and a rapid response to emergencies and alarms within facility where federal court proceedings are held.

Essential duties require Court Security Officers to be able to:

## Work Environment

- 1. Work extended hours
- 2. Work in adverse weather
- 3. Work alone while armed
- 4. Work under stress
- 5. Stop, question or detain individuals
- 6. Encounter individuals who display a violent or irrational temperament
- 7. Provide armed escort

### Weapons

- 8. Use handgun with weak (non-dominant) hand
- 9. Use handcuffs
- 10. Use handgun
- 11. Confiscate weapon from person in pat down

## Cardiovascular and Musculoskeletal

- 12. Must have the ability to physically subdue attacker(s)
- 13. Must have the ability to physically control violent or unruly crowds
- 14. Must have the ability to subdue after running in pursuit
- 15. Must have the ability to respond to emergency with unplanned strenuous physical activity
- 16. Must have the ability to climb stairs in pursuit or in emergency
- 17. Must have the ability to sit or stand in one position for at least 2 hours

### **Vision**

- 18. Use distant vision to monitor front checkpoint and to monitor courtroom
- 19. Use distant vision to monitor garage/vehicles
- 20. Use distant vision to detect if individual has weapon
- 21. Use near vision to read x-ray monitor
- 22. Recognize basic colors
- 23. Visually detect peripheral movement/ID threat

### <u>Hearing</u>

- 24. Comprehend speech during face-to-face conversations
- 25. Comprehend speech during telephone conversations
- 26. Comprehend speech during radio transmissions
- 27. Comprehend speech when you can't see another CSO
- 28. Hear sounds that require investigation
- 29. Determine location of sound

## NOTICE AND AUTHORIZATION PERTAINING TO CONSUMER REPORTS PURSUANT TO THE FAIR CREDIT REPORTING ACT OF 1970, as amended 15 U.S.C. § 1681, et seq.

Please take notice that one or more consumer reports may be obtained for employment purposes. Because a background investigation will be conducted as part of a determination of your fitness for employment or for performance under a contract, you are also entitled to request additional disclosures concerning the nature and scope of the investigation.

By signing this form, you are giving consent to have your consumer reports released by consumer reporting agencies as part of an investigation to determine your clearance to perform contractual service and/or security clearance and receive access to Federal and other facilities in accordance with Homeland Security Presidential Directive/HSPD-12. The information obtained may be redisclosed to other Federal agencies for the above purposes and in fulfillment of official responsibilities to the extent that such disclosure is permitted by law.

I \_\_\_\_\_\_\_\_ hereby authorize the U.S. Marshals Service (USMS) to obtain such report(s) from any consumer/credit reporting agency for suitability purposes to perform contractual services.

Signature

Date

Social Security Number

Executive Order 9397 (November 22, 1943) asks Federal agencies to use Social Security Numbers (SSN) to help identify individuals in agency records. Your SSN is therefore needed to keep records accurate, because other people may have the same name. Providing your SSN is voluntary. However, failure to provide the requested information could result in the USMS receiving an erroneous credit report.

INSTRUCTIONS TO THE CONTRACTOR: Please retain a copy of this form for your records and forward the original to the United States Marshals Service, Judicial Security Division, Office of Court Security, Attention: Operations Support Branch, Washington, DC 20530-1000.

> CSO FORM 015 (REV. 02/07) Section J - Attachment 2(P)

# **Questionnaire for Public Trust Positions**

Follow instructions fully or we cannot process your form. Be sure to sign and date the certification statement on Page 7 and the release on Page 8. If you have any questions, call the office that gave you the form.

#### Purpose of this Form

The U.S. Government conducts background investigations and reinvestigations to establish that applicants or incumbents either employed by the Government or working for the Government under contract, are suitable for the job and/or eligible for a public trust or sensitive position. Information from this form is used primarily as the basis for this investigation. Complete this form only after a conditional offer of employment has been made.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your placement or employment prospects.

#### Authority to Request this Information

The U.S. Government is authorized to ask for this information under Executive Orders 10450 and 10577, sections 3301 and 3302 of title 5, U.S. Code; and parts 5, 731, 732, and 736 of Title 5, Code of Federal Regulations.

Your Social Security number is needed to keep records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

#### The Investigative Process

Background investigations are conducted using your responses on this form and on your Declaration for Federal Employment (OF 306) to develop information to show whether you are reliable, trustworthy, of good conduct and character, and loyal to the United States. The information that you provide on this form is confirmed during the investigation. Your current employer must be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want this.

In addition to the questions on this form, inquiry also is made about a person's adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to show the person is not reliable, trustworthy, or loyal.

#### **Your Personal Interview**

Some investigations will include an interview with you as a normal part of the investigative process. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often helps to complete your investigation faster. It is important that the interview be conducted as soon as possible after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

You will be asked to bring identification with your picture on it, such as a valid State driver's license, to the interview. There are other documents you may be asked to bring to verify your identity as well. These include documentation of any legal name change, Social Security card, and/or birth certificate.

You may also be asked to bring documents about information you provided on the form or other matters requiring specific attention. These matters include alien registration, delinquent loans or taxes, bankruptcy, judgments, liens, or other financial obligations, agreements involving child custody or support, alimony or property settlements, arrests, convictions, probation, and/or parole.

#### Instructions for Completing this Form

1. Follow the instructions given to you by the person who gave you the form and any other clarifying instructions furnished by that person to assist you in completion of the form. Find out how many copies of the form you are to turn in. You must sign and date, in black ink, the original and each copy you submit.

2. Type or legibly print your answers in black ink (if your form is not legible, it will not be accepted). You may also be asked to submit your form in an approved electronic format.

3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form (for example, enter "None" or "N/A"). If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by marking "APPROX." or "EST."

4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify the form consistent with your intent.

5. You must use the State codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.

6. The 5-digit postal ZIP codes are needed to speed the processing of your investigation. The office that provided the form will assist you in completing the ZIP codes.

7. All telephone numbers must include area codes.

8. All dates provided on this form must be in Month/Day/Year or Month/Year format. Use numbers (1-12) to indicate months. For example, June 10, 1978, should be shown as 6/10/78.

9. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the United States.

10. If you need additional space to list your residences or employments/self-employments/unemployments or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use a blank piece of paper. Each blank piece of paper you use must contain your name and Social Security Number at the top of the page.

#### **Final Determination on Your Eligibility**

Final determination on your eligibility for a public trust or sensitive position and your being granted a security clearance is the responsibility of the Office of Personnel Management or the Federal agency that requested your investigation. You may be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

#### Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$10,000, and/or 5 years imprisonment, or both. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Because the position for which you are being considered is one of public trust or is sensitive, your trustworthiness is a very important consideration in deciding your suitability for placement or retention in the position.

Your prospects of placement are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you give us on the form and to make your comments part of the record.

#### **Disclosure of Information**

The information you give us is for the purpose of investigating you for a position; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency which requested the investigation and the agency which conducted the investigation have published notices in the Federal Register describing the system of records in which your records will be maintained. You may obtain copies of the relevant notices from the person who gave you this form. The information on this form, and information we collect during an investigation may be disclosed without your consent as permitted by the Privacy Act (5 USC 552a(b)) and as follows:

#### PRIVACY ACT ROUTINE USES

1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency to be for a purpose that is compatible with the purpose for which the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.

2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.

3. Except as noted in Question 21, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, rule, regulation, or order.

4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.

6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.

To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.

8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CIA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Altorney General and promulgated pursuant to such statutes, orders or directives.

9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.

 To the National Archives and Records Administration for records management Inspections conducted under 44 USC 2904 and 2906.

11. To the Office of Management and Budget when necessary to the review of private relief legislation.

#### STATE CODES (ABBREVIATIONS)

| Alabama         | AL | Hawali               | н   | Massachusetts | MA | New Mexico        | NM | South Dakota  | SD       |
|-----------------|----|----------------------|-----|---------------|----|-------------------|----|---------------|----------|
| Alaska          | AK | Idaho                | ID  | Michigan      | MI | New York          | NY | Tennessee     | TN       |
| Arizona         | AZ | Illinois             | IL. | Minnesota     | MN | North Carolina    | NC | Texas         | TX       |
| Arkansas        | AR | Indiana              | IN  | Mississippi   | MS | North Dakota      | ND | Utah          | UT       |
| California      | CA | lowa                 | IA  | Missouri      | MO | Ohio              | OH | Vermont       | UT<br>VT |
| Colorado        | co | Kansas               | KS  | Montana       | MT | Oklahoma          | OK | Virginia      | VA       |
| Connecticut     | CT | Kenlucky             | KY  | Nebraska      | NE | Oregon            | OR | Washington    | WA       |
| Delaware        | DE | Louisiana            | LA  | Nevada        | NV | Pennsylvania      | PA | West Virginia | WV       |
| Florida         | FL | Maine                | ME  | New Hampshire | NH | Rhode Island      | RI | Wisconsin     | W        |
| Georgia         | GA | Maryland             | MD  | New Jersey    | NJ | South Carolina    | SC | Wyoming       | WY       |
| American Samoa  | AS | District of Columbia | DC  | Guam          | GU | Northern Marianas | СМ | Pueño Rico    | PR       |
| Trust Territory | TT | Virgin Islands       | VI  |               |    |                   |    |               |          |

Public burden reporting for this collection of information is estimated to average 60 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Room CHP-500, Washington, D.C. 20415. Do not send your completed form to this address. Standard Form 85P (EG) Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736

## QUESTIONNAIRE FOR PUBLIC TRUST POSITIONS

Form approved: OMB No. 3206-0191 NSN 7540-01-317-7372 85-1602

| OP<br>US |   | 52, and 750                                      |             | · ·                            |            |                   | . (            | Codes    |                 |                                |             |                         |          | Case      | Numt    | 190            |         |                         |                |                |
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|          | Type of<br>vestigation  | B Extra<br>Coverage                              |             |                                |            | C Sensi<br>Risk L |                |          | D Con<br>AE     |                                |             | Nature                  |          |           | F       | Date of Action | Mon     |                         | Day            | Year           |
| G        | Geographic<br>Location  |  |             | Position<br>Code               |            | Posit<br>Titl     |                |          |                 |                                |             |                         |          |           |         | _              |         |                         |                |                |
| J<br>S(  | I NC  | K Location<br>of Official<br>Personnel<br>Folder |             | None<br>NPRC<br>At SON         |            | Other A           | dress          |          |                 |                                |             |                         |          |           |         |                |         |                         | P Code         | •              |
| Ls       | 01  | M Location<br>of Security<br>Folder              |             | None<br>At SOI<br>NPI          |            | Other A           | dress          |          |                 |                                |             |                         |          |           |         |                |         | Z                       | P Code         | )              |
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| 3        | PLACE OF BIRTH  | L . Use the two                                  | lotter (    | code for the                   | State      |                   |                |          |                 |                                | 1           |                         |          |           |         | 4 8            | OCIAL   | SECL                    |                | UMBER          |
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| 5        | OTHER NAMES   | ISED   | <u> </u>    |                                | _          | •                 | 4.             |          | •               |                                |             |                         |          |           |         | •              |         |                         | ·              |                |
| #1       | Name  |  |             |                                | Mont       | h/Year<br>To      |                | Year     | *3              | ame                            |             |                         |          |           |         |                | Mor     | nth/Yea                 | ar Mon<br>To   | ith/Year       |
| #2       | Name  |  |             |                                | Mont       | h/Year            | Month/         | Year     | N #4            | ame                            |             |                         |          |           |         | <u></u>        | Mor     | nth/Yea                 | ir Mor         | th/Year        |
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| 7        | TELEPHONE<br>NUMBERS  | Work (include<br>Day<br>Night                    | e Area<br>( | Code and e                     | extension) | •                 |                |          |                 | <i>(incluc</i><br>Day<br>Night | de Are<br>( | ea Code)<br>)           |          |           |         |                |         |                         |                |                |
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|          | Mark the box at the<br>reflects your currer<br>status, and follow i | nt citizenship                                   |             | lam a U.S<br>Iam nota          |            |                   |                |          |                 | 6. Ansv                        | wer ite     | ems b, c i              | and c    | Ι.        |         | -              |         |                         |                |                |
| C        | UNITED STATES   | CITIZENSHIP                                      | lf you a    | u                              |            |                   |                |          |                 | ovide ir                       | nforma      | ation abo               | ut on    | e or mor  | e of th | he followi     | ng pro  | ofs of y                | our citi       | zenship.       |
|          | Naturalization Cert   | ificate <i>(Where w</i>                          | rere yo     | w naturalize                   | d?)        |                   |                |          |                 |                                |             |                         |          |           |         |                |         |                         |                |                |
|          | Court   |  |             |                                | City       |                   |                |          |                 | State                          | Ce          | irtificate I            | Numt     | ner       |         | Month          | /Day/\  | /ear is:                | sued           |                |
|          | Citizenship Certific  | ate (Where was                                   | the ce      | ortificate Issu                | ied?)      |                   |                |          |                 |                                |             |                         |          |           |         |                |         |                         |                |                |
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| -        | U.S. Passport   |  |             |                                |            |                   |                |          |                 |                                |             |                         |          |           |         |                |         |                         |                |                |
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| e        | ALIEN If you are a  |  |             |                                | -          |                   |                |          |                 |                                |             |                         |          |           |         |                |         |                         |                |                |
| •        | Place You<br>Entered the<br>United States:                          | City   |             |                                |            | State             | Date Y<br>Mont |          | itered U<br>Day | .S.<br>Year                    | Ali         | en Regis                | stratic  | n Numb    | er      | Count          | ry(ies) | of Citia                | zenship        |                |

Exception to SF85, SF85P, SF85P-S, SF86, and SF86A approved by GSA September, 1995. Designed using Perform Pro, WHS/DIOR, Sep 95

## 9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived overseas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last 5 years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |       |          | State   | ZIP Code   |
|------------------------------|----------------|--------|--------------|----------------|-------|----------|---------|------------|
| #1 To Present                |                |        |              |                |       |          |         |            |
| Name of Person Who Knows You | Street Address | Apt. # | City (Countr | y)             | State | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |       |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |       |          | State   | ZIP Code   |
| #2 То                        |                |        |              |                |       |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | y)             | State | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |       |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |       |          | State   | ZIP Code   |
| <b>#3</b> To                 |                |        |              |                |       |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | y)             | State | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |       |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |       |          | State   | ZIP Code   |
| #4 То                        |                |        |              |                |       |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | y)             | State | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |       |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |       |          | State   | ZIP Code   |
| <b>#5</b> To                 |                |        |              |                |       |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | y)             | State | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |       | t        | 1       | }          |
|                              | 1              |        | 1            |                | 1     | 1        |         | r          |

#### WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List all College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

"Use one of the following codes in the "Code" block:

1 - High School

3 - Vocational/Technical/Trade School

For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.

For correspondence schools and extension classes, provide the address where the records are maintained.

2 - College/University/Military College

| Month/Year Month/Year                | Code     | Name of School      |             |               | Degree/Diploma/Other |     |       | Month/Year Awarded |
|--------------------------------------|----------|---------------------|-------------|---------------|----------------------|-----|-------|--------------------|
| <b>#1</b> то                         |          |                     |             |               |                      |     |       |                    |
| Street Address and City (Country) o  | f School |                     |             |               |                      |     | State | ZIP Code           |
|                                      |          |                     |             |               |                      |     |       |                    |
| Name of Person Who Knew You          | Street A | \ddress             | Apt. #      | City (Country | /) State             | ZIP | Code  | Telephone Number   |
|                                      |          |                     |             |               |                      |     |       | ( )                |
| Month/Year Month/Year                | Code     | Name of School      |             |               | Degree/Diploma/Other | -   |       | Month/Year Awarded |
| <b>#2</b> To                         |          |                     |             |               |                      |     |       |                    |
| Street Address and City (Country) o  | f School |                     |             |               |                      |     | State | ZIP Code           |
|                                      |          |                     |             |               |                      |     |       |                    |
| Name of Person Who Knew You          | Street A | ddress .            | Apt. #      | City (Country | /) State             | ZIP | Code  | Telephone Number   |
|                                      |          |                     |             |               |                      |     |       | ( )                |
| Month/Year Month/Year                | Code     | Name of School      |             |               | Degree/Diploma/Other |     |       | Month/Year Awarded |
| <b>#3</b> To                         |          |                     |             |               |                      |     |       |                    |
| Street Address and City (Country) of | School   |                     | ·           |               |                      |     | State | ZIP Code           |
|                                      |          |                     |             |               |                      |     |       |                    |
| Name of Person Who Knew You          | Street A | ddress              | Apt. #      | City (Country | /) State             | ZIP | Code  | Telephone Number   |
|                                      |          |                     |             |               |                      |     |       | ( )                |
|                                      |          |                     |             |               |                      | *   |       | 1                  |
| Enter your Social Security           | Numbe    | r boforo going to t | ho next new |               |                      |     |       | 1                  |

Enter your Social Security Number before going to the next page-----

| YOUF  | R EMPLOYMENT ACTIVIT   | TIES   |   |   |   |   |  |  |
|---|--|--|---|---|---|---|--|--|
| tempo   | orary military duty locations  | over 90  | ning with the present (#1) and<br>days, self-employment, other pa<br>oyments before your 16th birthd  | id work, and all periods of   | ou should list all<br>f unemployment                                      | full-time<br>t. The en                          | work, part-time v<br>tire 7-year perio                                       | work, military service,<br>d must be accounted for                                       |
| • Code  | . Use one of the codes list  | ted below  | to identify the type of employme  | ent:  |   |   |  |  |
|   | - Active military duty statio  | ns   | 5 - State Governmer   | nt (Non-Federal   |   |   | ent (Include nan   | ne of 9 - Other  |
|   | - National Guard/Reserve   |  | employment)   | <b>4</b>  |   |   | can verify)  | 1. I   |
|   | <ul> <li>U.S.P.H.S. Commissione</li> <li>Other Federal employme</li> </ul>   |  | 6 - Self-employment   | (Include business<br>erson who can verify)  |   | Federal Con                                     | tractor (List Con  | itractor,  |
| 4   | - Other Pederal employine  | mit  | and/or name of p  | erson who can verify)   | not   | recerar   | agency)  |  |
| militar<br>your n<br>Previa<br>perioc<br>worke                        | ry service is being listed, in<br>military duty locations or ho<br>ous Periods of Activity.<br>d of employment in the initia<br>ad at XY Plumbing in Denvi | clude you<br>ome ports<br>Complete<br>al numbe<br>er, CO, de | ass name of your employer or th<br>ar duty location or home port her<br>these lines if you worked for an<br>red block, provide previous perio<br>uring 3 separate periods of time,<br>ervisors for the two previous per | e as well as your branch<br>employer on more than c<br>ods of employment at the<br>you would enter dates ar | of service. You<br>one occasion at<br>same location o<br>nd information c | should p<br>the same<br>on the add<br>oncerning | rovide separate<br>location. After<br>ditional lines pro<br>g the most recer | listings to reflect changes in<br>entering the most recent<br>vided. For example, if you |
| Month/  | Year Month/Year  | Code   | Employer/Verifier Name/Milita   | ry Duty Location  |   | Your Pr   | sition Title/Milita  | ary Rank   |
| #1  |  |  | Linpoyer veniler Ramerwind  | ry Doly Location  |   |   |  | ary reality  |
| #1  | To Present   |  |   |   |   |   |  |  |
| Employer's/   | Verifier's Street Address  |  |   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
| Street Addr   | ress of Job Location (if diffe   | erent than   | Employer's Address)   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
|   |  |  |   |   |   |   | 1  | ()   |
|   |  |  |   |   |   |   |  |  |
| Supervisor'   | s Name & Street Address (  | (if differen   | t than Job Location)  | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
|   |  |  |   | 1   |   | [   |  | ()   |
|   | Month/Year Mont  | th/Year  | Position Title  |   | Supervis  | L<br>SOF  | 1  |  |
|   |  |  |   |   | Odporta   |   |  |  |
| PREVIOUS  | То   |  |   |   |   |   |  |  |
| PERIODS   | Month/Year Mont  | th/Year  | Position Title  |   | Supervis  | sor   |  |  |
| OF  | То   |  |   |   |   |   |  |  |
| ACTIVITY  |  | 1. 6/  | Destrict The  | · · · · · · · · · · · · · · · · · · ·   |   |   |  |  |
| (Block #1)  | Month/Year Mont  | th/Year  | Position Title  |   | Supervis  | sor   |  |  |
|   | То   |  |   |   |   |   |  |  |
| Month/  | Year Month/Year  | Code   | Employer/Verifier Name/Milita   | ry Duty Location  |   | Your Pe   | osition Title/Milita   | arv Rank   |
| #2  |  |  |   | .,,   |   |   |  |  |
| <b>#</b> £  | То   |  |   |   |   |   |  |  |
| Employer's/   | Venifier's Street Address  |  |   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
|   |  |  | •   |   |   |   |  |  |
|   | · · · · · · · · · · · · · · · · · · ·  |  |   |   |   |   |  |  |
| Street Addr   | ess of Job Location (if diffe  | erent than   | Employer's Address)   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
|   |  |  |   |   |   |   |  | ()   |
| Supervisor  | s Name & Street Address (  | (if differen   | t than Job Location)  | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
|   | ·  | •  | · ·   |   |   |   |  |  |
|   |  |  |   |   | · · · · · · · · · · · · · · · · · · ·                                     |   |  |  |
|   | Month/Year Mont  | th/Year  | Position Title  |   | Supervis  | sor   |  |  |
| PREVIOUS  | То   |  |   |   |   |   |  |  |
| PERIODS   | Month/Year Mont  | th/Year  | Position Title  |   | Supervis  | sor   |  |  |
| OF  | То   |  |   |   |   |   |  |  |
| ACTIVITY  |  |  |   |   |   |   |  |  |
| (Block #2)  | Month/Year Mont  | th/Year  | Position Title -  |   | Supervis  | SOF   |  |  |
|   | То   |  |   |   |   |   |  |  |
| Month/  | Year Month/Year  | Code   | Employer/Verifier Name/Milita   | ry Duty Location  |   | Your Pe   | sition Title/Milit   | ary Rank   |
| #3  |  |  |   | ,,  |   |   |  |  |
|   | То   |  | l <u> </u>  |   |   |   |  |  |
| Employers   |  |  |   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
| Employer a  | Verifier's Street Address  |  |   |   |   |   |  |  |
| Linployer a   | /venner's Street Address   |  |   |   |   |   | 1  |  |
|   |  | arent than   | Employer's Address)   | City (Country)  |   | State   | ZIP Code   |  |
|   | verther's Street Address   | erent than   | Employer's Address)   | City (Country)  |   | State   | ZIP Code   | Telephone Number   |
| Street Addro  | ess of Job Location (if diffe  |  | · · · · · · · · · · · · · · · · · · ·   |   |   | State   | ZIP Code   |  |
| Street Addro  |  |  | · · · · · · · · · · · · · · · · · · ·   | City (Country)<br>City (Country)  |   | State<br>State                                  | ZIP Code   |  |
| Street Addr   | ess of Job Location (if diffe  |  | · · · · · · · · · · · · · · · · · · ·   |   |   |   |  | Telephone Number ( ) Telephone Number  |
| Street Addro  | ess of Job Location (if diffe<br>s Name & Street Address (   | (if differen   | t than Job Location)  |   |   | State   |  | Telephone Number   |
| Street Addre  | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont  |  | · · · · · · · · · · · · · · · · · · ·   |   | Supervis  | State   |  | Telephone Number ( ) Telephone Number  |
| Street Addre<br>Supervisor's<br>PREVIOUS                              | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont  | (if differen   | t than Job Location)  |   | Supervis  | State   |  | Telephone Number ( ) Telephone Number  |
| Street Addro<br>Supervisor's<br>PREVIOUS<br>PERIODS                   | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont<br>To  | (if differen   | t than Job Location)  |   | Supervis  | State   |  | Telephone Number ( ) Telephone Number  |
| Street Addro<br>Supervisor's<br>PREVIOUS<br>PERIODS<br>OF             | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont<br>To<br>Month/Year Mont   | (if differen<br>th/Year                                      | t than Job Location)<br>Position Title  |   |   | State   |  | Telephone Number ( ) Telephone Number  |
| Street Addre<br>Supervisor's<br>PREVIOUS<br>PERIODS<br>OF<br>ACTIVITY | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont<br>To<br>Month/Year Mont<br>To   | (if differen<br>th/Year<br>th/Year                           | t than Job Location)<br>Position Title<br>Position Title  |   | Supervis  | State<br>sor                                    |  | Telephone Number ( ) Telephone Number  |
| Street Addro<br>Supervisor's<br>PREVIOUS<br>PERIODS<br>OF             | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont<br>To<br>Month/Year Mont<br>To   | (if differen<br>th/Year                                      | t than Job Location)<br>Position Title  |   |   | State<br>sor                                    |  | Telephone Number ( ) Telephone Number  |
| Street Addre<br>Supervisor's<br>PREVIOUS<br>PERIODS<br>OF<br>ACTIVITY | ess of Job Location (if diffe<br>s Name & Street Address (<br>Month/Year Mont<br>To<br>Month/Year Mont<br>To   | (if differen<br>th/Year<br>th/Year                           | t than Job Location)<br>Position Title<br>Position Title  |   | Supervis  | State<br>sor                                    |  | Telephone Number ( ) Telephone Number  |

