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Title of Document	CFTC FOIA Requests: FY 2005 through FY 2007
Date/date range of document:	05-October-2004 – 27-September-2007
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From: "Easter, Stacy" <seaster@CFTC.gov>
Date: 2007/12/11 Tue AM 06:38:21 CST
Subject: CFTC FOIA No.: 08-0030

This e-mail is in final response to your October 21, 2007, Freedom of Information Act for the FOIA case log for FY 2005 - 2007.

Commission staff has identified material responsive to your request and has determined that your request should be grant in part and denied in part. Information regarding FOIA requests also made pursuant to the Privacy Act has been redacted from the logs pursuant to exemption 6 of the Freedom of Information Act, 5 U.S.C. § 552(b)(6), pertaining to information the release of which would constitute an unwarranted invasion of personal privacy. A copy of the responsive material, totaling 63 pages, is attached.

You may, if you wish, file an appeal for access to information denied you by this letter. Your appeal must be filed within 30 days of the date of this letter. Your appeal must be in writing and must contain a statement of the grounds upon which you are basing your appeal. Please refer to the FOIA number that has been assigned to this request (FOIA No. 08-0030). Your appeal should be addressed: Freedom of Information Act Appeal, Office of the General Counsel, Commodity Futures Trading Commission, Three Lafayette Centre, 8th Floor, 1155 - 21st Street, N.W., Washington, D.C. 20581. Please send a copy of your appeal to the FOIA Compliance Staff, Commodity Futures Trading Commission, Three Lafayette Centre, 8th Floor, 1155 - 21st Street, N.W., Washington, D.C. 20581. Questions concerning the processing of an appeal should be directed to the Office of the General Counsel at (202) 418-5120.

Please direct any questions you may have concerning the initial processing of your request to me.

Sincerely,

Stacy J. Easter
Paralegal Specialist
CFTC - FOIA Compliance Office
1155 21st Street, NW
Washington, DC 20581
202-418-5011 (office)
202-418-5543 (fax)

CFTC FOIA REQUESTS: FY 2005 through FY 2007

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0001	10/5/2004	CROWLEY, SHARON	REQUEST FOR ALL COMPLAINTS FILED FOR DOCKET NO. 01-21 AND 01-23.	TOTAL GRANT
05-0002	10/6/2004	GOLDSTEIN, SIDNEY S.	REQUEST FOR ALL DOCUMENTS RELATING TO INTERPRETATIVE LETTER NO. 03-31 DATED AUGUST 25, 2003.	TOTAL DENIAL
05-0003	10/8/2004	IVANS, RONALD	REQUEST FOR FORM 1-FR AS OF AUGUST 31, 2004 FOR: AETNA INVESTIGATIONS, BANC OF AMERICA FUTURES INC., CALYON FINANCIAL INC., CARGILL INVESTOR SERVICES INC., FC STONE LLC, FIMAT USA INC., ET AL.	PARTIAL GRANT
05-0004	10/13/2004	MAISANO, KIM	REQUEST A COPY OF THE CREDIT CARD HOLDER'S LIST WITH NAME ADDRESSES AND PHONE NUMBERS.	TOTAL GRANT
05-0005	10/13/2004	DICKERSON, A.	REQUEST FOR CFTC FORM 40 STATEMENT OF REPORTING TRADING 62K AND CFTC FORM 102 - IDENTIFICATION OF SPECIAL ACCOUNTS	TOTAL GRANT
05-0006	10/13/2004	BATEMAN, JODY	REQUEST FOR A LIST OF THE GOVERNMENT PURCHASE CARDHOLDERS, PHONE NUMBERS, EMAIL AND MAILING ADDRESSES	TOTAL GRANT
05-0007	10/13/2004	PESCH, CHRISTOPHER	REQUEST FOR ALL DOCUMENTS FILED BY ENERGYCLEAR CORPORATION RELATING TO ITS APPLICATION FOR REGISTRATION AS A DERIVATIVE CLEARING ORGANIZATION INCLUDING BUT NOT LIMITED TO THE ACTUAL APPLICATION.	PARTIAL GRANT
05-0008	10/13/2004	HOLMES, ETHAN	REQUEST FOR ENERGY GROUP PETITION FOR EXEMPTIVE RELIEF (93-006, NC01)	TOTAL GRANT
05-0009	10/15/2004	MOONEY, TIM	REQUEST FOR THE APPLICATION BY OPTIONS CLEARING CORPORATION TO CFTC FOR PERMISSION TO REGISTER AS A DERIVATIVES CLEARING ORGANIZATION	WITHDRAWN

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0010	10/19/2004	MICKELS, CHARLES G.	REQUEST FOR MAN FINANCIAL TRADES IN " FROZEN CONCENTRATED JUICE " ON JUNE 15, 2004	TOTAL GRANT
05-0011	10/19/2004	RAVNITZKY, MICHAEL	REQUEST FOR RECORDS CONCERNING "REJECTED" TRADING MARKETS OR TRADING COMMODITIES, CFTC LIBRARY CATALOGUE AND MOST RECENT EXECUTIVE DIRECTOR REPORT	TOTAL GRANT
05-0012	10/22/2004	HARGREAVES, CLARE	REQUEST FOR MEMORANDUMS OF UNDERSTANDING REGARDING CO- OPERATION BETWEEN INTERNATIONAL REGULATORY AUTHORITIES	TOTAL GRANT
05-0013	10/20/2004	TSUKADA, YOHEI	REQUEST FOR NYMEX FUTURES AND OPTION CONTRACTS OF CRUDE OIL, WTI MIDLAND AND COMEX FUTURES AND OPTION CONTRACTS OF CRUDE OIL AND DUBAI.	NO RESPONSIVE DOCUMENT
05-0014	10/19/2004	SANCHEZ, VERONICA	REQUEST FOR A STUDY OF THE NATURE, EXTENT AND EFFECTS OF FUTURES TRADING.	TOTAL GRANT
05-0015	10/27/2004	RUCKMAN, ERIC	REQUEST FOR INFORMATION ABOUT MRS. MARIA ROSEN	PARTIAL GRANT
05-0016	10/27/2004	MATIASH, GRETA	REQUEST FOR A COPY OF REFCO'S MOST RECENT FINANCIAL STATEMENT	PARTIAL GRANT
05-0017	10/28/2004	DRAKE, JEFFREY M.	REQUEST FOR VOLUME STATISTICS OF CBOT AND CME FROM 1990 TO PRESENT	TOTAL GRANT
05-0018	10/28/2004	NELSON, JOHN	REQUEST FOR INFORMATION REGARDING THE LEXIS NEXIS CONTRACT FOR A DATABASE OF CFTC'S HISTORIC LEGISLATIVE DOCUMENTS	PARTIAL GRANT
05-0019	10/29/2004	NASTASI, JAMES	REQUEST FOR EXHIBITS FROM 2002 TESTIMONY OF THREE WITNESSES BEFORE THE CFTC IN HEARING TITLED "IN THE MATTER OF ENRON CORP." DOCKET NO. PA 02-02	PARTIAL GRANT

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05-0020	11/2/2004	CARROLL, BRENDEN	REQUEST FOR NOTICE FILED UNDER 17 C.F.R 4.14(A)(8), EXEMPTION FROM REGISTRATION AS A COMMODITY TRADING ADVISOR FOR BOSTON MANAGEMENT AND RESEARCH; AND EATON VANCE MANAGEMENT.	TOTAL GRANT
05-0021	11/3/2004	SAPHIR, ANN	REQUEST FOR NYMEX'S FILING OF CONFIDENTIAL TREATMENT FOR DUBLIN OPERATION AND THE LETTER SUBMITTED TO FOIA THAT INCLUDES ITS JUSTIFICATION	PARTIAL GRANT
05-0022	11/5/2004	CROWLEY, SHARON	REQUEST FOR LETTER FROM JOSHUA M. COHN, ALLEN & OVERY COUNSEL TO NOS CLEARING TO JEAN WEBB DATED DECEMBER 21, 2001 WITH EXHIBITS	PARTIAL GRANT
05-0023	11/5/2004	KAPLAN, JERROLD	REQUEST FOR CASE NO. 0461235 INJUNCTIVE ACTION FILED ON 09/16/04 IN THE SOUTHERN DISTRICT OF FLORIDA AGAINST RANDY JAY BURSTEIN, LESLIE DONALD WEINER, TED LAMAR ROMEO, AND NADAR YAZDANI, ET AL	WITHDRAWN
05-0024	11/8/2004	BELMONT, WILLIAM	REQUEST FOR INFORMATION REGARDING CASE NO. 80-28 AND 80-31 DATED 03/27/1988 REGARDING RICHARD BUCCELLATO.	WITHDRAWN
05-0025	11/9/2004	TALBOT, STEPHEN	REQUEST FOR FINANCIAL STATEMENTS FROM FUTUREWISE TRADING GROUP, INC. AND FUTUREWISE LIMITED PARTNERSHIP.	PARTIAL GRANT
05-0026	11/10/2004	USSHER, LEANNE	REQUEST FOR DATA ON INDIVIDUAL TRADER CONTRACT POSITIONS AT ONE POINT IN TIME, AND OVER TIME, FOR A SINGLE FUTURES MARKET/CONTRACT	TOTAL DENIAL
05-0027	11/10/2004	GILBERG, DAVID J.	REQUEST FOR DOCUMENTS REGARDING NYMEX INC. RULE CERTIFICATIONS AND REQUEST FOR COMMISSION RULE APPROVAL FOR NYMEX SUBMISSION NO. 04.147	PARTIAL GRANT
05-0028	11/12/2004	WALDON, MORGAN	REQUEST FOR OFFICIAL DOCUMENTS AND COURT ORDERS FOR BROADSTREET FINANCIAL CORP. AND FIDELITY TRADING GROUP INC.	REFER TO PROCEEDINGS
05-0029	11/16/2004	CROWLEY, SHARON	REQUEST FOR DOCKET SHEET AND ALL COMPLAINTS FILED IN CFTC DOCKET NUMBER 01-22. IN THE MATTER OF JOHNS.	TOTAL GRANT

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05-0030	11/16/2004	BROWN, CARRIE	REQUEST FOR INFORMATION REGARDING CONCORDE FOREX GROUP, DONALD AND BARBARA SNELLGROVE	PARTIAL GRANT
05-0031	11/19/2004	KAPLAN, JERROLD	REQUEST FOR INJUNCTIVE ACTION CASE # 04-61235 FILED ON 09/16/2004	PARTIAL GRANT
05-0032	11/19/2004	DRAKE, JEFFREY	REQUEST FOR RULE 580 SUBMITTED BY CME IN DECEMBER 1989 AND RULE 581 SUBMITTED BY CME IN JANUARY 1990	TOTAL GRANT
05-0033	11/23/2004	[REDACTED]	[REDACTED]	TOTAL DENIAL
05-0034	11/23/2004	SCHWELLENBACH, NICK	REQUEST FOR A LIST OF FOIA REQUESTS FROM 1999 TO NOVEMBER 12, 2004	PARTIAL GRANT
05-0035	11/23/2004	KELLY, GENE	REQUEST FOR ALL DOCUMENTS RELATING TO THE SETTLEMENT OF CINERGY CORPORATION.	TOTAL DENIAL
05-0036	11/23/2004	WICKLINE, AMANDA	REQUEST FOR ALL FOIA REQUESTS RELATING TO THE INVESTIGATION OF AMERICAN ELECTRIC POWER	TOTAL GRANT
05-0037	11/23/2004	EIDSON, DENNIS	REQUEST FOR ALL INFORMATION AND PAMPHLETS REGARDING TRADING FUNDS	TOTAL GRANT
05-0038	11/23/2004	KOVACS, TUNDE	REQUEST FOR RULE CHANGES FOR THE S&P 500 AND RUSSELL 2000 FUTURES CONTRACTS AT THE CME 1993-2001	TOTAL GRANT
05-0039	11/23/2004	TSUKADA, YOHEI	REQUEST FOR HISTORICAL PRICE AND AMOUNT OF CRUDE OIL OF NYMEX FUTURES AND OPTIONS	NO RESPONSIVE DOCUMENT

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05-0040	11/19/2004	CARANGELO, ROBERT F.	REQUEST FOR THE MOU BETWEEN THE CFTC AND THE NEW ZEALAND SECURITIES COMMISSION DRAFTED 9/16/96.	TOTAL GRANT
05-0041	11/24/2004	DUNKLEY, TERRA	REQUEST FOR UPDATED EMPLOYEE HANDBOOK, PERSONNEL INSTRUCTIONS, POLICIES, AND MEMORANDUMS ISSUED AFTER 07/2004	TOTAL GRANT
05-0042	11/24/2004	NAPOLI, LOUIS	REQUEST FOR IOWA ELECTRONIC MARKETS DECISION TO ISSUE THE NO- ACTION LETTER	PARTIAL GRANT
05-0043	11/30/2004	BARNES, MELISSA	REQUEST FOR ANY NOTIFICATIONS FILED TO OPERATE AS EXEMPT BOARDS OF TRADE UNDER SECTION "5D" OF THE COMMODITY EXCHANGE ACT	TOTAL GRANT
05-0044	12/1/2004	KEARNEY, PATRICK	REQUEST FOR INFORMATION REGARDING INNOVATIVE ALGORITHMS FOR CALCULATING OPTIONS AND FUTURES PRICES	TOTAL GRANT
05-0045	12/2/2004	HICKEY, ANDREW	REQUEST FOR FINANCIAL STATEMENTS FOR AIG CLEARING CO., CALYON FINANCIAL INC., CARGILL INVESTOR SERVICES INC., FIMAT USA, MAN FINANCIAL INC., REFCO LLC, AND TRILAND USA INC.	PARTIAL GRANT
05-0046	12/7/2004	GREGAN, SEAN E.	REQUEST FOR INFORMATION ON STARTING A FOREIGN CURRENCY BROKERAGE	TOTAL GRANT
05-0047	12/8/2004	AMES, RYAN	REQUEST FOR INFORMATION RELATED TO VANDERBILT CAPITAL ADVISORS LLC.	TOTAL GRANT
05-0048	12/10/2004	GARBER, HOWARD B.	REQUEST FOR CME AND CBT VOLUME INFORMATION FOR THE PERIOD SINCE APRIL 2004 TO THE PRESENT AND ANY INFORMATION ON GLOBEX 2 ELECTRONIC TRADING SYSTEM DATED PRIOR TO JUNE 1997	TOTAL GRANT
05-0049	12/14/2004	MATHEWS, ERIKA	REQUEST FOR FILE NUMBER RF01-005 APPLICATION OF THE LONDON CLEARING HOUSE LIMITED FOR REGISTRATION AS A DERIVATIVES CLEARING ORGANIZATION	TOTAL GRANT

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05-0050	10/18/2004	BALZI, TANIA	REQUEST FOR 10/25/93 REPORT REGARDING "OVER THE COUNTER DERIVATIVES MARKETS & THEIR REGULATION".	TOTAL GRANT
05-0051	12/15/2004	LEVIN, ROBERT A.	REQUEST FOR DOCUMENTS RELATING TO CBOT 100 OZ. GOLD FUTURES CONTRACTS AND CBOT 5,000 OZ SILVER FUTURES CONTRACTS.	PARTIAL GRANT
05-0052	12/16/2004	GINSBERG, EMILY	REQUEST FOR ALL PUBLICLY AVAILABLE REGISTRATION DOCUMENTS REGARDING SELWYN DONALD SUSSMAN FOR THE TIME PERIOD OF DECEMBER 1994 TO THE PRESENT.	TOTAL GRANT
05-0053	12/16/2004	HOWELL, ELENA	REQUEST FOR A COPY OF "A STUDY OF THE NATURE EXTENT AND EFFECTS OF FUTURES TRADING BY PERSONS POSSESSING MATERIAL, NON-PUBLIC INFORMATION" DATED SEPTEMBER 1984	TOTAL GRANT
05-0054	12/20/2004	HOWELL, ELENA	REQUEST FOR PAGES 69-108 OF THE DOCUMENT " A STUDY OF THE NATURE, EXTENT, AND EFFECTS OF FUTURES TRADING BY PERSONS POSSESSING MATERIAL, NON-PUBLIC INFORMATION".	PARTIAL GRANT
05-0055	12/20/2004	DICKERSON, ANTHONY	REQUEST FOR INFORMATION REGARDING THE BUCKS COURT BAR ASSOCIATION ET AL.	TOTAL GRANT
05-0056	12/20/2004	SHUMUNSKY, NICHOLAS	REQUEST FOR ALL INFORMATION REGARDING U.S. REPRESENTATIVE ANTHONY D. WEINER, MAYOR MIKE BLOOMBERG, FERNANDO FERRER, GIFFORD MILLER, BLOOMBERG LP AND DRUM MAJOR INSTITUTE FOR PUBLIC POLICY.	NO RESPONSIVE DOCUMENT
05-0057	1/3/2005	TAO, BETSY	REQUEST FOR ALL DOCUMENTS RELATING TO SENATOR JON S. CORZINE OR HIS UNITED STATES SENATE OFFICE.	NO RESPONSIVE DOCUMENT
05-0058	1/3/2005	PIORE, ADAM	REQUEST FOR ALL CORRESPONDENCE AFTER JAN. 01,2002 BETWEEN CFTC AND RAY BAILEY, ANTHONY CARBONETTI, DANIEL CONNOLLY, ERIC HATZIMEMOS, GEOFFREY HESS, ET AL.	NO RESPONSIVE DOCUMENT
05-0059	12/30/2004	ENFIELD, RICK	REQUEST FOR FILE NO. RF03-002	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0060	1/4/2005	ANDERSEN, JOSEPH M.	REQUEST FOR INFORMATION REGARDING INVESTING IN COMMODITY FUTURES.	TOTAL GRANT
05-0061	1/6/2005	RYTTING, JAMES	REQUEST FOR DOCUMENTS SUBMITTED BY RELIANT ENERGY CORP., INSIDE FERC, AND GAS DAILY.	WITHDRAWN
05-0062	1/10/2005	ARRIAZA, ISAIAS	REQUEST FOR INFORMATION ON BROKERS AND COMPANIES THAT MARKET FRESH GREEN LEMON IN THE U.S.	NO RESPONSIVE DOCUMENT
05-0063	1/12/2005	STEPONKUS, ELIZABETH	REQUEST FOR BASIC CONTRACT NUMBER M0044IT019 AWARDED TO REALEGAL LLC.	TOTAL GRANT
05-0064	1/13/2005	FULK, ELIZABETH	REQUEST FOR A LIST OF FOIA REQUESTS SUBMITTED SINCE OCT. 1, 2004	PARTIAL GRANT
05-0065	1/19/2005	TRIAY, LAURA	REQUEST FOR DOCUMENTS CONCERNING WESTSIDE ADVISORS LLC, WESTSIDE MANAGERS LLC., WESTSIDE V LLC., GARY ALAN LIEBERMAN AND BRYAN PAUL CAISSE.	NO RESPONSIVE DOCUMENT
05-0066	1/19/2005	AUSMAN, SUSAN W.	REQUEST FOR INFORMATION REGARDING KEITH MERRIL RUDMAN	PARTIAL GRANT
05-0067	1/24/2005	JAMES, JURMELL L.	REQUEST REFERRED BY THE OFFICE OF THRIFT SUPERVISION REGARDING A REPORT TO FIRREA AGENCIES CONCERNING PAY PARITY FOR CFTC DATED MAY 27, 2003	TOTAL GRANT
05-0068	1/25/2005	GREEN, DALE	REQUEST FOR INFORMATION ON COMMODITY TRADING.	TOTAL GRANT
05-0069	1/25/2005	HALL, GREGORY	REQUEST FOR COPYRIGHTS AND TRADEMARK INFORMATION	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0070	1/27/2005	SMITH, ASHA	REQUEST FOR DOCUMENT SUBMITTED BY CRAID J. LACROSSE DOCKET NOS. 90-20 AND SC 91-6	TOTAL GRANT
05-0071	1/28/2005	MAROSI, TOM	REQUEST FOR DOCUMENTS REGARDING ALARON TRADING COMPANY	DIRECT TO PROCEEDINGS
05-0072	1/28/2005	BOTTI, ORNELLA	REQUEST FOR DOCUMENTS REGARDING THE RECALL OF THE ISABELLA MODEL CRIBS BY STANLEY FURNITURE COMPANY INC.	REFERRAL
05-0073	1/31/2005	KHORRAM, HOSSEIN	REQUEST FOR INFORMATION REGARDING MCDONALD INVESTMENTS, INC.	TOTAL GRANT
05-0074	1/31/2005	NASTASI, JAMES	REQUEST FOR EXHIBITS FROM TESTIMONY OF STEPHAN HALL TITLED " IN THE MATTER OF ENRON CORP.", DOCKET NO. PA-02-02	TOTAL GRANT
05-0075	2/1/2005	NASTASI, JAMES	REQUEST FOR EXHIBITS 9-16 FROM THE TESTIMONY OF RICHARD SANDERS TITLED " IN THE MATTER OF ENRON CORP.", DOCKET NO. PA 02-02 ON JULY 9 & 10, 2002	TOTAL GRANT
05-0076	2/8/2005	FISHERMAN, GERALD L.	REQUEST FOR FX SOLUTIONS LLC FORM 1-FR FILED DURING THE PERIOD OF JANUARY 1, 2004 - DECEMBER 31, 2004	TOTAL GRANT
05-0077	2/8/2005	GARBER, HOWARD B.	REQUEST FOR MARKET MAKER RULES AVAILABLE PRIOR TO JANUARY 1997 REGARDING CME, CNOT, CANOR FITZGERALD AND NYME	PARTIAL GRANT
05-0078	2/10/2005	SURIEL, CHRISTIE	REQUEST FOR ALL DOCUMENTS CONCERNING ANY INACCURATE REPORTING OF NATURAL TRADING INFORMATION AND MARKET MANIPULATION OF THE CALIFORNIA ELECTRICITY MARKET INCLUDING CALPINE ENERGY SERVICES LP AND CALPINE CORPORATION	WITHDRAWN
05-0079	2/10/2005	NEWTON, RUSSELL	REQUEST FOR RECORDS RELATING TO THE INVESTIGATION CONCERNING FALSE REPORTING OR ATTEMPTED MANIPULATION IN THE NATURAL GAS MARKET	WITHDRAWN