Enter your Social Security Number before going to the next page-----

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| YOUR EMP                     | LOYMENT ACTIVITIES                                       | (CONTIN        | UED)            |                       |   |              |            |                             |            |                            |           |
|------------------------------|--|----------------|-----------------|-----------------------|---|--------------|------------|-----------------------------|------------|----------------------------|-----------|
| Month/Y                      |  | Code           | Employer/Ve     | anifier Name/Military | Duty Location   |              | Your Po    | sition Title/W              | Ailitary R | ank                        |           |
|                              | To<br>Verifier's Street Address                          | 3              |                 |                       | City (Country)  |              | State      | ZIP Code                    | T          | elephone Nu                | umber     |
| Street Addre                 | ess of Job Location (if di                               | ifferent than  | Employer's A    | ddress)               | City (Country)  |              | State      | ZIP Code                    | T          | /<br>elephone Nu           | umber     |
| Supervisor's                 | Name & Street Addres                                     | s (if differe  | nt than Job Loc | ation)                | City (Country)  |              | State      | ZIP Code                    | 1.         | /<br>elephone Nu<br>)      | umber     |
|                              | Month/Year M   | onth/Year      | Position Title  | •                     | l <u>, ,, ,,</u>  | Supervis     | sor        |                             | (          | /                          |           |
| PREVIOUS<br>PERIODS          | To<br>Month/Year M                                       | onth/Year      | Position Title  | )                     |   | Supervi      | SOF        |                             |            |                            |           |
| OF<br>ACTIVITY               | То   |                |                 |                       |   |              |            |                             |            |                            |           |
| (Block #4)                   | Month/Year M<br>To                                       | onth/Year      | Position Title  | •                     |   | Supervis     | SOF        |                             |            |                            |           |
| Month/y<br>#5                |  | Code           | Employer/Ve     | erifier Name/Military | Duty Location   |              | Your Po    | osition Title/M             | Ailitary R | ank                        |           |
|                              | To<br>/erifier's Street Address                          | <br>;          |                 |                       | City (Country)  |              | State      | ZIP Code                    | T          | elephone N                 | umber     |
| Street Addre                 | ss of Job Location (if d                                 | ifferent than  | Employer's A    | ddress)               | City (Country)  |              | State      | ZIP Code                    | ) <br>     | )<br>Felephone Nu          | umber     |
| Supervisor's                 | Name & Street Addres                                     | s (if differe  | nt than Job Loc | ation)                | City (Country)  |              | State      | ZIP Code                    | ) <br>T    | )<br>Telephone Ni          | umber     |
|                              | Month/Year M   | onth/Year      | Position Title  |                       |   | Supervi      |            |                             | (          | )                          |           |
| PREVIOUS                     | То   |                |                 |                       |   |              |            |                             |            |                            |           |
| OF                           | Month/Year M   | onth/Year      | Position Title  | •                     |   | Supervis     | sor        |                             |            |                            |           |
| (Block #5)                   | Month/Year M<br>To                                       | onth/Year      | Position Title  | )                     |   | Supervi      | sor        |                             |            |                            |           |
| Month/Y<br>#6                | 'ear Month/Year<br>To                                    | Code           | Employer/Ve     | erifier Name/Military | Duty Location   | I            | Your Po    | osition Title/N             | Ailitary R | lank                       |           |
| Employer's/                  | /erifier's Street Address                                | - I .<br>i     |                 |                       | City (Country)  |              | State      | ZIP Code                    | T          | elephone Ni                | umber     |
| Street Addre                 | ss of Job Location (if di                                | fferent than   | Employer's A    | ddress)               | City (Country)  |              | State      | ZIP Code                    | Т          | elephone Nu                | umber     |
| Supervisor's                 | Name & Street Addres                                     | s (if differer | nt than Job Loc | ation)                | City (Country)  |              | State      | ZIP Code                    | T          | /<br>elephone Ni           | umber     |
|                              | Month/Year M   | onth/Year      | Position Title  | )                     |   | Supervis     | sor        |                             | ](         | )                          |           |
| PREVIOUS                     | To<br>Month/Year M                                       | onth/Year      | Position Title  | •                     |   | Supervis     | sor        |                             |            |                            |           |
| OF<br>ACTIVITY<br>(Block #6) | To<br>Month/Year Ma                                      | onth/Year      | Position Title  | )                     |   | Supervis     | sor        |                             |            |                            |           |
|                              | То   |                |                 | •                     |   |              |            |                             |            |                            |           |
| 6                            | EMPLOYMENT RECO  |                |                 |                       |   |              |            |                             |            | Yes                        | No        |
|                              | y of the following happe<br>uit, or left, and other infe |                |                 | ears? If "Yes," beg   | in with the most recent occ                             | urrence and  | go backw   | vard, providin              | ig date    |                            | [         |
| Use the                      | following codes and e                                    |                | -               | •                     |   |              |            |                             |            |                            | _ <u></u> |
| 4 552                        | d from a job   |                |                 | -                     | ollowing allegations of misc<br>ollowing allegations of | conduct      |            | 5 - Left a job<br>under unf |            | r reasons<br>e circumstand | ces       |
|                              | a job after being told                                   |                | Cert a job by i | -                     | onowing anegations of                                   |              |            |                             |            |                            |           |
| <b>2</b> - Quit              | a job after being told<br>d be fired                     |                | unsatisfactory  | periormance           |   |              |            |                             |            |                            |           |
| <b>2</b> - Quit              | d be fired   | ecify Reas     |                 |                       | ame and Address (Include                                | city/Country | if outside | e U.S.)                     | State      | ZIP                        | Code      |
| 2 - Quit<br>you'             | d be fired   |                |                 |                       | ame and Address (Include                                | city/Country | if outside | 9 U.S.)                     | State      | ZIP                        | Code      |

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| -         | PEOPLE WHO KNOW YOU WELL<br>List three people who know you well<br>association with you covers as well a<br>elsewhere on this form. | and live i<br>is possibl | n the United St<br>e the last 7 yea | ates. They should be<br>rs. Do not list your sp | good friends, peers,<br>oouse, former spouse | colleagues<br>s, or other | relatives, and          | try not to list             | whose ca<br>anyone | ombined<br>who is list | ed     |
|-----------|---|--------------------------|-------------------------------------|---|--|---------------------------|-------------------------|-----------------------------|--------------------|------------------------|--------|
| Nam<br>#1 | e   |                          |                                     | N   | Dates Known<br>Ionth/Year Month/             | Year                      | Telephone N             | <i>i</i> >                  |                    |                        |        |
|           | e or Work Address   |                          |                                     | I   | To   | City (Cou                 |                         | ( )                         | State              | ZIP Cod                | e      |
| Nam<br>#2 | le  |                          |                                     | N   | Dates Known<br>Ionth/Year Month/             | Year                      | Telephone N             | umber                       | L                  | L                      |        |
|           | ne or Work Address  |                          |                                     | <b>I</b>  | То   | City (Co                  | Night<br>untry)         | <u> </u>                    | State              | ZIP Cod                | e      |
| Nam<br>#3 | le  |                          |                                     | N   | Dates Known<br>Ionth/Year Month/             | Year                      | Telephone N             | umber                       | 1                  | <u> </u>               |        |
|           | e or Work Address   |                          |                                     |   | To   | City (Co                  | Untry)                  | · · · ·                     | State              | ZIP Cod                | 6      |
| 14        | YOUR MARITAL STATUS Mark one of the following boxes to st 1 - Never married (go to quest) 2 - Married                               | •                        | 3                                   | status:<br>- Separated<br>- Legally Separated   |  |                           | - Divorced<br>- Widowed |                             | <u> </u>           | <u> </u>               |        |
|           | ent Spouse Complete the following a   | bout you                 |                                     |   |  |                           |                         |                             |                    | 0                      | NI     |
|           | Name  |                          |                                     | Birth (Mo./Day/Yr.)                             | Place of Birth (Inclu                        |                           | y if outside the        | U.S.)                       | Social             | Security               | Number |
|           | ar Names Used (Specify maiden name  | e, names                 |                                     |   |  |                           | 15 A 14- 46-            |                             |                    |                        |        |
|           | ntry of Citizenship   |                          |                                     | arried (Mo./Day/Yr.)                            | Place Married (Incl                          |                           |                         |                             |                    |                        | ate    |
| If Se     | parated, Date of Separation (Mo./Day  | /Yr.)                    | If Legal                            | y Separated, Where i                            | s the Record Located                         | l? City (Co               | ountry)                 |                             |                    | S                      | ate    |
| Addi      | ress of Current Spouse (Street, city, a   | nd countr                | y if outside the                    | U.S.)   |  |                           |                         | State                       | ZIP C              | ode                    |        |
| 15        | YOUR RELATIVES<br>Give the full name, correct code, and   | d other re               | quested informa                     | ation for each of your                          | relatives, living or dea                     | ad, specifie              | d below.                |                             |                    |                        |        |
|           | 1 - Mother (first)  |                          | 3 - Step<br>4 - Step                |   | 5 - Foste<br>6 - Child                       | r Parent<br>(adopted a    | ilso)                   |                             | 7 - Ste            | epchild                |        |
|           | Z - Faiber (Second)   |                          |                                     |   |  | (adoptod d                |                         |                             |                    |                        | Υ      |
| Full      | 2 - Father (second)<br>Name (If deceased, check box on the<br>left before entering name)  | Code                     | Date of Birt<br>Month/Day/Y         | Country of B                                    | inth Country(ie<br>Citizens                  |                           | Current Street          | Address and Living Relation |                    | ountry) of             | State  |
| Full N    | Name (If deceased, check box on the   | Code<br>1                | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | ountry) of             | State  |
| Full I    | Name (If deceased, check box on the   |                          | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | ountry) of             | State  |
| Full M    | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | ountry) of             | State  |
| Full I    | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    |                        | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | puntry) of             | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | punitry) of            | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | punitry) of            | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | puntry) of             | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | puntry) of             | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    | puntry) of             | State  |
|           | Name (If deceased, check box on the   | 1                        | Date of Birt                        | Country of B                                    |  |                           |                         |                             |                    |                        | State  |

Enter your Social Security Number before going to the next page-----

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| 16       | YOUR MILITA                       | RY HISTOP                     | RY                             | · · · · ·  |                  |  |                                | · _ · _ · _ ·              |                              |                  | Yes        | No        |
|----------|-----------------------------------|-------------------------------|--------------------------------|--|------------------|--|--------------------------------|----------------------------|------------------------------|------------------|------------|-----------|
|          |                                   |                               | the United Sta                 |  |                  |  |                                |                            |                              |                  |            |           |
|          | <u> </u>                          |                               |                                | ates Merchant Marine?<br>cluding service in Reserve, I     | National Gua     | d and US Mem                             | hant Marine                    | Start with the             | mostrec                      | ent period of se | vice (#1)  | and work  |
|          | backward. If y                    | rou had a bri                 | eak in service                 | elow to identify your branch                               | uld be listed.   |  | nan wanne.                     | orant with the             | 11031100                     |                  | (**)       |           |
|          | 1 - Air Ford                      | ае <b>2</b> -Апт              | ny <b>3</b> -Nav               | y 4 - Marine Corps   | 5 - Coast Gua    | ard 6 - Mercha                           | int Marine                     | 7 - National (             | Guard                        |                  |            |           |
|          | •O/E. Mark                        | "O" block fo                  | r Officer or "E                | " block for Enlisted.                                      |                  |  |                                |                            |                              |                  |            |           |
|          |                                   |                               |                                | r the status of your service of state to mark the block.   | luring the tim   | e that you served.                       | . If your serv                 | ice was in the             | National                     | Guard, do not u  | se         |           |
|          | · · ·                             | -                             |                                | her than the U.S. Armed Fo                                 |                  |  |                                |                            |                              |                  |            |           |
|          | Month/Year                        | Month/Y                       | ear Code                       | Service/Certificate N                                      | o. O             | E Active                                 | Active<br>Reserve              | Inactive<br>Reserve        | National<br>Guard<br>(State) | с.<br>           | ountry     |           |
|          |                                   | То                            |                                |  |                  |  |                                |                            |                              |                  |            |           |
| _        |                                   | То                            |                                |  |                  |  |                                |                            |                              |                  | X          | Ma        |
| 17       | YOUR SELEC                        |                               |                                | D<br>ber 31, 1959? If "No," go to                          | 18. If "Yes."    | go to b.                                 |                                |                            |                              |                  | Yes        | No        |
|          | b Have yo                         |                               |                                | ctive Service System? If "Y                                |                  |  | umber. If "N                   | lo," show the n            | eason for                    | your legal       |            |           |
|          | Registration N                    |                               |                                | Legal Exemption Explanal                                   | lion             |  |                                |                            |                              |                  | <u> </u>   |           |
| 18       | YOUR INVES                        | TIGATIONS                     | RECORD                         |  |                  |  |                                |                            |                              |                  | Yes        | No        |
|          |                                   |                               |                                | nt ever investigated your ba                               |                  |  |                                |                            |                              |                  |            |           |
|          | received                          | l, enter "Oth                 | er" agency co                  | ormation below. If "Yes," b<br>de or clearance code, as a  | opropriate, ar   | nd "Don't know" o                        | or "Don't rec                  | all" under the "           | Other A                      | gency"           |            |           |
|          | heading                           | , below. If y                 | our response                   | is "No," or you don't know o                               | x can't recail   | if you were invest                       | igated and c                   | leared, chack t            | he "No" t                    | юх.              |            |           |
|          | Codes for Inve<br>1 - Defense D   |                               | ency                           | 4 - FBI  |                  | Codes for Secu<br>0 - Not Require        | •                              | e Received                 |                              |                  | 6          | - L       |
|          | 2 - State Depa<br>3 - Office of P | irtment                       | pagamont                       | 5 - Treasury Department<br>6 - Other (Specify)             |                  | 1 - Confidential<br>2 - Secret           | 4 -                            |                            | npartmer                     | ted Information  | 7          | - Other   |
|          | Month/Year                        | Agency                        | nagement                       | Other Agency   | Clearance        | A - Secret<br>Month/Year                 | Э-                             | . Q<br>                    | Other A                      |                  |            | learance  |
|          | Monthly I Gal                     | Čode                          |                                | other Agency   | Code             | incritite real                           | Čode                           |                            | oulei F                      | gency            |            | Code      |
|          |                                   |                               |                                |  |                  |  | ļ                              |                            |                              | ·                |            |           |
|          |                                   |                               |                                |  |                  |  |                                |                            |                              |                  | 1          |           |
|          | D To your                         | knowledge,                    | have you eve                   | r had a clearance or access                                | Ls authorization | n denied, suspend                        | l<br>ded, or revok             | ed, or have yo             | u ever be                    | en debarred      | Yes        | No        |
|          |                                   | /ernment en<br>æ is not a re  |                                | "Yes," give date of action a                               | ind agency. i    | Note: An adminis                         | trative downg                  | grade or termin            | ation of a                   | security         |            |           |
|          | Month/Year                        |                               | Departme                       | nt or Agency Taking Action                                 |                  | Month/Year                               | <u></u>                        | Departme                   | ent or Ag                    | ency Taking Act  | ion        | , <u></u> |
|          |                                   |                               |                                |  |                  |  |                                |                            |                              |                  |            |           |
|          |                                   |                               |                                |  |                  |  |                                |                            |                              |                  |            |           |
| 1        | FOREIGN CO                        | UNTRIES Y                     | OU HAVE VI                     | SITED  |                  |  |                                |                            |                              |                  |            |           |
|          | List foreign co                   | untries you )                 | nave visited, e                | except on travel under officia                             | al Governmer     | nt orders, beginnir                      | ng with the m                  | ost current (#1            | ) and wo                     | rking back 7 yea | ars. (Trav | el as a   |
|          | dependent or (                    | contractor m                  | ust be listed.)                |  |                  |  |                                |                            |                              |                  |            |           |
|          |                                   |                               | -                              | purpose of your visit: 1 - B                               |                  |  | - Education                    | 4 - Other                  |                              |                  |            |           |
|          | Include short<br>not need to list | trips to Can<br>st each trip. | ada or Mexico<br>Instead, prov | b. If you have lived near a bride the time period, the cod | order and ha     | ive made short (or<br>y, and a note ("Ma | ne day or les<br>any Short Tri | s) trips to the r<br>ps"). | ieighborii                   | ng country, you  | do         |           |
| <u> </u> | Do not repea<br>Month/Year        | t travel cove<br>Month/Yes    | 1                              |  |                  | No-16-04                                 |                                | N                          |                              |                  |            |           |
|          | MOUNTAGO                          | MOUTINAGE                     | * C009                         | Country  |                  | Month/Y                                  | ear Month                      | /Year Cod                  | e                            | Cour             | itry       |           |
| #1       | Т                                 | 0                             |                                |  |                  | #5                                       | То                             |                            |                              |                  |            |           |
| #2       | т                                 | o                             |                                |  |                  | #6                                       | То                             |                            |                              |                  |            |           |
| #3       | т                                 | 0                             |                                | γ-4.9 <u>γγγ-α</u> τάπτα                                   |                  | #7                                       | То                             |                            |                              |                  |            |           |
|          |                                   |                               |                                |  |                  |  |                                |                            |                              |                  |            |           |
| #4       | T                                 |                               |                                |  |                  | #8                                       | To                             |                            |                              | - <u> </u>       |            |           |
|          | _                                 | ial Secu                      | rity Numb                      | er before going to t                                       | he next p        | age ———                                  |                                |                            |                              |                  |            |           |
| Pag      | e 6                               |                               |                                |  |                  |  |                                |                            |                              |                  |            |           |

| 20  | YOUR POL                | ICE RECORD (      | Do not inclu                 | de anything   | that happe   | ned before your 1                       | 6th birthday.)      |  | -        | Yes | No     |
|-----|-------------------------|-------------------|------------------------------|---------------|--------------|---|---------------------|--|----------|-----|--------|
|     | in the last 7           | ' years, have you | been arrest                  | ed for, chan  | ged with, or | r convicted of any                      | offense(s)? (Leav   | ve out traffic fines of less than \$150.)  |          |     |        |
|     | If you answ             | ered "Yes," expla | ain your ans                 | wer(s) in the | space pro    | vided.                                  |                     |  |          |     |        |
| Mor | nth/Year                | Offense           |                              | Action Ta     | aken         | Law Enforcemer                          | nt Authority or Cou | Int (City and county/country if outside the U.S.)  | State    | ZIP | Code   |
|     |                         |                   |                              |               |              |   |                     | · · · · · · · · · · · · · · · · · · ·  |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
| 21  | ILLEGAL C               | RUGS              |                              |               |              |   |                     |  |          |     |        |
|     | failure to do           | so could be gro   | unds for an a                | adverse em    | ployment de  |   | gainst you, but ne  | ver the questions fully and truthfully, and<br>ither your truthful responses nor informat<br>eeding. |          | Yes | No     |
| 0   |                         | odeine, heroin, e |                              |               |              |   |                     | crack cocaine, hashish, narcotics (opiurr<br>lizers, etc.), hallucinogenics (LSD, PCP, o             |          |     |        |
| 0   |                         |                   |                              |               |              | se, manufacture, i<br>our own intended  |                     | tion, transfer, shipping, receiving, or sale<br>tother?  | of any   |     |        |
|     |                         |                   |                              |               |              | to the types of su<br>counseling receiv |                     | ature of the activity, and any other details   | relating |     |        |
| M   | Ionth/Year              | Month/Year        |                              | Controlled    | Substance/   | Prescription Drug                       | Used                | Number of Times  | Used     |     |        |
|     | То                      |                   |                              |               |              |   |                     |  |          |     |        |
|     | То                      |                   |                              | <u> </u>      |              |   |                     |  | · · ·    |     |        |
|     | To                      |                   |                              |               |              |   |                     |  |          | N   | N-     |
| 22  | YOUR FIN                | ANCIAL RECOR      | U .                          |               |              |   |                     |  |          | Yes | No     |
| 0   |                         |                   |                              |               |              |   |                     | ptcy, been declared bankrupt, been subj<br>te of initial action and other information re             |          |     |        |
|     | Month/Yea               | ar Type of        | Action                       | Name          | Action Occ   | curred Under                            | Name/Addres         | ss of Court or Agency Handling Case  | State    | ZIP | Code   |
|     | <del>``</del>           |                   |                              | ••••=         |              | <u></u>                                 |                     | <u>.</u>   |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
| 0   | Are you no<br>Governmer | •                 | delinquent o                 | on any loan o | or financial | obligation? Includ                      | le loans or obligat | ions funded or guaranteed by the Federa  | I        | Yes | No     |
|     | lf you answ             | ered "Yes," prov  | ide the inform               | mation requ   | ested belov  | v:                                      |                     |  |          |     |        |
|     | Month/Yes               | r Type of<br>ar   | Loan or Obli<br>Id Account # | gation        | Name/Add     | dress of Creditor o                     | or Obligee          |  | State    | ZIP | Code   |
|     |                         |                   |                              |               |              |   |                     |  |          |     | •••••, |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          |     |        |
|     |                         |                   |                              |               |              |   |                     |  |          | k   |        |

After completing this form and any attachments, you should review your answers to all questions to make sure the form is complete and accurate, and then sign and date the following certification and sign and date the release on Page 8.

### **Certification That My Answers Are True**

My statements on this form, and any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both. (See section 1001 of title 18, United States Code).

Enter your Social Security Number before going to the next page

Date

-

## **UNITED STATES OF AMERICA**

### AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for assignment to, or retention in a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and other sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 85P, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner.

| Signature (Sign in ink)        | Full Name (Type or Print Legibly) |       |          | Date Signed   |
|--------------------------------|-----------------------------------|-------|----------|---|
|                                |                                   |       |          |   |
| Other Names Used               |                                   |       |          | Social Security Number                              |
| Current Address (Street, City) |                                   | State | ZIP Code | Home Telephone Number<br>(Include Area Code)<br>( ) |

Form approved: OMB No. 3206-0191 NSN 7540-01-317-7372 85-1602

## UNITED STATES OF AMERICA

### AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in black ink.

### Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

I am seeking assignment to or retention in a position of public trust with the Federal Government as a(n)

(Investigator instructed to write in position title.)

As part of the investigative process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgment or reliability?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand that the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 85P and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

| Signature (Sign in ink)        | Full Name (Type or Print Legibly) |      | Date Signed |   |
|--------------------------------|-----------------------------------|------|-------------|---|
|                                |                                   |      |             |   |
| Other Names Used               | k, , ,,,                          |      |             | Social Security Number                              |
| Current Address (Street, City) | Sta                               | late | ZIP Code    | Home Telephone Number<br>(Include Area Code)<br>( ) |

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

## **Questionnaire for National Security Positions**

Follow instructions fully or we cannot process your form. Be sure to sign and date the certification statement on Page 9 and the release on Page 10. If you have any questions, call the office that gave you the form.

#### Purpose of this Form

The U.S. Government conducts background investigations and reinvestigations to establish that military personnel, applicants for or incumbents in national security positions, either employed by the Government or working for Government contractors, licensees, certificate holders, and grantees, are eligible for a required security clearance. Information from this form is used primarily as the basis for investigation for access to classified information or special nuclear information or material. Complete this form only after a conditional offer of employment has been made for a position requiring a security clearance.

Giving us the information we ask for is voluntary. However, we may not be able to complete your investigation, or complete it in a timely manner, if you don't give us each item of information we request. This may affect your placement or security clearance prospects.

#### Authority to Request this Information

Depending upon the purpose of your investigation, the U.S. Government is authorized to ask for this information under Executive Orders 10450, 10865, 12333, and 12356; sections 3301 and 9101 of title 5, U.S. Code; sections 2165 and 2201 of title 42, U.S. Code; sections 781 to 887 of title 50, U.S. Code; and parts 5, 732, and 736 of Title 5, Code of Federal Regulations.

Your Social Security number is needed to keep records accurate, because other people may have the same name and birth date. Executive Order 9397 also asks Federal agencies to use this number to help identify individuals in agency records.

#### The Investigative Process

Background investigations for national security positions are conducted to develop information to show whether you are reliable, trustworthy, of good conduct and character, and loyal to the United States. The information that you provide on this form is confirmed during the investigation. Investigation may extend beyond the time covered by this form when necessary to resolve issues. Your current employer must be contacted as part of the investigation, even if you have previously indicated on applications or other forms that you do not want this.

In addition to the questions on this form, inquiry also is made about a person's adherence to security requirements, honesty and integrity, vulnerability to exploitation or coercion, falsification, misrepresentation, and any other behavior, activities, or associations that tend to show the person is not reliable, trustworthy, or loyal.

#### Your Personal Interview

Some investigations will include an interview with you as a normal part of the investigative process. This provides you the opportunity to update, clarify, and explain information on your form more completely, which often helps to complete your investigation faster. It is important that the interview be conducted as soon as possible after you are contacted. Postponements will delay the processing of your investigation, and declining to be interviewed may result in your investigation being delayed or canceled.

You will be asked to bring identification with your picture on it, such as a valid State driver's license, to the interview. There are other documents you may be asked to bring to verify your identity as well. These include documentation of any legal name change, Social Security card, and/or birth certificate. You may also be asked to bring documents about information you provided on the form or other matters requiring specific attention. These matters include alien registration, delinquent loans or taxes, bankruptcy, judgments, liens, or other financial obligations, agreements involving child custody or support, alimony or property settlements, arrests, convictions, probation, and/or parole.

#### **Organization of this Form**

This form has two parts. Part 1 asks for background information, including where you have lived, gone to school, and worked. Part 2 asks about your activities and such matters as firings from a job, criminal history record, use of illegal drugs, and abuse of alcohol.

In answering all questions on this form, keep in mind that your answers are considered together with the information obtained in the investigation to reach an appropriate adjudication.

#### Instructions for Completing this Form

1. Follow the instructions given to you by the person who gave you the form and any other clarifying instructions furnished by that person to assist you in completion of the form. Find out how many copies of the form you are to turn in. You must sign and date, in black ink, the original and each copy you submit. You should retain a copy of the completed form for your records.

2. Type or legibly print your answers in black ink (if your form is not legible, it will not be accepted). You may also be asked to submit your form in an approved electronic format.

3. All questions on this form must be answered. If no response is necessary or applicable, indicate this on the form (for example, enter "None" or "N/A"). If you find that you cannot report an exact date, approximate or estimate the date to the best of your ability and indicate this by marking "APPROX." or "EST."

4. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify the form consistent with your intent.

5. You must use the State codes (abbreviations) listed on the back of this page when you fill out this form. Do not abbreviate the names of cities or foreign countries.

6. The 5-digit postal ZIP codes are needed to speed the processing of your investigation. The office that provided the form will assist you in completing the ZIP codes.

7. All telephone numbers must include area codes.

8. All dates provided on this form must be in Month/Day/Year or Month/Year format. Use numbers (1-12) to indicate months. For example, June 8, 1978, should be shown as 6/8/78.

9. Whenever "City (Country)" is shown in an address block, also provide in that block the name of the country when the address is outside the United States.

10. If you need additional space to list your residences or employments/self-employments/unemployments or education, you should use a continuation sheet, SF 86A. If additional space is needed to answer other items, use a blank piece of paper. Each blank piece of paper you use must contain your name and Social Security Number at the top of the page.

#### **Final Determination on Your Eligibility**

Final determination on your eligibility for access to classified information is the responsibility of the Federal agency that requested your investigation. You may be provided the opportunity personally to explain, refute, or clarify any information before a final decision is made.

#### Penalties for Inaccurate or False Statements

The U.S. Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines of up to \$10,000, and/or 5 years imprisonment, or both. In addition, Federal agencies generally fire, do not grant a security clearance, or disqualify individuals who have materially and deliberately falsified these forms, and this remains a part of the permanent record for future placements. Because the position for which you are being considered is a sensitive one, your trustworthiness is a very important consideration in deciding your eligibility for a security clearance.

Your prospects of placement or security clearance are better if you answer all questions truthfully and completely. You will have adequate opportunity to explain any information you give us on the form and to make your comments part of the record.

#### Disclosure of Information

The information you give us is for the purpose of investigating you for a national security position; we will protect it from unauthorized disclosure. The collection, maintenance, and disclosure of background investigative information is governed by the Privacy Act. The agency which requested the investigation and the agency which conducted the investigation have published notices in the Federal Register describing the systems of records in which your records will be maintained. You may obtain copies of the relevant notices from the person who gave you this form. The information on this form, and information we collect during an investigation may be disclosed without your consent as permitted by the Privacy Act (5 USC 552a(b)) and as follows:

#### PRIVACY ACT ROUTINE USES

1. To the Department of Justice when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such litigation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation end the use of such records by the Department of Justice is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.

2. To a court or adjudicative body in a proceeding when: (a) the agency or any component thereof; or (b) any employee of the agency in his or her official capacity; or (c) any employee of the agency in his or her individual capacity where the Department of Justice has agreed to represent the employee; or (d) the United States Government, is a party to litigation or has interest in such ilitgation, and by careful review, the agency determines that the records are both relevant and necessary to the litigation and the use of such records is therefore deemed by the agency to be for a purpose that is compatible with the purpose for which the agency collected the records.

3. Except as noted in Question 24, when a record on its face, or in conjunction with other records, indicates a violation or potential violation of law, whether civil, criminal, or regulatory in nature, and whether arising by general statute, particular program statute, regulation, rule, or order issued pursuant thereto, the relevant records may be disclosed to the appropriate Federal, foreign, State, local, tribal, or other public authority responsible for enforcing, investigating or prosecuting such violation or charged with enforcing or implementing the statute, regulation, or order.

4. To any source or potential source from which information is requested in the course of an investigation concerning the hiring or retention of an employee or other personnel action, or the issuing or retention of a security clearance, contract, grant, license, or other benefit, to the extent necessary to identify the individual, inform the source of the nature and purpose of the investigation, and to identify the type of information requested.

5. To a Federal, State, local, foreign, tribal, or other public authority the fact that this system of records contains information relevant to the retention of an employee, or the retention of a security clearance, contract, license, grant, or other benefit. The other agency or licensing organization may then make a request supported by written consent of the individual for the entire record if it so chooses. No disclosure will be made unless the information has been determined to be sufficiently reliable to support a referral to another office within the agency or to another Federal agency for criminal, civil, administrative, personnel, or regulatory action.

6. To contractors, grantees, experts, consultants, or volunteers when necessary to perform a function or service related to this record for which they have been engaged. Such recipients shall be required to comply with the Privacy Act of 1974, as amended.

7. To the news media or the general public, factual information the disclosure of which would be in the public interest and which would not constitute an unwarranted invasion of personal privacy.

8. To a Federal, State, or local agency, or other appropriate entities or individuals, or through established liaison channels to selected foreign governments, in order to enable an intelligence agency to carry out its responsibilities under the National Security Act of 1947 as amended, the CLA Act of 1949 as amended, Executive Order 12333 or any successor order, applicable national security directives, or classified implementing procedures approved by the Attorney General and promulgated pursuant to such statutes, orders or directives.

9. To a Member of Congress or to a Congressional staff member in response to an inquiry of the Congressional office made at the written request of the constituent about whom the record is maintained.

10. To the National Archives and Records Administration for records management inspections conducted under 44 USC 2904 and 2906.

11. To the Office of Management and Budget when necessary to the review of private relief legislation.

### STATE CODES (ABBREVIATIONS)

| Alabama         | AL | Hawaii            | н    | Massachusetts | MA | New Mexico        | NM | South Dakota  | SD |
|-----------------|----|-------------------|------|---------------|----|-------------------|----|---------------|----|
| Alaska          | AK | Idaho             | ID   | Michigan      | M  | New York          | NY | Tennessee     | TN |
| Arizona         | AZ | Illinois          | IL . | Minnesota     | MN | North Carolina    | NC | Texas         | TX |
| Arkansas        | AR | Indiana           | IN   | Mississippi   | MS | North Dakota      | ND | Utah          | ÛT |
| California      | CA | lowa              | IA   | Missouri      | MO | Ohio              | OH | Vermont       | VT |
| Colorado        | co | Kansas            | KS   | Montana       | MT | Oklahoma          | OK | Virginia      | VA |
| Connecticut     | СТ | Kentucky          | KY   | Nebraska      | NE | Oregon            | OR | Washington    | WA |
| Delawara        | DE | Louisiana         | LA   | Nevada        | NV | Pennsylvania      | PA | West Virginia | ŴV |
| Florida         | FL | Maine             | ME   | New Hampshire | NH | Rhode Island      | RI | Wisconsin     | WI |
| Georgia         | GA | Maryland          | MD   | New Jersey    | NJ | South Carolina    | SC | Wyoming       | WY |
| American Samoa  | AS | Dist. of Columbia | DC   | Guam          | GU | Northern Marianas | СМ | Puerto Rico   | PR |
| Trust Territory | TT | Virgin Islarids   | VI   |               |    |                   | •  |               |    |

Public burden reporting for this collection of information is estimated to average 90 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Reports and Forms Management Officer, U.S. Office of Personnel Management, 1900 E Street, N.W., Room CHP-500, Washington, D.C. 20415. Do not send your completed form to this address.

Standard Form 86 (EG) Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736

### QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

| P        | art 1                                   | Investig    | ating Agency Us                           | se On        | ly                |            |                        | c           | odes    |             |                                |                                 | Case N                       | umber          |          |                         |          |           |
|----------|---|-------------|---|--------------|-------------------|------------|------------------------|-------------|---------|-------------|--------------------------------|---------------------------------|------------------------------|----------------|----------|-------------------------|----------|-----------|
|          |   | A           | gency Use O                               | nly (        | (Comple           | te item    | s A throu              | gh P us     | ing i   | instru      | tions                          | provided b                      | by the Investi               | gating ag      | ency)    | ).                      |          |           |
| A        | ype of                                  | 1           | B Extra                                   | 1            |                   |            | C Sensi                | <i>.</i>    |         | D Acc       | _                              | E Nature                        |                              | F Date of      |          |                         | Day 1    | Year      |
|          | nvesti-<br>jation                       |             | Coverage                                  |              |                   |            | Level                  |             |         |             |                                | Code                            |                              | Action         |          |                         |          |           |
| -        | Seographic<br>ocation                   |             |   |              | Position<br>Code  |            | l Positi<br>Title      | on          | ,I      | L           |                                |                                 |                              |                | <b>I</b> |                         |          |           |
| J        |   | L           | K Location                                | <del> </del> | None              |            | U Other A              | ddress      |         |             |                                |                                 |                              |                |          | , ZI                    | P Code   |           |
| S        | N                                       |             | of Official<br>Personnel<br>Folder        |              | NPRC<br>At SON    |            |                        |             |         |             |                                |                                 |                              |                |          |                         |          |           |
| L        | 01                                      |             | M Location<br>of Security                 |              | None<br>At SOI    |            | Other A                | ddress      |         |             |                                |                                 |                              |                |          | ZI                      | P Code   |           |
| N        | DPAC-ALC                                |             | Folder                                    | 0            | NPI<br>Accounting | ) Data a   | ind/or                 |             |         |             |                                |                                 | ··                           |                |          |                         |          |           |
| 1        | lumber                                  |             |   |              | Agency C          | ase Nur    | mber                   |             |         |             |                                |                                 |                              |                |          |                         |          |           |
| •        | Requesting                              | Name a      | nd Title                                  |              |                   |            | Si                     | gnature     |         |             |                                |                                 | Telephone                    | Number         |          | l Da                    | ate      |           |
|          | Official                                | l           |   |              |                   |            |                        |             |         |             |                                |                                 | ( )                          |                |          |                         |          |           |
|          |   |             |   | 1            | Persons           | compl      | leting this            | form s      | houl    | ld beg      | n with                         | n the quest                     | ions below.                  |                |          |                         |          |           |
| 1        | FULL                                    |             | have only initials<br>have no middle      | -            |                   |            | m and state            | (10).       |         |             |                                | e a "Jr.," "Sr.,<br>your middle | * "li," etc., enter<br>name. | this in the    |          | -                       | ATE O    | F         |
|          | Last Name                               | ,           |   |              |                   | First      | Varne                  |             |         |             |                                | Middle Nan                      | ne                           | Jr., II,       | etc.     | Month                   | Day      | Year      |
| 6        |   |             | 1 I 1                                     | - 44         | and a fact th     | Cinto      |                        |             |         |             |                                |                                 |                              |                | 0014     |                         |          |           |
| 0        | City                                    | - DIKTN     | - Use the two I                           |              | unty              | e State.   | •                      | , ŝ         | tale    | Count       | y (if no                       | t in the United                 | d States)                    |                | SUCIA    | L SECL                  |          |           |
|          |   |             |   |              |                   |            |                        |             |         |             |                                |                                 | •                            |                |          |                         |          |           |
| 5        |   | names y     | SED<br>ou used and the<br>other name is y |              |                   |            |                        |             | your    | maiden      | name,                          | name(s) by a                    | former marriag               | e, former na   | me(s),   | alias(es                | s), or   |           |
| •        | Name                                    | 3//. II UIC |   |              |                   |            | /onth/Year             |             | ear     | Na          | me                             |                                 |                              |                | , Mo     | nth/Yea                 | r Mon    | h/Year    |
| #1       |   |             |   |              |                   |            | • То                   |             |         | #3          |                                |                                 |                              |                |          |                         | То       |           |
|          | Name                                    |             |   |              |                   | N          | Aonth/Year             | Month/Y     | ear     |             | me                             |                                 |                              |                | Mo       | nth/Yea                 | r Mon    | th/Year   |
| #2       |   |             |   |              |                   |            | То                     |             |         | #4          |                                |                                 |                              |                | ·        |                         | То       |           |
| 6        | OTHER<br>IDENTIFY<br>INFORMA            |             | Height (feet a                            | nd inc       | ches)             | W          | leight ( <i>poun</i> e | ds)         |         | Hair C      | olor                           |                                 | Eye Color                    |                | Sex      | <i>(Mark c</i><br>Femai |          | )<br>Male |
| 7        | TELEPHO                                 |             | Work (Include<br>Day<br>Night             | Area<br>(    | Code and          | l extens   | ion)                   |             |         |             | <i>(Includ</i><br>Day<br>light | e Area Code)<br>(        )      | )                            |                |          |                         |          |           |
| 8        | CITIZENS                                | HIP         | bernard                                   |              | lamal             | J.S. citiz | en or nation           | al by birti | h in th | · · · ·     |                                | territory/poss                  | ession. (Answe               | r 🛈 Y          | our Mo   | ther's M                | laiden I | Name      |
| a        | Mark the t                              | ox at the   | right that                                | L            | items b           | and d)     |                        |             |         |             |                                |                                 |                              |                |          |                         |          |           |
|          |   |             | t citizenship<br>s instructions.          |              |                   |            | <u>`</u>               |             |         |             | . (Ansv                        | ver items b, c                  | and d)                       |                |          |                         |          |           |
| G        |   |             | ITIZENSHIP I                              | L            |                   |            | citizen. (Ans          |             |         |             | ide inf                        | ormation abo                    | ut one or more o             | of the followi |          | ofe of up               |          | nehin     |
| <u> </u> |   |             | ficate (Where w                           |              |                   |            |                        |             |         | .o., pro    |                                |                                 |                              |                |          |                         |          | ensnip.   |
| -        | Court                                   |             |   |              |                   | Ci         | ity                    |             |         | 15          | tate                           | Certificate                     | Number                       | Mont           | h/Day/   | Year Iss                | ued      |           |
| -        |   |             |   |              |                   |            |                        |             | _       |             |                                |                                 |                              |                |          |                         |          |           |
|          |   | Certifica   | te (Where was                             | the ce       | ertificate is     | sued?)     |                        |             |         |             |                                |                                 |                              |                |          |                         |          |           |
|          | City                                    |             |   |              |                   |            |                        |             |         |             | tate                           | Certificate                     | Number                       | Monti          | h/Day/   | Yearlss                 | ueđ      |           |
| _        |   | _           | orm 240 - Repo                            |              |                   |            | itizen of the          | United S    | tates   |             |                                |                                 |                              |                |          |                         |          |           |
|          | Give the di<br>prepared a<br>if needed. |             | rm was<br>in explanation                  | Mor          | hth/Day/Ye        | ar         | . Expla                | nation      |         |             |                                |                                 |                              |                |          |                         |          |           |
| -        | U.S. Pass                               | port        | · · ·                                     | d            |                   |            |                        |             |         | <del></del> |                                |                                 |                              |                |          |                         |          |           |
| _        | This may t                              | e either a  | a current or prev                         | ious (       | U.S. Pass         | port.      |                        |             |         |             | asspo                          | rt Number                       |                              | Mon            | th/Day/  | Year is:                | sued     |           |
| 0        | DUAL CIT                                | ZENSHI      |   |              |                   |            | of the United          |             |         | nother      | ountry                         | Country                         |                              | I              |          |                         |          |           |
| e        |   | /00 219 3   | n alien, provide                          |              |                   |            |                        | to the rig  | , n.    |             |                                | <u> </u>                        |                              |                |          |                         |          |           |
| <b>v</b> |   |             | City                                      | 110          |                   |            | , State                | Date Yo     | u Ent   | tered U.    | S.                             | , Alien Regis                   | tration Number               | , Coun         | try(ies) | of Citiz                | enship   |           |
|          | Place You<br>Entered the<br>United Sta  |             |   |              |                   |            |                        | Month       |         | Day         | Year                           |                                 | -                            |                |          |                         |          |           |