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0080	2/10/2005	RIPPLE, RONALD D.	REQUEST FOR DATA ON NYMEX OIL AND NATURAL GAS CONTRACTS	TOTAL DENIAL
05-0081	2/10/2005	PEFINI, CHARLES G.	REQUEST FOR NAMES AND PHONE NUMBERS OF PERSONS RESPONSIBLE FOR THE PURCHASE OF OFFICE SUPPLIES, FURNITURE AND TONER CARTRIDGES.	TOTAL GRANT
05-0082	2/10/2005	LUNDY, KADEEM	REQUEST FOR INFORMATION REGARDING FOREIGN CURRENCY EXCHANGE	TOTAL GRANT
05-0083	2/10/2005	BRADY, BRIAN D.	REQUEST FOR CASE LOG OF REQUESTS FROM JANUARY 1, 2004 TO DECEMBER 31, 2004.	PARTIAL GRANT
05-0084	2/11/2005	KUROV, ALEX	REQUEST FOR TIME AND SALES CTR DATA FOR VARIOUS FUTURES CONTRACTS AND TIME PERIODS.	PARTIAL GRANT
05-0085	2/15/2005	MAROSI, TOM	REQUEST FOR FORM 1-FR FOR ALARON TRADING MONTHLY FOR JANUARY 31, 2005.	PARTIAL GRANT
05-0086	2/15/2005	MCLAURIN, TYCHELLE D.	REQUEST FOR INFORMATION REGARDING JEFFREY M. HORWICH REFERRED BY NFA	PARTIAL GRANT
05-0087	2/15/2005	GRIFFIN, DANIEL	REQUEST FOR ANY INFORMATION RELATING TO AVAILABLE COMMODITIES AND INTRICACIES INVOLVED IN TRADING	TOTAL GRANT
05-0088	2/15/2005	PARSON, JOSEPH	REQUEST FOR 2004 ANNUAL REPORT	TOTAL GRANT
05-0089	2/15/2005	LANGSTON, JOHN	REQUEST FOR HISTORICAL FUTURES MARGINS DATA.	TOTAL GRANT

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05-0090	2/15/2005	ARONOFF, ROGER	REQUEST FOR ALL CORRESPONDENCE BETWEEN JANUARY 1, 1990 THRU FEBRUARY 7, 2005 REGARDING PAYMENTS TO NEWS MEDIA ENTITIES, PUBLIC RELATIONS FIRMS, AND NON PROFIT ORGANIZATIONS	TOTAL GRANT
05-0091	2/15/2005	TERGESEN, ANNE	REQUEST FOR ANNUAL REPORTS CONCERNING CITADEL PARTNERS, HBK PARTNERS LLC, AMARANTH PARTNERS LLC, AND TUDOR PARTNERS LP, ET AL.	PARTIAL GRANT
05-0092	2/16/2005	NASTASI, JAMES	REQUEST FOR TWO AUDIO TAPES RELEASED BY ENRON CORP. ON OR ABOUT OCTOBER 3, 2002 LABELED " ORIGINAL AUDIO TAPES, RE: PROJECT STANLEY".	TOTAL DENIAL
05-0093	2/16/2005	CAPPUCCI, ROBERT N.	REQUEST FOR ALL DOCUMENTS RELATING TO CMS ENERGY CORPORATION, IN THE MATTER OF CMS MARKETING SERVICES AND TRADING COMPANY AND CMS FIELD SERVICES, INC.	PARTIAL GRANT
05-0094	2/22/2005	CHHETRI, PARVAT RAJ	REQUEST FOR RECORDS INDEXED TO PARVAT RAJ CHHETRI	NO RESPONSIVE DOCUMENT
05-0095	2/22/2005	TRIAY, LAURA	REQUEST FOR ALL DOCUMENTS CONCERNING MR JAMES RANDOLPH BRUN AND FORECAST TRADING LLC.	NO RESPONSIVE DOCUMENT
05-0096	2/22/2005	PECORARO, ANTHONY	REQUEST FOR 2004 CFTC ANNUAL REPORT.	TOTAL GRANT
05-0097	2/25/2005	LANGSTON, JOHN	REQUEST FOR MARGIN DATA ON VARIOUS CME CONTRACTS AND CBOT CONTRACTS	TOTAL GRANT
05-0098	3/1/2005	TRIAY, LAURA	REQUEST FOR ALL DOCUMENTS RELATING TO KOTTKE ASSOCIATES, NEAL KOTTKE, CARMEN DANIEL SOLDATO, JOHN MICHAEL CROUCH, AND RONALD EDMUND SELIGA	NO RESPONSIVE DOCUMENT
05-0099	3/2/2005	KHORRAM, HOSSEIN	REQUEST FOR RECORDS CONCERNING MG REFINING & MARKETING, INC., VARIOUS INTERPRETIVE LETTERS, AND ANY LETTER ON " ELIGIBLE SWAP PARTICIPANTS".	TOTAL GRANT

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05-0100	3/2/2005	HERULA, DENNIS	REQUEST FOR INFORMATION ON FUTURES TRADING OF FINANCIAL INSTRUMENTS AND CURRENCIES	TOTAL GRANT
05-0101	3/3/2005	ECKSTEIN, MICHAEL L.	REQUEST FOR INFORMATION ON THOMAS EDWARD ROBINSON	PARTIAL GRANT
05-0102	3/7/2005	KHORRAM, HOSSEIN	REQUEST FOR ANY EXEMPTIVE OR NO-ACTION LETTER CONCERNING MCDONALD INVESTMENTS	NO RESPONSIVE DOCUMENT
05-0103	3/7/2005	KHORRAM, HOSSEIN	REQUEST FOR COMPLAINTS AND ORDERS REGARDING MG REFINING & MARKETING INC. CASE 95-14 AND NEW YORK CURRENCY EXCHANGE CORPORATION	TOTAL GRANT
05-0104	3/8/2005	TURNER, RODNEY W.	REQUEST FOR NON PUBLIC INFORMATION ON FILE WITH NFA FOR DENNIS MARLOW	TOTAL GRANT
05-0105	3/8/2005	KARRIGAN, JAMES	REQUEST FOR FY 2006 TECHNOLOGY (IT) BUDGET SUBMISSION IN RESPONSE TO OMB CIRCULAR A-11	TOTAL GRANT
05-0106	3/17/2005	SOURAN, TOM	REQUEST FOR DOCUMENTS REGARDING TOM SOURANS APPEAL, NFA RESPONSE, ANY BRIEFS FILED, THE BRIEFING FILING SCHEDULE AND ALL OTHER FILINGS.	TOTAL GRANT
05-0107	3/22/2005	SUSSMAN, MICHAEL	REQUEST REFERRED BY U.S MARSHALS SERVICE FOR RECORDS CONCERNING MICHAEL SUSSMAN	TOTAL GRANT
05-0108	3/29/2005	MENEELY, RICHARD	REQUEST FOR INFORMATION ON HOW NYSE BUY AND SELL STOCK SHARES.	TOTAL GRANT
05-0109	3/29/2005	SCOTT, WILLIAM S.	REQUEST FOR INFORMATION RELATED TO THE RAID OF THE INTERNATIONAL CURRENCY EXCHANGE CORPORATION OFFICES IN DECEMBER 1998	PARTIAL GRANT

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05-0110	3/29/2005	KHORRAM, HOSSEIN	REQUEST FOR THE COMPLAINT AND ORDER IN VARIOUS CFTC ENFORCEMENT ACTIONS (95-3).	PARTIAL GRANT
05-0111	4/1/2005	NOCKELS, PAUL J.	REQUEST FOR TRADEPIPE, INC. 12/31/02 AND 12/31/03 FINANCIAL STATEMENT	PARTIAL GRANT
05-0112	4/7/2005	FRACKMAN, BRYAN	REQUEST FOR ALL HISTORICAL DATA DATING BACK TO 1986 REGARDING THE COMMITMENT OF TRADERS REPORT	TOTAL GRANT
05-0113	4/7/2005	HARRIS, PETER	REQUEST FOR SUBMISSIONS BY CBOT AND MIDAMERICA COMMODITY EXCHANGE RELATED TO MEDIUM TERM TREASURY NOTE FUTURES CONTRACTS	TOTAL GRANT
05-0114	4/8/2005	WHITAKER, MATHEW	REQUEST FOR DOCUMENTS PERTAINING TO THE DEMUTUALIZATION OF CBOT, CME, AND NYMEX	WITHDRAWN
05-0115	4/12/2005	[REDACTED]	[REDACTED]	TOTAL DENIAL
05-0116	4/12/2005	PLETCHER, TIMOTHY J.	REQUEST FOR IOWA ELECTRONIC MARKETS NO-ACTION LETTER	TOTAL GRANT
05-0117	4/13/2005	KONVICKA, JASON	REQUEST REFERRED BY FERC FOR DOCUMENTS RELATED TO THE INVESTIGATION OF DOMINION TRANSMISSION INC. AND DOMINION RESOURCES, INC.	TOTAL DENIAL
05-0118	4/14/2005	PATOSKI, JAKE	REQUEST FOR EMPLOYMENT DATA BY RACE AND GENDER	TOTAL GRANT
05-0119	4/15/2005	MCGARRAH, EMELITA	REQUEST FOR RECORDS ON HAZARD ANALYSIS AND CRITICAL CONTROL POINT RECORDS FROM AN ESTABLISHMENT/PLANT	REFERRAL

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05-0120	4/18/2005	JOHNSON, PHILIP MCBRIDE	REQUEST FOR MARCH 31, 2000 THROUGH MARCH 31, 2005 DATA WITH RESPECT TO CBOT 30-YEAR TREASURY BOND FUTURES, 10-YEAR TREASURY BOND FUTURES, AND 5-YEAR TREASURY BOND FUTURES	UPDATE TO A PREVIOUS RES
05-0121	4/20/2005	MATHEWS, ERIKA	REQUEST FOR ALL INFORMATION RELATING TO ALL U.S. FUTURES EXCHANGE MARKET MAKER PROGRAMS AND/OR CUSTOMER PRODUCT RELATED INFORMATION.	PARTIAL GRANT
05-0122	4/21/2005	JACKSON, REGINALD A	REQUEST FOR INFORMATION REGARDING FUTURES OPTIONS TRADING	TOTAL GRANT
05-0123	4/26/2005	ARIDJ, ALAIN	REQUEST FOR COT INFORMATION ELECTRONICALLY MAINLY GBP BRITISH POUND DATA	NO RESPONSIVE DOCUMENT
05-0124	4/29/2005	SHAKOOR, AMEER ALI	REQUEST FOR INFORMATION REGARDING PURCHASING QUANTITIES OF THE IRAQI DINAR, IRAQ'S OFFICIAL CURRENCY.	TOTAL GRANT
05-0125	5/2/2005	HOCKENSMITH, RON	REQUEST FOR FIRST CAPITAL GROUP FORM 1-FR FOR THE YEARS 2002, 2003, 2004 AND 2005.	PARTIAL GRANT
05-0126	5/2/2005	MUELLER, ELIZABETH	REQUEST FOR BROCHURES AND CHARTS ON GRAINS	TOTAL GRANT
05-0127	5/4/2005	SCHANKERMAN, JEFF	REQUEST TO NFA FOR FINANCIAL INFORMATION REGARDING INSTITUTIONAL ADVISORY SERVICES GROUP.	PARTIAL GRANT
05-0128	5/6/2005	KHORRAM, HOSSEIN	REQUEST FOR 12/15/95 LETTER FROM PAT ROBERTS AND THOMAS J. BLILEY, JR., AND 1/19/96 CFTC RESPONSE, REGARDING MG ORDER.	TOTAL GRANT
05-0129	5/10/2005	FRANKLIN, DANGELO	REQUEST FOR PUBLICATIONS BASIC FACTS ABOUT COMMODITIES FUTURES TRADING, BEFORE TRADING COMMODITIES FACTS, DO'S AND DON'TS ABOUT DEALING COMMODITIES AND CFTC GLOSSARY	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0130	5/10/2005	SHULTZ, GAYLEA G.	REQUEST FOR JANUARY 1997 LETTER FROM THEODORE BARREAU IN RESPONSE TO A ,OCTOBER 1996 LETTER BY SENATOR FEINGOLD AND CONGRESSMAN OBEY REGARDING CFTC OVERSIGHT OF THE NATIONAL CHEESE EXCHANGE	TOTAL GRANT
05-0131	5/10/2005	[REDACTED]	[REDACTED]	NO RESPONSIVE DOCUMENT
05-0132	5/10/2005	PERRY, TY	REQUEST FOR TRADE RECONSTRUCTION DATA FOR EURODOLLAR FUTURES FOR THE YEAR 2004	TOTAL DENIAL
05-0133	5/11/2005	RUARK, JOHN	REQUEST FOR FORM 1-FR-IBS FILED SINCE JULY 1, 2003 TO DATE FOR TIGER FINANCIAL GROUP LLC.	PARTIAL GRANT
05-0134	5/11/2005	SHALEN, CATHERINE	REQUEST FOR MONTHLY VOLUME AND OPEN INTEREST IN ALL HEDGELET CONTRACTS TRADED ON HEOGESTREET SINCE INCEPTION	TOTAL GRANT
05-0135	5/16/2005	KHORRAM, HOSSEIN	REQUEST FOR ALL DOCUMENTS RELATING TO 1989 SWAP POLICY AND FUTURES TRADING ACT OF 1992	PARTIAL GRANT
05-0136	5/17/2005	SOURAN, TOM	REQUEST FOR THE NUMBER OF APPEALS FROM 1985 TO PRESENT REGARDING THE NATIONAL FUTURES ASSOCIATION	NO RESPONSIVE DOCUMENT
05-0137	5/17/2005	SHEAR, DAVID M.	REQUEST FOR ANY AND ALL DOCUMENTS RELATING TO PLATT GAS DAILY, DYNEGY INC. AND DYNEGY MARKETING AND TRADE	TOTAL DENIAL
05-0138	5/18/2005	DOHERTY, DAVID	REQUEST FOR A COPY OF THE E-MINI RUSSELL 1000 MARKET MAKER PROGRAM SUBMISSION OF THE CME	TOTAL GRANT
05-0139	5/24/2005	DOWD, JOHN J.	REQUEST FOR MATERIAL FILED BY CME REGARDING A MARKET-MAKING PROGRAM IN THE E-MINI RUSSELL 1000 CONTRACT	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0140	5/25/2005	CARRIERI, MICHAEL	REQUEST FOR A LIST OF ALL PARTIES REQUESTING INFORMATION FROM CFTC IN THE LAST SIX MONTHS REGARDING AMARANTH LLC., AMARANTH INTERNATIONAL LTD., AMARANTH PARTNERS LLC AND AMARANTH CAPITAL PARTNERS LLC	PARTIAL GRANT
05-0141	5/25/2005	STEPHANS, KIM	REQUEST FOR A LIST OF CFTC CREDIT CARD HOLDERS INCLUDING NAMES, ADDRESSES AND PHONE NUMBERS	TOTAL GRANT
05-0142	5/25/2005	CHRISTOPHER, JAIME M.	REQUEST FOR INFORMATION REGARDING THOMAS JOSEPH NIEDERMEYER JR.	PARTIAL GRANT
05-0143	5/31/2005	DRUMMOND, KELVIN L.	REQUEST FOR COPIES OF THE CFTC ANNUAL REPORT AND ECONOMIC PURPOSES OF FUTURES TRADING	TOTAL GRANT
05-0144	5/31/2005	BARR, JAMES A.	REQUEST FOR INFORMATION ON THE OFFICIAL SEAL OF THE CFTC	TOTAL GRANT
05-0145	6/6/2005	PERRY, TY	REQUEST FOR TRADE RECONSTRUCTION DATA FOR EURODOLLAR FUTURES FOR 2004	PARTIAL GRANT
05-0146	6/3/2005	REED, ELIZABETH	REQUEST FOR A COPY OF THE NOTIFICATION TO CFTC OF INTENT TO OPERATE AN ELECTRONIC TRADING FACILITY FILED ON 4/15/02 BY TRADESPARK, LP	TOTAL GRANT
05-0147	6/7/2005	CROWLEY, MICHAEL J.	REQUEST (SUBPOENA) TO NFA FOR DOCUMENTS REGARDING BORSELLINO, ET AL.. V. PUTNAM, ET AL.	REFERRED TO OGC
05-0148	6/7/2005	BLANCHARD, CHRISTOPHER	REQUEST FOR CFTC COMPLAINT AGAINST NELSON AND W. HERBERT HUNT, ET AL. FROM FEBRUARY/MARCH 1985	TOTAL GRANT
05-0149	6/7/2005	REES, MATTHEW	REQUEST FOR AUDITED FINANCIALS/AUDITOR REPORTS FOR THE FISCAL YEAR ENDED 2003 AND 2004 FOR CERTAIN PRIVATE FUNDS	WITHDRAWN