Exception to SF85, SF85P, SF85P-S, SF86, and SF86A approved by GSA September, 1995. Designed using Perform Pro, WHS/DIOR, Sep 95

## 9 WHERE YOU HAVE LIVED

List the places where you have lived, beginning with the most recent (#1) and working back 7 years. All periods must be accounted for in your list. Be sure to indicate the actual physical location of your residence: do not use a post office box as an address, do not list a permanent address when you were actually living at a school address, etc. Be sure to specify your location as closely as possible: for example, do not list only your base or ship, list your barracks number or home port. You may omit temporary military duty locations under 90 days (list your permanent address instead), and you should use your APO/FPO address if you lived oversaas.

For any address in the last 5 years, list a person who knew you at that address, and who preferably still lives in that area (do not list people for residences completely outside this 5-year period, and do not list your spouse, former spouses, or other relatives). Also for addresses in the last five years, if the address is "General Delivery," a Rural or Star Route, or may be difficult to locate, provide directions for locating the residence on an attached continuation sheet.

| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |             |          | State   | ZIP Code   |
|------------------------------|----------------|--------|--------------|----------------|-------------|----------|---------|------------|
| #1 To Present                |                |        |              |                |             |          | ļ       |            |
| Name of Person Who Knows You | Street Address | Apt. # | City (Countr | y)             | State       | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |             |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) | · · · · · · | •        | State   | ZIP Code   |
| <b>#2</b> To                 |                |        |              |                |             |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | у)             | State       | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |             |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |             |          | State   | ZIP Code   |
| <b>#3</b> To                 |                |        |              |                |             |          |         |            |
| Name of Person Who Knew You  | Street Address | Apl. # | City (Countr | у)             | State       | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |             |          | 1       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |             |          | State   | ZIP Code   |
| <b>#4</b> To                 | · ·            |        |              |                |             |          |         |            |
| Name of Person Who Knew You  | Street Address | Ap1. # | City (Countr | у)             | State       | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |             |          | (       | )          |
| Month/Year Month/Year        | Street Address |        | Apt. #       | City (Country) |             |          | State   | ZIP Code   |
| <b>#5</b> To                 |                |        |              |                |             |          |         |            |
| Name of Person Who Knew You  | Street Address | Apt. # | City (Countr | у)             | State       | ZIP Code | Telepho | one Number |
|                              |                |        |              |                |             |          | (       | )          |
|                              |                |        |              |                |             |          | (       | )          |

### 10 WHERE YOU WENT TO SCHOOL

List the schools you have attended, beyond Junior High School, beginning with the most recent (#1) and working back 7 years. List College or University degrees and the dates they were received. If all of your education occurred more than 7 years ago, list your most recent education beyond high school, no matter when that education occurred.

"Use one of the following codes in the "Code" block:

1 - High School

3 - V

3 - Vocational/Technical/Trade School

For schools you attended in the past 3 years, list a person who knew you at school (an instructor, student, etc.). Do not list people for education completely outside this 3-year period.

For correspondence schools and extension classes, provide the address where the records are maintained.

2 - College/University/Military College

| Month/Year Month/Year                 | Code     | Name of School                          |        | Degree/Dipl    | loma/Other     | Month/Year Awarded                    |
|---------------------------------------|----------|---|--------|----------------|----------------|---------------------------------------|
| #1 To                                 |          |   |        |                |                |                                       |
| Street Address and City (Country) o   | fSchool  | •                                       |        |                | State          | ZIP Code                              |
| Name of Person Who Knew You           | Street A | Address                                 | Apt. # | City (Country) | State ZIP Code | Telephone Number                      |
| Month/Year Month/Year<br>#2 To        | Code     | Name of School                          |        | Degree/Dipl    | loma/Other     | Month/Year Awarded                    |
| Street Address and City (Country) o   | f School |   |        | I              | State          | ZIP Code                              |
| Name of Person Who Knew You           | Street A | Address                                 | Apt. # | City (Country) | State ZIP Code | Telephone Number                      |
| Month/Year Month/Year<br><b>f3</b> To | Code     | Name of School                          |        | Degree/Dipl    | oma/Other      | Month/Year Awarded                    |
| Street Address and City (Country) o   | fSchool  | • · · · · · · · · · · · · · · · · · · · |        |                | State          | ZIP Code                              |
| lame of Person Who Knew You           | Street A | ddress                                  | Apt. # | City (Country) | State ZIP Code | Telephone Number<br>( )               |
|                                       |          |   |        | ******         |                | · · · · · · · · · · · · · · · · · · · |

Enter your Social Security Number before going to the next page-------

| 1 -<br>2 -<br>3 -       | Use one of the codes lis<br>Active military duty static<br>National Guard/Reserve<br>U.S.P.H.S. Commission<br>Other Federal employme | ons<br>ed Corps         | employment)<br>6 - Self-employme                                 | rment:<br>nent (Non-Federal<br>ent (Include business name<br>if person who can verify)  | person                            | who c<br>I Cont | ent (Include nam<br>can verify)<br>tractor (List Conf<br>tgency) |                           |  |  |
|-------------------------|--|-------------------------|--|---|-----------------------------------|-----------------|--|---------------------------|--|--|
| militar                 |  | nclude yo               | ur duty location or home port l                                  | r the name of the person who c<br>here as well as your branch of s  |                                   |                 |  |                           |  |  |
| period<br>worke         | of employment in the init<br>d at XY Plumbing in Denv  | ial numbe<br>/er, CO, d | red block, provide previous p<br>uning 3 separate periods of tir | an employer on more than one<br>eriods of employment at the same<br>ne, you would enter dates and<br>periods of employment on the l | me location on the                | e add<br>eming  | itional lines prov<br>the most recen                             | ided. For example, if you |  |  |
| Month/1<br><b>#1</b>    | rear Month/Year<br>To Present  | Code                    | Employer/Verifier Name/Mi  | litary Duty Location  | Yo                                | our Po          | sition Title/Milita  | iry Rank                  |  |  |
| Employer's/             | Verifier's Street Address  | 4                       |  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| Street Addre            | ess of Job Location (if diff   | erent than              | Employer's Address)  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| Supervisor's            | Name & Street Address  | (if differer            | nt than Job Location)  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| REVIOUS                 | Month/Year Mor<br>To   | th/Year                 | Position Title   | I   | Supervisor                        |                 |  |                           |  |  |
| PERIODS<br>OF           |  | nth/Year                | Position Title   |   | Supervisor                        |                 |  |                           |  |  |
| ACTIVITY<br>(Block #1)  |  | nth/Year                | Position Title   | Supervisor  | Supervisor                        |                 |  |                           |  |  |
| Month/\                 | 1  | Code                    | Employer/Verifier Name/Mi  | litary Duty Location  | Your Position Title/Military Rank |                 |  |                           |  |  |
| mployer's/              | Verifier's Street Address  | <u>_</u>                | 1  | City (Country)  | Sti                               | ate             | ZIP Code   | Telephone Number          |  |  |
| Street Addre            | ess of Job Location (if diff   | erent thar              | Employer's Address)  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| upervisor's             | Name & Street Address  | (if differer            | nt than Job Location)  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| REVIOUS                 | Month/Year Mon<br>To   | ith/Year                | Position Title   |   | Supervisor                        |                 | 4  |                           |  |  |
| OF                      | Month/Year Mon<br>To   | th/Year                 | Position Title   |   | Supervisor                        |                 |  |                           |  |  |
| Block #2)               | Month/Year Mon<br>To   | ith/Year                | Position Title   |   | Supervisor                        |                 |  |                           |  |  |
| Month/1<br>3            | fear Month/Year  | Code                    | Employer/Verifier Name/Mi  | litary Duty Location  | Yo                                | our Po          | sition Title/Milita  | iry Rank                  |  |  |
| mployer's/\             | Verifier's Street Address  | •                       | d  | City (Country)  | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| treet Addre             | ess of Job Location (if diffe  | erent than              | han Employer's Address) City (Country)                           |   | Sta                               | ate             | ZIP Code   | Telephone Number          |  |  |
| upervisor's             | Name & Street Address  | (if differen            | t than Job Location)   | State ZIP Code Telephone Number   |                                   |                 |  |                           |  |  |
| REVIOUS                 | Month/Year Mon<br>To   | th/Year                 | Position Title   | Supervisor  |                                   |                 | ,  |                           |  |  |
| ERIODS<br>OF<br>CTIVITY | Month/Year Mon<br>To   | th/Year                 | Position Title   |   | Supervisor                        |                 | - <b>-</b>   |                           |  |  |
| Block #3)               | Month/Year Mon   | th/Year                 | Position Title   | ······································  | Supervisor                        |                 |  |                           |  |  |

List your employment activities, beginning with the present (#1) and working back 7 years. You should list all full-time work, part-time work, military service, temporary

Enter your Social Security Number before going to the next page

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YOUR EMPLOYMENT ACTIVITIES

|                           |   |              | r before going to the       |  |               |                                   |                             |              |                      |  |
|---------------------------|---|--------------|-----------------------------|--|---------------|-----------------------------------|-----------------------------|--------------|----------------------|--|
| Home or Wo                | UIX AUGIESS                               |              |                             |  | City (Co      | uniry)                            |                             | State        | ZIP Code             |  |
| #3                        |   |              |                             |  | h/Year        |                                   | av ,                        | )            | 715.0                |  |
| Home or Wo                | UIK AOOFOSS                               |              |                             | Dates Known  | City (Co      |                                   | one Number                  | State        | ZIP Code             |  |
| Name<br>#2                |   |              |                             | Dates Known<br>Month/Year Mont<br>To                                 | h/Year        |                                   | one Number<br>ay<br>light ( | )            |                      |  |
| Home or Wo                | ork Address                               |              |                             |  | City (Co      |                                   |                             | State        | ZIP Code             |  |
| Name<br>#1                |   |              | •                           | Dates Known<br>Month/Year Mont<br>To                                 | h/Year        |                                   | one Number<br>ay<br>light ( | )            |                      |  |
| List th<br>assoc          | ree people who know you                   | u well and   |                             | y should be good friends, peers<br>of list your spouse, former spous | ses, or other |                                   |                             |              |                      |  |
| (Block #6)                | Month/Year Mo<br>To<br>PLE WHO KNOW YOU W | nth/Year     | Position Title              |  | Supervi       | 50r                               | <u> </u>                    |              |                      |  |
| OF<br>ACTIVITY            | Month/Year Mo<br>To                       |              | Position Title              |  | Supervi       |                                   |                             |              |                      |  |
| REVIOUS                   |   | nth/Year     | Position Title              |  | Supervi       | l<br>sor                          | 1                           |              |                      |  |
| Supervisor's              | s Name & Street Address                   | (if differen | it than Job Location)       | City (Country)   |               | State                             | ZIP Code                    | Teleph       | )<br>one Number<br>) |  |
| Street Addro              | ess of Job Location (if diff              | ferent than  | Employer's Address)         | City (Country)   |               | State                             | ZIP Code                    | Teleph       | )<br>one Number      |  |
|                           | To<br>Verifier's Street Address           | I            |                             | City (Country)   | <u> </u>      | State                             | ZIP Code                    | Teleph       | one Number           |  |
| Month/                    |   | Code         | Employer/Verifier Name/Mil  | itary Duty Location  |               | Your Position Title/Military Rank |                             |              |                      |  |
| ACTIVITY<br>(Block #5)    |   | nth/Year     | Position Title              |  | Supervi       | pervisor                          |                             |              |                      |  |
| PREVIOUS<br>PERIODS<br>OF | Month/Year Mo                             | nth/Year     | Position Title              |  | Supervis      | sor                               |                             |              |                      |  |
|                           |   | nth/Year     | Position Title              |  | Supervis      | sor                               | 1                           | 10           | )                    |  |
| Supervisor's              | s Name & Street Address                   | (if differen | t than Job Location)        | City (Country)   |               | State                             | ZIP Code                    | · ·          | )<br>one Number      |  |
| Street Addre              | ess of Job Location (if diff              | erent than   | Employer's Address)         | City (Country)   |               | State                             | ZIP Code                    |              | )<br>one Number      |  |
| <b>#5</b><br>Employer's/  | To<br>Verifier's Street Address           |              |                             | City (Country)   |               | State                             | ZIP Code                    | Teleph       | one Number           |  |
| Month/                    | To<br>Year Month/Year                     | Code         | Employer/Verifier Name/Mil  | itary Duty Location  |               | Your Po                           | osition Title/Milit         | ary Rank     |                      |  |
| ACTIVITY<br>(Block #4)    | To<br>Month/Year Mon                      | nth/Year     | Position Title              |  | Supervis      | sor                               |                             |              |                      |  |
| PREVIOUS<br>PERIODS<br>OF | To<br>Month/Year Mon                      | nth/Year     | Position Title              |  | Supervis      | or                                |                             |              |                      |  |
|                           | Month/Year Mon                            | nth/Year     | Position Title              |  | Supervis      | ior                               | <b>_</b>                    | ](           | )                    |  |
| upervisor's               | Name & Street Address                     | (if differen | t than Job Location)        | City (Country)   |               | State                             | ZIP Code                    | 1            | )<br>one Number      |  |
| treet Addre               | ess of Job Location (if diff              | erent than   | Employer's Address)         | City (Country)   |               | State                             | ZIP Code                    | (<br>Telepho | )<br>one Number      |  |
| <b>l4</b><br>mployer's∧   | To<br>Verifier's Street Address           |              |                             | City (Country)   |               | State                             | ZIP Code                    | Telepho      | one Number           |  |
|                           | Year Month/Year                           | Code         | Employer/Verifier Name/Mili | lary Duly Location   |               | Tourro                            | sition Title/Milita         |              |                      |  |

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| 13     |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|--------|--|---------------------------------------|-----------------------------------|----------------------|----------------------------|---------------------------------------|----------------------|---------------------|----------|
|        | YOUR SPOUSE  |                                       |                                   |                      |                            |                                       |                      |                     |          |
| -      | Mark one box to show your current  | t marital sta                         | tus and provide info              | ormation about voi   | ur spouse(s) in items a. a | nd/orb.                               |                      |                     |          |
| 1      | 1 - Never married  |                                       |                                   | parated              |                            | 5 - Divorc                            | her                  |                     |          |
|        |  |                                       |                                   | •                    |                            |                                       |                      |                     |          |
| _      | 2 - Married  |                                       | 4 - Leg                           | gally Separated      |                            | 6 - Widow                             | ved                  |                     |          |
| a      | Current Spouse Complete the fol  | lowing abou                           | it your current spou              | ise only.            |                            |                                       |                      |                     |          |
|        | Full Name  |                                       | Date of Birth                     | 1                    | Place of Birth (Include of | country if outs                       | ide the U.S.)        | Social Security     | V Number |
| •      |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | Other Names Used (Specify maide  |                                       | ames by other marri               | ianes etc. and st    | www.dates.used.for.each.r  | ama)                                  | Country/ie           | s) of Citizenship   |          |
|        | Other Mariles Used (Specify malde  | 511 1101110, 110                      | anios by onior main               | ayos, etc., ano si   |                            | anic)                                 | Country(ic           | a) of onizonomp     |          |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | Date Married F   | Place Marrie                          | d (Include country i              | if outside the U.S.  | )                          |                                       |                      | 5                   | State    |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | If Separated, Date of Separation   |                                       | If Legally Se                     | parated Where is     | the Record Located? C      | ity (Country)                         |                      |                     | State    |
|        | I Separated, Date of Separation  |                                       | in Legany oc                      |                      |                            | ity (000/1097                         |                      |                     | Julio    |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | Address of Current Spouse, if diffe                                      | erent than ye                         | our current address               | (Street, city, and   | country if outside the U.S | .)                                    | State                | ZIP Code            |          |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
| 6      | Former Spouse(s). Complete the   | following at                          | out your former so                | ouse(s), use blank   | sheets if needed.          |                                       |                      |                     |          |
| -      | Full Name  |                                       | Date of Birth                     |                      | Place of Birth (Include    | country if outs                       | ide the U.S.)        |                     | State    |
|        | . 20 110100  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | · · · · · · · · · · · · · · · · · · ·                                    |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | Country(ies) of Citizenship  |                                       | Date Marrie                       | d                    | Place Married (Include     | country if out:                       | side the U.S.)       | 1                   | State    |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | Check one, Then Give Date  | /onth/Day/                            | Year If Divorced,                 | Where is the Rec     | ord Located? City (Cour    | ntry)                                 |                      |                     | State    |
| ſ      | Divorced Widowed   |                                       |                                   |                      |                            |                                       |                      |                     |          |
| L      |  |                                       | a contract of a set of a set of a |                      |                            | 1 84-4-                               | 710 0-4-             | 1<br>T-1            |          |
|        | Address of Former Spouse (Street   | t, city, and c                        | country ir outside the            | e 0.S.)              |                            | State                                 | ZIP Code             | Telephone Nu        | mber     |
|        |  |                                       |                                   |                      |                            |                                       |                      | ()                  |          |
| 14     | YOUR RELATIVES AND ASSOC   | IATES                                 |                                   |                      |                            |                                       |                      |                     |          |
| -      | Give the full name, correct code, a                                      | nd other re                           | quested information               | for each of your i   | relatives and associates.  | living or dead                        | , specified below.   |                     |          |
|        |  | ter parent                            | 9 - S                             | -                    | 13 - Half-sister           | 0                                     | 17 - Other Relativ   | e*                  |          |
|        | 2 - Father (second) 6 - Chi  | ld (adopted                           | also) 10 - S                      | tepbrother           | 14 - Father-in-law         |                                       | 18 - Associate*      |                     |          |
|        | 3 - Stepmother 7 - Ste   |                                       | •                                 | tepsister            | 15 - Mother-in-lav         |                                       | 19 - Adult Current   | ly Living With You  |          |
|        | 4 - Stepfather 8 - Bro   | •                                     | •                                 | alf-brother          | 16 - Guardian              | •                                     |                      | ., <u></u>          |          |
|        |  |                                       |                                   |                      |                            |                                       |                      |                     |          |
|        | *Code 17 (Other Relative) - include<br>continuing contact. Code 18 (Asso |                                       |                                   |                      |                            |                                       |                      |                     | and      |
|        | continuing contact. Code To (Asso  | Joiates) - 111                        | ciude only totelgit h             | 101101101 0550010105 |                            | noure are bo                          |                      | bligation or close  | and      |
|        |  |                                       |                                   |                      | s with whom you or your a  | pouse are bo                          | ound by affection, o | bligation, or close | and      |
| Full N |  | · · · · · · · · · · · · · · · · · · · |                                   | 1                    |                            | · · · · · · · · · · · · · · · · · · · |                      | -                   |          |
|        | Name (If deceased, check box on th                                       | e Code                                | Date of Birth                     | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o |          |
|        | Name (If deceased, check box on the<br>left before entering name)        | e Code                                | Date of Birth<br>Month/Day/Year   | Country of Bi        | Country(ies) o             | · · · · · · · · · · · · · · · · · · · |                      | nd City (country) o | f        |
|        |  | Code                                  |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | e Code                                |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | Code                                  |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | Code                                  |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |
|        |  | 1                                     |                                   | Country of Bi        | th Country(ies) o          | · · · · · · · · · · · · · · · · · · · | t Street Address a   | nd City (country) o | f        |

Enter your Social Security Number before going to the next page-

## 15 CITIZENSHIP OF YOUR RELATIVES AND ASSOCIATES

If your mother, father, sister, brother, child, or current spouse or person with whom you have a spouse-like relationship is a U.S. citizen by other than birth, or an alien residing in the U.S., provide the nature of the individual's relationship to you (Spouse, Spouse-like, Mother, etc.), and the individual's name and date of birth on the first line (this information is needed to pair it accurately with information in items 13 and 14).