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05-0150	6/8/2005	WING, REBECCA J.	REQUEST FOR DOCUMENTS RELATED TO MICHAEL ODELL ANDERSON AND ROSS GREGORY ERSKINE	TOTAL GRANT
05-0151	6/10/2005	SEIDMAN, IRVING P.	REQUEST FOR COPIES OF SUBPOENAS SERVED ON DAVID CAMPBELL AND GLOBAL MINERALS & METALS CORP. IN CFTC DOCKET NO. 99-11 AND ANY ITEMIZATION OF DOCUMENTS PRODUCED PURSUANT TO SUBPOENA	TOTAL GRANT
05-0152	6/13/2005	BEARDEN, ELIZABETH	REQUEST FOR DOCUMENTS RE NOTIFICATION OF OPERATIONS AS EXEMPT COMMERCIAL MARKETS FOR HOUSTONSTREET, INTERCONTINENTAL, NATURAL GAS, OPTIONABLE, SPECTRON LIFE.COM, AND TRADESPARK.	PARTIAL GRANT
05-0153	6/13/2005	BAUER, JULIE	REQUEST FOR DOCUMENTS RELATING TO IMPLEMENTATION OF PHASE II OF A GLOBAL CLEARING LINK BETWEEN EUREX CLEARING AG AND THE CLEARING CORPORATION.	PARTIAL GRANT
05-0154	6/15/2005	DOWD, JOHN J.	REQUEST FOR ALL DDCUMENTS RELATED TO CME CERTIFIED OPTIONS PARTNERS PROGRAM PROPOSED BY CME.	NO RESPONSIVE DOCUMENT
05-0155	6/15/2005	SACKS, ERIC	REQUEST FOR 2004 FINANCIAL STATEMENTS FOR CERTAIN COMMODITY POOLS	WITHDRAWN
05-0156	6/15/2005	PUTTAGUNTA, PAM	REQUEST FOR A LIST OF PRIME FIRMS THAT ARE INTENDING TO BID ON NO. 0520000A FOR THE "TRADE" SYSTEM IMPLEMENTATION	WITHDRAWN
05-0157	5/16/2005	RIDLON, DAVID	REQUEST FOR RECENT FINANCIAL STATEMENTS FOR CITADEL INVESTMENT GROUP LLC.	NO RESPONSIVE DOCUMENT
05-0158	6/21/2005	CHRISTOPHER, JAIME M.	REQUEST FOR INFORMATION ON BRUCE JEFFERY RICHARDS	PARTIAL GRANT
05-0159	6/22/2005	MCKINNEY, JC	REQUEST FOR ACCESS TO AND COPIES OF AGENCY EMPLOYEES CONTACT INFORMATION	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0160	6/28/2005	KHORRAM, HOSSEIN	REQUEST FOR DOCUMENTS SHOWING THE DEFINITIONS FOR " LINE OF BUSINESS", " COMMERCIAL ENTITY", AND " PUBLIC ", PRIOR TO THE CFMA	NO RESPONSIVE DOCUMENT
05-0161	6/28/2005	CASEY, TOM	REQUEST FOR MOST RECENT 1-FR FILING FOR CARGILL INVESTOR SERVICES INC.	PARTIAL GRANT
05-0162	6/28/2005	KUROV, ALEXANDER	REQUEST FOR TIME, SALES, AND COMPUTERIZED TRADE RECONSTRUCTION (CTR) DATA FOR CME FUTURES CONTRACTS	PARTIAL GRANT
05-0163	6/29/2005	KITE, LAURIE	REQUEST FOR IMPAC CARD HOLDERS LIST	TOTAL GRANT
05-0164	7/1/2005	HARRISON, GEOFFREY	REQUEST FOR INFORMATION ON INDEX MANIPULATION FOR " DYNEGY ENTITIES"	TOTAL DENIAL
05-0165	7/6/2005	ERENBURG, GRIGORI	REQUEST FOR TIMES, SALES AND CTR DATA FROM JANUARY 2003 TO JANUARY 2005 FOR S & P 500 AND NASDAQ 100 EQUITY INDEX FUTURES AND OPTIONS FUTURES.	PARTIAL GRANT
05-0166	7/6/2005	AL-NEMARI, FAIZ	REQUEST FOR INFORMATION ON IFX MARKETS INC.	WITHDRAWN
05-0167	7/6/2005	DOWNS, CHANDLER	REQUEST FOR DOCUMENTS RELATED TO DOCKET NO. 95-9	TOTAL GRANT
05-0168	7/6/2005	HARRIS, PETER	REQUEST FOR ALL DOCUMENTS RELATING TO CBOT REGULATION 425.01 MADE AFFECTIVE ON JUNE 29, 2005.	TOTAL GRANT
05-0169	7/6/2005	KHORRAM, HOSSEIN	REQUEST FOR DOCUMENTS CONCERNING THE SAFE HARBOR STANDARDS OF THE POLICY STATEMENT CONCERNING SWAP TRANSACTIONS DATED 7/21/89.	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0170	7/12/2005	WALSH, KEVIN	REQUEST FOR ANY INFORMATION ON RULE 3.12H.	WITHDRAWN
05-0171	7/19/2005	MEROLA, GIOVANNI	REQUEST FOR RECENT DISCLOSURE DOCUMENTS FILED BY BRIDGEWATER ASSOCIATES ET AL.	FORWARDED TO NFA
05-0172	7/19/2005	WILLIAMS, THOMAS C.	REQUEST FOR TIME TRADE DATA FOR ALL SINGLE STOCK FUTURES MARKET FROM 2003 TO 2005	NO RESPONSIVE DOCUMENT
05-0173	7/19/2005	ABRAMSON, SCOTT	REQUEST FOR FINANCIAL INFORMATION ON DORMAN TRADING LLC AND YORK BUSINESS ASSOCIATES LLC	PARTIAL GRANT
05-0174	7/19/2005	SAY, MARK	REQUEST FOR INFORMATION ON RULES AND REGULATIONS ON COMMODITY TRADING	TOTAL GRANT
05-0175	7/26/2005	CLARK, JAMES DAVID	REQUEST FOR APPLICATION FOR THE BAR ASSOCIATION	TOTAL GRANT
05-0176	7/27/2005	ETHERIDGE, BRYANT	REQUEST FOR CONTRACTS REGARDING ABM INDUSTRIES INC. OF SAN FRANCISCO	PARTIAL GRANT
05-0177	7/27/2005	CAIN, CYNTHIA	REQUEST FOR CME NO-ACTION LETTER CONCERNING CFX CORPORATION	TOTAL GRANT
05-0178	7/27/2005	BARNHART, SCOTT	REQUEST FOR DAILY FUTURES DATA ON CERTAIN CONTRACTS	TOTAL GRANT
05-0179	8/2/2005	BELASCO, SONIA	REQUEST FOR A LIST OF ALL AFGE BARGAINING UNIT REPRESENTED CFTC EMPLOYEES	TOTAL GRANT

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05-0180	8/10/2005	COBBS, KEVIN	REQUEST FOR INFORMATION ON THE COMMODITY FUTURES TRADING COMMISSION.	TOTAL GRANT
05-0181	8/10/2005	DUNSKY, LISA	REQUEST FOR DOCUMENTS FROM CFTC VS. CHARLES A. MADY ET AL.	TOTAL GRANT
05-0182	8/11/2005	TRIAY, LAURA	REQUEST FOR DOCUMENTS BP CAPITAL LLC.	WITHDRAWN
05-0183	8/11/2005	TRIAY, LAURA	REQUEST FOR ALL DOCUMENTS REGARDING TBP INVESTMENTS MANAGEMENT LLC	NO RESPONSIVE DOCUMENT
05-0184	8/11/2005	SMITH, GRANT	REQUEST FOR 1992 NO-ACTION LETTER REGARDING IOWA ELECTRONIC MARKETS	TOTAL GRANT
05-0185	8/11/2005	RUDDY, MARK	REQUEST FOR COMMENT FILE 90-011	TOTAL GRANT
05-0186	8/11/2005	ARZONETTI, ROBERT	REQUEST FOR DOCUMENTS RELATING TO ULTRA STRIP SYSTEM, INC ET AL.	NO RESPONSIVE DOCUMENT
05-0187	8/12/2005	WILLIAMS, STEVEN N.	REQUEST FOR CERTAIN ORDERS FROM THE COMMISSION'S ENERGY INVESTIGATIONS	TOTAL GRANT
05-0188	8/16/2005	RICHARD, TEMBI	REQUEST FOR RECORDS MAINTAINED BY THE COMMISSION	TOTAL GRANT
05-0189	5/16/2005	COREN, SCOTT	REQUEST FOR INFORMATION ON COMMONWEALTH EDISON SUBSTATION FIRE ON LYMAN AVENUE IN DARIEN FROM AUGUST 10, 2005	REFERRAL

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0190	8/17/2005	GAVIN, J. PATRICK	REQUEST REFERRED BY SEC FOR APRIL 2003 DOE LETTER REGARDING ENERGY INVESTIGATIONS	NO RESPONSIVE DOCUMENT
05-0191	8/17/2005	SOWLES, BRUCE	REQUEST TO FILE A CHARGE AGAINST BENTELER AUTOMOTIVE	REFERRAL
05-0192	8/16/2005	DUNKLEY, TERRA	REQUEST FOR ANY UPDATES TO CFTC EMPLOYEE HANDBOOK ISSUED AFTER 12/2004	TOTAL GRANT
05-0193	8/17/2005	KHORRAM, HOSSEIN	REQUEST FOR INFORMATION ON 17 CFR CH 35.1 AND 35.2 ON ELIGIBLE SWAP PARTICIPANT	TOTAL GRANT
05-0194	8/18/2005	KHORRAM, HOSSEIN	REQUEST FOR INFORMATION REFERENCED IN T&M LETTER DATED DECEMBER 4, 1987	PARTIAL GRANT
05-0195	8/22/2005	GOODWIN, COREY D.	REQUEST FOR 2004 AND 2005 ANNUAL REPORT	TOTAL GRANT
05-0196	8/25/2005	TRIAY, LAURA	REQUEST FOR INFORMATION ON AAA CAPITAL MANAGEMENT INC., ANTHONY ANNUNCIATO, JOHN SAUEER, DAVID COOLIDGE, DENYS THOREZ, AND CHAD SHIMAITIC	TOTAL DENIAL
05-0197	8/25/2005	MALEC, JEFF	REQUEST FOR RECENT FINANCIAL REPORTS ON STRIKER SECURITIES INC.	PARTIAL GRANT
05-0198	8/25/2005	TRAPANI, JEAN	REQUEST FOR INFORMATION ON DOCKET NO. 82-38	PARTIAL GRANT
05-0199	8/30/2005	OMRANIAN, MICHAEL	REQUEST FOR CONTACT INFORMATION ON ALL PURCHASERS FOR ALL AGENCY DEPARTMENTS	TOTAL GRANT

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05-0200	8/30/2005	ERENBURG, GRIGORI	REQUEST FOR TIME, SALES AND CFR DATA FROM JANUARY 2003 TO JANUARY 2005 FOR S&P 500 AND NASDAQ 100 FUTURES	PARTIAL GRANT
05-0201	9/1/2005	REZENDE, TIAGO	REQUEST FOR INFORMATION ON JORGE BARROS MATTO'S	TOTAL GRANT
05-0202	9/1/2005	HORN, GEOFFREY	REQUEST FOR DOCUMENT REGARDING RICHARD HERSHEY VS. PACIFIC INVESTMENT MANAGEMENT COMPANY LLC	PARTIAL GRANT
05-0203	9/6/2005	MCENTIRE, KELLY	REQUEST FOR INFORMATION REGARDING RULE 150.2	TOTAL GRANT
05-0204	9/13/2005	BRUAL, KERMIT	REQUEST FOR THE 2005 ANNUAL REPORT AND THE INVESTMENT KIT DIRECT TREASURE	TOTAL GRANT
05-0205	9/13/2005	BARRIENTOS, BLANCA G.	REQUEST FOR ALL INFORMATION ON FUTURES TRADE OPTIONS	TOTAL GRANT
05-0206	9/13/2005	KLIAKHANDLER, IGOR	REQUEST FOR TIME AND SALES DATA ON COMMODITIES TRADED ON NYBOT	PARTIAL GRANT
05-0207	9/13/2005	KHORRAM, HOSSEIN	REQUEST FOR ALL ENFORCEMENT/ REPARATIONS INFORMATION REGARDING OFF EXCHANGE ILLEGAL SWAP CONTRACTS	TOTAL GRANT
05-0208	9/13/2005	KHORRAM, HOSSEIN	REQUEST FOR NO-ACTION LETTERS PROVIDING INCLUSION OF INTEREST RATE OPTION PRODUCTS UNDER THE 1989 SWAP POLICY STATEMENT	TOTAL GRANT
05-0209	9/13/2005	KHORRAM, HOSSEIN	REQUEST FOR DOCUMENTS CONCERNING CFTC JURISDICTION OVER SWAPS (OFF-EXCHANGE) THAT VIOLATE CFTC REGS AND THE CFMA	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
05-0210	9/15/2005	HAYES, HENRY	REQUEST FOR A CURRENT ANNUAL REPORT	TOTAL GRANT
05-0211	9/15/2005	NEWMAN, JEREMY M.	REQUEST FOR CURRENT LIST OF PROGRAM COORDINATORS	TOTAL GRANT
05-0212	9/20/2005	KHORRAM, HOSSEIN	REQUEST FOR VARIOUS DOCUMENTS MENTIONED IN CFTC INTERPRETATIVE LETTER NO. 84-7.	TOTAL GRANT
05-0213	9/21/2005	MA, PAUL	REQUEST FOR AUDIT TRAIL DATA FROM CBOT TREASURY NOTES AND BONDS FUTURES PITS FOR YEARS 1998-2003	TOTAL GRANT
05-0214	9/21/2005	CASTILLE, RODNEY	REQUEST FOR INFORMATION ON CFTC VS. KEVIN J. STEELE	TOTAL DENIAL
05-0215	9/21/2005	HARPER, SCOTT	REQUEST FOR MEMO DATED SEPTEMBER 12, 2005 BY COUNCILMAN RUDOLPH BRADLEY	NO RESPONSIVE DOCUMENT
05-0216	9/21/2005	LOMONTE, FRANK D.	REQUEST FOR INFORMATION ON GRYPHUS INVESTMENTS CORPORATION, DARIO SARMIENTO AND ANGELO ZARAGOVIA	PARTIAL GRANT
05-0217	9/27/2005	PALMER, PAUL	REQUEST FOR INFORMATION ON REGISTRATION AND LICENSING REQUIREMENTS FOR COMMODITY TRADING ADVISORS, COMMODITY POOL OPERATORS, AND MANAGED FUTURES FUNDS.	TOTAL GRANT
05-0218	9/29/2005	PRINCE, SHANE E.	REQUEST FOR DOCUMENTS OBTAINED BY THE SECURITIES AND EXCHANGE COMMISSION IN ITS INVESTIGATION OF EDWARD & COMPANY LP	REFERRAL
05-0219	9/29/2005	PRINCE, SHANE E.	REQUEST FOR DOCUMENTS OBTAINED BY THE NATIONAL ASSOCIATION OF SECURITIES DEALERS IN ITS INVESTIGATION OF EDWARD JONES & COMPANY LP.	REFERRAL

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05-0220	9/29/2005	PRINCE, SHANE E.	REQUEST FOR DOCUMENTS OBTAINED BY THE SECURITIES AND EXCHANGE COMMISSION IN ITS INVESTIGATION OF EDWARD JONES & COMPANY LP	REFERRAL
06-0001	10/4/2005	HISTED, CLIFF	REQUEST FOR CORRESPONDENCE FROM CLIFF HISTED TO BLONDELL MOREY AND FOLEY & LARONER.	TOTAL GRANT
06-0002	10/6/2005	ROBINSON, SHERRY	REQUEST FOR CFTC STAFF LETTERS DATED AUGUST 15, 2005 AND JULY 25, 2005	PARTIAL GRANT
06-0003	10/12/2005	HORGAN, RICHARD J.	REQUEST FOR FORM 1-FR FOR ALARON TRADING CORPORATION, RJ O'BRIEN ASSOCIATES, INC., AND VISION LIMITED PARTNERSHIP	TOTAL GRANT
06-0004	10/13/2005	HAYES, HENRY	REQUEST FOR A COPY OF THE ECONOMIC PURPOSE OF FUTURES, CFTC GLOSSARY, THE CFTC'S REPARATION PROGRAM, AND FUTURES AND OPTIONS: WHAT YOU SHOULD KNOW BEFORE YOU TRADE	TOTAL GRANT
06-0005	10/14/2005	WALSH, MERRILL	REQUEST FOR 1-FR REPORT FOR REFCO SECURITIES INC. OR REFCO GROUP LIMITED FROM 2000-2004	PARTIAL GRANT
06-0006	10/18/2005	BORGOGNI, LORENZO	REQUEST FOR FINANCIAL STATEMENTS REGARDING REFCO ALTERNATIVE INVESTMENTS LLC	PARTIAL GRANT
06-0007	10/18/2005	WHITE, KEVIN	REQUEST FOR FINANCIAL STATEMENTS FOR REFCO ALTERNATIVE INVESTMENTS LLC	PARTIAL GRANT
06-0008	10/19/2005	KING, JOHANN	REQUEST FOR INFORMATION ON COPIER, FAX, AND PRINTER PURCHASES FROM FY 2001 - FY 2005	WITHDRAWN
06-0009	10/19/2005	RYAN, ROBERT	REQUEST FOR FINANCIAL STATEMENTS FROM REFCO LLC	PARTIAL GRANT

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06-0010	10/19/2005	MCCONNELL, DONALD R.	REQUEST FOR INFORMATION ON CFTC.	TOTAL GRANT
06-0011	10/19/2005	AGWA, EMEKA	REQUEST FOR MOST RECENT 1-FR FOR REFCO LLC	PARTIAL GRANT
06-0012	10/20/2005	BARONE, JOCELYN B.	REQUEST FOR MOST RECENT 1-FR FOR REFCO LLC.	PARTIAL GRANT
06-0013	10/18/2005	RODBURY, JENNIFER L.	REQUEST FOR RECENT 1-FR FORM FROM REFCO LLC.	PARTIAL GRANT
06-0014	10/21/2005	HACK, ANDREW	REQUEST FOR MOST RECENT 1-FR FOR REFCO LLC.	PARTIAL GRANT
06-0015	10/21/2005	BUCKLEY, BRENT	REQUEST FOR 1-FR FORM FOR REFCO LLC.	PARTIAL GRANT
06-0016	10/24/2005	CHEN, DAVID	REQUEST FOR MOST RECENT FORM 1-FR FOR REFCO LLC AND CARGILL INVESTOR SERVICES INC.	PARTIAL GRANT
06-0017	10/26/2005	AGWU, EMEKA	REQUEST FOR SEPTEMBER 2005 1-FR REPORT FOR REFCO LLC.	PARTIAL GRANT
06-0018	10/24/2005	WEISS, MILES	REQUEST FOR 1-FR FORM FOR REFCO LLC	PARTIAL GRANT
06-0019	10/24/2005	HORGAN, RICHARD J.	REQUEST FOR MOST RECENT 1-FR FORM FOR REFCO LLC AND CARGILL INVESTOR SERVICES INC.	TOTAL GRANT