On the second line, provide the individual's naturalization certificate or alien registration number and use one of the document codes below to identify proof of citizenship status. Provide additional information on that line as requested.

1 - Naturalization Certificate: Provide the date issued and the location where the person was naturalized (Court, City and State).

- Citizenship Certificate: Provide the date and location issued (City and State).
   Alien Registration: Provide the date and place where the person entered the U.S. (City and State).

| Asso<br>#1   | ociation  |  | Name   |   |  |   |   |  |  |                                  | Date of Di               | th (Month/Day/    | 1 baiy |
|--|---|--|--|---|--|---|---|--|--|----------------------------------|--------------------------|-------------------|--------|
| Certificat   | te/Registration #   |  | Document Code  | Additional Info   | ormation   |   |   |  |  |                                  |                          |                   |        |
| Asso<br>#2   | ociation  |  | Name   | <u>I</u>  |  |   |   |  |  |                                  | Date of Bir              | th (Month/Day)    | Year)  |
| Certificat   | te/Registration #   |  | Document Code  | Additional Info   | ormation   |   |   |  |  |                                  |                          |                   |        |
| YOUR M   | WILITARY HISTORY  |  |  | 1   |  |   |   |  |  |                                  |                          | Yes               | N      |
| a Hav  | ave you served in the Ur  | nited Sta  | tes military?  |   |  |   |   |  |  |                                  |                          |                   |        |
| b Hav  | ave you served in the Ur  | nited Sta  | tes Merchant Ma  | rine?   |  |   |   |  |  |                                  | _                        |                   |        |
|  | ir Force 2 - Army   | 3 - Nav  |  | •   | oast Guar  | rd G  | - Mercha  | nt Marine  | 7 - Natior                                 | al Guard                         |                          |                   |        |
| ●O/E,<br>●Statu:<br>an "X"   | Mark "O" block for Offic<br>us. "X" the appropriate I<br>K": use the two-letter co<br>ntry. If your service was   | cer or "E<br>block for<br>de for th  | " block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.  | Corps 5 - Co<br>ed.<br>Ir service during<br>ne block.   | past Guar<br>the time  | that yo   | u served.   | If your sen  | vice was in                                | he National<br>National<br>Guard | l Guard, do n            | ot use<br>Country | -      |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>K": use the two-letter co<br>ntry. If your service was   | cer or "E<br>block for<br>de for th<br>s with ot   | " block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.  | Corps 5 - Co<br>ed.<br>Ir service during<br>he block.<br>Armed Forces,  | oast Guar<br>the time<br>identify ti   | that yo   | u served.<br>htry for wh  | If your sen<br>ich you sen<br>Sti<br>Active            | vice was in<br>ved.<br>atus<br>Inactive    | he National                      | I Guard, do n            | ·                 | -      |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>K": use the two-letter co<br>ntry. If your service was   | cer or "E<br>block for<br>de for th<br>s with ot   | " block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.  | Corps 5 - Co<br>ed.<br>Ir service during<br>he block.<br>Armed Forces,  | oast Guar<br>the time<br>identify ti   | that yo   | u served.<br>htry for wh  | If your sen<br>ich you sen<br>Sti<br>Active            | vice was in<br>ved.<br>atus<br>Inactive    | he National<br>National<br>Guard | I Guard, do n            | ·                 | -      |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>": use the two-letter co<br>ntry. If your service was<br>v/Year Month/Year<br>To   | cer or "E<br>block for<br>de for th<br>s with ot   | " block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.  | Corps 5 - Co<br>ed.<br>Ir service during<br>he block.<br>Armed Forces,  | oast Guar<br>the time<br>identify ti   | that yo   | u served.<br>htry for wh  | If your sen<br>ich you sen<br>Sti<br>Active            | vice was in<br>ved.<br>atus<br>Inactive    | he National<br>National<br>Guard | I Guard, do n            | ·                 | -      |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun<br>Month/  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>": use the two-letter co<br>ntry. If your service was<br>v/Year Month/Year<br>To<br>To   | cer or "E<br>block for<br>de for th<br>s with ot   | " block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.  | Corps 5 - Co<br>ed.<br>Ir service during<br>he block.<br>Armed Forces,  | oast Guar<br>the time<br>identify ti   | that yo   | u served.<br>htry for wh  | If your sen<br>ich you sen<br>Sti<br>Active            | vice was in<br>ved.<br>atus<br>Inactive    | he National<br>National<br>Guard | I Guard, do n            | Country           |        |
| •O/E.<br>•Status<br>an "X"<br>•Coun<br>Month/  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>": use the two-letter co<br>ntry. If your service was<br>v/year Month/Year<br>To<br>To<br>FOREIGN ACTIVITIES   | cer or "E<br>block for<br>de for th<br>s with otl<br>Code  | block for Enliste<br>the status of you<br>e state to mark the<br>rer than the U.S.<br>Service/C  | Corps 5 - Co<br>ad.<br>Ir service during<br>te block.<br>Armed Forces,<br>Certificate #   | othe time<br>identify the time   | that yo   | u served.<br>htry for wh  | If your sen<br>ich you sen<br>Sti<br>Active            | vice was in<br>ved.<br>atus<br>Inactive    | he National<br>National<br>Guard | I Guard, do n            | ·                 |        |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun<br>Month/  | Mark "O" block for Offic<br>us. "X" the appropriate<br>":" use the two-letter or<br>ntry. If your service was<br>Vyear Month/Year<br>To<br>To<br>FOREIGN ACTIVITIES<br>o you have any foreign p   | cer or "E<br>block for<br>de for th<br>s with otl<br>Code  | block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.<br>Service/C   | Corps 5 - Co<br>ad.<br>Ir service during<br>te block.<br>Armed Forces,<br>Certificate #   | at interes   | that yo<br>he cour<br>E   | u served.<br>http:/for.wh<br>Active   | If your sen<br>ich you sen<br>Sti<br>Active<br>Reserve | vice was in<br>ved.<br>Inactive<br>Reserve | he National<br>Guard<br>(State)  | l Guard, do n            | Country           | N C    |
| •O/E,<br>•Statu:<br>an "X"<br>•Coun<br>Month/  | Mark "O" block for Offic<br>us. "X" the appropriate I<br>": use the two-letter co<br>ntry. If your service was<br>v/year Month/Year<br>To<br>To<br>FOREIGN ACTIVITIES   | cer or "E<br>block for<br>de for th<br>s with otl<br>Code  | block for Enliste<br>the status of you<br>e state to mark th<br>ner than the U.S.<br>Service/C   | Corps 5 - Co<br>ad.<br>Ir service during<br>te block.<br>Armed Forces,<br>Certificate #   | at interes   | that yo<br>he cour<br>E   | u served.<br>http:/for.wh<br>Active   | If your sen<br>ich you sen<br>Sti<br>Active<br>Reserve | vice was in<br>ved.<br>Inactive<br>Reserve | he National<br>Guard<br>(State)  | l Guard, do n            | Country           | N      |
| •O/E.<br>•Statu:<br>an "X"<br>•Coun<br>Month/<br>2<br>0<br>0<br>0<br>0<br>Are<br>C<br>Ha<br>or o   | Mark "O" block for Offic<br>us. "X" the appropriate<br>":" use the two-letter or<br>ntry. If your service was<br>Vyear Month/Year<br>To<br>To<br>FOREIGN ACTIVITIES<br>o you have any foreign p   | cer or "E<br>block for<br>de for th<br>s with otl<br>Code<br>property,<br>ever bee<br>pontact wi                 | block for Enliste<br>the status of you<br>e state to mark th<br>her than the U.S.<br>Service/C<br>business connec<br>n employed by o<br>th a foreign gove                          | Corps 5 - Co<br>ad.<br>Ir service during<br>te block.<br>Armed Forces,<br>Certificate #   | a the time<br>identify the time<br>i | that you<br>he court<br>E<br>Sts?<br>or a for<br>ts (emb              | u served.<br>htty for wh<br>Active<br>aign gover<br>wassies or                  | If your sen<br>ich you sen<br>Active<br>Reserve        | vice was in<br>ved.<br>Inactive<br>Reserve | he National<br>Guard<br>(State)  | )<br>)<br>, whether insi | Country<br>Yes    |        |
| •O/E.<br>•Statu:<br>an "X"<br>•Coun<br>Month/<br><b>YOUR F</b> (<br><b>1</b><br><b>0</b><br><b>0</b><br><b>0</b><br><b>1</b><br><b>0</b><br><b>1</b><br><b>0</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b><br><b>1</b> | Mark "O" block for Offic<br>us. "X" the appropriate<br>": use the two-letter co<br>ntry. If your service was<br>Vyear Month/Year<br>To<br>To<br>FOREIGN ACTIVITIES<br>o you have any foreign p<br>re you now or have you<br>ave you ever had any co<br>routsida the U.S., other | cer or "E<br>block for<br>de for th<br>s with otl<br>Code<br>Code<br>property,<br>ever bee<br>ontact withan on o | block for Enliste<br>the status of you<br>e state to mark the<br>ther than the U.S.<br>Service/C<br>business connect<br>n employed by o<br>th a foreign gove<br>official U.S. Gove | Corps 5 - Co<br>ad.<br>Ir service during<br>te block.<br>Armed Forces,<br>Certificate #<br>Cartificate #<br>Cartificate as a corr<br>r acted as a corr<br>mment, its esta<br>amment businas | a the time<br>identify the<br>operation of the<br>identify the<br>operation of the<br>identify the<br>operation of the<br>identify the<br>operation of the<br>blishmen<br>ss? (Doe   | that you<br>he court<br>E<br>Sts?<br>or a for<br>ts (emb<br>ts not in | au served.<br>http: for wh<br>Active<br>bign govern<br>hassies or<br>iclude rou | If your sen<br>ich you sen<br>Sti<br>Active<br>Reserve | vice was in<br>ved.<br>Inactive<br>Reserve | he National<br>Guard<br>(State)  | )<br>)<br>, whether insi | Country<br>Yes    |        |

| Month/Year Month/Year | Firm and/or Government | Explanation |
|-----------------------|------------------------|-------------|
| То                    |                        |             |
|                       |                        |             |
| То                    |                        |             |

18 FOREIGN COUNTRIES YOU HAVE VISITED

List foreign countries you have visited, except on travel under official Government orders, beginning with the most current (#1) and working back 7 years. (Travel as a dependent or contractor must be listed.)

•Use one of these codes to indicate the purpose of your visit: 1 - Business 2 - Pleasure 3 - Education 4 - Other

Include short trips to Canada or Mexico. If you have lived near a border and have made short (one day or less) trips to the neighboring country, you do

not need to list each trip. Instead, provide the time period, the code, the country, and a note ("Many Short Trips").

•Do not repeat travel covered in items 9, 10, or 11.

|     | Month/Year Month/Year   | Code   | Country   |       | Month/Year Month/Year         | Code  | Country                               |
|-----|-------------------------|--------|---|-------|-------------------------------|-------|---------------------------------------|
| #1  | То                      |        |   | #3    | То                            |       | · · · · · · · · · · · · · · · · · · · |
| #2  | To                      |        |   | #4    | То                            |       |                                       |
|     |                         | -      | nave used Page 9, continuation sheets,<br>ose questions in the space to the right |       | ink sheets to complete any of | f the |                                       |
| Ent | er your Social Security | / Numb | er before going to the next pa  | ige · |                               |       |                                       |

## QUESTIONNAIRE FOR NATIONAL SECURITY POSITIONS

Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

| Ра               | rt 2              | USE                                      | ,                               |  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           |           |      |
|------------------|-------------------|--|---------------------------------|--|--------------------|----------------------------|---------------|------------------------|--------------------------|-------------------------|---------------------------|--|---------------------|---------------------------------------|---------------|-----------|-----------|------|
| 19               | YOUR              | MILITA                                   | RY RECORI                       | )  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               | Yes       |           | No   |
|                  | Have              | you ever i                               | received othe                   | er than an honorable discha  | arge fr            | from                       | the military  | /? If "Ye              | es," provic              | le the dai              | te of discl               | harge and                              | d type of           | f discharge                           | below.        |           |           |      |
| Mont             | h/Year            |  |                                 | Type of Discharge  |                    |                            | · · · ·       |                        |                          |                         |                           |  |                     |                                       |               | L         |           |      |
| 20               | -                 |  |                                 | LECORD<br>SE RECORD<br>Iter December 31, 1959? II  | f "No,"            | o," go                     | o to 21. If " | Yes," go               | o to b.                  |                         |                           |  |                     |                                       |               | Yes       |           | No   |
|                  | -                 | Have you<br>exemption                    |                                 | ith the Selective Service Sy   | ystem              | m? if                      | f "Yes," pro  | ovide you              | ur registra              | ation num               | ıbər. If "N               | lo," show                              | the rea             | son for you                           | ir legal      |           |           |      |
| Regi             | stration          | Number                                   |                                 | Legal Exemption Explanat   | ition              |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           |           |      |
| 2                | YOUR              |  | AL RECORD                       |  |                    |                            |               |                        |                          |                         |                           |  | Yes                 |                                       | No            |           |           |      |
|                  |                   |  |                                 | ou consulted with a mental health professional (psychiatrist, psychologist, counselor, etc.) or have you consulted with<br>er about a mental health related condition? |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           |           |      |
|                  |                   |  |                                 | es," provide the dates of treatment and the name and address of the therapist or doctor below, unless the consultation(s) involved not related to violence by you.     |                    |                            |               |                        |                          |                         |                           | s) involved                            | only mari           | tal, fa                               | ımity.        |           |           |      |
| Mon              | th/Yea            | r N                                      | Ionth/Year                      |  |                    |                            | Name/Ad       | dress of               | Therapis                 | t or Doct               | or                        |  |                     |                                       | State         | ZIP       | Cod       | e    |
|                  |                   | To                                       |                                 |  |                    |                            |               |                        |                          | <u> </u>                |                           |  |                     |                                       |               |           |           |      |
|                  |                   | То                                       |                                 |  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           |           |      |
| 22               | YOUR              |  | YMENT REC                       | ORD  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               | Yes       | T         | No   |
|                  | Has a             | ny of the i                              | ollowing hap                    | pened to you in the last 7   | vears              | rs? I                      | f "Yes," be   | ain with               | the most                 | recent or               | ccurrence                 | and go t                               | backwar             | rd. providin                          | a date fired  | J         |           |      |
| _                |                   |  |                                 | ation requested.   |                    |                            |               | 3                      |                          |                         |                           |  |                     |                                       | <b>y</b>      | 1         | ηĽ        |      |
| Mon              | 1 - Fir<br>2 - Qu | ed from a<br>lit a job af<br>u'd be fire | job<br>ter being told<br>d      | explain the reason your en<br>3 - Left a job by<br>4 - Left a job by<br>unsatisfactor<br>Specify Reason  | mutua<br>mutua     | lual a<br>lual a<br>prform | greement fo   | ollowing               | allegatio                | ns of                   |                           | ountry if a                            | l                   | Left a job fo<br>under unfav<br>U.S.) |               | umstance  | es<br>Cod | e    |
|                  |                   | -  |                                 |  |                    |                            |               |                        |                          |                         |                           | ······································ |                     |                                       |               |           |           |      |
| 23               | YOUR              | POLICE                                   | RECORD                          |  | 1                  |                            |               |                        |                          |                         |                           |  |                     | Maa                                   |               | Yes       |           | No   |
|                  | single            | exception                                | to this requi                   | ion regardless of whether the<br>irement is for certain convic<br>e authority of 21 U.S.C. 844   | ctions             | is und                     | der the Fed   | eral Cor               | oeen "sea<br>htrolled Si | led" or ot<br>ubstance: | therwise s<br>s Act for v | stricken fr<br>which the               | rom the<br>court is | court recor<br>sued an                | d. The        |           |           |      |
|                  | <b>a</b> 1        | Have you                                 | ever been cl                    | narged with or convicted of  | any fe             | felon                      | ny offense?   | (Includ                | le those u               | nder Unit               | form Cod                  | e of Milita                            | ary Justi           | ce)                                   |               |           |           |      |
|                  |                   | Have you                                 | ever been cl                    | narged with or convicted of  | a firea            | earm                       | s or explos   | ives offe              | ense?                    |                         |                           |  |                     |                                       |               |           | I         |      |
|                  | -                 |  |                                 | charges pending against y  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           |           |      |
|                  |                   |  |                                 | narged with or convicted of  |                    |                            |               |                        |                          |                         |                           |  |                     |                                       |               |           | ļĽ        |      |
|                  |                   |  | 7 years, hav<br>al, Captain's   | e you been subject to court<br>mast, etc.)   | t marti            | irtial c                   | or other dise | ciplinary              | / proceed                | ings unde               | er the Uni                | form Cod                               | le of Mil           | itary Justic                          | e? (Include   |           |           |      |
|                  |                   | n the last<br>Leave ou                   | 7 years, hav<br>t traffic fines | e you been arrested for, ch<br>of less than \$150 unless th  | narged<br>ne viola | ed wit<br>olatio           | th, or convi  | cted of a<br>hol or di | any offens<br>rug relate | se(s) not<br>d.)        | listed in r               | esponse                                | to a, b,            | c, d, or e al                         | bove?         |           |           |      |
| lf you<br>etc.). | answe             | ered "Yes"                               | to a, b, c, d,                  | e, or f above, explain below   | w. Un              | Jnder                      | r "Offense,"  | do not                 | list specif              | ic penalty              | y codes, l                | ist the ac                             | tual offe           | inse or viol                          | ation (for e) | (ample, a | rson,     | thef |
| Mon              | h/Year            | · [                                      | Offense                         | Action Taker   | n                  | ļ.                         | Law Enforc    | ement A                | Authority/(              | Court (Inci             | lude City a               | nd county/                             | country it          | f outside U.S                         | ) State       | ZIP       | Code      | e    |

 Month/Year
 Offense
 Action Taken
 Law Enforcement Authority/Court (Include City and county/country if outside U.S.)
 State
 ZIP Code

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Enter your Social Security Number before going to the next page-