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06-0020	10/25/2005	GOOCH, SARA	REQUEST FOR FINANCIAL STATEMENTS ON CARGILL INVESTOR SERVICES AND FOREX CAPITAL MARKETS	PARTIAL GRANT
06-0021	10/25/2005	REED, ANTOINE S.	REQUEST FOR INFORMATION TO HELP INVESTORS	TOTAL GRANT
06-0022	10/26/2005	RODBURG, JENNIFER	REQUEST FOR MOST RECENT 1-FR FILED BY CARGILL INVESTOR SERVICES	PARTIAL GRANT
06-0023	10/26/2005	INTELISANO, ROSS B.	REQUEST TO NFA REGARDING BAYOU MANAGEMENT LLC.	PARTIAL GRANT
06-0024	10/27/2005	ZIMMERMAN, JAMIE	REQUEST FOR 1-FR-FCM FOR REFCO LLC	PARTIAL GRANT
06-0025	10/27/2005	HUYNH, BLAKE	REQUEST FOR 1-FR REPORT FOR REFCO LLC, CARGILL INVESTOR SERVICES AND FOREX CAPITAL MARKETS LLC	PARTIAL GRANT
06-0026	10/28/2005	BORGOGNI, LORENZO	REQUEST FOR 1-FR FOR REFCO LLC OR ANY OR ITS SUBSIDIARIES FOR THE MONTH OF SEPTEMBER 2005	PARTIAL GRANT
06-0027	10/28/2005	SUAN, CHRISTOPHER	REQUEST FOR REGULATORY FILINGS FOR REFCO LLC FOR DECEMBER 2004 AND AUGUST 2005 THROUGH OCTOBER 2005	PARTIAL GRANT
06-0028	10/28/2005	TATA, TEMBI RICHARD	REQUEST FOR CATEGORIES OF CFTC RECORDS	WITHDRAWN
06-0029	10/28/2005	CHERNIAK, HARLAN	REQUEST FOR FORM CFTC 1-FR-FCM FOR REFCO LLC	PARTIAL GRANT

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06-0030	10/28/2005	LANKTREE, C. J.	REQUEST FOR CFTC FORM 1-FR-FCM FOR FOREX CAPITAL MARKETS LLC AND FOREX INTERNATIONAL INVESTMENTS INC AS OF THE LATEST POSSIBLE DATE	PARTIAL GRANT
06-0031	10/31/2005	TROY, GEORGE	REQUEST FOR FE05-003 CONCERNING 1992 IOWA PRESIDENTIAL STOCK MARKET AND IOWA ELECTRONIC MARKET	TOTAL GRANT
06-0032	10/31/2005	BISHOP, DAVID MICHAEL	REQUEST FOR INFORMATION ON SEC COMPLAINTS REGARDING VIOLATION OF THE CULT FINANCING ACT	NO RESPONSIVE DOCUMENT
06-0033	10/31/2005	SALGADO, RICHARD	REQUEST FOR AUGUST 2004 1-FR FOR REFCO LLC	PARTIAL GRANT
06-0034	10/31/2005	KELLY, THOMAS	REQUEST FOR 1-FR-FCM FOR REFCO LLC.	PARTIAL GRANT
06-0035	10/31/2005	CICIRELLI, MARK	REQUEST FOR 1-FR FOR REFCO LLC	PARTIAL GRANT
06-0036	11/2/2005	GOOCH, SARA	REQUEST FOR REFCO LLC SEPTEMBER FINANCIAL STATEMENTS	PARTIAL GRANT
06-0037	11/2/2005	RYTTING, JAMES	REQUEST FOR INFORMATION RELATING TO RELIANT	WITHDRAWN
06-0038	11/2/2005	NISMAN, SHI	REQUEST FOR SEPTEMBER FORM 1-FR-FCM FOR REFCO AND FOREX CAPITAL	PARTIAL GRANT
06-0039	11/3/2005	PATRONITE, NONA	REQUEST FOR BALANCE SHEETS FOR PAST 12 MONTHS FOR REFCO LLC, FOREX CAPITAL MARKETS LLC, AND REFCO REGULATED COMPANIES LLC	PARTIAL GRANT

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06-0040	11/8/2005	SCHWELLENBACH, NICK	REQUEST FOR ALL DOCUMENTS OPENED AND CLOSED FOR THE OFFICE OF INSPECTOR GENERAL INVESTIGATIONS CLOSED SINCE JANUARY 1, 2003.	TOTAL GRANT
06-0041	11/8/2005	KUROV, ALEXANDER	REQUEST FOR TIME AND SALES DATA FOR CHICAGO BOARD OF TRADE FEDERAL FUNDS FUTURES CONTRACTS FOR 1994 - 2004.	PARTIAL GRANT
06-0042	11/8/2005	INTELISANO, ROSS B.	REQUEST FOR FINANCIAL STATEMENTS FOR GOLDMAN & SACHS EXECUTION & CLEARING L.P FROM JANUARY 2004 TO JUNE 2004 REFERRED BY NFA.	PARTIAL GRANT
06-0043	11/8/2005	HYNSON, FRANKLIN	REQUEST FOR INDIVIDUAL COMPANIES OFFERING INVESTMENTS	REFERRAL
06-0044	11/8/2005	SLOAN, JOSEPH	REQUEST FOR A COPY OF THE COMMODITY EXCHANGE ACT.	TOTAL GRANT
06-0045	11/8/2005	LEE, MARK	REQUEST FOR REFCO'S MARCH, AUGUST AND SEPTEMBER 2005 FINANCIAL STATEMENTS	PARTIAL GRANT
06-0046	11/8/2005	ROSEN, ALLISON	REQUEST FOR MOST RECENT REFCO, CARGILL AND FOREX FINANCIAL STATEMENTS	PARTIAL GRANT
06-0047	11/10/2005	COTTON, JOHN WILLIAM	REQUEST FOR DOCUMENTS CONCERNING MARK HAUZE, ANDREW STERN, UNIVERSAL FX, UNIVERSAL FINANCIAL HOLDINGS CORP., UNIVERSAL CURRENCY FUND LP OR UNIVERSAL MONEY TRADERS.	TOTAL GRANT
06-0048	11/10/2005	MAHER, MICHAEL H.	REQUEST FOR MOST RECENT TWO QUARTERLY 1-FR FILINGS AND MOST RECENT AUDITED FILING OF FC STONE.	PARTIAL GRANT
06-0049	11/10/2005	DADET, VICTORIA	REQUEST FOR 1-FR FILING FOR REFCO LLC FOR THE MONTH ENDING JULY 2004.	PARTIAL GRANT

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06-0050	11/14/2005	PATRONITE, NONA	REQUEST FOR ANY BALANCE SHEETS OR FINANCIAL FORMS PERTAINING TO REFCO F/X ASSOCIATES	NO RESPONSIVE DOCUMENT
06-0051	11/14/2005	PATRONITE, NONA	REQUEST FOR FILE NO. 05-005 (FOREX 1-FR)	PARTIAL GRANT
06-0052	11/14/2005	TULLY, MATHEW B.	REQUEST FOR COPIES OF CLAIM FORMS FILED PURSUANT TO MEMORANDUM DATED OCTOBER 13, 2004 (OPM COMPENSATION MEMO 2004-19)	NO RESPONSIVE DOCUMENT
06-0053	11/15/2005	KING, JOHANN	REQUEST FOR PROCUREMENT CONTRACTS ON OFFICE EQUIPMENT FOR FY 2003-2005	TOTAL GRANT
06-0054	11/15/2005	BAUER-SHEWMAN, SHERYL	REQUEST FOR INFORMATION REGARDING JEBAO ELECTRICAL APPLIANCES	REFERRAL
06-0055	11/16/2005	DOHERTY, DAVID	REQUEST FOR ORDER APPROVING CME GLOBEX FOREIGN EXCHANGE FACILITY 08/09/1996	TOTAL GRANT
06-0056	11/17/2005	JEFFERSON, IRENE	REQUEST FOR DOCUMENT DATED AUGUST 9, 1996 OF CME ESTABLISHMENT OF GLOBEX FOREIGN EXCHANGE FACILITY REGARDING RULES RELATING TO THE FINANCIAL INTEGRITY OF MARKETS OR THE PARTICIPANTS	TOTAL GRANT
06-0057	11/17/2005	HORGAN, RICHARD J.	REQUEST FOR FORM 1-FR AS OF SEPTEMBER 30, 2005 FOR MAN FINANCIAL INC., AND RJ O'BRIEN ASSOCIATES, INC.	TOTAL GRANT
06-0058	11/18/2005	SMUDA, JANINE	REQUEST FOR INFORMATION ON CARGILL INC VS. HARDIN (1971)	TOTAL GRANT
06-0059	11/21/2005	MCELROY, STEVEN	REQUEST FOR ANY INFORMATION ON THE WITHDRAWAL OF KEVIN DEWEY HOYLE OF BRANCH MANAGER STATUS ON 05/30/1996 WHILE EMPLOYED BY SUTRO & CO. INC.	PARTIAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0060	11/23/2005	MIRANOWSKI, TODD	REQUEST FOR MOST RECENT REFCO LLC AUDITED ANNUAL FORM 1-FR-FCM	PARTIAL GRANT
06-0061	11/23/2005	KING, JOHANN	REQUEST FOR COPIES OF PROCUREMENT CONTRACTS FOR PURCHASES OF OFFICE EQUIPMENT OF COPIERS AND FAXES FOR FY 2003-2005.	TOTAL GRANT
06-0062	11/23/2005	BAXTER, TOM	REQUEST FOR 05-005 FOREX CAPITAL LLC 1-FR FOR THE PERIOD OF 9/01/2005 - 9/30/2005	PARTIAL GRANT
06-0063	11/29/2005	MORSE, RYAN	REQUEST FOR NO-ACTION LETTER FROM OPPENHEIMER FUNDS ON OR AROUND APRIL 19, 1996	TOTAL GRANT
06-0064	11/30/2005	CORBETT, DANIEL J.	REQUEST FOR AUDIO TAPE RECORDED BY CHARLES GRUNETT CIVIL ACTION NUMBER 2:04-CV-3641	TOTAL GRANT
06-0065	12/1/2005	SACKHEIM, MICHAEL	REQUEST FOR TRADING AND MARKETS LETTER NO. 02-22 DATED JULY 11, 2001.	TOTAL GRANT
06-0066	12/6/2005	PERRY, TY	REQUEST FOR CME EUODOLLAR CTR DATA INCLUDING GLOBEX ELECTRONIC TRADING FOR THE YEARS OF 1996 AND 2000	PARTIAL GRANT
06-0067	12/6/2005	LEE, JOHN	REQUEST FOR FINANCIAL REPORTS FOR TRADITION SECURITIES AND FUTURES INC., IFX MARKETS INC., OANDA CORPORATION	PARTIAL GRANT
06-0068	12/2/2005	MILLS, JAMES	REQUEST FOR 1-FR-FCM FOR 9/1/05 - 9-30/05	TOTAL GRANT
06-0069	12/8/2005	ROBINSON, EDWARD	REQUEST FOR AUDITED ANNUAL REPORTS FILED BY REFCO LLC	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0070	12/8/2005	CORBETT, DANIEL	REQUEST FOR UNIVERSAL FINANCIAL HOLDING CORPORATION- GUARANTEED INTRODUCING BROKER CLEARING AGREEMENT	TOTAL GRANT
06-0071	12/9/2005	BLAU, LESLIE	REQUEST FOR FOR RECENT UNAUDITED FINANCIAL STATEMENTS ON CADENT FINANCIAL SERVICES LLC.	PARTIAL GRANT
06-0072	12/13/2005	PASS, ROBERT	REQUEST FOR ALL INFORMATION ON INTERBANK FX	WITHDRAWN
06-0073	12/13/2005	KING, JOHANN	REQUEST FOR PROCUREMENT CONTRACTS ON OFFICE EQUIPMENT FOR FY 2003-2005	DUPLICATE REQUEST
06-0074	12/13/2005	THOMAS, DAVID	REQUEST FOR VARIOUS PUBLICATIONS INCLUDING THE CFTC GLOSSARY, "ECONOMIC PURPOSES OF FUTURES TRADING" AND "GET THE FACTS BEFORE TRADING".	TOTAL GRANT
06-0075	12/13/2005	YOUNG, DARRYL M.	REQUEST FOR VARIOUS CFTC INFORMATION	TOTAL GRANT
06-0076	12/21/2005	CORBETT, DANIEL	REQUEST FOR A COPY OF THE COMMISSION RESPONSE AND ITS SUPPLEMENTAL RESPONSE TO UNIVERSAL FINANCIAL'S REQUEST FOR THE PRODUCTION OF DOCUMENTS	TOTAL GRANT
06-0077	12/21/2005	SHAUNA DALY/ DEVQRAH ADLER	REQUEST FOR ALL INFORMATION REGARDING GEORGE FELIX ALLEN, HALEY REEVES BARBOUR ET AL.	NO RESPONSIVE DOCUMENT
06-0078	12/28/2005	AYOOB, FARAH	REQUEST FOR FILE 05-005 - FORM 1-FR-FCM OF FOREX CAPITAL MARKETS FOR 9/01/05 - 9/30/05	PARTIAL GRANT
06-0079	1/4/2006	MCCRAY, WILLIAM F.	REQUEST FOR REQUIREMENTS IMPOSED ON THE CURRENCY TRADING INDUSTRY.	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0080	1/4/2006	TAYLOR, JEREMIAH	REQUEST FOR NAMES OF INVESTORS	TOTAL GRANT
06-0081	1/4/2006	MATTIA, RICHARD M.	REQUEST FOR INFORMATION CONCERNING TRADING IN THE COMMODITY MARKET	TOTAL GRANT
06-0082	1/4/2006	MATTIA, RICHARD M.	REQUEST FOR INFORMATION CONCERNING TRADING IN THE COMMODITY MARKET (2ND REQUEST DIFFERENT ADDRESS)	TOTAL GRANT
06-0083	1/5/2006	WANG, WEI	REQUEST FOR FILE NUMBER 05-008 - REFCO AUDITED ANNUAL REPORT FOR 03/01/04 - 02/28/05 - AND FILE NUMBER 05-006 - FORM 1-FR-FCM FOR 09/01/05 - 09/30/2005	PARTIAL GRANT
06-0084	1/5/2006	WANG, WEI	REQUEST FOR 1-FR-FCM FOR REFCO DATED NOVEMBER 1, 2005 TO NOVEMBER 30, 2005 OR MOST RECENT AVAILABLE	PARTIAL GRANT
06-0085	1/10/2006	DUTTA, SANDIP	REQUEST FOR INFORMATION FOR THE PERIOD 9-01-2004 TO 08-31-2005 FOR VARIOUS FUTURES CONTRACTS	PARTIAL GRANT
06-0086	1/10/2006	CORBETT, DANIEL	REQUEST FOR INFORMATION ON A MOTION FOR SUMMARY JUDGEMENT DOCUMENT RELATED TO CFTC INJUNCTIVE ACTION: 04CV3641.	TOTAL GRANT
06-0087	1/10/2006	FLEISHMAN, MIKE	REQUEST FOR ALL INFORMATION ON THE TRANSFER OF SEGREGATED CUSTOMER FUNDS FROM INTERNATIONAL COMMODITY CLEARING TO NATIONS INVESTMENTS LLC	TOTAL GRANT
06-0088	1/12/2006	IVANS, RONALD	REQUEST FOR FORM 1-FR OR FOCUS REPORTS AS OF NOVEMBER 30, 2005 FOR VARIOUS FIRMS.	PARTIAL GRANT
06-0089	1/12/2006	MAY, JON	REQUEST FOR INFORMATION ON GREGORY RICHARD SWARN	PARTIAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0090	1/18/2006	CORBETT, DANNY	REQUEST FOR A TRANSCRIPT OF THE DEPOSITIONS TAKEN FROM CFTC INJUNCTION ACTION AGAINST WORLDWIDE, UFHC AND NAMED BROKERS - GAIL EISENBERG, MARSHA FRIEDMAN, AND BRUCE CROWN	TOTAL GRANT
06-0091	1/19/2006	TERRY, JOSH	REQUEST FOR FOR FILE NUMBERS 05-005 AND 05-006	PARTIAL GRANT
06-0092	1/31/2006	ROSE, JODY	REQUEST FOR NOTICES FROM U.S. BANCORP PIPER JEFFREY ASSET MANAGEMENT, INC. DATED JUNE 20, 2001 AND FIRST AMERICAN FUNDS, INC. DATED SEPTEMBER 24, 2001	TOTAL GRANT
06-0093	1/31/2006	KEMNITZ, CHRISTIAN	REQUEST FOR NOTIFICATIONS FOR EXEMPT COMMERCIAL MARKETS	PARTIAL GRANT
06-0094	1/31/2006	SHEELY, JOHN	REQUEST FOR DISCLOSURE DOCUMENT FOR PINNACLE TRADING COMPANY FOR THE YEAR OF 1994	NO RESPONSIVE DOCUMENT
06-0095	2/2/2006	FOLEY, KEVIN M.	REQUEST FOR LETTER WRITTEN TO SENATOR CHARLES SCHUMER ON JANUARY 31, 2006 REGARDING ICE FUTURES AND WTI CRUDE OIL FUTURES CONTRACTS.	TOTAL GRANT
06-0096	2/6/2006	ARTUS, ROB	REQUEST FOR 1-FR FCM FOR THE PERIOD 9/01/05 FOR FOREX CAPITAL LLC	TOTAL GRANT
06-0097	2/6/2006	WANG, WEI	REQUEST FOR FORM 1-FR-FCM FOR THE PERIOD 2/28/05 ANNUAL REPORT	TOTAL GRANT
06-0098	2/8/2006	HORGAN, RICHARD J.	REQUEST FOR FORM 1-FR AS OF DECEMBER 31, 2005 FOR ADM INVESTOR SERVICES, MAN FINANCIAL, AND RJ O'BRIEN ASSOCIATES	TOTAL GRANT
06-0099	2/8/2006	SALZBRENNER, TAMI	REQUEST FOR ALL INFORMATION RELATING TO STEVEN MICHAEL DONNELLAN	NO RESPONSIVE DOCUMENT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0100	2/9/2006	CHANG, KEN	REQUEST FOR HOLDING POSITIONS REPORTS OF T-BONDS FOR EVERY WEEK FROM 1995 TO PRESENT	WITHDRAWN
06-0101	2/9/2006	HUA, YEOW	REQUEST FOR COMMITMENT OF TRADERS FOR LIGHT SWEET CRUDE ON A DAILY BASIS FOR THE PERIOD STARTING FROM OCTOBER 2000 TO PRESENT	NO CREATE / DIRECT TO WEB
06-0102	2/22/2006	GOOCH, SARA	REQUEST FOR FINANCIAL STATEMENT ON REFCO LLC MONTH ENDED 10/31/2006	TOTAL GRANT
06-0103	2/23/2006	DEROCHE, JAMES A.	REQUEST FOR INFORMATION ON THE CARNEGIE TRADING GROUP LTD. PER SUBPOENA TO NFA	PARTIAL GRANT
06-0104	2/14/2006	SANDEEP, RAJ	REQUEST FOR IMPAC CREDIT CARDHOLDER LIST	TOTAL GRANT
06-0105	2/21/2006	HARRIS, PETER	REQUEST FOR RECORDS SUBMITTED BY SHELL TRADING US COMPANY, SHELL INTERNATIONAL TRADING AND SHIPPING CO., AND ROYAL DUTCH SHEEL AND/OR NIGEL CATTERAL	TOTAL DENIAL
06-0106	2/21/2006	POPOVA, JULIA	REQUEST FOR TIME AND SALES DATA AND OPEN INTEREST FOR CERTAIN FUTURES CONTRACTS TRADED AT NYMEX FOR 1999-2005	TOTAL GRANT
06-0107	2/23/2006	PEMBERTON, CHRISTOPHER	REQUEST FOR INFORMATION ON KELLY VS. CARR AND UNITED STATES VS. CARR	TOTAL GRANT
06-0108	2/24/2006	BLOOM, ERIC A.	REQUEST FOR THE MOST RECENT FILED 1-FR-FCM FOR R. J. O'BRIEN & ASSOCIATES	PARTIAL GRANT
06-0109	2/27/2006	CHERNAK, HARLAN	REQUEST FOR RECENT 1-FR-FCM REFCO LLC	NO RESPONSIVE DOCUMENT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0110	3/1/2006	KERRIGAN, JAMES F.	REQUEST FOR FY 2007 INFORMATION TECHNOLOGY BUDGET SUBMISSION IN RESPONSE TO OMB CIRCULAR A-11.	TOTAL GRANT
06-0111	3/6/2006	YUAN, JAYSON	REQUEST FOR INFORMATION ON CMC MARKETS UK PLC	PARTIAL GRANT
06-0112	3/1/2006	SUAN, CHRISTOPHER	REQUEST FOR REGULATORY CAPITAL FILINGS FOR REFCO LLC FOR OCTOBER, NOVEMBER, DECEMBER AND JANUARY OF 2005.	NO RESPONSIVE DOCUMENT
06-0113	3/1/2006	SUAN, CHRISTOPHER	REQUEST FOR FILE NUMBER RF 06-001, RF 05-007, AND RF 05-005	PARTIAL GRANT
06-0114	3/3/2006	LEISING, MATTHEW	REQUEST FOR FINANCIAL STATEMENTS FOR HEDGE FUNDS CENTAURUS ENERGY, AMARANTH ADVISORS, CITADEL INVESTMENT GROUP, RITCHIE CAPITAL MANAGEMENT, MOTHERROCK LP AND NATIONAL ENERGY TRADING.	PARTIAL GRANT
06-0115	3/7/2006	DAVIDSON, LAWRENCE	REQUEST FOR NAMES, TITLES, YEARS OF SERVICE AND SALARIES FOR THE US ATTORNEY'S OFFICE FOR THE DISTRICT OF NEVADA.	REFERRAL
06-0116	3/7/2006	DAVIS, BRAD	REQUEST FOR COURT DOCUMENTS PERTAINING TO THE WORLDWIDE AND I.F.A.G TESTIMONY WITH LYLE WEXLER	PARTIAL GRANT
06-0117	3/8/2006	HARRIS, PETER	REQUEST FOR RECORDS CONNECTED TO REQUESTS FOR NO-ACTION RELIEF FROM NYMEX EUROPE LIMITED AND MEFF	PARTIAL GRANT
06-0118	3/8/2006	CANNON, RACHEL M.	SUBPOENA TO NFA FOR RECORDS RELATING TO BRIAN DERMOT MCGOWAN.	PARTIAL GRANT
06-0119	3/15/2006	TRIMBLE, WILLIAM	REQUEST FOR REPORTS OR RESULTS OF INVESTIGATIONS INTO UNUSUAL TRADING IN U.S. FUTURES AND OPTIONS MARKETS BEFORE 9/11/01	TOTAL DENIAL