Page 7

•

| 24  | YOUR                  | SE OF ILLEGA   | L DRU              | GS AND DRUG A                            | CTIVITY                                 |   |  |                             |   |             |           |                  |               |
|-----|-----------------------|--|--------------------|--|---|---|--|-----------------------------|---|-------------|-----------|------------------|---------------|
|     | failure to            | do so could be   | ground             |  | mployment decisi                        | ion or action a                               | painst you, but ne   | either your tr              | tions fully and truthfully, and tuthful responses nor informat  |             | Yes       | No               | •             |
| 0   | crack co              | caine, hashish,  | narcoti            |  | ne, codeine, heroii                     |   |  |                             | e, for example, marijuana, co<br>turates, methaqualone, tranqu  |             |           |                  | ]             |
| 0   |                       |  |                    | controlled substan                       |   |   |  | prosecutor,                 | or courtroom official; while po   | ssessing    |           |                  |               |
| C   |                       |  |                    | en involved in the<br>hallucinogen, or c |   |   |  |                             | r, shipping, receiving, or sale   | of any      |           | ] [              |               |
|     | lf you ar             | nswered "Yes" to   | aorb               | above, provide th                        | e date(s), identify                     | the controlled                                | substance(s) and   | l/or prescrip               | tion drugs used, and the num  | ber of time | es each v | vas useo         | d.            |
| М   | lonth/Yea             |  |                    | Controlle                                | ed Substance/Pres                       | scription Drug                                | Used   |                             | Number of Times   | SUSED       |           |                  |               |
|     |                       | То   |                    |  |   |   |  |                             |   |             |           |                  |               |
|     |                       | То   |                    |  |   |   |  |                             |   |             | 1         |                  |               |
| 25  | YOURI                 | JSE OF ALCOH   | OL                 |  |   |   |  |                             |   |             | Yes       | Na               | <b>)</b>      |
|     |                       | st 7 years, has y<br>not abuse or alco                               |                    |  | erages (such as liq                     | luor, beer, win                               | e) resulted in any   | alcohol-rela                | ated treatment or counseling (  | such as     |           |                  |               |
|     | If you ar<br>item 21  |  | provide            | the dates of treatr                      | nent and the name                       | e and address                                 | of the counselor   | or doctor be                | low. Do not repeat information  | on reporte  | d in resp | onse to          |               |
| M   | lonth/Yea             | r Month/Yea  |                    | · · · ·                                  | Na                                      | ame/Address (                                 | of Counselor or D  | octor                       |   | State       | ZIF       | Code             |               |
|     |                       | То   |                    |  | •                                       |   |  |                             |   |             |           |                  |               |
|     |                       | То   |                    |  |   |   |  |                             |   |             |           |                  |               |
| 26  | YOUR                  | NVESTIGATIO  | IS REC             | ORD                                      |   |   |  |                             |   |             | Yes       | Na               | , <sup></sup> |
|     | fol<br>re             | llow to provide to<br>ceived, enter "O                               | ne requ<br>ther" a | ested information<br>gency code or clea  | below. If "Yes," b<br>arance code, as a | ut you can't re<br>ppropriate, an             | call the investiga<br><b>"Don't know"</b> o                          | ting agency<br>r "Don't rec | arance? If "Yes," use the cod<br>and/or the security clearance<br>all" under the "Other Agency<br>leared, check the "No" box. |             |           |                  |               |
|     | 1 - Defe<br>2 - State | or Investigating<br>nse Department<br>Department<br>e of Personnei N |                    | 4 - FBI<br>5 - Trea                      | sury Department<br>r <i>(Specify)</i>   |   | Codes for Secu<br>0 - Not Required<br>1 - Confidential<br>2 - Secret | d 3-<br>4-                  | ce Received<br>- Top Secret<br>- Sensitive Compartmented In<br>- Q  | formation   |           | - L<br>' - Other | r             |
|     | Month/                | Year Agency<br>Code  |                    | Other Ag                                 | ency                                    | Clearance<br>Code                             | Month/Year   | Agency<br>Code              | Other Agency  | /           |           | Clearand<br>Code |               |
|     | <b>.</b>              |  |                    | vou over bed a st                        |   |   |  |                             |   |             |           |                  |               |
|     | fro                   | m government e   | employi            | ment? If "Yes," giv                      | /e date of action a                     | ind agency. N                                 | ote: An administr  | ative downg                 | ed, or have you ever been de<br>rade or termination of a secu   | rity        | Yes       | No               | <u> </u>      |
|     |                       | arance is not a  |                    |  |   |   |  | T                           |   |             | <u> </u>  | <u>II</u>        |               |
| •   | Month/                | rear   |                    | epartment or Ager                        | icy Taking Action                       |   | Month/Year   |                             | Department or Agency 1  | Faking Act  | ion       |                  |               |
|     |                       |  |                    |  |   |   |  |                             |   |             |           |                  |               |
| Ø   |                       | INANCIAL REC   |                    | 4 - 104 - 1                              |   |   |  |                             |   |             | Yes       | No               | ,             |
|     |                       |  |                    | d a petition under                       |   |   |  |                             |   |             |           |                  |               |
|     |                       |  |                    | d your wages garr<br>d a lien placed aga |   | <u>· · · · · · · · · · · · · · · · · · · </u> |  |                             |   |             |           |                  | ╡             |
| -   |                       |  |                    | d any judgments a                        |   |   |  | 1 080(31                    |   |             |           |                  | ╡             |
| Ψ.  |                       |  | -                  | , or d, provide the                      |   |   |  |                             |   |             | j L       | 1   L            |               |
| Mon | th/Year               | Type of Act  | ion                | Amount                                   | Name Action C                           | Occurred Unde                                 | r Name/Ad  | dress of Cou                | irt or Agency Handling Case   | State       | ZIP       | Code             |               |
|     |                       |  |                    |  |   |   |  |                             |   |             |           |                  |               |
|     |                       |  |                    |  |   |   |  |                             |   |             |           |                  |               |

Enter your Social Security Number before going to the next page -

| In the las           | INANCIAL DELI   | INQUENCIES       |                    |   |                |   |            | Yes      | No       |
|----------------------|---|------------------|--------------------|---|----------------|---|------------|----------|----------|
|                      | In the last 7 years, have you been over 180 days delinquent on any debt(s)? |                  |                    |   |                |   |            |          | <u> </u> |
| Are you              | currently over 90   | ) days delinque  | ent on any debt(   | s)?   |                |   |            |          |          |
| If you ar            | swered "Yes" to   | a or b, provide  | e the information  | requested below:  |                | <u></u>   |            | 1        | •        |
| ncurred<br>onth/Year | Satisfied<br>Month/Year   | Amount           |                    | Type of Loan or Obligation Name/Address of Creditor or Obligee and Account Number |                | Name/Address of Creditor or Obligee   | State      | ZIP      | Code     |
|                      |   |                  | -                  |   |                |   |            |          |          |
| PUBLIC               | RECORD CIVIL  | COURT ACT        | IONS               | L   |                |   | . <b>I</b> | Yes      | No       |
| In the la            | st 7 years, have  | you been a pa    | rty to any public  | record civil court action   | ons not listed | elsewhere on this form?   |            |          |          |
| If you ar            | nswered "Yes," p  | rovide the info  | rmation about th   | e public record civil c   | ourt action re | quested below.  |            |          |          |
| onth/Year            | Nature of Ac  | tion Re          | sult of Action     | Name of Parties   | Involved       | Court (Include City and county/country if outside U.S.)   | State      | ZIP      | Code     |
|                      |   |                  | <u> </u>           |   |                |   |            |          |          |
| ) YOUR #             |   | ECORD            |                    |   |                |   |            | Yes      | No       |
| Have vo              | u ever been an o  | officer or a mer | mber or made a     | contribution to an org  | anization de   | dicated to the violent overthrow of the United States   |            |          |          |
| Governr              |   |                  |                    | -   |                | tion engages in such activities with the specific inter   |            |          |          |
| Have vo              | w ever koowingh   | e nananad in a   | ny acts or activit | ies designed to overt   | brow the Lini  | ted States Government by force?   |            |          |          |
| Have yo              |   |                  |                    | <b>,</b>  |                |   |            | ] []     |          |
|                      |   |                  | ditional answers   | to items 9, 10, and 1   |                |   |            |          |          |
|                      | fy the number of  |                  | nan is provided b  |   |                | pace below to continue answers to all other items a<br>er. Start each sheet with your name and Social Sec |            |          |          |
|                      |   |                  | nan is provided b  |   |                |   |            |          |          |
|                      |   |                  | nan is provided b  |   |                |   |            |          |          |
|                      |   |                  | nan is provided b  | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    |   |                | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
|                      |   |                  |                    | below, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            |          |          |
| wer, identi          | fy the number of  | of this form an  | id any attachmen   | pelow, use a blank she  | eet(s) of pap  | er. Starl each sheet with your name and Social Sec  |            | nber. Be |          |
| swer, identi         | fy the number of  | of this form an  | nd any attachmen   | pelow, use a blank she  | vyour anjswe   | er. Start each sheet with your name and Social Sec  |            | nber. Be |          |

Signature (Sign in ink)
Date
Enter your Social Security Number before going to the next page

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## **UNITED STATES OF AMERICA**

## AUTHORIZATION FOR RELEASE OF INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

I Authorize any investigator, special agent, or other duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain any information relating to my activities from individuals, schools, residential management agents, employers, criminal justice agencies, credit bureaus, consumer reporting agencies, collection agencies, retail business establishments, or other sources of information. This information may include, but is not limited to, my academic, residential, achievement, performance, attendance, disciplinary, employment history, criminal history record information, and financial and credit information. I authorize the Federal agency conducting my investigation to disclose the record of my background investigation to the requesting agency for the purpose of making a determination of suitability or eligibility for a security clearance.

I Understand that, for financial or lending institutions, medical institutions, hospitals, health care professionals, and other sources of information, a separate specific release will be needed, and I may be contacted for such a release at a later date. Where a separate release is requested for information relating to mental health treatment or counseling, the release will contain a list of the specific questions, relevant to the job description, which the doctor or therapist will be asked.

I Further Authorize any investigator, special agent, or other duly accredited representative of the U.S. Office of Personnel Management, the Federal Bureau of Investigation, the Department of Defense, the Defense Investigative Service, and any other authorized Federal agency, to request criminal record information about me from criminal justice agencies for the purpose of determining my eligibility for access to classified information and/or for assignment to, or retention in a sensitive National Security position, in accordance with 5 U.S.C. 9101. I understand that I may request a copy of such records as may be available to me under the law.

I Authorize custodians of records and sources of information pertaining to me to release such information upon request of the investigator, special agent, or other duly accredited representative of any Federal agency authorized above regardless of any previous agreement to the contrary.

I Understand that the information released by records custodians and sources of information is for official use by the Federal Government only for the purposes provided in this Standard Form 86, and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for five (5) years from the date signed or upon the termination of my affiliation with the Federal Government, whichever is sooner. Read, sign and date the release on the next page if you answered "Yes" to question 21.

| Full Name ( <i>Type or Print Legibly</i> ) |                      |          | Date Signed                                  |
|--|----------------------|----------|--|
|  |                      |          | Social Security Number                       |
| · ·  | State                | ZIP Code | Home Telephone Number<br>(Include Area Code) |
|  | Full Name (Type or F |          |  |

Standard Form 86 Revised September 1995 U.S. Office of Personnel Management 5 CFR Parts 731, 732, and 736 Form approved: OMB No. 3206-0007 NSN 7540-00-634-4036 86-111

## UNITED STATES OF AMERICA

#### AUTHORIZATION FOR RELEASE OF MEDICAL INFORMATION

Carefully read this authorization to release information about you, then sign and date it in ink.

#### Instructions for Completing this Release

This is a release for the investigator to ask your health practitioner(s) the three questions below concerning your mental health consultations. Your signature will allow the practitioner(s) to answer only these questions.

l am seeking assignment to or retention in a position with the Federal government which requires access to classified national security information or special nuclear information or material. As part of the clearance process, **I hereby authorize** the investigator, special agent, or duly accredited representative of the authorized Federal agency conducting my background investigation, to obtain the following information relating to my mental health consultations:

Does the person under investigation have a condition or treatment that could impair his/her judgment or reliability, particularly in the context of safeguarding classified national security information or special nuclear information or material?

If so, please describe the nature of the condition and the extent and duration of the impairment or treatment.

What is the prognosis?

I understand the information released pursuant to this release is for use by the Federal Government only for purposes provided in the Standard Form 86 and that it may be redisclosed by the Government only as authorized by law.

Copies of this authorization that show my signature are as valid as the original release signed by me. This authorization is valid for 1 year from the date signed or upon termination of my affiliation with the Federal Government, whichever is sooner.

| Signature (Sign in ink)        | Full Name (Type or Print Legibly) |       | · · · · · · · · · · · · · · · · · · · | Date Signed   |
|--------------------------------|-----------------------------------|-------|---------------------------------------|---|
|                                |                                   |       |                                       |   |
| Other Names Used               | <b> </b>                          |       |                                       | Social Security Number                              |
| Current Address (Street, City) | S                                 | State | ZIP Code                              | Home Telephone Number<br>(Include Area Code)<br>( ) |

## DJMS-08-D-0013

Attachment 3

Report Forms

## COURT FACILITY MONTHLY STATISTICAL SUMMARY REPORT

FISCAL YEAR:

DISTRICT NAME :

REPORT DATE:

DISTRICT NUMBER:

| LEGAL (non LEO) | DETECTED                                | STORED      | RETURNED | ILLEGAL        | CONFISCATED                           | INCIDENT RPT                          | ARREST RPT  |
|-----------------|---|-------------|----------|----------------|---------------------------------------|---------------------------------------|-------------|
| GUNS            |   |             |          | GUNS           |                                       |                                       |             |
|                 |   |             |          |                | 1                                     |                                       | !<br>*****  |
| KNIVES          |   |             |          | KNIVES         |                                       |                                       |             |
|                 |   |             |          |                |                                       |                                       |             |
|                 |   |             |          | EXPLOSIVES     |                                       |                                       |             |
|                 |   |             |          | DRUGS          |                                       |                                       |             |
| OTHER           |   |             |          |                |                                       |                                       |             |
|                 |   |             |          | OTHER          |                                       |                                       |             |
| TOTAL           |   | · · · · · · |          | TOTAL          | · · · · · · · · · · · · · · · · · · · |                                       |             |
|                 |   | .11         |          | 101712         | I                                     | · · · · · · · · · · · · · · · · · · · |             |
| PROHIBITED      | DETECTED                                | STORED      | RETURNED |                | CSO HOURS                             | TYPE INCIDENT                         | TOTAL/MONTH |
| Cell Phones     |   |             |          | Criminal Court |                                       | Bomb Threat                           |             |
| Computers       |   |             |          |                |                                       | Assault                               |             |
| Recorders       |   |             |          | Civil Court    |                                       | Forced Entry                          |             |
| Pagers          |   |             |          |                |                                       | Medical emerg.                        |             |
| Cameras         |   |             |          | Tax Court      |                                       | Disruptive person                     |             |
| Stun guns       |   |             |          |                |                                       | Illegal weapon                        |             |
| OC Spray        |   |             |          | Bankrupcy      |                                       | Contraband                            |             |
| Other           |   |             |          |                |                                       | Shooting                              |             |
|                 |   |             |          | Travel         |                                       | Other                                 |             |
|                 |   |             |          | Other          |                                       |                                       |             |
|                 |   |             |          |                |                                       |                                       |             |
| TOTAL           | ••••••••••••••••••••••••••••••••••••••• |             |          | TOTAL HRS.     |                                       | TOTAL                                 |             |

**REMARKS:** 

LEAD CSO/SITE SUPERVISOR

USMS JSI/COTR SIGNATURE

DATE SUBMITTED

Page 1 of 3

(See the following pages for definitions.)

CSO FORM 002 (REV. 2/07) Section J - Attachment 3(A)

## COURT FACILITY MONTHLY STATISTICAL SUMMARY REPORT

| ARREST          | To deprive a person of his/her liberty by legal authority. Seizure of an alleged or<br>suspected offender to answer for a crime. Arrests or any custodial interrogation though<br>not technically an "arrest" must be based on probable cause. To be actionable in the<br>event that such seizure is improper or unlawful, there must be an intent on the part of the<br>arresting officer or agent to bring the suspect into custody. The seizure or detention must<br>be understood by the person being arrested that he/she is under arrest. |
|-----------------|---|
| DETAINMENT      | To keep from proceeding; to delay; to keep in custody, retain or withhold.  |
| SEIZURE         | The act of forcibly dispossessing an owner of property under actual or apparent authority<br>of law. Also, the taking of property into custody of the court in satisfaction of a<br>judgement or in consequence of a violation of public law.   |
| RESTRICTIONS    | To hold with limits.  |
| DETECTION       | The act of detecting or the fact of being detected.   |
| INCIDENT        | An occurrence or event that interrupts normal procedure or precipitates a crisis.<br>Reporting an incident on the Court Facility Monthly Statistical Summary means that you<br>must also submit a copy of the corresponding Court Facility Incident Report (CSO form<br>003) to the Judicial Security Division (JSD), Office of Court Security (OCS), Operations<br>Support Branch (OSB). ALL COURT FACILITY INCIDENT REPORTS MUST BE<br>FORWARDED TO OCS – OSB WITHIN 24-HOURS AFTER THE INCIDENT<br>OCCURS.                                   |
| CONTRABAND      | Any property or possession, the transportation of which is <b>ILLEGAL</b> . For instance, narcotic drugs, firearms, etc. When contraband is discovered on a court visitor, detain the subject and immediately call a DUSM to the scene. Prepare a Court Facility Incident Report (CSO Form 003) to describe the situation. The report must address who, what, where, when and how.  |
| WEAPON          | Any instrument capable of producing death or serious bodily injury. An instrument may be intrinsically deadly (e.g. knife, pistol, rifle) or deadly because of the way it is used or the force with which it is used (e.g., wrench, hammer, stick).   |
| LEGAL           | Authorized by or based on law. Enforced or recognized by law. Created by law.   |
| ILLEGAL         | Forbidden by law or by official rules.  |
| PROHIBITED ITEM | Any item listed as prohibited in the court facility by order of the Chief Judge or the U.S. Marshal.  |
| ABANDONMENT     | Knowing relinquishment of one's right or claim to property without any future intent to again gain title or possession. Relinquishment or surrender of rights or property by one person to another. Intent to abandon and the act by which the intention is carried out. A finder of the property not legally abandoned must make reasonable efforts to restore it to the true owner and must relinquish it to him/her upon demand.   |
| KNIFE           | A cutting instrument having a sharp blade with a handle.  |
| GUN             | Any device, whether apparent or disguised, capable of firing an explosive charge used as a propellant for a projectile.   |
| CONFISCATE      | To take private property without just compensation. To transfer property from a private<br>use to a public use. To appropriate private property as a result of a criminal conviction<br>or because the possession was itself, a crime.  |
| WEAPONS OFFENSE | Violations of statutes or regulations that control weapons.   |

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**CSO FORM 002 (REV. 02/07)** Section J - Attachment 3(A)

# COURT FACILITY MONTHLY STATISTICAL SUMMARY REPORT

| LEGAL (non L.E.O)       | This refers to legally carried weapons. DO NOT include Law Enforcement Officer                                     |
|-------------------------|--|
|                         | (LEO) weapons in this category. (Varies by state.)   |
| DETECTED, STORED, AND   | Self explanatory. The quantity of "STORED" and "RETURNED" weapons should   |
| RETURNED                | match. If they do not, you should immediately make inquiries to determine why they                                 |
|                         | don't match.   |
| ILLEGAL                 | Use these blocks to identify CONTRABAND carried by persons who are NOT legally                                     |
| · ·                     | authorized to possess or transport it. (Varies by state.) When contraband is discovered,                           |
|                         | immediately detain the person(s) involved, call a DUSM to the scene, prepare a Court                               |
| •                       | Facility Incident Report, and include the report on the Court Facility Statistical Summary                         |
|                         | report. Provide name and date of birth of perpetrator.   |
| COURT FACILITY INCIDENT | Use this field to report the quantity of Court Facility Incident Reports prepared during                           |
| REPORT                  | the reporting period. Any time there is an ILLEGAL item confiscated from a court                                   |
|                         | visitor there should be a corresponding incident and/or arrest report. Copies of Incident                          |
|                         | and/or arrest reports must be sent to JSD/OCS-OSB with 24-hours after the incident                                 |
|                         | occurs. Be sure to include copies of Incident Reports with the monthly Court Facility                              |
| ARREST REPORT           | Statistical Summary report submission.   |
| AKKESI KEPUKI           | Use this field to report the quantity of arrest reports prepared as a result of a violation of                     |
|                         | the building security regulations. Arrest reports will be prepared by a DUSM. A copy of                            |
|                         | the Arrest Report(s) should be sent with the Court Facility Statistical Summary Report<br>and sent to JSD/OCS-OSB. |
| PROHIBITED ITEM         | This field contains a list of some but not all items that may be prohibited in the court                           |
|                         | facility. If other non-identified items are detected and stored, you may identify them in                          |
|                         | the vacant spaces at the bottom of the list. Be sure to verify that the number of items                            |
|                         | stored matches the number of items returned. If they do not match, you must  |
|                         | immediately make inquiries to determine why they don't match.  |
| CSO HOURS               | Number of hours charged during the reporting period by Court Security Officers in                                  |
|                         | performing their duties in the categories listed.  |
| TYPE OF INCIDENT        | Use this field to report the quantity of Court Facility Incident Reports for the type of                           |
|                         | incidents listed. (e.g., 2 Bomb Threats, 7 Assaults, etc.)   |
|                         |  |

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## COURT FACILITY INCIDENT REPORT

| 1. DATE OF REPORT         | 2. DATE OF INCIDENT   | 3. REPORTED BY (Please print):   |                   |  |  |  |  |  |
|---------------------------|---|--|-------------------|--|--|--|--|--|
|                           |   |  |                   |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
| 4. ARREST/DETENTION       | 5. REPORTING DISTRICT   | 6. LOCATION OF INCID<br>City   | ENT<br>State      |  |  |  |  |  |
| 7. TYPE OF INCIDENT (Ch   | i<br>eck Applicable Box)  | 1 Cay  | State             |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
| 🔲 Bomb Threat 🛛 Assa      | ault 🗌 Forced Entry   | Medical Emergency  | Disruptive Person |  |  |  |  |  |
| 🗋 lltegat Weapon 🗌 Cor    | Illegal Weapon Contraband Shooting Other (Describe)   |  |                   |  |  |  |  |  |
| 8. CHECK APPLICABLE B     | ox  |  |                   |  |  |  |  |  |
| INITIAL REPORT            | <b>FOLLOW-UP REPO</b>   | (Initial report da   | ited,)            |  |  |  |  |  |
| 9. INCIDENT DESCRIPTIO    | N (Details should cover who, what   | t, where, when and how.)   |                   |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
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| 10. REPORT PAGES          |   |  |                   |  |  |  |  |  |
| REPORT CONTINUED          | ON ATTACHE  | D PAGE(S).   |                   |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
| I hereby certify that the | I hereby certify that the information stated herein is true, complete and accurate to the best of my knowledge. |  |                   |  |  |  |  |  |
| 11. SIGNATURE OF PREPA    | ARER 12. DATE   | 15. DISTR  | BUTION            |  |  |  |  |  |
|                           |   | DISTR  | CT COTR I COPY    |  |  |  |  |  |
| 13. APPROVED BY:          | 1.4 TEATIT  |  |                   |  |  |  |  |  |
| I.J. APPROVED BY:         | 14. DATE  |  |                   |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
| NAME                      |   | ļ  |                   |  |  |  |  |  |
|                           |   |  |                   |  |  |  |  |  |
| TITLE                     |   |  |                   |  |  |  |  |  |
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## COURT FACILITY INCIDENT REPORT (Continuation Sheet)

|                      | <u>(Continuat</u>                  |                      |          |   |
|----------------------|------------------------------------|----------------------|----------|---|
| 1. DATE OF REPORT    | 2. DATE OF INCIDENT                | 3. PAGE(s)           | OF       |   |
|                      | <u> </u>                           |                      | <u> </u> |   |
| INCIDENT DESCRIPTION | (Details should cover who, what, w | here, when and how.) |          |   |
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SEE NEXT PAGE FOR INSTRUCTIONS PAGE 2 of 3

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CSO FORM 003 (REV. 02/07) Section J - Attachment 3(B)

SEE NEXT PAGE FOR INSTRUCTIONS PAGE 3 of 3

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CSO FORM 003 (REV. 02/07) Section J - Attachment 3(B)

## **COURT FACILITY INCIDENT REPORT**

|                           | ·   |  |  |  |  |
|---------------------------|---|--|--|--|--|
|                           |   |  |  |  |  |
| 1. DATE OF REPORT         | State the date the report is being prepared.  |  |  |  |  |
| 2. DATE OF INCIDENT       | Self explanatory.   |  |  |  |  |
| 3. REPORTED BY            | Provide the name of person preparing the report.  |  |  |  |  |
| 4. ARREST/DETENTION       | Check the box at the bottom of this section if anyone is detained for any length of time or arrested.   |  |  |  |  |
| 5. REPORTING DISTRICT     | Indicate the name of the district preparing the report. Please annotate if different than where the incident occurred.  |  |  |  |  |
| 6. LOCATION OF INCIDENT   | Indicate the city and state where the incident occurred.  |  |  |  |  |
| 7. TYPE OF INCIDENT       | Check applicable box that best describes the type of incident. If "Other" is checked, give a one or two word description that best describes the incident.  |  |  |  |  |
| 8. CHECK APPLICABLE BOX   | Indicate whether this is an initial report, a follow-up or an addendum to a previous report.  |  |  |  |  |
| 9. INCIDENT DESCRIPTION   | <ul> <li>At a minimum, the report must address the following:</li> <li>WHO: Provide the name(s) of the person(s) involved and their date of birth.</li> <li>WHAT: Describe what happened in detail.</li> <li>WHERE: Where did the incident happen? City, building, floor, room, etc.</li> <li>WHEN: Date and time of the incident.</li> <li>HOW: If not already covered in the "what" category, describe how the incident happened.</li> <li>All reports must be legible, complete, and accurate as possible. Explain the incident in detail, from the beginning to the end. Never end in the middle of the story.</li> </ul> |  |  |  |  |
|                           | BE SURE THAT THE REPORT CAN BE READ BY SOMEONE OTHER THAN YOU.  |  |  |  |  |
| 10. REPORT PAGES          | If the narrative describing the incident is included on additional pages, write the number of pages attached. If contents of the report are sensitive in nature, each page should be marked "FOR OFFICIAL USE ONLY."  |  |  |  |  |
| 11. SIGNATURE OF PREPARER | Self explanatory.   |  |  |  |  |
| 12. DATE                  | Enter the date you signed this report.  |  |  |  |  |
| 13. APPROVED BY           | Indicate the name and title of the Contractor's official reviewing and approving official.  |  |  |  |  |
|                           | NOTE: The reviewing and approving official must be a supervisory representative.  |  |  |  |  |
| 14. DATE                  | Enter the date the report was reviewed, approved, and signed by the contractor's supervisory representative.  |  |  |  |  |
| 15. DISTRIBUTION          | Immediately forward a copy of this report as indicated.   |  |  |  |  |
|                           |   |  |  |  |  |

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PAGE 3 OF 3

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CSO FORM 003 (REV. 02/07) Section J - Attachment 3(B)

# COURT SECURITY OFFICER (CSO) TRAVEL AUTHORIZATION

| 1 VOUCHER                  |                           |  |                     |  |
|----------------------------|---------------------------|--|---------------------|--|
|                            | VOUCHER DATE              | VOUCHER TYPE                               | CONTRACTOR'S NAME   |  |
|                            |                           | <ul><li>Original</li><li>Reclaim</li></ul> |                     |  |
| 2. TRAVELER                |                           | 3. ITINARY                                 | 4. ESTIMATED COST   |  |
| NAME:                      |                           | TRIP BEGINS ON:<br>(MM/DD/YY):             | Lodging and M&IE \$ |  |
| ADDRESS:                   | M LAST                    | //   | Transportation \$   |  |
| CITY:                      |                           | TRIP ENDS ON:<br>(MM/DD/YY)                | Other \$            |  |
| SSN:                       | DISTRICT LOCATION:        |  | TOTAL \$            |  |
| 5. PURPOSE OF TRAVEL       |                           |  |                     |  |
| CSO ORIENTATION (PHASE II) | TEMPORARY POST ASSIGNMENT |  |                     |  |
| TRAVEL A UTHORIZATION:     |                           |  |                     |  |

| TRAVEL CONDITIONS OR LIMITATIONS   | MODE OF SUBSISTENCE  | 8. PLANNED ITINERARY |
|--|--|----------------------|
| MODE OF TRANSPORTATION AUTHORIZED:   |  | (MM/DD/YY)<br>FROM:  |
| Use of common carrier transportation<br>Use of a rental car  | NOTE: PER DIEM WILL<br>BE BASED ON LODGING<br>PLUS M&IE NOT TO | то:                  |
| Use of a Government furnished vehicle Use of a privately owned vehicle. Mileage rate authorized: \$                  | EXCEED GSA<br>LOCATION RATES.                                  | то:                  |
| Other (Use of any type of transportation method (i.e., train, bus) that does not exceed the cost of common carrier.) |  | то:                  |
|  |  | то:                  |

YOU ARE AUTHORIZED TO TRAVEL AT GOVERNMENT EXPENSE IN ACCORDANCE WITH DEPARTMENT OF JUSTICE REGULATIONS UNDER THE CONDITIONS OUTLINED IN THIS AUTHORIZATION AS NECESSARY FOR THE CONDUCT OF BUSINESS RELATIVE TO THE APPLICABLE UNITED STATES MARSHALS SERVICE COURT SECURITY SERVICE CONTRACT.

| 9. CONTRACTOR'S REVIEWING AND APPROVING OFFICIAL |               | 10. CONTRACTING OFFICER'S TECHNICAL REPRESENTATIVE'S APPROVAL |               |  |
|--|---------------|---|---------------|--|
| NAME (Print)                                     | APPROVAL DATE | NAME (Print)  | APPROVAL DATE |  |
| SIGNATURE  | TITLE         | SIGNATURE   | TITLE         |  |

CSO FORM 010 (EST. 09/05) Section J - Attachment 3(C)

# COURT SECURITY OFFICER (CSO) TRAVEL EXPENSE REIMBURSEMENT

| 1. TRAVELER IDENTIFICATION  | 3. APPROVALS   |     |  |
|---|--|-----|--|
| NAME:         RESIDENCE:         CITY:  | Note: Falsification of an item in an expense account can result in a forfeiture of the claim (28 U.S. C. 2154) and may result in a fine of not more than \$10,000 or imprisonment for not more than \$ years or both (18 U.S.C. 287.1 d.1001). |     |  |
| DISTRICT ASSIGNMENT:  | TRAVELER DATE AND SIGN HERE  |     | CONTRACTOR'S APPROVING OFFICIAL DATE AND SIGN HERE   |
| 2. ITINERARY TRIP BEGAN (MMDDYY) TRIP ENDED (MMDDYY)TRAVEL TIME:   Less than 12 hrs ·   Less than 24 hrs   More than 24 hrs  PRIMARY DESTINATION: TRANSPORTATION METHOD INDICATOR - HIGHEST CLASS OF TRAVEL  1. COACH   2. BUSINESS CLASS   3. FIRST CLASS   4. N/A | I hereby certify that the travel undertaken in this reimbursement voucher is true and accurate to the best of my knowledge and that payment or credit has not been received by me. SUBMISSION DATE: SIGNATURE:                                 |     | I hereby certify that the travel undertaken in this reimbursement voucher has been reviewed and approved as necessary for the conduct of USMS contract business. PRINTED NAME: SUBMISSION DATE: SIGNATURE: |
| REASON FOR UPGRADE (Required if Business or First Class is used.)   | 4. TRAVEL PURPOSE:   |     | 5. VOUCHER TYPE:   |
| 6. EXPENSE SUMMARY  |  |     |  |
| Cost for Air, Train, Bus, etc.  |  | S   |  |
| Car Rental and Gas  |  | S   |  |
| Privately Owned Vehicle (POV)/Mileage Total   |  | S   |  |
| Public Transportation – Temporary Post Assignment   |  | S   |  |
| Lodging   |  | S   |  |
| State/Local Lodging Taxes   |  | S   |  |
| Meals and Incidental Expenses   |  | S   |  |
| Parking   |  | S   |  |
| Other   |  | S . |  |
Daily Expense Report Summary: Enter expenses in categories provided below. After completion, record the total for each category on page one in box six.

| Travel<br>Day | ST  | City/County                           | Car<br>Rental/Gas | POV<br>Mileage | Public  | T - d - i | Lodging<br>Taxes | MAIL | Destring | Other | Commente |
|---------------|-----|---------------------------------------|-------------------|----------------|---------|-----------|------------------|------|----------|-------|----------|
| Day           | 31  | City/County                           | Kentai/Gas        | Mileage        | Transp. | Lodging   | laxes            | M&IE | Parking  | Other | Comments |
|               |     | <u> </u>                              |                   | <u> </u>       |         |           |                  |      |          |       |          |
|               |     |                                       |                   |                |         |           |                  |      |          |       |          |
|               |     | · · · · · · · · · · · · · · · · · · · | +                 |                |         |           |                  |      |          |       |          |
|               |     |                                       | <u> </u>          |                |         |           |                  |      |          |       |          |
|               |     | ·····                                 | <u> </u>          |                |         |           |                  |      |          |       |          |
|               |     |                                       |                   |                |         |           |                  |      |          |       |          |
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|               | l ] |                                       | 1                 | L              | L       | I         | L                | L    |          | L     | <u> </u> |
|               |     |                                       |                   |                |         |           |                  |      |          |       |          |

INSERT TOTALS (\$) FOR EACH COLUMN.

| Standard Form 1034<br>Revised October 1987<br>Department of the Tree<br>1 TFM 4-2000 |                                   |   |                                       | OR PURCHASI<br>FHAN PERSO |               |         | VOUCHER    | NO.           |
|--|-----------------------------------|---|---------------------------------------|---------------------------|---------------|---------|------------|---------------|
| U.S. DEPARTMEN   | T, BUREAU, OF                     | R ESTABLISHMENT AN                                  | ND LOCATION                           | DATE VOUCHE               | ER PREPAR     | ED      | SCHEDULE   | E NO.         |
|  |                                   |   |                                       | CONTRACT NU               | MBER AN       | D DATE  | PAID BY    |               |
|  |                                   |   |                                       | REQUISITION               | NUMBER A      | ND DATE | -          |               |
| PAYEE'S  |                                   |   |                                       |                           |               |         | DATE INVO  | DICE RECEIVED |
| NAME<br>AND<br>ADDRESS   |                                   |   |                                       |                           |               |         | DISCOUNT   |               |
|  | L                                 | · · · · · · · · · · · · · · · · · · ·               | · · · · · · · · · · · · · · · · · · · |                           |               |         | PAYEE'S A  | CCOUNT NO.    |
| SHIPPED FROM   |                                   |   | то                                    |                           | W             | EIGHT   | GOVERNM    | IENT B/L NO.  |
| NUMBER<br>AND DATE<br>OF ORDER   | DATE OF<br>DELIVERY<br>OR SERVICE | ARTI<br>(Enter description,<br>supply schedule, and |                                       | ract or Federal           | QUAN-<br>TITY | COST    | IIT<br>PER | AMOUNT (1)    |

| (Use continuation sheet    | ts) if necessary)    | (Payee must NOT use the space below) | TOTAL                        |  |
|----------------------------|----------------------|--------------------------------------|------------------------------|--|
| PAYMENT:                   | APPROVED FOR<br>= \$ | - EXCHANGE RATE<br>= \$1.00          | DIFFERENCES                  |  |
|                            | BY (2)               |                                      |                              |  |
| FINAL<br>FINAL<br>PROGRESS |                      |                                      | Amount verified; correct for |  |
|                            | TITLE                |                                      | (Signature or initials)      |  |

Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment.

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|   | · ·          | •                                 |                |                   |  |
|---|--------------|-----------------------------------|----------------|-------------------|--|
|   | Date         | Authorized Certifying Officer (2) |                | (Title)           |  |
|   |              | ACCOUNTING                        | CLASSIFICATION |                   |  |
| ВΥ  | CHECK NUMBER | ON ACCOUNT OF U.S. TREASURY       | CHECK NUMBER   | ON (Name of bank) |  |
| PAID  | CASH         | DATE                              | PAYEE 3        |                   |  |
| <ol> <li>When stated in foreign currency, state name of currency</li> <li>If the ability to certify and authority to approve are combined in one person, one</li> </ol>   |              | PER                               |                |                   |  |
| signature only is necessary; otherwise the approving officer will sign in the space provided,<br>over his/her official tille.<br>(3) When a voucher is receipted in the name of a company or corporation, the name of the<br>person writing the company or corporate name as well as the capacity in which he/she signs,<br>must appear. For example: John Doe Company, per John. |              |                                   | TITLÉ          |                   |  |

PRIVACY ACT STATEMENT The information requested on this form is required under the provisions of 31 U.S.C. 82b and 82c, for the purpose of disbursing Federal money. The information requested is to identify the particular creditor and the amounts to be paid. Failure to furnish this information will hinder discharge of the payment obligation.

SF-1034 10/87 USMS 07/05

| Standard Form 1034<br>Revised October 1987<br>Department of the Tree<br>1 TFM 4-2000 | asury                             | PUBLIC VOUCHER FO<br>SERVICES OTHER 1   |                 |               | )        | VOUCHER    | NO.           |
|--|-----------------------------------|---|-----------------|---------------|----------|------------|---------------|
| U.S. DEPARTMEN   | T, BUREAU, OR                     | RESTABLISHMENT AND LOCATION   | DATE VOUCH      | ER PREPAI     | RED      | SCHEDULE   | E NO.         |
|  |                                   |   | CONTRACT NU     | MBER AN       | ID DATE  | PAID BY    |               |
|  |                                   |   | REQUISITION     | NUMBER        | AND DATE | -          |               |
|  |                                   |   |                 |               |          |            |               |
| PAYEE'S<br>NAME  |                                   |   |                 |               |          | DATE INVO  | DICE RECEIVED |
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**Continuation Sheet for SF-1034** 

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| (Use continuation sheet              | s) if necessary)     | (Payee must NOT use the space below) | TOTAL                        |  |
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# **MEMORANDUM**

Pursuant to authority vested in me, I certify that this voucher is correct and proper for payment.

| Date | Authorized Certifying Officer (2 | 2)           | (Title)                               |  |
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|      | ON ACCOUNT OF U.S. TREASURY      | CHECK NUMBER | ON (Name of bank)                     |  |
| CASH | DATE                             | PAYEE        | · · · · · · · · · · · · · · · · · · · |  |
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#### PRIVACY ACT STATEMENT

The information requested on this form is required under the provisions of 31 U.S.C. 82b and 82c, for the purpose of disbursing Federal moncy. The information requested is to identify the particular creditor and the amounts to be paid. Failure to furnish this information will hinder discharge of the payment obligation.

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SF-1034 10/87 USMS 07/05

# DJMS-08-D-0013

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Attachment 4

Policies & Directives

Directives Home Page >> Topics >> General Operations



# **GENERAL OPERATIONS**

#### 2.1 Law Enforcement Response

## LESS LETHAL DEVICES

- A. PROGRAM RESPONSIBILITY: U.S. Marshals Service Training Academy
- B. PURPOSE: This directive establishes policy, procedures and required training for United States Marshals Service (USMS) operational employees concerning the use and issuance of USMS authorized less than lethal devices. Court Security Officers are required to comply with the provisions of this directive in accordance with the contract.
- C. **AUTHORITY:** The Director's authority to supervise the USMS and issue written directives is set forth in 28 USC 561(g) and 28 CFR 0.111.
- D. POLICY: USMS operational employees are to use only authorized less than lethal devices, which their district/division managers may order them to carry, when necessary. Less than lethal devices may be used by authorized and trained personnel in accordance with the USMS directive <u>Use of Force</u> policies and procedures.
- E. **PROCEDURES:** The procedures establishing policy in this directive will pertain to all less than lethal devices. Procedures specific to a less than lethal device are documented in the individual device information cited in the Attachments:
  - 1. **Authorized Devices:** Only the following less than lethal devices, issued or approved by the Training Academy, may be used:
    - a. Oleoresin Capsicum aerosols (OC sprays): Attachment A
    - b. Expandable Batons: Attachment B
    - c. Electronic Immobilization Devices (Stun guns): Attachment C
    - d. Electronic Restraint Devices (Stun Belt, Band-It): Attachment D
    - e. Projectile Stun Guns (PSG): <u>Attachment E</u>
  - 2. Items approved and authorized for use by the USMS Special Operations Group are established under guidance and approval of the Deputy Director.
  - 3. Substitutions will not be permitted without the Training Academy's and district management's written approval.
  - 4. Carriage/Storage of Less Than Lethal Devices:
    - a. Less than lethal devices must be concealed from the general public when and where appropriate (does not apply when wearing USMS duty uniform). A device should not be inspected or handled in public unless there is an operational purpose.
    - b. Less than lethal devices should not be routinely carried or used in restricted areas, i.e.,

cellblocks unless they are to be deployed for operational need.

- Less than lethal devices may be carried on commercial aircraft subject to restrictions set by the FAA or airline carrier.
- d. Each less than lethal device may have special storage requirements which are described in the individual device attachment.

## 5. Use of Force Statement

- The use of less than lethal devices is authorized in USMS directive <u>Use of Force</u>.
- b. Less than lethal devices will be used in compliance with respective policies and in a manner consistent with current training procedures.
- c. Less than lethal devices will not be used to harass, taunt or abuse a subject or in a manner inconsistent with training and deployment protocols.
- d. Less than lethal devices may be used on an active resistant subject in situations where verbal commands or persuasion are not or would not be effective and the subject's actions demonstrate that physical control by the operational employee is not or would not be effective.
- All operational personnel are advised that implementation of less than lethal devices in deadly force situations is not recommended and less than lethal devices should not be substituted for a firearm.
- f. Less than lethal devices may be used against animals when they pose a danger to law enforcement officials or the public.

#### 6. Training

- a. All operational recruits must successfully complete the Training Academy approved course of instruction during basic training to be qualified to carry less than lethal devices.
- b. All operational employees are required to be certified and trained annually on a minimum of one of the following less than lethal devices: OC spray, expandable baton or projectile stun gun. Operational employees may be allowed to be certified and trained in additional less than lethal devices. Management may direct specified personnel to be certified and trained in additional less than lethal devices. All operational employees are encouraged to be certified and trained annually on every less than lethal device in the District or Division.
- c. Initial training and annual retraining for less than lethal devices will be conducted by a USMS certified instructor and will be documented by the district/division office (see USMS <u>Annual Retraining</u> directive). Documentation of training serves as the authorization to possess, carry, and utilize less than lethal devices as assigned. If an employee is unable to demonstrate the necessary proficiency with a less than lethal device, the instructor will conduct and document remedial training necessary to attain certification before the employee is authorized to carry the device.
- d. Training and carriage of less than lethal devices by District Security Officers (Personal Service Guards) must be in accordance with USMS directive, <u>Use of Personal Services</u> <u>Contracts for Guardss</u>.
- e. Court Security Officers' use of less than lethal devices must comply with the CSO contract.
- f. District Security Officers' (Personal Service Guards) use of less than lethal devices must be in compliance with USMS directive, <u>Use of Personal Services Contracts for</u>

<u>Guards</u>.

- 7. **Requirements After Use of Less Than Lethal Devices:** Once a subject and scene have been controlled and properly secured, operational employees who use a less than lethal device must take the following steps:
  - a. Provide medical attention to anyone who is obviously injured, alleges an injury or requests medical attention as soon as possible.
  - b. Report the incident to their immediate supervisor as soon as possible.
  - c. Photograph, and/or videotape marks or injuries on the subject resulting from the device. (A measuring tape, ruler or other acceptable item that can note the size, location, etc. of the injury or mark should be used.) If the marks or alleged injunes to be documented are on a private portion of the subject's body, USMS personnel will ensure privacy before the documentation is recorded. All pertinent information will be kept with the incident documentation (Form USM-133) in a secure file.
  - d. Complete Form <u>USM-133</u>, *Use of Force Report*, attach the following documents and submit to the Office of Internal Investigations within 24 hours:
    - (1) Photographs and/or video documentation.
    - (2) Less Than Lethal Device Qualification Record for each device used.
      - (a) USM 333-OC, Oleoresin Capsicum
      - (b) <u>USM 333-B</u>, Expandable Baton Qualification Record/ Authorization to Carry
      - (c) <u>USM 333-PSG</u>, Projectile Stun Gun Record/ Authorization to Carry
      - (d) USM 333-EID, Electronic Immobilization Device
      - (e) USM 333-ERD, Electronic Restraint Device
    - (3) Fill out an <u>USM-130</u>, *Prisoner Custody Alert Notice* and send it to the appropriate detention facility if a subject has been injured and/or received medical attention.
  - e. Any serious injury or death possibly associated with the activation of a less than lethal device must be reported in accordance to USMS directive, <u>Significant Incidents</u> <u>Reporting</u>.