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0120	3/15/2006	CARTER, STEFANI	REQUEST FOR TRIAL TESTIMONY DECLARATION OF DR. GORDON RAUSSER IN CFTC VS. MARK FISHER	TOTAL GRANT
06-0121	3/15/2006	NARDELLA, MICHELE	REQUEST FOR A CUSTOMIZED DATA SET FOR COCOA FUTURES CONTRACTS.	NO CREATE
06-0122	3/16/2006	CHRISTOPHER, JAIME	REQUEST FOR INFORMATION ON GUILLAUME FONKENELL	TOTAL GRANT
06-0123	3/21/2006	MCDONOUGH, DAVID	REQUEST FOR ANNUAL UPDATES AND RELATED INFORMATION ON FILE FOR REFCO LLC, AND RAY E. FRIEDMAN & CO. FOR THE PERIOD OF 1997 TO THE PRESENT	PARTIAL GRANT
06-0124	3/22/2006	SOURAN, THOMAS C.	REQUEST FOR COPIES OF REGISTRATIONS THAT HAVE BEEN SUBMITTED BY THOMAS C. SOURAN, SKJ COMMODITIES CORPORATION AND EXCHANEQUER FINANCIAL GROUP	FORWARDED TO NFA
06-0125	3/28/2006	ANGA, JEAN MARC	REQUEST FOR A CUSTOMIZED DATA SET FOR COCOA FUTURES CONTRACTS (FOLLOW UP REQUEST)	TOTAL DENIAL
06-0126	3/28/2006	ZHOKHER, ZEIGHAM	REQUEST FOR AUDIT TRAIL DATA FROM CBOT TREASURY BOND FUTURES FOR THE PERIOD OF 2003-2005.	PARTIAL GRANT
06-0127	3/28/2006	DUKES, OBIOHA	REQUEST FOR BRUCE CROWN AND MARSHA FRIEDMAN DEPOSITIONS.	TOTAL GRANT
06-0128	4/3/2006	CHAMBLISS, BO	REQUEST FOR A COPY OF THE NYMEX SUBMISSION 00-124, TITLED PROPOSED RE-IMPLEMENTATION OF IMPLIED ORDER FUNCTIONALITY	TOTAL GRANT
06-0129	4/3/2006	[REDACTED]	[REDACTED]	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0130	4/7/2006	LEISING, MATTHEW	REQUEST FOR FINANCIAL STATEMENTS FOR THE FINANCIAL YEAR 2005 FROM CENTAURUS ADVISORS LLC, AMARANTH ADVISORS, CITADEL LIMITED PARTNERSHIP, RITCHIE CAPITAL MANAGEMENT, MOTHERROCK LP AND BP CAPITAL.	PARTIAL GRANT
06-0131	4/10/2006	JOHNSON, RICK	REQUEST TO NFA FOR DISCIPLINARY ACTIONS CONCERNING MARTIN J. RILEY JR.	TOTAL GRANT
06-0132	4/10/2006	DJINIS, ANTHONY W.	SUBPOENA TO NFA FOR DOCUMENTS ON FILE FOR LINUXOR ASSET MANAGEMENT LLC, LINUXOR CAPITAL MANAGEMENT LLC AND ABBAS A. SHAH.	TOTAL DENIAL
06-0133	4/12/2006	PURCELL, KATE	REQUEST FOR ALL 1-FR STATEMENTS FOR CARGILL INVESTOR SERVICES SINCE JANUARY 2004.	PARTIAL GRANT
06-0134	4/19/2006	TRIMBLE, WILLIAM	REQUEST FOR COPIES OF ALL PREVIOUSLY RELEASED RECORDS CONCERNING THE INVESTIGATIONS INTO UNUSUAL TRADING BEFORE THE SEPTEMBER 11, 2001 TERRORIST ATTACKS	TOTAL GRANT
06-0135	4/20/2006	MOILANEN, ROBERT C.	REQUEST REFERRED BY FERC FOR DOCUMENTS RELATED TO THE INVESTIGATION OF DOMINION TRANSMISSION INC. AND DOMINION RESOURCES, INC.	TOTAL GRANT
06-0136	4/22/2006	RAYMI, ANNA	REQUEST FOR ANY RECORD OF INVESTIGATIONS AND COMPLAINTS, DISCIPLINARY ACTION, PUBLIC HISTORY, OR CIVIL AND ADMINISTRATIVE INJUNCTIONS FOR MARK STEVEN BENIGNO.	NO RESPONSIVE DOCUMENT
06-0137	4/25/2006	NAGY, JUDITH	REQUEST FOR THE LIMITED PAYABILITY CANCELLATION REPORTS FOR THE PERIOD OF JUNE 1, 2001 TO THE PRESENT.	TOTAL GRANT
06-0138	4/26/2006	MCCULLOUGH, ROBERT	REQUEST FOR INTERPRETIVE LETTERS 99-67, 99-93, 98-31, 94-58, AND LETTERS PERTAINING TO AUTOMATED SYSTEMS FOR TRADING PRODUCTS AND CHEMICALS.	TOTAL GRANT
06-0139	4/28/2006	BUTLER, CINDY	REQUEST FOR INFORMATION ON THE DENTAL INSURANCE PLAN.	PARTIAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0140	5/2/2006	FOLEY, KEVIN	REQUEST FOR NO-ACTION LETTER FROM SENATOR JOHNNY ISAKSON FOR ICE FUTURES	TOTAL GRANT
06-0141	5/2/2006	ARCHITZEL, PAUL	REQUEST FOR INFORMATION CONCERNING COMMISSION NO-ACTION OR ANY OTHER SIMILAR RELIEF WITH RESPECT TO PLACEMENT IN THE U.S. OF COMPUTER TRADING TERMINALS.	TOTAL GRANT
06-0142	5/3/2006	CHRISTA M. LANGE	REQUEST FOR THE APPROVAL NOTICE FOR CHICAGO MERCANTILE EXCHANGE'S FUTURES AND OPTIONS CONTRACTS LINKED TO THE NIKKEI 225.	TOTAL GRANT
06-0143	4/26/2006	HELEN CRISTALES	REQUEST FOR NON-PUBLIC INFORMATION ON FILE WITH NFA FOR J FINANCIAL GROUP, INC.	TOTAL DENIAL
06-0144	5/9/2006	THOMAS M. DURKIN	SUBPOENA TO NFA FOR NON-PUBLIC DOCUMENTS ON FILE FOR FOX, INC., LAWERENCE ALLEN GOLDFARB, AND L & G CAPITAL MANAGEMENT, INC.	PARTIAL GRANT
06-0145	5/9/2006	ALEXANDER KUROV	REQUEST FOR TIME, SALES AND COMPUTERIZED RECONSTRUCTION (CTR) DATA FOR CERTAIN CBOT CONTRACT AND NYBOT CONTRACT.	PARTIAL GRANT
06-0146	5/9/2006	ANNA RAYMI	REQUEST FOR ANY RECORDS OF INVESTIGATIONS AND/OR COMPLAINTS, DISCIPLINARY ACTION, PUBLIC HISTORY, AND/OR ANY CIVIL OR ADMINISTRATIVE INJUNCTIONS, OR DISCLOSURE DOCUMENTS FOR TODD GROSS.	NO RESPONSIVE DOCUMENT
06-0147	5/9/2006	JENNY SHERMAN	REQUEST FOR COPIES OF ALL MATERIALS SUBMITTED BY THE AE CLEARINGHOUSE, LLC AND THE LONDON CLEARING HOUSE AS PART OF APPLICATION TO THE CFTC FOR DESIGNATION AS A DERIVATIVES CLEARING ORGANIZATION.	PARTIAL GRANT
06-0148	5/9/2006	JOSEPH FISCHER	REQUEST FOR NON-PUBLIC DOCUMENTS ON FILE WITH NFA FOR EUGENE HAWKIN AND VERA HAWKIN.	PARTIAL GRANT
06-0149	5/9/2006	MAYA CABRERA-LOPEZ	REQUEST FOR UPDATES TO CFTC'S EMPLOYEE HANDBOOK, PERSONNEL INSTRUCTIONS, POLICIES, MEMORANDAS FOR THE PERIOD OF SEPTEMBER 1, 2005 THROUGH APRIL 28, 2006.	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0150	5/10/2006	ANNA RAYMI	REQUEST FOR ANY RECORDS OF INVESTIGATIONS AND/OR COMPLAINTS, DISCIPLINARY ACTION, PUBLIC HISTORY, AND/OR ANY CIVIL OR ADMINISTRATIVE INJUNCTIONS, OR DISCLOSURE DOCUMENTS FOR MOTHER ROCK LP, J. ROBERT COLLINS, JR., AND CONRAD GOERL.	WITHDRAWN
06-0151	5/10/2006	DEAN MOUSCHER	REQUEST FOR ALL DOCUMENTS CONCERNING THE TRANSFER OF MONEY OUT OF THE ACCOUNT OF DEAN MOUSCHER IN NOVEMBER 2004, INCLUDING TO WHOM THE MONEY WAS TRANSFERRED.	PARTIAL GRANT
06-0152	5/10/2006	JOSIAH D. BILL	REQUEST FOR INFORMATION REGARDING THE DECEMBER 26, 1996 NOTICE OF PROPOSED RULE-MAKING CONCERNING THE DELIVERY POINTS FOR GRAIN IN THE FUTURES CONTRACT ON THE CBOT.	PARTIAL GRANT
06-0153	5/12/2006	JOE WRIGHT	REQUEST FOR COPIES OF ALL LETTERS FOR NOTIFICATION OF OPERATION AS EXEMPT COMMERCIAL MARKETS ON FILE WITH CFTC.	TOTAL GRANT
06-0154	5/16/2006	STINE, JOSHUA N.	REQUEST FOR ALL DOCUMENTATION CONCERNING CFTC VS. AMERICAN ELECTRIC POWER COMPANY, INC. AND AEP ENERGY SERVICES INC.	TOTAL DENIAL
06-0155	5/24/2006	KOLLAR, TIMOTHY	REQUEST FOR INVESTIGATORY RECORDS AND LITIGATION FILES RELATING TO SUMITOMO CORPORATION, CFTC DOCKET NO. 98-14, AND GLOBAL MINERALS & METALS CORPORATION, CFTC DOCKET NO. 99-11	TOTAL GRANT
06-0156	5/26/2006	DAVIES, MARTIN	REQUEST FOR ALL INFORMATION REGARDING TRADERS COOPERATIVE INC.	NO RESPONSIVE DOCUMENT
06-0157	5/30/2006	STANDFIELD, JAMES	REQUEST FOR A COPY OF IMPAC CARDHOLDERS FOR THE CFTC	TOTAL GRANT
06-0158	6/1/2006	PARDIECK, ANDREW	REQUEST FOR ANY STATISTICS COVERING THE PAST TEN YEARS DETAILING THE PERCENTAGE OF INDIVIDUAL OR RETAIL INVESTORS AS COMPARED WITH INSTITUTIONAL INVESTORS PARTICIPATING IN THE FUTURES MARKETS REGULATED BY THE CFTC.	NO RESPONSIVE DOCUMENT
06-0159	6/1/2006	RAYNES, JAMES	REQUEST FOR FINANCIAL STATEMENTS FOR IFSC USA, INC.	PARTIAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0160	6/1/2006	JOLCHIN, ROBERT J.	REQUEST FOR DOCUMENTS REGARDING CAPITAL MARKET SERVICES GROUP FROM NFA	REFERRAL
06-0161	6/6/2006	GREEN, MARSHALL P.	REQUEST FOR MOST RECENT FINANCIAL INFORMATION ON GLOBAL FUTURES & FOREX LTD.	PARTIAL GRANT
06-0162	6/9/2006	ARCHITZEL, PAUL M.	REQUEST FOR ALL RECORDS RELATING TO CANTOR FINANCIAL FUTURES EXCHANGE	PARTIAL GRANT
06-0163	6/9/2006	DROHAN, VIVIAN R.	REQUEST FOR ALL INFORMATION ON VLADIMIR KARPENKOV	TOTAL DENIAL
06-0164	6/20/2006	DUTTA, SANDIP	REQUEST FOR INFORMATION REGARDING CME E-MINI S&P 500 FUTURES FOR THE PERIOD OF SEPTEMBER 2004 TO AUGUST 31, 2005	PARTIAL GRANT
06-0165	6/1/2006	GOOD, JEN	REQUEST FOR CFTC INTERPRETIVE LETTER 96-45 DATED 8, 1996.	TOTAL GRANT
06-0166	6/20/2006	MAJUMDAR, DEVASISH	REQUEST FOR INFORMATION REGARDING "EXEMPTION FOR CERTAIN CONTRACTS INVOLVING ENERGY PRODUCTS".	TOTAL GRANT
06-0167	6/23/2006	WHITAKER, MATTHEW	REQUEST FOR INFORMATION REGARDING LIQUIDITY PROVIDER PROGRAM OR OTHER MARKET MAKING PROGRAMS SUBMITTED BY CME OR NYME FROM MAY 2006 TO PRESENT.	PARTIAL GRANT
06-0168	6/23/2006	THOMPSON, KELLY	REQUEST FOR INFORMATION REGARDING ANDREW PRESTON AND BOSTON TRADING ADVISORS CASE #04-03	TOTAL GRANT
06-0169	6/27/2006	BROWN, BRIAN M.	REQUEST FOR NOTICE FILING AS EXEMPT BOARD OF TRADE BY THE ACTUARIALS EXCHANGE AND THE WEATHER BOARD OF TRADE, REGISTRATION OF AE CLEARINGHOUSE AS A DCO, AND RELATED MATERIAL	WITHDRAWN

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0170	6/28/2006	VINK, PAUL T.	REQUEST FOR ALL INFORMATION RELATING TO ACCOUNT NO. R 22142 880 47005, GLORIA NOWAKOWSKI AND GEORGE P. TAMMANY	TOTAL DENIAL
06-0171	6/28/2006	LIVINGSTON, TERRANCE K.	REQUEST FOR ALL VOLUME REPORTS FILED BY OR ON BEHALF OF FOREIGN BOARD OF TRADE FROM 2003 TO THE PRESENT	
06-0172	6/28/2006	[REDACTED]	[REDACTED]	TOTAL GRANT
06-0173	6/29/2006	CUMMINS, CHIP/DAVIS, ANN	REQUEST FOR INFORMATION REGARDING THE INVESTIGATION INTO ALLEGED PROPANE MARKET MANIPULATION BY BP OR OTHER ENERGY MARKETS INCLUDING OIL AND NATURAL GAS	TOTAL DENIAL
06-0174	7/11/2006	WELLS, MOLLY	REQUEST FOR INFORMATION REGARDING FILE NUMBER RF05-003	TOTAL GRANT
06-0175	7/13/2006	PEREZ, TONY	REQUEST FOR INFORMATION ON BANKING, INVESTMENTS AND OR TRADING	REFERRAL
06-0176	7/13/2006	SCHROEDER, WILLIAM E.	REQUEST FOR DOCUMENTS CONCERNING REGULATION 4.22 (C) FROM JANUARY 2001 THROUGH MAY 2006	PARTIAL GRANT
06-0177	7/13/2006	KUROV, ALEX	REQUEST FOR TIME AND SALES DATA FOR FEDERAL FUNDS AND S&P 500 FUTURES CONTRACTS FOR JANUARY 2005- JUNE 2006	PARTIAL GRANT
06-0178	7/18/2006	TANG, KENT	REQUEST FOR THE FINANCIAL AND/OR ANNUAL REPORT FOR HOTSPOT FX INC.	WITHDRAWN
06-0179	7/20/2006	WEBBER, KURT A.	REQUEST FOR ANY LETTER OR NO-ACTION LETTERS RELATED TO INDIANA COOPERATIVE ELEVATOR KNOWN AS " FARMERS ELEVATOR COMPANY OF OAKVILLE, INC. " OR SIMILAR NAME FROM 1990 TO PRESENT	TOTAL DENIAL

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0180	7/20/2006	CROOKS, HARRIS	REQUEST FOR ORIGINAL RESPONSE LETTER NUMBER 05-19 DATED AUGUST 12, 2005 AND SUPPLEMENT LETTERS DATED SEPTEMBER 28, 2005, AND OCTOBER 4, 2005.	WITHDRAWN
06-0181	7/25/2006	BHATTAL, HARSIMRAT S.	REQUEST FOR AUDITED ANNUAL REPORTS FOR MAN FINANCIAL INC., RJ O'BRIEN ASSOCIATES INC., SENTINEL MANAGEMENT GROUP AND BEAR STEARNS SECURITIES CORPORATION.	PARTIAL GRANT
06-0182	7/26/2006	GORTON, GARY	REQUEST FOR INFORMATION ON COMMITMENT OF TRADERS DISAGGREGATED, DETAILED, FIRM BY FIRM DATA, INCLUDING THE IDENTITIES OF THE TRADERS/FIRMS.	TOTAL DENIAL
06-0183	7/26/2006	COUTCHANE, DAVID	REQUEST FOR COPIES OF THE APPROVAL LETTER FROM ONEEXCHANGE AS A CONTRACT MARKET	TOTAL GRANT
06-0184	7/27/2006	BORGOGNI, LORENZO	REQUEST FOR 1-FR FOR REFCO LLC AND REFCO SECURITIES ON OR AFTER OCTOBER 1, 2005.	PARTIAL GRANT
06-0185	7/27/2006	SERVAITES, CHARLES	REQUEST FOR 1-FR-FCM FOR ENSKILDA FUTURES LTD., FIXI INC., IG FINANCIAL MARKETS INC., AND IFX MARKETS INC.	PARTIAL GRANT
06-0186	7/28/2006	BERNSTEIN, DAVID	REQUEST FOR ALL DOCUMENTS, FUTURES OR STOCK TRADING DATA, COURT DOCUMENTS AND PROSECUTIONS PERTAINING TO INVESTIGATIONS OF APRIORI TRADING SURROUNDING SEPTEMBER. 11, 2001	TOTAL DENIAL
06-0187	7/31/2006	BRAD STEPHENSON	REQUEST FOR INFORMATION REGARDING EAST COAST COMMODITIES, MITCHELL BRAD GOLDBERG, AND ANDREA P. SILVA	PARTIAL GRANT
06-0188	7/31/2006	JOHNSON III, JONATHAN E.	REQUEST FOR INFORMATION REGARDING MEETINGS OF THE WORKING GROUP ON FINANCIAL MARKETS DURING WHICH SETTLEMENT OF SECURITIES TRANSACTIONS WAS DISCUSSED.	TOTAL DENIAL
06-0189	7/31/2006	POTRYKUS, HENRY	REQUEST FOR INFORMATION ON FINAL RULES ON AG TRADE OPTIONS 11/1999	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0190	8/1/2006	GOOD, JENNIFER M.	REQUEST FOR INFORMATION REGARDING REPARATION CASE 98-R133, 97-R112, 95-R096, 95-R079, 91-R209, 87-R045, AND 86-R286, INVOLVING COLLIN HAYES SULLIVAN.	TOTAL GRANT
06-0191	8/1/2006	BROCK, SANDRA	REQUEST FOR COMPLAINTS ON CLEAR CREDIT IN COLUMBIA SOUTH CAROLINA	REFERRAL
06-0192	8/3/2006	PERRY, TY	REQUEST FOR TRADE RECONSTRUCTION (CTR) DATA FOR THE YEARS 2001 - 2003.	PARTIAL GRANT
06-0193	8/4/2006	MARKS, JASON M.	REQUEST FOR ANY REVENUE COMMITMENT AGREEMENTS SUBMITTED TO CFTC FOR REVIEW IN CONNECTION WITH THE SEPTEMBER 16, 2003 APPLICATION BY U.S. FUTURES EXCHANGE LLC TO OPERATE AS AN EXCHANGE IN THE U.S.	TOTAL DENIAL
06-0194	8/7/2006	HALEY, CARA	REQUEST FOR THE DDCKET SHEET, COMPLAINT AND THE ANSWER IN CASE NUMBER 92-R117.	REFERRAL
06-0195	8/3/2006	BRYSON, JERRY D.	REQUEST FOR TRAVEL INFORMATION ON EDWARD J. EVORS IN THE PAST 2 MONTHS STARTING IN JUNE OF 2006	NO RESPONSIVE DOCUMENT
06-0196	8/9/2006	GOOD, JEN	REQUEST FOR CFTC REPARATIONS CASE 04-R057	TOTAL GRANT
06-0197	8/10/2006	LEE, FREDERICK	REQUEST FOR ANY AND ALL FILINGS BY CARGILL INVESTOR SERVICES FROM 2003-2005	PARTIAL GRANT
06-0198	8/15/2006	COVEL, MICHAEL	REQUEST FOR ALL INFORMATION REGARDING CFTC RELEASE 5211-06 INCLUDING BUT NOT LIMITED TO TOBY WAYNE DENNISTON II, AND ACCELERATION MERCURY FUND 4X LP.	TOTAL GRANT
06-0199	8/16/2006	PASTIER, FRANK	REQUEST FOR ALL INFORMATION REGARDING FUTURES TRADING	INFORMATIONAL BROCHURE

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06-0200	8/23/2006	KUDMAN, TAMA BETH	REQUEST FOR INFORMATION REGARDING JOHN MICHAEL CORPORAL	PARTIAL GRANT
06-0201	8/23/2006	DAVIS, JEREMY	REQUEST FOR INFORMATION ON INVESTING IN COMMODITIES AND FUTURES	INFORMATIONAL BROCHURE
06-0202	8/24/2006	SHOPE, JOHN AND TOONE, ROBIN	REQUEST FROM SEC VIA OGC FOR TIME AND SALES FUTURES AND OPTIONS REPORT (IN ELECTRONIC FORMAT) FOR OCTOBER 31, 2001 ON 30-YEAR TREASURY BOND	TOTAL GRANT
06-0203	8/29/2006	RICCHEZZA, JOSEPH A.	REQUEST FOR IMMIGRATION FILE OF DANIEL SURIEL	REFFERAL
06-0204	9/6/2006	NERUDA, STEPHANIE	REQUEST FOR INFORMATION REGARDING 1-FR FOR REFCO LLC & MAN FINANCIAL	PARTIAL GRANT
06-0205	9/7/2006	JONES, KRISTINA M.	REQUEST FOR ALL DOCUMENTS RELATED TO CFTC DOCKET NO. 05-05, IN THE MATTER OF MIRANT AMERICAS ENERGY MARKETING LP	
06-0206	9/8/2006	BUMPOUS, JESSICA	REQUEST FOR CFTC LETTER 05-14	TOTAL GRANT
06-0207	9/12/2006	COVEL, MICHAEL	REQUEST FOR INFORMATION REGARDING REGISTRATION APPLICATIONS INCLUDING SUPPORTING DOCUMENTATION SUCH AS RESUMES OR WORK HISTORIES FOR VARIOUS INDIVIDUALS	NO RESPONSIVE DOCUMENT
06-0208	9/12/2006	ROSS, HEATHER	REQUEST FOR INFORMATION REGARDING HUGHIE JENEROU	NO RESPONSIVE DOCUMENT
06-0209	9/13/2006	KLIAKHANDLER, IGOR	REQUEST FOR SALES DATA ON ALL OPTIONS ON FUTURES FROM NYMEX BY MARKET OVERSIGHT FROM 2003-2005.	TOTAL GRANT

FOIA Number	Date Received	Requester	Subject	Final Disposition
06-0210	9/13/2006	GRANT, JEREMY	REQUEST FOR INFORMATION REGARDING CFTC'S INVESTIGATION INTO BP'S CRUDE OIL TRADING IN THE EARLY 2000'S	TOTAL DENIAL
06-0211	9/14/2006	CABRERA-LOPEZ, MAYA	REQUEST FOR UPDATES ON EMPLOYEE HANDBOOK, PERSONNEL INSTRUCTIONS, POLICIES AND MEMORANDA'S FROM APRIL 29, 2006 TO SEPTEMBER 1, 2006	NO RESPONSIVE DOCUMENT
06-0212	9/19/2006	MINNOCK, JAIME	REQUEST FOR INFORMATION REGARDING MARCY ENGEL FROM NFA	PARTIAL GRANT
06-0213	9/19/2006	BASTIAN, ARTHUR	REQUEST FOR INFORMATION REGARDING US GOVERNMENT CONTRACT DAAB07-03-D-B013 AND ALL MODIFICATIONS.	NO RESPONSIVE DOCUMENT
06-0214	9/19/2006	DUFFY, TOM	REQUEST FOR FEDERAL REGISTER 36369 AUGUST 8, 1992.	TOTAL GRANT
06-0215	9/20/2006	BASTIAN, AUTHUR F.	REQUEST FOR INFORMATION REGARDING US GOVERNMENT CONTRACT H950012-05-D-0002	NO RESPONSIVE DOCUMENT
06-0216	9/22/2006	COVEL, MICHAEL	REQUEST FOR ALL DISCLOSURE DOCUMENTS FOR ACCELERATION CAPITAL AND ACCELERATION MERCURY FUND 4X LP.	TOTAL GRANT
06-0217	9/26/2006	REZENDE, TIAGO	REQUEST FOR DOCUMENTS PERTAINING TO NO-ACTION LETTER ISSUED BY OGC ON AUGUST 24, 2006 CONCERNING MEXDER	TOTAL GRANT
06-0218	9/28/2006	ROBECK, MARK	REQUEST FOR INFORMATION REGARDING THE PRODUCTION OF RELIANT DOCUMENTS SENT TO THE CITY AND COUNTY OF SANTA CLARA	NO RESPONSIVE DOCUMENT
06-0219	9/29/2006	RICHTER, CECEILE KAY	REQUEST FOR INFORMATION REGARDING FILE NUMBERS 92-009 AND 97-037.	TOTAL GRANT

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07-0001	10/2/2006	KELVIN, LESLIE	REQUEST FOR INFORMATION REGARDING NATURALIZATION PAPERS FOR ISRAEL AND SARAH KATZ OR EMERY AND SARAH KELVIN.	REFERRAL
07-0002	10/2/2006	KORN, DANIEL	REQUEST FOR ANY INFORMATION REGARDING THE CFTC.	TOTAL GRANT
07-0003	10/3/2006	HORN, GEOFFREY	REQUEST REFERRED BY THE DEPARTMENT OF THE TREASURY FOR DOCUMENTS CONCERNING PACIFIC INVESTMENT MANAGEMENT COMPANY, LLC (PIMCO).	PARTIAL GRANT
07-0004	10/4/2006	BRENNAN, KELLY	REQUEST FOR DOCUMENTS RELATED TO CFTC VS. THE CHATEAU FORTE CONSORTIUM SPECIFICALLY COMPENSATION BY MICHAEL HANKS AND FINANCIAL PLANNING INTERNATIONAL.	WITHDRAWN
07-0005	10/4/2006	PEDERSEN, LASSE E.	REQUEST FOR HISTORICAL DATA ON MARGIN REQUIREMENTS FOR CFTC CONTRACTS.	TOTAL GRANT
07-0006	10/4/2006	BENSON, DAVID F.	REQUEST FOR DOCUMENTS RELATED TO TAIWAN FUTURES EXCHANGE AND TOKYO COMMODITY EXCHANGE	PARTIAL GRANT
07-0007	10/5/2006	CORBETT, DANNY	REQUEST FOR A SUMMARY STATEMENT OF THE OUTCOME/SETTLEMENT REGARDING CFTC INJUNCTIVE ACTION 04CV3641.	WITHDRAWN
07-0008	10/6/2006	HORN, GEOFFREY	REQUEST FOR DOCUMENTS RELATING TO NYMEX, BP P.L.C AND BP PRODUCTS NORTH AMERICA REGARDING CRUDE OIL CONTRACTS DURING THE PERIOD 2003-2004.	WITHDRAWN
07-0009	10/11/2006	COVEL, MICHAEL	REQUEST FOR ALL APPLICATIONS FOR CFTC OR NFA REGISTRATION SUBMITTED BY TOBY WAYNE DENNISTON	TOTAL GRANT
07-0010	10/11/2006	BAZIL, PAUL J.	REQUEST FOR ALL DOCUMENTS RELATING TO CFTC VS. ABBAS A. SHAH AND LINUXOR ASSET MANAGEMENT LLC.	PARTIAL GRANT

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07-0011	10/12/2006	MASTRANADI, JOHN	REQUEST FOR ALL RECORDS FROM JANUARY 2001 TO PRESENT BETWEEN CFTC AND THE OFFICE OF SENATOR HILLARY RODHAM CLINTON.	TOTAL GRANT
07-0012	10/12/2006	JONES, CHRISTOPHER	REQUEST FOR ALL DOCUMENTS REGARDING FILE NO. 888.22670 AND FILINGS MADE BY BAYER OR AVENTIS WITH REGARD TO THE FILE NUMBER OR THE PLANT VARIETY PROTECTION ACT.	REFERRAL
07-0013	10/16/2006	PHILLIPS, GREG	REQUEST FOR 1- FR-FCM FOR REFCO LLC FILES 05-008, 05-001, 05-006 AND 05-004	TOTAL GRANT
07-0014	10/18/2006	MORGAN, TERRY	REQUEST FOR INFORMATION REGARDING GAIN CAPITAL GROUP LLC	PARTIAL GRANT
07-0015	10/20/2006	CHAFETZ, ERIC	REQUEST FOR DOCUMENTS RELATED TO ONE WORLD CAPITAL GROUP FORWARDED BY NFA	PARTIAL GRANT
07-0016	11/9/2006	MASON, MATTHEW J.	REQUEST FOR ALL DOCUMENTS RELATING TO BARKLEY FINANCIAL CORPORATION	PARTIAL GRANT
07-0017	11/13/2006	KUROV, ALEXANDER	REQUEST FOR TIME, SALES AND COMPUTERIZED TRADE RECONSTRUCTION.	PARTIAL GRANT
07-0018	11/13/2006	PECORARO, ANTHONY	REQUEST FOR TIME 2004 AND 2005 CFTC ANNUAL REPORT	TOTAL GRANT
07-0019	11/16/2006	SABIANI, ALLEN	REQUEST FOR INFORMATION REGARDING JKV GLOBAL ENTERPRISE	PARTIAL GRANT
07-0020	11/16/2006	KRAMER, SANDY	REQUEST FOR INFORMATION REGARDING LDUIS M. KRAM	

FOIA Number	Date Received	Requester	Subject	Final Disposition
07-0021	11/16/2006	OPP, AMY	ANY AND ALL DOCUMENTS CONCERNING CHARLES G. MADY, ELLEN MADY, CHARLES M. MADY, MADY FUTURES, MADY FUNDING LLC, LIND-WALDOCK, LLC, REFCO LLC AND/OR RICHARD GOODMAN FROM JANUARY 1, 2001 THROUGH JANUARY 1, 2005	FORWARD TO OGC
07-0022	11/27/2006	WILLIAMS, W.S.	REQUEST FOR INFORMATION REGARDING VARIOUS EEO INVESTIGATIONS	TOTAL GRANT
07-0023	11/28/2006	MALTAGLIATI, JULIE	REQUEST FOR INFORMATION REGARDING THE CFTC PURCHASE CARD HOLDERS LIST	TOTAL GRANT
07-0024	11/28/2006	HINDS, MELISSA M.	REQUEST ANY AND ALL DOCUMENTS RELATING TO CFTC'S INVESTIGATION OF CHARLES MADY.	FORWARD TO OGC
07-0025	12/1/2006	KHOKHER, ZEIGHAM	REQUEST FOR CRUDE OIL, COPPER, NATURAL GAS AND GOLD FUTURES, PUT AND CALL OPTIONS DATA FOR THE NYMEX OVER THE PERIOD 1990-PRESENT.	TOTAL GRANT
07-0026	12/4/2006	JEFF WILLIAMS	REQUEST FOR ALL THE CFTC HEARING TRANSCRIPTS FOR CASE #H-03-3526, ALLEGHENY GULF INVESTMENTS INC. AND RICHARD A. HALE.	NO RESPONSIVE DOCUMENT
07-0027	12/7/2006	ROSLIN, ALEX	REQUEST FOR PRICES IN SEVERAL CASH MARKETS FPR COMMODITIES COVERED BY YOUR AGENCY SINCE JAN.1, 1995.	TOTAL DENIAL
07-0028	12/7/2006	KISELMAN, ESFIR	REQUEST FOR A LISTING OF ALL IMPAC CARD HOLDERS IN THE AGENCY.	TOTAL GRANT
07-0029	11/7/2006	CHEN, DAVID	REQUEST FOR INFORMATION REGARDING 1-FR-FCM FOR R.J. O'BRIEN & ASSOCIATES	PARTIAL GRANT
07-0030	12/11/2006	MATHEWS, ERIKA L.	REQUEST FOR ANY AND ALL VOLUME REPORTS FROM JANUARY 1, 2002 TO THE PRESENT RELATING TO FUTURES CONTRACTS LISTED AND TRADED BY ANY FOREIGN BOARD OF TRADE THAT HAS RECEIVED NO-ACTION RELIEF FOR THE CFTC.	PARTIAL GRANT

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07-0031	11/27/2006	HASLAM, DAM	REQUEST FOR DOCUMENTS RELATED TO RF05 - 005.	TOTAL GRANT
07-0032	12/13/2006	MORGAN, ELIZABETH J.	REQUEST FOR INFORMATION REGARDING ALTERNATIVE WORK SCHEDULES.	TOTAL GRANT
07-0033	12/19/2006	DIELMAN, RICK	REQUEST FOR JULY 2006 RFP FOR EMPLOYEE ASSISTANCE PROGRAM SERVICES.	PARTIAL GRANT
07-0034	12/19/2006	SLADE, CHARLES	REQUEST FOR INFORMATION REGARDING THE 1975 COMMODITY FUTURES MODERIZATION ACT.	NO CREATE / DIRECTED TO W
07-0035	12/19/2006	ZONDER, SCOTT D.	REQUEST FOR ALL INFORMATION ON CRAIG RADDEN FOR THE PERIOD OF JANUARY 1996 THROUGH DECEMBER 2006	TOTAL GRANT
07-0036	12/21/2006	MANASSEH, JAHAN K.	REQUEST FOR INFORMATION REGARDING CFTC DOCKET NO. 00-R026.	TOTAL GRANT
07-0037	12/27/2006	JOHNSON, DENNIS J.	REQUEST FOR ALL DOCUMENTS RECEIVED FROM BP PRODUCTS NORTH AMERICA, INC. RELATED TO VIOLATIONS OF THE COMMODITY EXCHANGE ACT ET AL.	TOTAL DENIAL
07-0038	12/27/2006	[REDACTED]	[REDACTED]	NO RESPONSIVE DOCUMENT
07-0039	12/27/2006	WILLIAMS, WARNER	REQUEST FOR INFORMATION ON APPLICATION AND LICENSE TO TRADE COMMODITIES	TOTAL GRANT
07-0040	12/27/2006	[REDACTED]	[REDACTED]	NO RESPONSIVE DOCUMENT