# 8. Technical Malfunctions

- a. If a less than lethal device or associated equipment or attachments malfunction, the operational employee will prepare a Form USM-210, *Field Report*.
- b. The report should describe the problem, circumstances, and brand, model and serial number of the device. A copy of the USM-210 should be sent to the Assistant Director for Training. It is not necessary to send the faulty device to the Training Academy unless requested (defective equipment will generally be returned to the vendor for examination).
- c. No alterations or modifications shall be made to a less than lethal device without written approval of the Assistant Director for Training. Repairs of less than lethal devices must be completed in accordance with the manufacturer's warranty. Contact the USMS Training Academy for further information.

## F. RESPONSIBILITIES

# 1. Training Academy

- a. To provide necessary basic training of operational employees to use less than lethal devices.
- b. To provide advanced training of operational employees to be Less Than Lethal Instructors.
- c. To compile data on technical and product failures during agency deployment.
- d. To promote training programs and policies consistent with current law enforcement trends.

# 2. **Operational Employees**

- a. All new trainees must successfully complete the Training Academy-approved course of instruction for each less than lethal device during basic training to be qualified to carry less than lethal devices.
- b. Current operational employees must have completed a course of instruction as approved by the Assistant Director for Training and be qualified with at least one of the following less than lethal devices: OC spray, expandable baton, projectile stun gun.
- c. Operational employees will deploy, activate, and provide medical treatment and document less than lethal device usage in a manner consistent with training procedures and USMS directive <u>Use of Force</u>.
- d. Each operational employee will participate and successfully complete annual retraining as established by the USMS Training Academy to maintain certification with the less than lethal device(s) chosen by the employee or as directed by management.

#### 3. Less Than Lethal Instructor

- a. All operational employees selected to be "Less Than Lethal Instructors" will successfully complete the USMS Less Than Lethal Instructor Training Program (LLITP) and be certified by the Assistant Director for Training.
- b. Less Than Lethal Instructors can only conduct training and annual recertification for devices in which the Instructor Certification has been granted by the Training Academy.
- c. Less Than Lethal Instructors will maintain instructor certification by conducting initial training, annual retraining and additional training as approved by the Assistant Director for Training.
- d. Less Than Lethal Instructors will examine operational employees' less than lethal devices during training. Defective or damaged equipment will be brought to the attention of District/Division management.

# 4. District/Division Management

- a. Will ensure that all operational employees successfully complete initial and annual retraining to maintain current certification to carry each less than lethal device or, at district/division management discretion, a minimum of OC spray or expandable baton or projectile stun gun. District/Division management may direct specified personnel to be certified and trained in specific devices.
- b. Will ensure training is conducted as outlined in the USMS <u>Annual Retraining</u> directive.
- c. Will ensure annual retraining is recorded on respective *Qualification Records*. The forms will be maintained in the appropriate district/division files.

- d. Less than lethal electronic control devices are classified as accountable property and shall be inventoried, accounted for, and hand-receipted in the same manner as firearms (See Appendix A to USMS directive, *Management of Personal Property* and USMS directive, *Firearms*).
- e. Will sign the Less Than Lethal Instructor's appropriate qualification record after the instructor conducts annual retraining for district/division personnel.
- f. Will replace operational employees' defective less than lethal devices out of work plan funds. Replacement should be based on a demonstrated need by the Less Than Lethal Instructor.
- g. May order operational personnel to carry a less than lethal device.

## G. DEFINITIONS

- 1. **Operational Employees:** Employees assigned to the 1811, 082 or 1802 job series, or a U.S. Marshal.
- 2. **Less Than Lethal Device:** An authorized device that is intended to be used to effectively control a subject, but is not expected to cause serious injury or death.
- 3. **Subject(s):** Any person who comes into contact with operational employees while in performance of their duties (i.e., prisoners, fugitives, protestors, suspects, defendants, plaintiffs, and the public in general).
- 4. **Less Than Lethal Instructor:** A USMS operational employee trained and certified by the Training Academy as an instructor for one or more USMS approved less than lethal devices.
- 5. **Oleoresin Capsicum:** An inflammatory agent that occurs naturally in various plants. When transferred to a canister by a manufacturing process and used as a less than lethal device, it may cause respiratory inflammation consisting of coughing, shortness of breath and a gagging sensation. It causes severe twitching to involuntary closing of the eyes. It causes slight to acute burning sensation and redness of the skin.
- 6. **Oleoresin Capsicum Instructor:** An operational employee certified and authorized to instruct operational employees in the use of oleoresin capsicum and as authorized by the USMS Training Academy.
- 7. **Expandable Baton:** Three stage steel baton with hardened shafts that extend and lock by friction or a cam system. They possess a reinforced textured hard rubber or metal tip with a firm foam or rubber grip handle. The baton is designed to disrupt a subject's noncompliance by means of striking or penetrating large muscle groups, pressure points or close quarters contact areas sufficient to cause pain, immobilization, distraction or displacement of balance to gain compliance.
- 8. **Expandable Baton Instructor:** An operational employee certified and authorized to instruct operational employees in the use of the expandable baton and as authorized by the Training Academy.
- 9. Electronic Immobilization Device (EID): Weapons designed to disrupt a subject's central nervous system by means of deploying battery powered electrical energy sufficient to cause uncontrolled muscle contractions and override a subject's voluntary motor responses.
- 10. **EID Instructor:** An operational employee certified and authorized to instruct operational employees in the use of electronic immobilization devices and as authorized by the Training Academy.
- 11. Electronic Restraint Devices (ERD): Equipment that emits an electrical charge in order to subdue a violent person. The package is attached to the subject and is activated by remote control device, which for eight seconds delivers 50,000 volts, which may disorient, temporarily

immobilize and stun a subject without causing permanent injury.

- 12. ERD Instructor: An operational employee certified and authorized to instruct operational employees in the use of electronic restraint devices and as authorized by the Training Academy.
- 13. Projectile Stun Gun (PSG): Weapons designed to disrupt a subject's central nervous system by means of deploying battery powered electrical energy through projectiles sufficient to cause uncontrolled muscle contractions and override a subject's voluntary motor responses.
- 14. **PSG Instructor:** An operational employee certified and authorized to instruct operational employees in the use of projectile stun guns and as authorized by the Training Academy.
- Annual Retraining: Mandatory annual training as outlined by the Assistant Director for Training. The training is conducted by a certified Less Than Lethal Instructor or a USMS instructor certified to instruct in specific devices (USM 333-B, USM 333-OC, USM 333-PSG, USM 333-EID, USM 333-ERD)

Attachments

# Attachment A

# Oleoresin Capsicum (OC)

A. **POLICY:** Oleoresin Capsicum may be used by authorized and trained operational personnel in accordance with the USMS directive <u>Use of Force</u> policies and procedures.

#### B. PROCEDURES

#### 1. Authorized Devices

- a. Only the Oleoresin Capsicum in USMS inventory are authorized provided they were approved in writing by the Assistant Director for Training or issued by the Training Academy. (See Training Academy link, <u>Authorized Less-Than-Lethal Devices.</u>)
- b. Substitutions of Oleoresin Capsicum are not permitted without the written approval of the Assistant Director for Training and district management.

#### 2. Carriage of Oleoresin Capsicum

- a. Certified operational personnel are encouraged to carry Oleoresin Capsicum while on duty.
- b. When carrying Oleoresin Capsicum, it will be secured in an authorized holster.
- c. Oleoresin Capsicum containers weighing 4.0 ounces or less may be placed in checked baggage inside an approved container according to FAA travel regulations. [49 CFR 175.10]

## 3. Storage/Security

- a. When not in actual personal custody, Oleoresin Capsicum must be stored in an appropriate locked container (safe, vault, weapons locker, etc.) to prevent loss, theft or unauthorized use of U.S. Marshals Service issued equipment.
- b. When Oleoresin Capsicum is not being carried, it will be stored in a controlled area in the approved holster, or in a manner to prevent unintentional discharge.
- c. Oleoresin Capsicum should not be stored in a motor vehicle or any area where extremely high or low temperatures are likely to occur.

#### 4. Deployment Protocols

- a. OC Spray will be carried, deployed and activated in a manner consistent with current training procedures as established by the USMS Training Academy.
- b. Oleoresin Capsicum will not be knowingly deployed near sparks or flames to avoid flammability type injuries to the subject or others in close proximity.
- 5. The following topics are covered in policy directive, *Less Than Lethal Devices*:
  - a. <u>Training</u>
  - b. Use of Force Statement
  - c. Requirements After Use of Less Than Lethal Devices
  - d. <u>Technical Malfunctions</u>

- e. Training Academy Responsibilities
- f. Operational Employees Responsibilities
- g. Less than Lethal Instructors Responsibilities
- h. District/Division Management Responsibilities
- i. Definitions

Attachment B

# Expandable Baton

A. **POLICY:** The expandable baton may be used by authorized and trained operational personnel in accordance with the USMS directive <u>Use of Force</u> policies and procedures.

# B. PROCEDURES

## 1. Authorized Devices

- a. Only the expandable baton models and attachments in USMS inventory are authorized provided they were approved in writing by the Assistant Director for Training or issued by the Training Academy. (See Training Academy link, <u>Authorized Less-Than-Lethal</u> <u>Devices.</u>)
- b. Substitutions of expandable batons and attachments are not permitted without the written approval of the Assistant Director for Training and district management.
- 2. **Carriage of expandable baton:** Certified operational personnel are encouraged to carry the expandable baton while on duty.

# 3. Deployment Protocols

- a. The expandable baton will be carried and deployed in a manner consistent with current training procedures as established by the USMS Training Academy.
- b. The expandable baton will be deployed using only the strikes necessary to control and secure a resistant subject.
- c. Operational personnel using the expandable baton will take reasonable measures to avoid strikes to the following areas unless deadly force is necessary:
  - (1) Head/Face
  - (2) Neck
  - (3) Spinal Column
  - (4) Solar Plexus
  - (5) Kidneys
  - (6) Groin
  - (7) Joints
- 4. The following topics are covered in USMS directive, Less Lethal Devices:
  - a. Training

- b. Use of Force Statement
- c. Requirements After Use of Less Than Lethal Devices
- d. Technical Malfunctions
- e. <u>Training Academy Responsibilities</u>
- f. Operational Employees Responsibilities
- g. Less than Lethal Instructors Responsibilities
- h. District/Division Management Responsibilities
- i. <u>Definitions</u>

Attachment C

# Electronic Immobilization Device, (EID) i.e., Ultron II and XR 5000 Stun guns

A. **POLICY:** Electronic Immobilization Devices (EID) may be used by authorized and trained operational personnel in accordance with the USMS directive <u>Use of Force</u> policies and procedures.

# B. **PROCEDURES**

## 1. Authorized Devices

- a. Only the EID models and holsters in USMS inventory are authorized provided they were approved in writing by the Assistant Director for Training or issued by the Training Academy. (See Training Academy link, <u>Authorized Less-Than-Lethal Devices.</u>)
- b. Substitutions of EIDs or holsters and attachments are not permitted without the written approval of the Assistant Director for Training and district management.

# 2. Carriage of EID

- a. Certified operational personnel will first obtain verbal approval from district/division management to carry/deploy the EID.
- b. In emergency situations where prior district/division management approval cannot be obtained, the EID may be deployed by a certified EID operator. At the first available opportunity, the EID operator will notify district/division management of the deployment to include the specific circumstances that necessitated the deployment.
- c. The EID may be assigned for extended periods at district/division management's discretion via USMS hand receipt for operational situations such as enforcement operations, high threat trials, protective details, or as management deems appropriate.
- d. When carrying the EID, it will be secured in an authorized holster and not located on the same side as the duty weapon.

# 3. Storage/Security

- a. When not in actual personal custody, the EID must be stored in an appropriate locked container (safe, vault, weapons locker, etc.).
- b. When the EID is in an operational status, it will be carried in an approved holster.

# 4. Deployment Protocols

- a. The EID will be deployed and activated in a manner consistent with current training procedures as established by the USMS Training Academy.
- b. The EID will be activated using only the number of cycles necessary to control and secure a resistant subject.
- c. The EID will not be knowingly activated near flammable materials, liquids or fumes due to the potential for explosion. The EID will not be knowingly activated on a subject who has already been sprayed with OC Spray (alcohol based) to avoid flammability type injury to the subject or others in proximity.
- d. The EID user will take all reasonable measures to avoid intentional discharge of the EiD to the following areas:
  - (1) Head/Face
  - (2) Neck
  - (3) Groin
  - (4) Female Breast
  - (5) Subject weighing less than 80 pounds
- 5. The following topics are covered in USMS directive, *Less Lethal Devices*:
  - a. <u>Training</u>
  - b. Use of Force Statement
  - c. Requirements After Use of Less Than Lethal Devices
  - d. Technical Malfunctions
  - e. <u>Training Academy Responsibilities</u>
  - f. Operational Employees Responsibilities
  - g. Less than Lethal Instructors Responsibilities
  - h. District/Division Management Responsibilities
  - i. Definitions

#### Attachment D

# Electronic Restraint Device, (ERD) i.e., REACT (Stun Belt) and (Band-It System)

A. **POLICY:** Electronic Restraint Devices (ERD) may be used by authorized and trained operational personnel in accordance with the USMS directive <u>Use of Force</u> policies and procedures.

## B. PROCEDURES

# 1. Authorized Devices

a. Only the ERD models in USMS inventory are authorized provided they were approved

in writing by the Assistant Director for Training or issued by the Training Academy. (See Training Academy link, <u>Authorized Less-Than-Lethal Devices</u>.)

b. Substitutions of ERDs are not permitted without the written approval of the Assistant Director for Training and district management.

# 2. Carriage of ERD

- a. Certified operational personnel will first obtain verbal approval from district/division management to deploy the ERD.
- b. In emergency situations where prior district/division management approval cannot be obtained, the ERD may be deployed by a certified ERD operator. At the first available opportunity, the ERD operator will notify district/division management of the deployment to include the specific circumstances that necessitated the deployment.
- c. The ERD may be assigned for extended periods at district/division management's discretion via USMS hand receipt for operational situations such as enforcement operations, high threat trials, protective details, or as management deems appropriate.

# 3. Storage/Security

- a. When not in actual personal custody, the ERD must be stored in an appropriate locked container (safe, vault, weapons locker, etc.). The unit battery will be replaced every two years and its usage recorded on the USMS StunBelt Charger/Battery Replacement Log.
- b. When the ERD is in an operational status, it will be placed on the subject in a manner consistent with current training procedures.

# 4. Deployment Protocols

- a. The ERD will be deployed and activated in a manner consistent with current training procedures as established by the USMS Training Academy.
- b. The ERD will be activated using only the number of cycles necessary to control and secure a resistant subject.
- c. The ERD will not be knowingly activated near flammable materials, liquids or fumes due to the potential for combustion. The ERD will not be knowingly activated on a subject who has already been sprayed with OC Spray (alcohol based) to avoid flammability type injury to the subject or others in proximity.
- d. The ERD user will take all reasonable measures to avoid activation of the ERD on prisoners known to have the following medical conditions:
  - (1) Pregnancy
  - (2) Heart Disease
  - (3) Multiple scierosis, muscular dystrophy, epilepsy, or
  - (4) Any other medical condition known to pose a risk to the prisoner.
- 5. The following topics are covered in USMS directive, *Less Lethal Devices*:
  - a. Training
  - b. <u>Use of Force Statement</u>
  - c. Requirements After Use of Less Than Lethal Devices

- d. <u>Technical Malfunctions</u>
- e. <u>Training Academy Responsibilities</u>
- f. <u>Operational Employees Responsibilities</u>
- g. Less than Lethal Instructors Responsibilities
- h. District/Division Management Responsibilities

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i. Definitions

# Attachment E

# Projectile Stun Guns

A. **POLICY:** Projectile Stun Guns (P.S.G.) may be used by authorized and trained personnel according to the USMS directive <u>Use of Force</u> policies and procedures.

# B. PROCEDURES

## 1. Authorized Devices

- a. Only the P.S.G. models, cartridges, holsters and accessories in USMS inventory are authorized provided they were approved in writing by the Assistant Director for Training or issued by the Training Academy. (See Training Academy link, <u>Authorized Less-Than-Lethal Devices</u>.)
- b. Substitutions of P.S.G., cartridges or holsters and attachments are not permitted without the written approval of the Assistant Director for Training and district management.
- c. The P.S.G. is classified as accountable property and shall be inventoried, accounted for, and hand-receipted in the same manner as firearms. (See USMS directive <u>Management</u> <u>of Personal Property</u>, **Appendix A** and USMS directive, <u>Firearms</u>.)

# 2. Training

- a. The only approved P.S.G. certification programs are those established by the USMS Training Academy or an approved vendor sponsored P.S.G. course as approved by the Assistant Director for Training
- b. Districts/divisions that possess a P.S.G., will request training from the Training Academy for a district P.S.G. Instructor. Managers must ensure that all operational employees designated to carry and deploy the P.S.G. device are trained and certified.

# 3. Carriage of P.S.G.

- a. Certified operational personnel will first obtain verbal approval from district/division management to carry/deploy the P.S.G.
- b. In emergency situations where prior district/division management approval cannot be obtained, the P.S.G. may be deployed by a certified P.S.G. operator. At the first available opportunity, the P.S.G. operator will notify district/division management of the deployment, to include the specific circumstances that necessitated the deployment.
- c. The P.S.G. may be assigned for extended periods at district/division management's discretion via USMS hand receipt for operational situations such as task force operations, high threat trials, protective details, or as management deems appropriate.
- d. When carrying the P.S.G., it will be secured in an authorized holster and not located on the same side as the duty weapon.
- e. District Security Officers (personal service guards) are not authorized to utilize P.S.G. while employed by the USMS.

## 4. Storage/Security

a. When not in actual personal custody, the P.S.G. must be stored in an appropriate locked container (safe, vault, weapons locker, etc.), with the cartridge removed and the safety engaged.

- b. When the P.S.G. is in an operational status, it will be worn in the approved holster or stored in a locked controlled area in the approved holster with cartridge attached.
- c. The P.S.G. can be stored in a motor vehicle in a secured locked storage container that is affixed to the vehicle.

# 5. Deployment Protocols

- a. The P.S.G. will be deployed and activated by cartridge discharge or "drive stun" in a manner consistent with current training procedures as established by the USMS Training Academy.
- b. The P.S.G. will be deployed using the minimum number of cycles to control and secure a resistant subject.
- c. The P.S.G. should not be deployed against a subject if the subject is located in a position (i.e., roof, top of stairway) where it is clear that, if struck by the P.S.G.'s projectile, the subject will fall a substantial distance which is likely to cause serious bodily injury or death to the subject.
- d. The P.S.G. will not be knowingly deployed or activated on a subject who has already been sprayed with OC Spray (alcohol based) to avoid flammability type injury to the subject or others in close proximity or who are otherwise in close proximity to any combustible material.
- e. The P.S.G. user must not aim for the subject's head, face, neck or groin.
- 6. **Post Activation Requirements:** In addition to the elements listed in USMS directive, *Less Lethal Devices*.

# a. Medical Considerations

- (1) Operational employees are authorized to remove probes from subjects following the training protocols and blood borne pathogen precautions.
- (2) Seek medical care from a Medical Doctor, Physician's Assistant, EMT or other qualified medical provider for removal of probes located in the face, neck, breasts or groin or other area where standard removal protocol by operational employees would not be feasible.

# b. Reporting Requirements

- (1) Collect the cartridge components including the cartridge, probes (bodily fluids precautions taken) and wires.
  - (a) All items will be collected only when the scene is safe and secured. Blood Borne Pathogens Precautions must be utilized. If items cannot be retrieved, document the circumstances in the <u>USM-133</u>, Use of Force Report.
  - (b) Items that are retrieved will be placed in an evidence container, documented on a <u>USM-102</u>, Seized Property and Evidence Control Form and tagged using the Evidence label, <u>USM-8</u>. This evidence will be secured in the designated storage location until the Office of Internal Investigations clears it for disposal.
- (2) Data Port Download: The activated P.S.G. will be removed from duty until data discharge history is downloaded to a computer system and a report is generated for submission with the <u>USM-133</u>, Use of Force Report. In exigent circumstances (i.e., emergency situation or rural area where the system for down load of information is not reasonably available) the device may remain deployed for the duration of the

mission, but no longer than necessary. District management must be notified immediately.

- (3) Complete Form <u>USM-133</u>, Use of Force Report, and attach the following documents and submit to the Office of Internal Investigations within 24 hours:
  - (a) Data Port Download Report
  - (b) USM-102, Seized Property and Evidence Control
  - (c) Photographs and/or video documentation
  - (d) USM-333-PSG, Projectile Stun Gun Qualification Record
- (4) Any serious injury or death possibly associated with the activation of the P.S.G. must be reported following the USMS directive, <u>Significant Incidents Reporting</u>. Until all computerized data is downloaded from the P.S.G. and approval is obtained from Office of General Counsel, the unit will not be turned over to an authorized investigative agency.
- 7. The following topics are covered in USMS directive, Less Lethal Devices:
  - a. Training
  - b. Use of Force Statement

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- c. Requirements After Use of Less Than Lethal Devices
- d. Technical Malfunctions
- e. Training Academy Responsibilities
- f. Operational Employees Responsibilities
- g. Less than Lethal Instructors Responsibilities
- h. District/Division Management Responsibilities
- i. Definitions