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07-0041	12/28/2006	GRAFF, RANDALL	REQUEST FOR DOCUMENT CL38, COMMENT OF TERRY MULVILLE, IN PUBLIC COMMENT FILE 90-001 PERTAINING TO DUAL TRADING BY FLOOR BROKERS	TOTAL GRANT
07-0042	1/8/2007	PETITE, ISADORE	REQUEST FOR INFORMATION REGARDING THE CFTC ANNUAL REPORT AND THE ECONOMIC PURPOSES OF FUTURES TRADING	TOTAL GRANT
07-0043	1/8/2007	CORBETT, DANNY	REQUEST FOR INFORMATION RELATING TO DOCKET NO. 05-R022	TOTAL GRANT
07-0044	1/8/2007	HUANG, TONY	REQUEST FOR INFORMATION REGARDING "MAN FINANCIAL INC." AS OF 3/31/06 AND 9/30/06.	PARTIAL GRANT
07-0045	1/8/2007	BAILEY, JOSEPH	REQUEST FOR ALL DOCUMENTS FROM NFA ENFORCEMENT ACTION AGAINST CONRAD SEGHERS AND INTEGRAL INVESTMENT MANAGEMENT L.P. CASE NO. 02-BCC-033, NFA ID #0293775	NO RESPONSIVE DOCUMENT
07-0046	1/8/2007	PORCEL, MARTIN	REQUEST FOR INFORMATION REGARDING DOCKET NO. 06-R060.	TOTAL GRANT
07-0047	1/8/2007	RAVNITZKY, M. J.	REQUEST FOR A LIST OF THE IG INVESTIGATIONS CLOSED/COMPLETED SINCE OCTOBER 1, 2005.	WITHDRAWN
07-0048	1/9/2007	PECORARO, ANTHONY	REQUEST FOR 2006 ANNUAL REPORT AND PERFORMANCE AND ACCOUNTABILITY REPORT FOR FISCAL YEAR 2006	TOTAL GRANT
07-0049	1/10/2007	SHMIKLER, DANIEL	REQUEST FOR RECORDS RELATED TO DOWN V. ROSENTHAL GROUP, L.L.C., ET AL.	TOTAL GRANT
07-0050	1/11/2007	ARCHITZEL, PAUL	REQUEST FOR CFTC MEMORANDUM RECOMMENDING COMMISSION DESIGNATION OF THE CME ROLLING SPOT CONTRACT AND THE APPENDIX THERETO	TOTAL GRANT

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07-0051	1/16/2007	GOOD, JENNIFER	REQUEST FOR INFORMATION CFTC DOCKET NO. 04-0R052	TOTAL GRANT
07-0052	1/16/2007	HETTEL, STEPHEN	REQUEST FOR INFORMATION REGARDING CFTC REPARATIONS CASE 86-R183, 86-R237, 91-R135, AND 91-R137.	TOTAL GRANT
07-0053	1/17/2007	HEGGE, JOHN	REQUEST FOR INFORMATION REGARDING DOCKET NO. 00-04 AND ALL RELATED INVESTIGATIONS ON SOGEMIN METALS.	TOTAL GRANT
07-0054	1/18/2007	WARD, DAVID L.	REQUEST FOR INFORMATION REGARDING PERSONS WHO OWNED SEATS ON THE NEW YORK BOARD OF TRADE	NO RESPONSIVE DOCUMENT
07-0055	1/22/2007	GUPTA, RAJ	REQUEST FOR MONTHLY RETURNS FOR AMARANTH ADVISORS AND MOTHERROCK LP	NO RESPONSIVE DOCUMENT
07-0056	1/22/2007	KRYWENKY, MICHAEL	REQUEST FOR FINANCIAL STATEMENTS FOR IFX/CBF, UNITED GLOBAL MARKETS, AND VELOCITY FUTURES.	TOTAL GRANT
07-0057	1/23/2007	HAFEY, MATHEW	REQUEST FOR ALL INFORMATION REGARDING CFTC DOCKET NO. 06-R054	TOTAL GRANT
07-0058	1/24/2007	HUGHES, DUANE	REQUEST FOR ALL DOCUMENTS RELATED TO PCS CATASTROPHE INSURANCE OPTIONS.	TOTAL GRANT
07-0059	1/25/2007	COVEL, MICHAEL	REQUEST FOR ALL DOCUMENTS RELATED TO CFTC DOCKET NO. 06-05	NO RESPONSIVE DOCUMENT
07-0060	1/25/2007	THEIMER, SHARON	REQUEST FOR A LIST OF CORRESPONDENCE FROM VARIOUS PUBLIC OFFICIALS TO THE CFTC, BETWEEN JANUARY 2002 AND THE PRESENT.	TOTAL GRANT

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07-0061	1/25/2007	CHWAT, JOHN	REQUEST FOR DOCUMENT DATED JULY 29, 1999 REGARDING IF CME'S TRADING OF WEATHER FUTURES WAS IN COMPLIANCE WITH THE LAW.	TOTAL GRANT
07-0062	1/30/2007	ERENBURG, GRIGORI	REQUEST FOR INFORMATION REGARDING HENRY HUB GAS AND TRADING VOLUME REPORTS ON NYMEX FROM JANUARY 1990 TO DECEMBER 2006.	PARTIAL GRANT
07-0063	1/30/2007	HUGHES, SHERRI	REQUEST FOR ALL APPLICATIONS/NOTIFICATIONS FOR EXEMPT COMMERCIAL MARKETS SUBMITTED TO THE COMMISSION IN 2006.	TOTAL GRANT
07-0064	1/26/2007	MOURI, YASUKO	REQUEST FOR CFTC COMMENT FILE 03-002 CONCERNING CME PROPOSED AMENDMENTS	TOTAL GRANT
07-0065	2/1/2007	VOIGHT, CHRISTIAN	REQUEST FOR A COPY OF THE ECONOMIC ANALYSIS OF DUAL TRADING ON COMMODITY EXCHANGES IN NOVEMBER 1989	TOTAL GRANT
07-0066	2/1/2007	ESTRADA, JOAN A.	REQUEST FOR TWO RECENT ORDERS AND PAYMENT RECORDS FOR CARPET INSTALLATION	NO RESPONSIVE DOCUMENT
07-0067	2/1/2007	ARCHITZEL, PAUL M.	REQUEST FOR MEMORANDUM RECOMMENDING APPROVAL OF THE CME CREDIT EVENT FUTURES CONTRACT ON JANUARY 31, 2007	TOTAL GRANT
07-0068	2/1/2007	JETHWA, VIJAY	REQUEST FOR DOCUMENTS FROM PROPERTY CLAIMS SERVICES BASED ON NYMEX AND DOCUMENTS REGARDING COMMENT FILE 95-17.	NO RESPONSIVE DOCUMENT
07-0069	2/2/2007	WALTERS, MARK	REQUEST FOR DOCUMENTS RELATED TO RIVERS V. ROSENTHAL, KOTZ V. BACHE HALSEY STUART, LEIST V. SIMPLOT, SAM WONG AND SON V. NYMEX, POINT LANDING V. OMNI CAPITAL, DAMATO V. HERMANSON, AND AMERICAN AGRICULTURE MOVEMENT V. BOARD OF TRADE IN THE CITY OF CHICAGO	TOTAL GRANT
07-0070	2/5/2007	COVEL, MICHAEL	REQUEST FOR CURTIS FAITH'S WRITTEN WITHDREW FROM NFA	FORWARDED TO NFA

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07-0071	2/6/2007	FOLEY, KEVIN M.	REQUEST FOR ANY APPROVAL DOCUMENTS FOR THE CME CREDIT DERIVATIVES CONTRACTS ON JANUARY 31ST.	TOTAL DENIAL
07-0072	2/6/2007	CORBETT, DAN	REQUEST FOR LIST OF PLAINTIFFS/COMPLAINANTS REPRESENTED BY DOCKET NO. 04-CV-3641.	TOTAL GRANT
07-0073	2/8/2007	DE QUACH, ETHAN	REQUEST FOR ANY INFORMATION ON RED KITE CAPITAL MANAGEMENT	NO RESPONSIVE DOCUMENT
07-0074	2/8/2007	PERRY, TY	REQUEST FOR TRADE RECONSTRUCTION DATA IN THE MARKET FOR EURODOLLAR FUTURES CONTRACTS FOR THE YEARS 2005 AND 2006.	PARTIAL GRANT
07-0075	2/13/2007	WALTERS, MARK	REQUEST FOR A LIST OF ALL AMICUS BRIEFS FILED BY THE CFTC SINCE 1982.	TOTAL GRANT
07-0076	2/14/2007	KLEBES, JENNIFER	REQUEST FOR DOCUMENTS RELATED TO CME CONTRACTS BASED UPON CENTEX CORP, JONES APPAREL GROUP, INC. AND TRIBUNE CORPORATION DATED AROUND JANUARY 31, 2007	TOTAL GRANT
07-0077	2/14/2007	JONES, STEVEN D.	REQUEST FOR DOCUMENTS RELATED TO THE RELEASE OF GOVERNMENT DATA ON UNEMPLOYMENT AND THE GROSS DOMESTIC PRODUCT DATING BACK TO JANUARY 2001	PARTIAL GRANT
07-0078	2/14/2007	JONES, STEVEN D.	REQUEST FOR ALL ENFORCEMENT ACTIONS TAKEN INVOLVING IMPROPER TRADING OF ANY FINANCIAL INSTRUMENT SURROUNDING THE RELEASE OF U.S. GOVERNMENT ECONOMIC DATA DATING BACK TO 1980.	NO RESPONSIVE DOCUMENT
07-0079	2/15/2007	WITZEL, STEVEN M.	REQUEST FOR VARIOUS CASE DOCUMENTS RELATED TO CFTC VS. A.S. TEMPLETON GROUP INC.; HOLSTON, YOUNG PARKER & ASSOCIATES; WALTER SCOTT LEV & ASSOCIATES; AND FIRST LEXINGTON GROUP LLC.	TOTAL DENIAL
07-0080	2/15/2007	WESTERHOLM, JOSHUA	REQUEST FOR TWO MEMORANDA'S RELATING TO CREDIT EVENT CONTRACTS PROPOSED TO BE TRADED ON THE CME DATED JANUARY 24, 2007 AND JANUARY 12, 2007	TOTAL GRANT

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07-0081	2/16/2007	NEGRON, AKVILE	REQUEST FOR PERSONAL STATEMENT FOR BROKER ERROR ACCOUNT WITH TIME LEVERAGE CORPORATION	NO RESPONSIVE DOCUMENT
07-0082	2/16/2007	DAVIS, WARREN N.	REQUEST FOR ALL DOCUMENTS DISCUSSING WHETHER CREDIT EVENT FUTURES CONTRACTS ARE SECURITIES OR COMMODITIES AS THOSE DOCUMENTS ARE DESCRIBED IN THE FINAL ORDER RELATING TO THREE CREDIT EVENT CONTRACTS ON THE CME.	TOTAL GRANT
07-0083	2/20/2007	GIOVANNETTI, CARLO	REQUEST FOR CFTC INTERPRETATIVE LETTER NO. 76-21	TOTAL GRANT
07-0084	2/20/2007	SIMON	REQUEST FOR DOCUMENT RF 05-005	TOTAL GRANT
07-0085	2/23/2007	FORD, STEPHANIE	REQUEST FOR ALL DOCUMENTS RELATED TO THE APPROVAL ORDER FOR THE CME CREDIT EVENT PRODUCTS WHICH WAS SUBMITTED TO THE CFTC ON OCT. 17, 2006 AND APPROVED ON JAN. 31, 2007	TOTAL GRANT
07-0086	2/27/2007	MARK WALTERS	REQUEST FOR A COPY OF THE AMICUS BRIEF FILED BY THE CFTC IN THE CASE 01CV4071 BOARD OF TRADE, ET AL. v. KLEIN & Co. FUTURES, IN THE NEW YORK SOUTHERN DISTRICT COURT.	TOTAL GRANT
07-0087	2/28/2007	SONYA GROVER	REQUEST FOR NON-PUBLIC INFORMATION ON FILE WITH NFA FOR MATTHEW THOMAS FARDY.	TOTAL DENIAL
07-0088	3/2/2007	STEPHEN PAGE	REQUEST FOR A FOIA/PA REFERENCE GUIDE.	TOTAL GRANT
07-0089	3/7/2007	RABBINER, DAVID	REQUEST FOR ALL DOCUMENTS RELATED TO VARIOUS INDIVIDUALS WHO WERE EMPLOYED BY AVISTA CORPORATION	TOTAL GRANT
07-0090	3/7/2007	AFFELT, AMY	REQUEST FOR DOCUMENTS RELATED TO LONDON CLEARING HOUSE LIMITED, RF01-005.	TOTAL GRANT

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07-0091	3/8/2007	HARTMAN, BARRY M. & OXLEY, BENJAMIN	REQUEST FOR COPIES OF ALL THE CFTC'S RECORDS PERTAINING TO SECTIONS 2(D), 2(G), 2(H) AND/OR 2(I) OF THE COMMODITY FUTURES MODERNIZATION ACT OF 2000.	PARTIAL GRANT
07-0092	3/13/2007	TEMPLE, NANCY A	REQUEST FOR APPLICATION OF LONDON CLEARING HOUSE LTD. FOR REGISTRATION AS A DERIVATIVES CLEARING ORGANIZATION FILE NO. 01-005	TOTAL GRANT
07-0093	3/13/2007	GOOD, JENNIFER	REQUEST FOR ALL INFORMATION REGARDING RJ O'BRIEN ASSOCIATES, INC., ROSENTHAL COLLINS GROUP LLC, FCSTONE LLC, CADENT FINANCIAL SERVICES LLC FILED WITH THE COMMISSION FOR DEC. 31, 2005 AND DEC. 31, 2006.	PARTIAL GRANT
07-0094	3/14/2007	NARAYANSWAMY, ANUPAMA	REQUEST FOR ALL LOGS OF CORRESPONDENCE BETWEEN MEMBERS OF CONGRESS AND CFTC BETWEEN JAN. 1, 2007 TO FEB. 28, 2007.	TOTAL GRANT
07-0095	3/16/2007	THALL, RUSSELL L.	REQUEST FOR ALL BROCHURES REGARDING FUTURES TRADING	TOTAL GRANT
07-0096	3/16/2007	JOHNSON, MARK R.	REQUEST FOR APPLICATION FOR CONTRACT MARKET DESIGNATION OF FLUID MILK FUTURES, RULE CHANGE IN 1997 AND 2000 BY CME REGARDING FLUID MILK FUTURES	TOTAL GRANT
07-0097	3/20/2007	SONYA GROVER	REQUEST FOR NON-PUBLIC DOCUMENTS ON FILE WITH THE NFA FOR MATTHEW CHARLES HALBOWER.	TOTAL GRANT
07-0098	3/23/2007	REED, CHRISTOPHER	REQUEST FOR DISCLOSURE DOCUMENTS ON FILE WITH NFA FOR EQUIMETRICS FUND, LTD.: MATRIX FUND LP: MEDALLION CAPITAL INVESTMENT, LTD.; MEDALLION FUND; MERITAGE FUND, LTD.: MERITAGE HOLDINGS, LTD. AND RIEF RMP LLC.: THAT ARE RESPONSIVE TO THE	TOTAL GRANT
07-0099	3/26/2007	RAVNITZKY, MICHAEL	REQUEST FOR INFORMATION RECEIVED REGARDING THE SECURITIES AND EXCHANGE COMMISSION FROM MARCH 1, 2003 TO THE PRESENT	TOTAL GRANT
07-0100	3/27/2007	SCHMIDT, LAUREN E.	REQUEST FOR INFORMATION REGARDING ENSERCO ENERGY, INC. V. SHAWN T. MCLAUGHLIN, WILLIAM WARBURTON, MATHEW REED, AND DARRELL DANYLUK.	

FOIA Number	Date Received	Requester	Subject	Final Disposition
07-0101	3/27/2007	FETTERS, CHUCK	REQUEST FOR ANY INFORMATION REGARDING CIVIL OR ADMINISTRATIVE ACTION BROUGHT AGAINST MADISON CAPITAL, LLC AND/OFF MONTAGUE & HAMILTON, LLC.	PARTIAL GRANT
07-0102	3/28/2007	PINKETT, DANIEL	REQUEST FOR VARIOUS CURRENT INFORMATION REGARDING CFTC STAFF.	TOTAL GRANT
07-0103	3/29/2007	KUROV, ALEX	REQUEST FOR DOCUMENTS REGARDING CME REDUCED MINIMUM TICK SIZES OF ITS NASDAQ-100 AND E-MINI NASDAQ-100 FUTURES CONTRACTS FROM 0.5 TO 0.25 INDEX POINTS.	TOTAL GRANT
07-0104	3/29/2007	RAISLER, KENNETH E.	REQUEST FOR DOCUMENTS PROVIDED TO THE WALL STREET JOURNAL ON OR ABOUT MARCH 23, 2007 IN RESPONSE TO FOIA REQUEST NO. 06-0173	TOTAL GRANT
07-0105	3/30/2007	ANTHONY, WILLIAM D	REQUEST FOR NO-ACTION APPLICATION MATERIALS FOR THE NO-ACTIONS UNDER PART 30: CFTC LETTER NO. 07-02 (TFX APPLICATION) AND TAIFEX'S MSCI TAIWAN INDEX FUTURES CONTRACT	TOTAL GRANT
07-0106	4/2/2007	MARINEZ, FERNANDO	REQUEST FOR INFORMATION REGARDING FOREX LIQUIDITY LLC.	PARTIAL GRANT
07-0107	4/11/2007	SEELEY, TINA	REQUEST FOR INFORMATION REGARDING REUBEN JEFFERY OR ANY OTHER CFTC COMMISSIONER TO SENATOR JEFF BINGAMAN RESPONDING TO QUESTIONS ABOUT WHETHER THE COMMISSION IS ADEQUATELY OVERSEEING NATURAL GAS MARKETS.	TOTAL GRANT
07-0108	4/12/2007	PHILLIPP, MICHAEL	REQUEST FOR STAFF MEMO RECOMMENDING THE APPROVAL OF THE CME CREDIT EVENT OPTION CONTRACTS APPROVED ON JANUARY 31, 2007	TOTAL GRANT
07-0109	4/12/2007	PHILLIPP, MICHAEL	REQUEST FOR SELF-CERTIFICATION FILINGS MADE BY THE USFE WITH RESPECT TO THE CME CBOT AND CBOT, ICE COMBINATION CONTRACTS THAT WERE FILED BY USFE WITH THE COMMISSION ON 3/21/07	TOTAL GRANT
07-0110	4/16/2007	RABBINER, DAVID	REQUEST FOR ALL DOCUMENTS RELATING TO ADMINISTRATIVE ACTION INVOLVING: TORC INVESTMENTS & RESEARCH LLC, TORC FINANCIAL, JDI FINANCIAL, TORC MANAGEMENT, MARKET MAKER FUND LP, CENTURION SECURITIES LLC, GARY L. ANDERSON, STEPHEN M. JOHNSON, AMADA	NO RESPONSIVE DOCUMENT

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07-0111	4/18/2007	GAO, LAN	REQUEST FOR JANUARY 31, 2007 LETTER CONCERNING THE APPROVAL ON THE CME CREDIT EVENT BINARY FUTURES, FROM EILEEN DONOVAN TO JOHN LABUSZEWSKI.	TOTAL GRANT
07-0112	4/18/2007	MARIA FERNANDA ROCHA BARROS	REQUEST FOR INFORMATION ON THE CME CREDIT EVENT CONTRACT AND THE CBOE AND OPTIONS CLEARING CORPORATION SUBMISSION #06-76 DATED NOVEMBER 3, 2006.	TOTAL GRANT
07-0113	4/25/2007	GROVER, SANDRA	REQUEST FOR INFORMATION REGARDING RICHARD JAY GOLDSTEIN, FORWARD FROM THE NFA.	TOTAL GRANT
07-0114	4/25/2007	LECKIE, JOHN	REQUEST FOR THE FOLLOWING DOCUMENTS: BANK PARTICIPATION IN THE FUTURES OPTIONS MARKET REPORTS - FUTURES AND OPTIONS REPORTS PRIOR TO THE YEAR 2000.	NO RESPONSIVE DOCUMENT
07-0115	4/25/2007	NARAYANSWAMY, ANUPAMA	REQUEST FOR ALL CORRESPONDENCE BETWEEN MEMBERS OF CONGRESS AND THE CFTC, BETWEEN MARCH 1, 2007 AND MARCH 31, 2007	TOTAL GRANT
07-0116	4/30/2007	CHAMBLISS, BO	REQUEST FOR DOCUMENTS RELATING TO THE REQUEST FOR APPROVAL OF CME'S CREDIT EVENT CONTRACTS.	TOTAL GRANT
07-0117	4/30/2007	KEZSBOM, ADAM	REQUEST FOR DOCUMENTS RELATED TO THE CFTC ACCEPTANCE OF THE CME CREDIT EVENT FUTURES CONTRACT.	TOTAL GRANT
07-0119	5/2/2007	SCHELLER, MIKE	REQUEST FOR 1987 EFP REPORT FROM THE DIVISION OF TRADING AND MARKETS.	TOTAL GRANT
07-0120	5/2/2007	TAYLOR, JONATHAN	REQUEST FOR A LIST OF ALL CFTC REGISTERED FOREX MUTUAL FUND OPERATORS.	NO RESPONSIVE DOCUMENT
07-0121	5/4/2007	ERENBURG, GRIGORI	REQUEST FOR HISTORICAL DATA ON NEW YORK HDD/CDD, CHICAGO HDD/CDD, HOUSTON HDD/CDD, AND BOSTON HDD/CDD WEATHER FUTURES CONTRACTS TRADED ON CME.	TOTAL GRANT

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07-0122	5/4/2007	CABRERA-LOPEZ, MAYA	REQUEST FOR UPDATES TO CFTC'S EMPLOYEE HANDBOOK, PERSONNEL INSTRUCTIONS, POLICIES, MEMORANDAS FOR THE PERIOD OF SEPT 2, 2006 THROUGH APRIL 18, 2007.	TOTAL GRANT
07-0123	5/8/2007	ZORDANI, JENNIFER	REQUEST FOR VARIOUS REFCO LLC, CFTC FORM 1-FR-FCM	PARTIAL GRANT
07-0124	5/8/2007	ZORDANI, JENNIFER	REQUEST FOR REFCO LLC CFTC FORM 1-FR-FCM FOR THE PERIODS 9/1/04 – 9/30/2004, 12/01/2004 – 12/31/2004 AND 9/1/2003 – 9/30/2003.	PARTIAL GRANT
07-0125	5/10/2007	KELLEY, MARY	REQUEST FOR INFORMATION REGARDING CFTC VS. CARNEGIE TRADING GROUP, JOHN GLASE, CIVIL ACTION 1:04CV1403.	WITHDRAWN
07-0126	5/15/2007	HUGHES, SHERRI	REQUEST FOR ALL INFORMATION REGARDING GREGORY RATHJEN, MATTHEW DOYLE, AISHA RICKETTS, DAVID STURGESS AND JOHN PROCTOR IN CONNECTION WITH THE INVESTIGATION OF MATTHEW DOYLE.	PARTIAL GRANT
07-0127	5/18/2007	GROVER, SONYA	REQUEST FOR ALL INFORMATION RELATED TO EUGENE ANDREW CUNNINGHAM JR.	TOTAL DENIAL
07-0128	5/18/2007	ROHLING, GLENDA	REQUEST FOR 2005 AND 2006 ANNUAL REPORTS.	NO RESPONSIVE DOCUMENT
07-0129	5/23/2007	ERENBURG, GRIGORI	REQUEST FOR TIME AND SALES AND CTR DATA FOR THE NATURAL GAS FUTURES CONTRACTS (TRADED ON NYMEX) FROM JANUARY 1998 TO DECEMBER 2003.	NO RESPONSIVE DOCUMENT
07-0130	5/24/2007	OAKES, DAN	REQUEST FOR AN ARTICLE WRITTEN BY PROFESSOR KYLE IN 1981, " A STUDY OF THE SILVER MARKET".	NO RESPONSIVE DOCUMENT
07-0131	6/1/2007	DINUZZO, GINO	REQUEST FOR CBOT PURCHASE/MERGER WITH MACE	TOTAL GRANT

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07-0132	6/5/2007	MACK, TODD	REQUEST FOR ALL DOCUMENTS RELATED TO OPTIONABLE, INC., AND ITS ELECTION TO OPERATE AS AN EXEMPT COMMERCIAL MARKET	TOTAL GRANT
07-0133	6/5/2007	ATKINS, BRYAN	REQUEST FOR COPIES OF SERVICE TASK ORDERS/STATEMENTS OF WORK FOR FISCAL YEARS 2005, 2006, AND 2007.	NO RESPONSIVE DOCUMENT
07-0134	6/8/2007	KLEBES, JENNIFER L.	REQUEST FOR COPY OF ORDER AND/OR LETTER ON OR ABOUT JUNE 5, 2007 IN WHICH CFTC APPROVED THE CHICAGO MERCANTILE EXCHANGE'S NORTH AMERICAN INVESTMENT GRADE HIGH VOLATILITY CREDIT INDEX EVENT CONTRACT.	TOTAL GRANT
07-0135	6/13/2007	COVEL, MICHEAL	REQUEST FOR ALL INFORMATION REGARDING CFTC DOCKET NO. 06-05 REGARDING TOBY MAYNE DENNISTON II AND ACCELERATION CAPITAL, ACCELERATION MERCURY FUND 4X LP AND OR YURI PLYAM.	NO RESPONSIVE DOCUMENT
07-0136	6/14/2007	CHAMBLISS, BO	REQUEST FOR THE MAY 31, 2007 MEMORANDUM FROM DMO REGARDING THE CME'S APPLICATION TO LIST A CREDIT EVENT FUTURES CONTRACT OR THE DISCUSSION OF CREDIT EVENT FUTURES CONTRACTS IN GENERAL.	TOTAL GRANT
07-0137	6/15/2007	SCHNITZER, DAVID	REQUEST FOR ALL INFORMATION REGARDING FRED D. THOMPSON OR HIS OFFICE DURING THE PERIOD HE WAS A UNITED STATES SENATOR (1994 - 2002).	PARTIAL GRANT
07-0138	6/19/2007	ARCHITZEL, PAUL	REQUEST FOR MEMORANDUM RECOMMENDING COMMISSION APPROVAL OF THE CME INDEX EVENT FUTURES CONTRACT ON JUNE 5, 2007.	TOTAL GRANT
07-0139	6/20/2007	KLEBES, JENNIFER	REQUEST FOR MEMORANDUM FROM DMO DATED APRIL 10, 1998 AND ANY ORDER, MEMO OR OTHER DOCUMENTS RELATING TO THE CME OBI FUTURES CONTRACT APPROVED ON APRIL 14, 1998..	TOTAL GRANT
07-0140	7/28/2007	LYKOWSKI, LACOSTA	REQUEST FOR ALL RECORDS RELATING TO TRADE RISK MANAGEMENT LLC, JAMES A. MAGGIO AND RECORDS REGARDING "SIGMA BANDS".	
07-0141	7/3/2007	NARAYANSWAMY, ANUPAMA	REQUEST FOR ALL CORRESPONDENCE BETWEEN MEMBERS OF CONGRESS AND CFTC FROM APRIL 1, 2007 TO JUNE 30, 2007.	TOTAL GRANT

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07-0142	7/5/2007	KERRIGAN, JAMES	REQUEST FOR FY 2008 INFORMATION TECHNOLOGY (IT) BUDGET SUBMISSION IN RESPONSE TO OMB CIRCULAR A-11 AND MOST RECENT LONG RANGE IT PLAN.	TOTAL GRANT
07-0143	7/9/2007	WALLOP, CLEMENTINE	REQUEST FOR COMPLAINTS MADE TO CFTC ABOUT MANIPULATION OF THE METAL MARKETS BY US HEDGE FUNDS OVER THE LAST THREE YEARS FROM 2004 TO THE PRESENT.	PARTIAL GRANT
07-0144	7/6/2007	MOORE, JAMES G.	REQUEST FOR FILE #07-007	TOTAL GRANT
07-0144	7/9/2007	HAMMER, MICHELLE	REQUEST FOR ALL INFORMATION REGARDING STEEN ELGAARD.	PARTIAL GRANT
07-0145	7/10/2007	PANCHACHARAM, GRANT	REQUEST FOR DOCUMENT RF 05-003	TOTAL GRANT
07-0146	7/18/2007	FOLEY, KEVIN M.	REQUEST FOR ALL DOCUMENTS RECOMMENDING APPROVAL OF THE CME CREDIT INDEX EVENT CONTRACTS THAT THE COMMISSION APPROVED ON JUNE 5, 2007.	TOTAL GRANT
07-0147	7/23/2007	PANCHACHARAM, GRANT	REQUEST FOR LETTER FROM JOHN DELANY, CEO TRADE EXCHANGE NETWORK, TO DMO ON SEPTEMBER 25, 2005, NOTIFYING THE CFTC OF THE ELECTION TO OPERATE INTRADE BOARD OF TRADE AS AN EXEMPT BOARD OF TRADE IN RELIANCE ON THE EXEMPTION.	TOTAL GRANT
07-0148	7/24/2007	ROTONDI, JOHN	REQUEST FOR FY 2006 ANNUAL REPORT	NO RESPONSIVE DOCUMENT
07-0149	7/26/2007	ARCHITZEL, PAUL	REQUEST FOR MEMO FROM DMO REGARDING A NO-OBJECTION LETTER TO EUREX DEUTSCHLAND IN CONNECTION WITH EUREX'S APRIL 3, 2007 NOTIFICATION TO THE COMMISSION.	TOTAL DENIAL
07-0150	7/26/2007	HUNKINS, JULIANNE	REQUEST FOR INFORMATION REGARDING STEVE ALLEN BEITLER.	TOTAL GRANT

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07-0151	7/26/2007	HUNKINS, JULIANNE	REQUEST FOR INFORMATION REGARDING JOHN TERRENCE BURKE.	PARTIAL GRANT
07-0152	8/1/2007	CONNELLY, FAITH	REQUEST FOR A RACIAL BACKGROUND CHECK COMPLETED FOR THE MOST RECENT CONSECUTIVE FIVE YEAR PERIOD AVAILABLE.	NO RESPONSIVE DOCUMENT
07-0153	8/7/2007	ALIC, LEJLA	REQUEST FOR INTRADAY FUTURES PRICES FOR NYMEX AND CME'S GLOBEX FROM OCTOBER 1, 2006 TO AUGUST 1, 2007	
07-0154	8/9/2007	PLACE, RANDALL C.	REQUEST FOR CFTC REPARATIONS INFORMATION REGARDING ROBBINS FUTURES INC., ROBBINS TRADING COMPANY, JOEL S. SPRITZ	TOTAL GRANT
07-0155	8/14/2007	BAEK, LESLIE	REQUEST FOR FOREX CAPITAL MARKETS 2006 AND 2007 ANNUAL REPORT	PARTIAL GRANT
07-0156	8/20/2007	MANOR, ROBERT D.	REQUEST FOR ALL DOCUMENTS RELATED TO SENTINEL MANAGEMENT GROUP	PARTIAL GRANT
07-0157	8/20/2007	ROPER, GLENN E.	REQUEST FOR INFORMATION REGARDING CFTC VS. RICHMOND, CASE NO. 05-CV-668.	TOTAL GRANT
07-0158	8/21/2007	TERVIN, KATHLEEN M.	REQUEST FOR A FULL COPY OF SENTINEL MANAGEMENT GROUP'S 6/30/07 1-FR.	PARTIAL GRANT
07-0159	8/21/2007	MCNULTY, MEGAN	REQUEST FOR SENTINEL MANAGEMENT GROUP INC.'S JUNE 30TH 1-FR.	PARTIAL GRANT
07-0160	8/22/2007	DULANEY, ALEX	REQUEST FOR CFTC DOCKET NO. 03-03.	WITHDRAWN

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07-0161	8/28/2007	VEGA, RUDY	REQUEST FOR VARIOUS INFORMATION INCLUDING INFORMATION ON N.A.F.T.A. AND W.T.O.	NO RESPONSIVE DOCUMENT
07-0162	8/28/2007	BRIGANTI, VINCENT	REQUEST FOR ALL INFORMATION REGARDING CFTC VS. AMARANTH ADVISORS LLC.	
07-0163	8/30/2007	HOAR, WILLIAM O.	REQUEST FOR INFORMATION REGARDING MY W-2 WAGE STATEMENT RECEIVED IN 2006 FROM THE CFTC SOCIAL SECURITY EARNINGS OF \$20,485.63.	
07-0164	8/30/2007	GRUBE, CHRIS	REQUEST FOR ALL DOCUMENTS RELATED TO FRAUDULENT CHECKS DRAWN FROM CITIBANK AND/OR BANK OF AMERICA.	NO RESPONSIVE DOCUMENT
07-0165	9/5/2007	NARAYANSWAMY, ANUPAMA	REQUEST FOR ANY AND ALL CORRESPONDENCE BETWEEN MEMBERS OF CONGRESS AND CFTC BETWEEN JULY 1, 2007 TO AUGUST 31, 2007.	TOTAL GRANT
07-0166	9/7/2007	LOYOLA, JEANNE	REQUEST FOR CFTC LETTER NO. 04-06 DATED JANUARY 21, 2004 TO SENTINEL MANAGEMENT GROUP, INC.	
07-0167	9/11/2007	GAZA, MARY	REQUEST FOR AUDITED FINANCIALS FOR FYE 12.31.2004; 2005 AND 2006 FOR SENTINEL MANAGEMENT GROUP INC	PARTIAL GRANT
07-0168	9/12/2007	FOLEY, KEVIN M.	REQUEST FOR SENTINEL MANAGEMENT GROUP, INC'S 1/21/04 NO-ACTION LETTER AND ANY ADDITIONAL CORRESPONDENCE IN RESPONSE TO THAT LETTER; 5/7/81 LETTER FROM/TO JOHN MANLEY TO/FROM TERRY CLAASSEN; AND 7/31/01 LETTER TO/FROM JOHN LAWTON TO/FROM SUSAN	
07-0169	9/12/2007	SVETE, DAVID W.	REQUEST FOR ALL RECORDS ON FILE FOR DAVID WILLIAM SVETE.	NO RESPONSIVE DOCUMENT
07-0170	9/13/2007	PATTERSON, LARRY	REQUEST FOR INFORMATION REGARDING FUTURES AND OPTIONS	INFORMATIONAL BROCHURE

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07-0171	9/13/2007	DELAHOUSSE, ERIC	REQUEST FOR INFORMATION REGARDING INVESTING IN STOCK AND COMMODITIES	INFORMATIONAL BROCHURE
07-0172	9/13/2007	TEE TAN & ROBERT FU	REQUEST FOR A REPORT ON VOLUME INVESTORS CORPORATION FROM T&M IN JULY 1986	PARTIAL GRANT
07-0173	9/13/2007	NIELSON, CATHERINE	REQUEST FOR ALL DOCUMENTS RELATED TO THE PRESIDENT'S EXECUTIVE ORDER ON IMPROVING AGENCY DISCLOSURE OF INFORMATION AND CFTC'S FOIA'S IMPROVEMENT PLAN ET AL.	PARTIAL GRANT
07-0174	9/17/2007	KAMROWSKI, RONI LYNN	REQUEST FOR ALL DOCUMENTS PERTAINING TO RONI LYNN KZEVETCHIN FROM FEBRUARY 2007 TO THE PRESENT, SPECIFICALLY ANY CPS INFORMATION THAT MAY HAVE BEEN SUBMITTED OR CRIMINAL OR MENTAL HEALTH EVALUATIONS.	WITHDRAWN
07-0175	9/20/2007	BLAU, LESLIE	REQUEST FOR CFTC'S MOST RECENT TELEPHONE DIRECTORY	TOTAL GRANT
07-0176	9/24/2007	STOLL, IAN T.	REQUEST FOR ALL INFORMATION AND/OR RECORDS REFERENCED OR RELIED UPON IN THE COMPLAINT IN THE MATTER OF CFTC V. BP PRODUCTS NORTH AMERICA, INC., 06-3503 (RC)(N.D.I.L.).	TOTAL DENIAL
07-0177	9/24/2007	READ, CHRISTOPHER	REQUEST FOR DISCLOSURE DOCUMENTS ON FILE WITH THE NFA FOR QUANTITATIVE FINANCIAL STRATEGIES, INC.	TOTAL GRANT
07-0178	7/25/2007	BLAU, LESLIE A.	REQUEST FOR INFO. ON THE SALE OF ASSETS OF AMERICAN NATIONAL TRADING COMPANY (ANTC) TO PEREGRINE FINANCIAL GROUP; AND THE LAST AUDITED FINANCIAL AND THE TWO MOST RECENT UN-AUDITED FINANCIAL STATEMENT ON (ANTC).	PARTIAL GRANT
07-0179	9/25/2007	DIMITROVA, ALEXENIA	REQUEST FOR ALL DOCUMENTS CONCERNING CFTC INVESTIGATION/ACTION AGAINST MARIN ILLIEV LANEV MENTIONED IN CFTC RELEASE 5132-05, FOR THE PERIOD SEPTEMBER 24, 2007.	
07-0180	9/25/2007	GRISCHUK, JONATHAN M.	REQUEST FOR REGISTRATION INFORMATION FOR INTERCONTINENTAL EXCHANGE, INC., AND INTERNATIONAL SECURITIES EXCHANGE HOLDINGS, INC.	TOTAL GRANT

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07-0181	9/25/2007	POORE, LYNN	REQUESTING FOR REGISTRATION INFORMATION ON MERICAN COIN.	FORWARDED TO NFA
07-0182	9/26/2007	ROY, RICHARD D.	REQUEST ALL RESOURCES YOU HAVE TO REVEAL WHAT AND ALL/ANY "CHARGES"/BACKGROUND CHECKS/ETC. ON ME WHICH SHOULD/WOULD CONTRADICT WHAT WAS TOLD ON ME BY THE MARYLAND STATE POLICE.	NO RESPONSIVE DOCUMENT
07-0183	9/26/2007	LYONS, SETH	REQUEST FOR CBOT, CME, AND NYMEX DCO DCM APPLICATIONS.	TOTAL GRANT
07-0184	9/27/2007	SAGNANERT, PON	REQUEST FOR ALL RELATED CFTC RULES AND REGULATIONS GOVERNING THE INVESTMENT OF CUSTOMER FUNDS.	WITHDRAWN